

UNIVERSIDADE DE SÃO PAULO
HOSPITAL DE REABILITAÇÃO DE ANOMALIAS CRANIOFACIAIS

GABRIELA LETICIA CLAVISIO SIQUEIRA MACHADO

**Comportamento longitudinal do crescimento facial e mandibular em
pacientes com Sequência de Pierre Robin isolada**

**Longitudinal behavior of facial and mandibular growth in patients
with isolated Pierre Robin sequence**

BAURU
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Dissertação constituída por artigo apresentada ao Hospital de Reabilitação de Anomalias Craniofaciais da Universidade de São Paulo para obtenção do título de Mestre em Ciências da Reabilitação, área de concentração Fissuras Orofaciais e Anomalias relacionadas.

Orientadora: Prof^ª. Dr^ª. Terumi Okada Ozawa

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dedico este trabalho!*

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*“Conhecimento não é aquilo que você sabe, mas o que você faz
com aquilo que você sabe.”*

Aldous Huxley

ABSTRACT

ABSTRACT

Longitudinal behavior of facial and mandibular growth in patients with isolated Pierre Robin sequence

The Pierre Robin sequence (PRS) is a congenital anomaly characterized by the occurrence of micrognathia, glossoptosis and cleft palate. Clinically is observed upper airway obstruction with consequent breathing and feeding difficulties. Individuals with PRS usually show retrognathia and a significantly convex facial profile in adulthood. The aim of this study was to assess the longitudinal behavior of facial growth of individuals with isolated PRS using photographs. Photographs of the right facial profile of 100 individuals were used (50 individuals with isolated PRS and 50 individuals without any craniofacial anomaly). The individuals with PRS were evaluated at 3 different times (T1: baby, T2: mixed dentition, T3: permanent dentition) measuring the facial convexity angle (G.Sn.Pog'). A comparison between T3 and control group (C), individuals without craniofacial anomalies and in permanent dentition, were also performed, checking the facial convexity, nasolabial (Ls.Sn.Cm), mentolabial (Li.Si.Pog'), facial inferior third (Sn.Gn'.C) angles and the ratio between medium anterior facial height and lower anterior facial height (MAFH/LAFH). The T3 group showed a facial convexity angle increased in relation to C group as well as the facial inferior third angle and the MAFH/LAFH ratio. In the longitudinal evaluation of individuals with isolated PRS, the significant difference occurred between T1 and T2 groups and T1 and T3 groups showing that facial convexity was higher in the baby phase and didn't have a significant variation between the phases of mixed and permanent dentition. Individuals with isolated Pierre Robin sequence showed increased facial convexity in all phases evaluated but it decreased with their growth. When compared to individuals without anomalies, the PRS individuals continue with retrognathism in the permanent dentition. The facial inferior third angle and the MAFH/LAFH ratio increased suggest a lack of a chin projection to the maxilla, leading to a considerable number of orthognathic surgeries for the correction of discrepancies.

Keywords: Pierre Robin sequence, growth, photography

RESUMO

RESUMO

Comportamento longitudinal do crescimento facial e mandibular em pacientes com Sequência de Pierre Robin isolada

A Sequência de Pierre Robin (SPR) é uma anomalia congênita caracterizada pela ocorrência de micrognatia, glossoptose e fissura de palato. Clinicamente observa-se a obstrução das vias aéreas superiores e uma consequente dificuldade na respiração e alimentação. Normalmente os indivíduos com SPR apresentam retrognatia e um perfil facial significativamente convexo na idade adulta. O objetivo do estudo foi avaliar o comportamento longitudinal do crescimento facial de indivíduos com SPRi, por meio de fotografias faciais. Foram utilizadas fotografias do perfil facial direito de 100 indivíduos (50 indivíduos com SPRi e 50 indivíduos sem anomalia craniofacial). Os indivíduos com SPRi foram avaliados em 3 tempos diferentes (T1: bebê, T2: dentadura mista, T3: dentadura permanente), mensurando o ângulo de convexidade facial (G.Sn.Pog´). Também foi realizada uma comparação entre o grupo T3 e o grupo controle (C), indivíduos sem anomalias craniofaciais em fase de dentadura permanente, verificando os ângulos de convexidade facial, nasolabial (Ls.Sn.Cm), mentolabial (Li.Si.Pog´), do terço inferior da face (Sn.Gn´.C) e a proporção entre altura facial anterior média e altura facial anterior inferior (AFAM/AFAI). O grupo T3 apresentou uma convexidade facial aumentada em relação ao grupo C, assim como o ângulo do terço inferior e a proporção AFAM/AFAI. Na comparação longitudinal entre T1, T2 e T3, o grupo T1 apresentou uma convexidade facial significativamente maior que a convexidade encontrada em T2 e T3. Na avaliação longitudinal dos indivíduos com SPRi a diferença significativa se deu entre os grupos T1 e T2 e também entre T1 e T3, demonstrando que a convexidade facial apresentou-se maior na fase bebê e não teve uma variação significativa entre as fases de dentadura mista e permanente. Conclui-se que indivíduos com SPRi apresentam convexidade facial aumentada, em todas as fases avaliadas, mas que diminui ao longo do crescimento. Quando comparados a indivíduos sem anomalias, os pacientes SPRi continuam retrognatas na dentadura permanente. O ângulo do terço inferior da face e AFAM/AFAI aumentados sugerem falta de projeção do mento em relação à maxila, levando a um número considerável de cirurgias ortognática para a correção das discrepâncias.

Palavras-chave: Sequência de Pierre Robin, crescimento, fotografia

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1 INTRODUCTION

1 INTRODUCTION

The Pierre Robin sequence (PRS) is a congenital anomaly characterized by the occurrence of micrognathia, glossoptosis and cleft palate (ELLIOT, 1994), which is present in 90% of cases (CAOUILLE-LABERGE; BAYET; LAROCQUE, 1994). This condition was first described, in 1923, by the French stomatologist Pierre Robin, who associated glossoptosis with mandibular atresy in 1934.

Pashayan and Lewis, in 1984, suggested the name Pierre Robin sequence because they believed that it was a sequential pathogenesis and not a syndrome as it had been denominated for many years (Pierre Robin syndrome) and thus the terms syndrome and anomaly aren't longer used.

It has been reported a prevalence for PRS between 1:8500 and 1:20000 births worldwide (GENTHER et al., 2015) and it may manifest isolated, be a component of a syndrome or be associated with other developmental defects that don't represent a specific syndrome (COHEN JR, 1976).

Clinically it is observed upper airway obstruction with consequent breathing and feeding difficulties (PRUZANSKY; RICHMOND, 1954; PRUZANSKY, 1969). Its clinical expression is very heterogeneous ranging from a slight respiratory difficulty to severe asphyxia episodes, being more frequent in the first months of life (FREEMAN; MANNERS, 1980). This condition makes difficult the newborn weight gain because the necessary calories are spent on respiratory effort (SINGER; SIDOTI, 1992).

The upper airway obstruction in Pierre Robin sequence isn't always caused by glossoptosis and may be associated with other factors. Nasopharyngoscopies performed in patients with craniofacial anomalies and obstructive apnoea, including Pierre Robin sequence, demonstrated four different types of obstruction (MARQUES et al., 2005).

Depending on the severity of airway obstruction, several interventions may be necessary in the treatment of a newborn infant with PRS (MYER et al., 1996; WAGENER et al., 2003), ranging from more conservative interventions such as prone position (PASYAYAN; LEWIS, 1984) and the nasopharyngeal intubation (HEAF et al., 1982; ANDERSON et al., 2007) to more aggressive interventions such as glossopexy (ARGAMASO, 1992; COZZI et al., 2008), mandibular distraction

(MONASTERIO et al., 2002; DENNY; AMM, 2005) and tracheostomy (BATH; BULL, 1997).

The etiopathogenesis of the PRS has been discussed by several researches. The PRS triad was achieved in an experiment with animals through mandibular constriction (COCKE JR, 1966; POSWILLO, 1968). In 1966 Latham proposed that the explanation for PRS was based on structural changes due to a mandibular defect. Still in 1966, Becker and Palm considered that an embryonic defect in tongue is the primary pathogenic event in the Pierre Robin Sequence. Rintala, Ranta and Stegars (1984) and Edwards and Newall (1985) believe that genetic disorders in the growth of the mandible and maxilla result in cleft palate and micrognathia. Cohen Jr (1976) and Carey (1982) proposed that the various conditions in which the PRS triad appears suggest the heterogeneity of etiopathogenic factors. Diewert (1979) and Schubert, Jahn and Berginski (2005) proposed that the mandibular retroposition is considered the primary factor for the subsequent development of others changes present in the PRS. Currently, the accepted theory is that mandibular micrognathia is the primary pathogenic event then the tongue, due to the restricted space, interferes in the fusion of the palatal processes, causing the cleft palate and also obstructs the upper airways in the immediate postnatal and neonatal periods (VIG, 1990; JONES, 2013).

Although the exact etiopathogenesis of isolated PRS is still unknown, several factors suggest a genetic basis for the anomaly. Findings demonstrate the isolated PRS can be caused by dysregulation in the SOX9 and KCNJ2 genes, evidenced by the reduction of the expression of these genes in the carriers (JAKOBSEN et al., 2007; SELVI; MUKUNDA PRIYANKA, 2013)

Previously, it was thought that mandibular micrognathia observed in individuals with Pierre Robin sequence should be an effect of intrauterine constriction and that the mandibular growth should be resumed after birth, but the researches performed didn't demonstrate a significant catch-up growth (PRUZANSKY; RICHMOND, 1954; POSWILLO, 1968; PRUZANSKY, 1969, HOTZ; GNOINSKY, 1982; POSWILLO, 1988; OLNEY et al., 1997). The current view is that the mandible is small at birth and remains small in all active facial growth (MARCOVIC, 1972; DASKALOGIANNAKIS; ROSS; TOMPSON, 2001; OZAWA et al., 2012, SHEN et al, 2012), being that catch-up growth only partial (FIGUEROA et al., 1991). Thus, individuals with PRS usually demonstrate retrognathia and a significantly convex

facial profile in adulthood (LAITINEN; HELIOVAARA; RANTA, 1997; ERIKSEN et al., 2006).

To assess the growth of individuals with isolated PRS, transversal and longitudinal studies have been carried out using profile photograph (OZAWA et al., 2012), lateral cephalogram (PRUZANSKY; RICHMOND, 1954; PRUZANSKY, 1969; MARKOVIC, 1972; HOTZ; GNOINSKY, 1982; FIGUEROA et al., 1991; LAITINEN; RANTA, 1992; LAITINEN; HELIOVAARA; RANTA, 1997; VEGTER; HAGE; MULDER, 1999; DAKALOGIANNAKIS; ROSS; TOMPSON, 2001; HERMANN et al., 2003a, 2003b; SURI; ROSS; TOMPSON, 2006, 2010; ERIKSEN et al., 2006; LU et al., 2007, SHEN et al., 2012) and computed tomography (CHUNG et al., 2012), which are analyzed through cephalometric measurements, but there was a great need for more longitudinal studies approaching this topic . Ozawa et al. (2012) observed that in the mixed dentition phase the patients with isolated PRS had an increased facial convexity (77%), mandibular micrognathia with predominant Class II occlusal relationship (82%) and anteroinferior crowding (81%).

2 PROPOSITION

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The aim of the present study was to assess the longitudinal evolution of mandibular and facial growth of individuals with isolated PRS, using facial profile photographs by means of angular and proportional measures, verifying the percentage of individuals with isolated PRS who had the mandibular catch-up growth and those who evolved with severe mandibular deficiency requiring orthognathic surgery to correct the skeletal discrepancy of the facial profile and compare these individuals with isolated PRS to a group of individuals without the anomaly, the control group.

3 ARTICLE

3 ARTICLE

The article presented in this Dissertation was written according to Journal of Dental Research instructions and guidelines for article submission (Annex A).

LONGITUDINAL BEHAVIOR OF FACIAL GROWTH IN ISOLATED PIERRE ROBIN SEQUENCE

ABSTRACT

The Pierre Robin sequence (PRS) is a congenital anomaly characterized by the occurrence of micrognathia, glossoptosis and cleft palate. Clinically is observed upper airway obstruction with consequent breathing and feeding difficulties. Individuals with PRS usually show retrognathia and a significantly convex facial profile in adulthood. The aim of this study was to assess the longitudinal behavior of facial growth of individuals with isolated PRS using photographs. Photographs of the right facial profile of 100 individuals were used (50 individuals with isolated PRS and 50 individuals without craniofacial anomaly). The individuals with PRS were evaluated at 3 different times (T1: baby, T2: mixed dentition, T3: permanent dentition) measuring the facial convexity angle (G.Sn.Pog´). A comparison between T3 and control group (C), individuals without craniofacial anomalies and in permanent dentition, were also performed, checking the facial convexity, nasolabial (Ls.Sn.Cm), mentolabial (Li.Si.Pog´), facial inferior third (Sn.Gn´.C) angles and the ratio between medium anterior facial height and lower anterior facial height (MAFH/LAFH). The T3 group showed a facial convexity angle increased in relation to C group as well as the facial inferior third angle and the MAFH/LAFH ratio. In the longitudinal evaluation of individuals with isolated PRS, the significant difference occurred between T1 and T2 groups and T1 and T3 groups showing that facial convexity was higher in the baby phase and didn't have a significant variation between the phases of mixed and permanent dentition. Individuals with isolated Pierre Robin sequence showed increased facial convexity in all phases evaluated but it decreased with their growth. When compared to individuals without anomalies, the PRS individuals continue with retrognathism in the permanent dentition. The facial inferior third angle and the MAFH/LAFH ratio increased suggest a lack of a chin projection to the maxilla, leading to a considerable number of orthognathic surgeries for the correction of discrepancies.

Keywords: glossoptosis, micrognathism, cleft palate, photography, mandible, profile

INTRODUCTION

The Pierre Robin sequence (PRS) is a congenital anomaly characterized by the occurrence of micrognathia, glossoptosis and cleft palate (Elliott, 1994), which is present in 90% of cases (Caouette-Laberge et al., 1994).

It has been reported a prevalence for PRS between 1:8500 and 1:20000 births worldwide (Genther et al., 2015) and it may manifest isolated, be a component of a syndrome or be associated with other developmental defects that don't represent a specific syndrome (Cohen Jr, 1976).

Clinically it is observed upper airway obstruction with consequent breathing and feeding difficulties (Pruzansky, Richmond, 1954; Pruzansky, 1969). Its clinical expression is very heterogeneous ranging from a slight respiratory difficulty to severe asphyxia episodes, being more frequent in the first months of life (Freeman; Manners, 1980). Depending on the severity of airway obstruction, several interventions may be necessary in the treatment of a newborn infant with PRS (Myer et al., 1998; Wagener et al., 2003), ranging from more conservative interventions such as prone position (Pasyayan; Lewis, 1984) and the nasopharyngeal intubation (Heaf et al., 1982; Anderson et al., 2007) to more aggressive interventions such as glossopexy (Argamaso, 1992; Cozzi et al., 2008), mandibular distraction (Monasterio et al., 2002; Denny; Amm, 2005) and tracheostomy (Bath; Bull, 1997).

The etiopathogenesis of the PRS has been discussed by several researchers and there isn't consensus in the literature regarding it and the most diverse factors are indicated as a primary event for its occurrence such as structural changes due to mandibular defect (Latham, 1966), embryonic defects in the tongue (Becker e Palm, 1966), genetic disorders in growth of the maxilla and mandible (Rintala et al, 1984; Edwards e Newall, 1985), mandibular retroposition (Diewert, 1979; Schubert et al, 2005), heterogeneity of etiopathogenic factors (Cohen, 1979) and mandibular micrognathia (Vig, 1988 ; Jones, 2013), which is the line of thought most accepted until then.

Previously, it was thought that mandibular micrognathia observed in individuals with Pierre Robin sequence would be an effect of intrauterine constriction and that the mandibular growth would be resumed after birth, but the researches performed didn't demonstrate a significant catch-up growth (Pruzansky, Richmond, 1954; Poswillo, 1968; Pruzansky, 1969, Hotz, Gnoinski, 1982; Poswillo, 1988; Olney

et al., 1997). The current view is that the mandible is small at birth and remains small in all active facial growth (Markovic, 1972; Daskalogiannakis, 2001), being the catch-up growth only partial (Figuroa et al., 1991). Thus, patients with PRS usually demonstrate retrognathia and a significantly convex facial profile in adulthood (Laitinen, Ranta, 1997; Eriksen et al., 2006).

To assess the growth of individuals with isolated PRS, transversal and longitudinal studies have been carried out using profile photograph (Ozawa et al., 2012), lateral cephalogram (Pruzansky and Richmond, 1954; Pruzansky, 1969; Markovic, 1972; Hotz and Gnoinski, 1982; Figuroa et al., 1991; Laitinen and Ranta, 1992; Laitinen et al., 1997; Vegter et al., 1999; Daskalogiannakis et al., 2001; Hermann, 2003a, 2003b; Suri et al., 2006, 2010; Eriksen et al., 2006; Lu, 2007, Shen et al., 2012) and computed tomography (Chung et al., 2012), which are analyzed through cephalometric measurements, but there was a great need for more longitudinal studies approaching this topic. Ozawa et al. (2010, 2012) observed that in the mixed dentition phase the patients with isolated PRS had an increased facial convexity (77%), mandibular micrognathia with predominant Class II occlusal relationship (82%) and anteroinferior crowding (81%).

The aim of the present study was to assess the longitudinal evolution of mandibular and facial growth of individuals with isolated PRS, using facial profile photographs by means of angular and proportional measures verifying the percentage of individuals with isolated PRS who had the mandibular catch-up growth and those who evolved with severe mandibular deficiency requiring orthognathic surgery to correct the skeletal discrepancy of the facial profile and compare these individuals with isolated PRS to a group of individuals without the anomaly, the control group.

MATERIALS AND METHODS

This Study was approved by the Ethical Committee in Research of Hospital for Rehabilitation of Craniofacial Anomalies (Protocol 53785816.4.0000.5441).

Sample

To obtain the sample, we started with a list of approximately 800 individuals with isolated PRS using the following exclusion criteria: possessing complete longitudinal photographic documentation (baby, mixed and permanent dentition) with sufficient quality and standardization and having not undergone previous orthopedic treatment. From these criteria we reached the number of 50 individuals. The control group was selected in a paired form with the study group having compatible age and gender.

Were used 200 right facial profile photographs of 100 individuals (50 with isolated PRS and 50 without any craniofacial anomaly). The most recent photographs were obtained using a digital camera (Nikon D70S, Nikon Inc., New York, NY) and a macro lens of 100mm (Sigma Corporation of America, New York, NY). Older photographs were taken with the analog camera of the same brand and later scanned. At the photographs time the individuals were oriented to look ahead in a colored mark positioned at eye level with a neutral facial expression and the head in natural position. The sample was divided into the following groups:

- T1 group: 50 profile photographs of individuals with isolated PRS, with mean age of 5.3 months, with diagnosis confirmed by the pediatrics and genetics teams of HRAC/USP and regularly registered at the institution.
 - T2 group: 50 profile photographs of individuals with isolated PRS, the same as the previous group, with mean age of 9.6 years and in mixed dentition.
 - T3 group: 50 profile photographs of individuals with isolated PRS, the same as the previous groups, with mean age of 14.6 years and in permanent dentition.
 - C group: 50 photographs of individuals without any craniofacial anomaly, with dental Class I and balanced facial pattern without accentuated maxillomandibular discrepancy, with mean age of 14.8 years in permanent dentition, regularly registered in the PROFIS Interceptive Orthodontics course, an entity associated with HRAC/USP, representing the control group.
-

Comparison between T3 and C groups

At first, the 100 photographs of both groups (50 of each) were analyzed using the Dolphin Imaging® software and had the following tegumentary points were marked to obtain the measurements, according to Legan and Burstone (1980).

- G (tegument glabella): the most anterior tegumentary point of the glabella contour.
- Cm (columella): the most anterior point of the nasal columella
- Sn (subnasal): intersection of the columella contour with the labial philtrum.
- Ls (labrale superius): the mucocutaneous rim of the upper lip.
- Li (labrale inferius): the mucocutaneous rim of the lower lip.
- Pog´ (soft pogonion): the most anterior point of the soft chin
- Si (mentolabial sulcus): the deepest point in the mentolabial sulcus, approximately at midway between Li and Pog´
- Me´ (soft menton): the lowest point of the soft chin contour
- C (cervical): the intersection of the submental contour and neck areas
- Gn´ (soft gnathion): the intersection between Sn-Pog´ and C-Me´ lines.

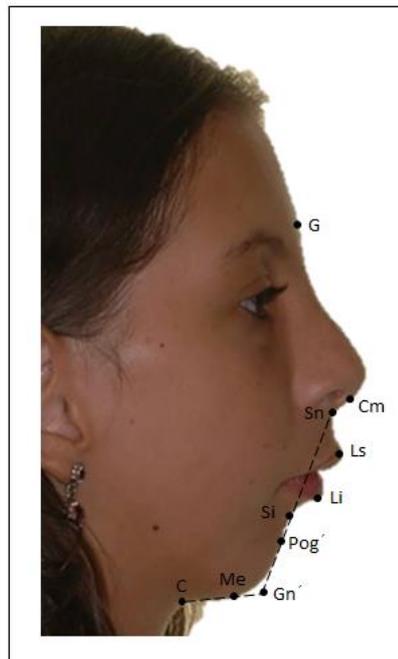


Figure 1. Tegumentary points marked on the photographs

The quantities used in the numerical facial analysis of the profile were described and used by Burstone (1958), Legan e Burstone (1980), Scheideman et al. (1980), Wolford and Hilliard, (1981), Lehman Jr (1987), Arnett e Bergman (1993a), Arnett e Bergman (1993b), Fernandez-Riveiro et al. (2003), Sforza et al. (2007) e Ozawa (2012). Some were adapted from the cephalometric analysis of the soft profile in lateral cephalogram for the analysis in tegumentary profile photographs. The quantities used in this study are below:

- Facial convexity angle (FCA): supplement of the angle formed by G.Sn.Pog´ points
- Nasolabial angle (NLA): formed by Ls.Sn.Cm points
- Mentolabial angle (MLA): formed by Li.Si.Pog´ points
- Facial inferior third angle (FITA): formed by Sn.Gn´.C points
- Ratio between medium anterior facial height and lower anterior facial height (MAFH/LAFH), given by the ratio between the distances G-Sn and Sn-Me projected in a true vertical line

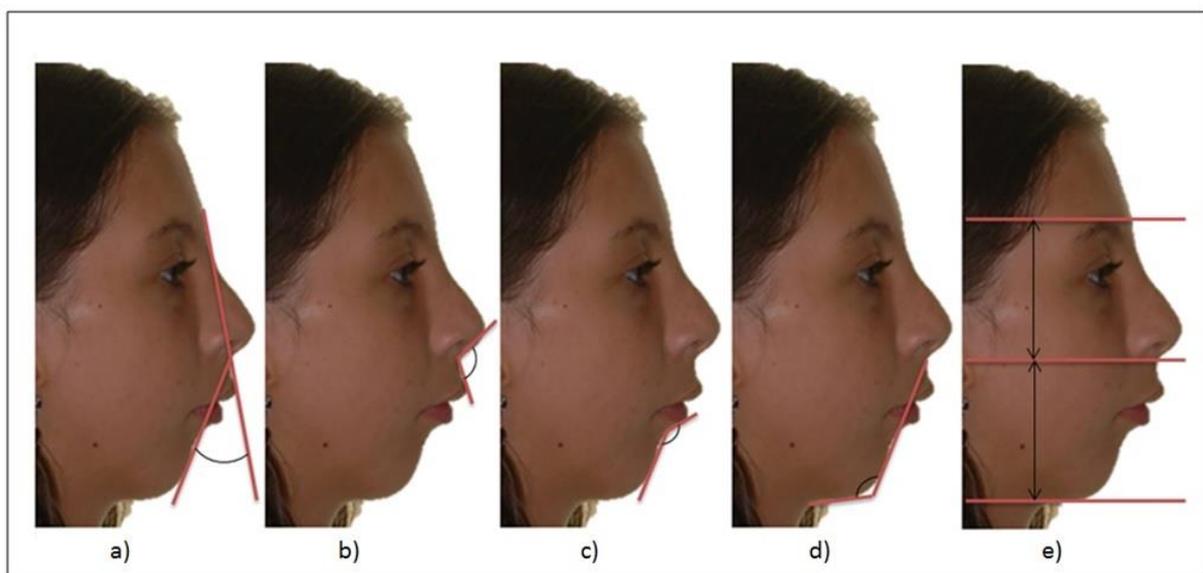


Figure 2. The quantities used: a) facial convexity angle; b) nasolabial angle; c) mentolabial angle; d) facial inferior third angle; e) MAFH/LAFH ratio

After the measurements had been taken and the data obtained, the percentage of individuals who had orthodontic-surgical treatment was verified through the medical records.

Comparison among T1, T2 and T3 groups

Subsequently for a longitudinal evaluation of the individuals with isolated PRS, 3 tegumentary points (Gl, Sn and Pog´) were scored in the 150 photographs of the T1, T2 and T3 groups (50 of each) so that the convexity facial angle was obtained and the comparison could be made, also using the Dolphin Imaging® software.

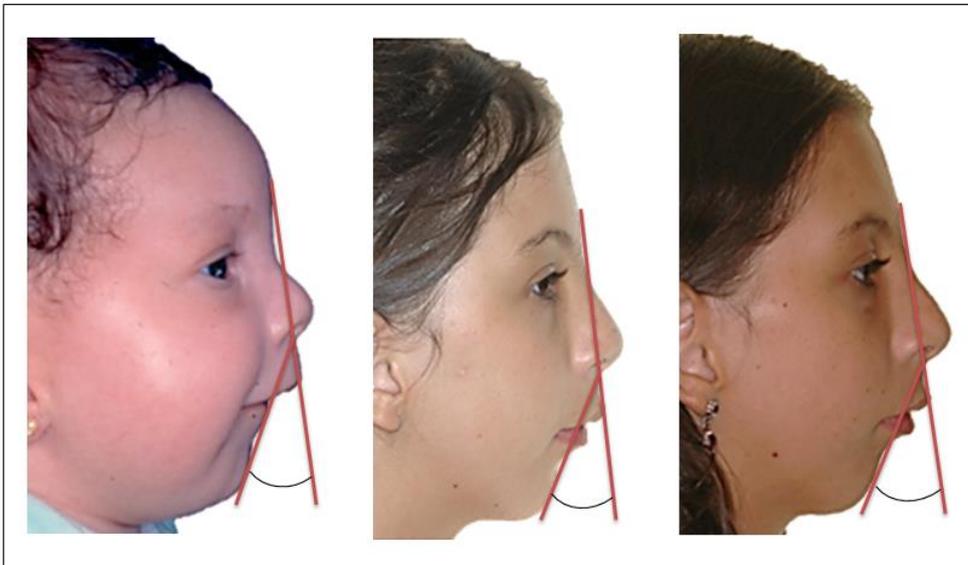


Figure 3. Facial convexity angle longitudinally evaluated

Method error

All measurements were performed by a single examiner previously calibrated to perform the procedure, twice with a 30-day interval between measurements to evaluate the error of the method through the Intraclass Correlation Coefficient (ICC). The mean value between the two evaluations was adopted by this study, for all quantities.

Statical analysis

The Mann-Whitney test was used to compare the T3 and C groups. For the comparison among T1, T2 and T3 groups, the Friedman test was applied followed by Tukey's test (significance level of 5%).

RESULTS

The Intraclass Correlation Coefficient (ICC) obtained showed excellent reproducibility among the measurements, ranging from 0.93 to 1.

Table 1 shows the comparison results between T3 and C groups, that is individuals in the permanent dentition but one group with isolated PRS and the other without the anomaly for the variables: facial convexity angle, nasolabial angle, mentolabial angle, facial inferior third angle and MAFH/LAFH ratio. The results were statically significant for facial convexity angle, where the T3 group presented an increased facial convexity in relation to C group. The facial inferior third angle was also significantly increased in the T3 group in relation to C group as well as the ratio between MAFH and LAFH.

Table 1. Comparison between T3 and C groups (Mann-Whitney test)

Variable	Groups	Mean (SD)	Minimum	Maximum	P
Facial convexity angle (°)	T3	17,07 (±7,39)	1,75	32,10	<0,001 *
	C	10,76 (±3,46)	1,05	17,00	
Nasolabial angle (°)	T3	104,11 (±13,20)	66,85	132,95	0,178
	C	101,87 (±10,44)	80,85	121,40	
Mentolabial angle (°)	T3	122,42 (±21,98)	67,25	162,20	0,669
	C	121,26 (±11,46)	95,75	145,80	
Facial inferior third angle (°)	T3	115,09 (±11,67)	97,15	144,45	<0,001 *
	C	101,05 (±8,49)	84,6	118,40	
MAFH/LAFH ratio	T3	1,07 (±0,11)	0,78	1,45	0,006 *
	C	1,01 (±0,09)	0,84	1,24	

*statistically significant difference

Table 2 shows the comparison among T1, T2 and T3 groups, demonstrating the longitudinal behavior of the facial convexity of individuals with isolated Pierre Robin Sequence at different stages of development. The statically significant difference occurred between T1 and T2 groups and also between T1 and T3, demonstrating that facial convexity was higher in the baby phase and there wasn't a significant variation between the phases of mixed and permanent dentition.

Table 2. Comparison among T1, T2 and T3 groups (Friedman and Tukey's test)

Variable	T1 (n=50)	T2 (n=50)	T3 (n=50)	P
Facial convexity angle (°)	26,77 (±6,81) ^A	18,27 (±6,46) ^B	17,07 (±7,39) ^B	<0,001*

*statistically significant difference

A,B: different letters represent a significant difference between groups

The medical records of all individuals with isolated PRS from this study were analyzed and the percentage of individuals who had orthognathic surgery planned for the rehabilitation treatment was verified. It was verified that of the 50 individuals in the study, 11 performed or will perform orthognathic surgery to correct the facial profile and occlusion.

DISCUSSION

One of the most important components of orthodontic diagnosis and rehabilitation treatment plan is the evaluation of the soft tissues of the patients face (Moshkelgosha, 2015). Several methods have been used to evaluate the facial characteristics such as antropometry (Farkas, 1981), cephalometry (Garner, 1974; Roos, 1977) and the use of photographs (Gavan, 1952; Neger, 1959, Ozawa, 2012). The sagittal analysis of soft tissues from photographs allows professionals to quantify the sagittal size and condition of facial soft tissues. These tissues maintain close anatomical relationships with the underlying bone bases and dental arches (Diouf et al., 2015). The advantages of using photography for facial analysis include: low costs, requiring few equipment and being a non-invasive technique (Sforza et al., 2007). In addition, significant correlations were observed between skeletal and soft tissue evaluations (Ferrario et al., 1996; Dimaggio et al., 2007).

Previous studies have also been proposed to evaluate the morphology and facial growth of patients with Pierre Robin sequence. Most of them performed transversal and some longitudinal evaluations using lateral cephlograms (Pruzansky and Richmond, 1954; Pruzansky, 1969; Markovic, 1972; Hotz and Gnoinski, 1982; Figueroa et al., 1991; Laitinen and Ranta, 1992; Laitinen et al., 1997; Vegter et al., 1999; Daskalogiannakis et al., 2001; Hermann, 2003a, 2003b; Suri et al., 2006,

2010; Eriksen et al., 2006; Lu, 2007). Ozawa et al. (2012) used photographs in mixed dentition phase e Chung et al. (2012) computed tomographies. The present study performed a longitudinal evaluation of facial growth using longitudinal photographs of 50 individuals at 3 different ages.

The facial convexity of individuals with isolated PRS evaluated longitudinally demonstrated that these individuals present a great facial convexity when babies, mean of 26.77° , which is decreasing throughout development, mean of $18,27^\circ$ in the mixed dentition but that it remains accentuated until the permanent dentition phase, mean of 17.07° . The individuals with isolated PRS from the Daskalogiannakis (2001) study that evaluated lateral cephalograms at 3 different ages (at 5.5 years, 10.3 years and 16.9 years, in mean) also demonstrated a decreasing facial convexity throughout development but remained increased during the permanent dentition, mean of 17° . The study by Shen (2012) confirms the findings of some previous studies that suggest that individuals with isolated PRS have a proportionate retrusion of the mandible, resulting in a convex facial profile by early adolescence. These data demonstrate that individuals with isolated PRS have an increased facial convexity throughout their life, when compared to individuals of control groups and also that the evaluation through lateral cephalograms and photographs can be considered similar. Figueroa et al. (1991) reported that the natural respiratory condition improvement which occurs during the first 2 years of life of individuals with PRS is due to the higher rate of mandibular growth associated with slow growth of the tongue. However the normal airway dimensions are also not reached in these individuals. On the other hand, studies demonstrate that individuals with PRS didn't show catch-up growth during the first years of life, although respiratory conditions improved (Eriksen et al., 2006; Filip et al., 2015).

When we compared the facial convexity of individuals with isolated PRS and the control group, both in permanent dentition, the data indicated that the first group has an increased facial convexity, mean of 17.07° and maximum of 32.10° , when compared to the second group with mean of 10.75° and maximum of 17° , being this difference statistically significant. The individuals with isolated PRS 78% had a convexity angle greater than 10.75° , means of the control group. In relation to the maximum value of the convexity angle of the control group, 52% was increased. Ozawa et al (2012) found a mean value for the facial convexity of individuals with isolated PRS in mixed dentition phase of 18° and for the control group a value of

13.4° was obtained, mean values close to the present study. These results demonstrated that individuals with isolated SPR have a greater facial convexity when compared to control groups at different stages of development and that convexity doesn't significantly change their value from the mixed to permanent dentition. The nasolabial and mentolabial angles didn't present a significant difference between the groups evaluated in the present study.

The mean value obtained for the facial anterior third angle was higher for individuals with isolated PRS in the permanent dentition, with a mean of 115.09°, when compared to the control group, mean of 101.05°, demonstrating a lack of chin projection in relation to the maxilla. In the study by Ozawa (2012) the value obtained for the facial anterior third angle was also higher in individuals with isolated PRS, approximately 110.4°, when compared to the control group, 103.5°. This demonstrates, once again, that the facial features of retrognathism are maintained throughout development.

When the ratio between MAFH and LAFH is observed, the data indicate a higher ratio for individuals with isolated PRS, 1.07, when compared to the control group, 1.01, demonstrating a minor LAFH, possibly due to the mandibular deficiency present in these individuals. Ozawa (2012) obtained results compatible with those of the present study, but in individuals with isolated PRS still in mixed dentition phase.

The present study verified that from the 50 patients with isolated PRS evaluated, 11 of them received a rehabilitative treatment involving orthognathic surgery. This number should be higher if all the patients with indication for the orthognathic surgery have accepted the accomplishment of the same one, once 78% these individuals had a convexity facial angle greater than 10.75° (control group mean) and 52% showed a severe convexity above the maximum value of the control group. However it was found that some patients declined due to fear of orthognathic surgery, others due to acceptance of the current face or desire to simplify the treatment. There was an absence of studies in the literature pointing to the rate of occurrence of orthognathic surgery in patients with Pierre Robin sequence and studies in adulthood. Indeed, we don't have references related to the functional consequences (apnoeas) for these individuals in more advanced adulthood due to the maintenance of mandibular retrognathism. A previous study by Staudt, Gnoinski and Peltomaki (2013) concluded that the upper airway dimensions of individuals with PRS improved over time, with the exception of the oropharyngeal airway, but the

group mean remained at the lower levels of normality described in other studies. There is a need for research investigating the prevalence of obstructive sleep apnoea in adults with PRS.

CONCLUSIONS

It is concluded that individuals with isolated Pierre Robin sequence accompanied longitudinally demonstrated an increased facial convexity in all phases evaluated but that decreased with growth. The facial inferior third angle and the MAFH/LAFH ratio were also increased in the permanent dentition phase when compared to control group, suggesting a lack of chin projection in relation to the maxilla leading to a considerable number of orthognathic surgeries for the correction of maxillomandibular discrepancies. If the catch-up growth occurred at some stage in the growth of these individuals with isolated PRS even though it wasn't enough to match growth to of individuals without the anomaly, maintaining a large percentage of mandibular retrognathism.

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4 FINAL CONSIDERATIONS

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It is concluded that individuals with isolated Pierre Robin sequence accompanied longitudinally demonstrated an increased facial convexity in all phases evaluated but that decreased with growth. The facial inferior third angle and the MAFH/LAFH ratio were also increased in the permanent dentition phase when compared to control group, suggesting a lack of chin projection in relation to the maxilla leading to a considerable number of orthognathic surgeries for the correction of maxillomandibular discrepancies. If the catch-up growth occurred at some stage in the growth of these individuals with isolated PRS even though it wasn't enough to match growth to of individuals without the anomaly, maintaining a large percentage of mandibular retrognathism.

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ANNEXES

ANNEX A – Guidelines for Journal of Dental Research

The *Journal of Dental Research (JDR)* adheres to the CSE (8th Edition) editorial style. All submitted manuscripts should be formatted in this style

The *Journal of Dental Research (JDR)* is a peer-reviewed scientific journal dedicated to the dissemination of new knowledge and information on all science relevant to dentistry and to the oral cavity and associated structures in health and disease. The *Journal of Dental Research's* primary readership consists of oral, dental and craniofacial researchers, clinical scientists, hard-tissue scientists, dentists, dental educators, and oral and dental policy-makers. The *Journal* is published monthly, allowing for frequent dissemination of its leading content. The *Journal of Dental Research* also offers OnlineFirst, by which forthcoming articles are published online before they are scheduled to appear in print.

Authors of all types of articles should be aware of the following guidelines when submitting to JDR.

ONLINE SUBMISSION

Submissions to the *Journal of Dental Research* are only accepted for consideration via the SAGETrack online manuscript submission site at <http://mc.manuscriptcentral.com/jdr>. Authors who do not have an active account within the system are required to create a new account by clicking, "Create Account," on the log-in page. The system will prompt the authors through a step by step process to create their account. Once created authors can submit their manuscripts by entering their "Author Center" and clicking the button by "Click Here to Submit a New Manuscript."

If any difficulty is encountered at anytime during the account creation or submission process, authors are encouraged to contact the *Journal of Dental Research* Publications Coordinator, Kourtney Skinner, at kskinner@iadr.org

MANUSCRIPT REQUIREMENTS BY TYPE

The *Journal of Dental Research* accepts the following types of manuscripts for consideration:

Original Research Reports: These manuscripts are based on clinical, biological, and biomaterials and bioengineering subject matter. Manuscripts submitted as research reports have a limit of 3,200 words (including introduction, materials, methods results, discussion and; excluding abstracts , acknowledgments, figure legends and references); a total of 5 figures or tables; 40 references; and must contain a 300 word abstract.

Letters to the Editor*: Letters must include evidence to support a position about the scientific or editorial content of the *JDR*. Manuscripts submitted as a letter to editor have a limit of 250 words. No figures or tables are permitted. Letters on published articles must be submitted within 3 months of the article's print publication date.

Guest Editorials*: A clear and substantiated position on issues of interest to the readership community can be considered for this manuscript type. Guest Editorials are limited to 1,000 words. No figures or tables are permitted.

Discovery!: Essays that explore seminal events and creative advances in the development of dental research are considered for the "Discovery!" section of the

journal. Manuscripts submitted for "Discovery!" have a limit of 2,500 words and a total of 2 figures or tables. Manuscripts are to be submitted by invitation only.

Critical Reviews in Oral Biology & Medicine: These manuscripts should summarize information that is well known and emphasize recent developments over the last three years with a prominent focus on critical issues and concepts that add a sense of excitement to the topic being discussed. Manuscripts are to be submitted by invitation only. Authors interested in submitting to this section must contact the Editor of *Critical Reviews in Oral Biology & Medicine*, Dr. Dana Graves, at dgraves@iadr.org for submission approval and instructions. Manuscripts submitted as Critical Reviews have a limit of 4,000 words; a total of 6 figures or tables; 60 references; and must contain a 300 word abstract.

Additional Instructions for Critical Reviews:

- It is important to include several illustrations or diagrams to enhance clarity. Manuscripts that lack figures or diagrams typically receive a low priority score.
- Summarize important concepts in tables or flow charts or show critical data in the form of figures. NOTE: authors will need to obtain permission to reproduce a previously published figure or table.
- Due to the broad readership, abbreviations commonly recognized in one field may not be readily apparent to those in a different field. Keep abbreviation use to a minimum.
- The cover page, abstract, text, summary, figure legends, and tables should be combined into a single Word document. Figures should be submitted as a separate document.
- To view examples of recent Critical Reviews in the Journal, please click the following links: <http://jdr.iadrjournals.org/cgi/content/full/86/9/800> or <http://jdr.iadrjournals.org/cgi/content/full/85/7/584>

***Brief responses to Letters to the Editor or Guest Editorials will be solicited for concurrent publication.**

Clinical Reviews (formerly Concise Reviews): These manuscripts are generally systematic reviews of topics of high clinical relevance to oral, dental and craniofacial research. Meta-analyses should be considered only when sufficient numbers of studies are available. Manuscripts that include investigations of limited study quality of understudied areas are typically not acceptable as topics for a clinical review. Although some systematic reviews may be well done, those that receive highest scientific priority will only be considered given the very limited space allowed for these reviews in the journal.

Manuscripts submitted as Clinical Reviews have a strict limit of 4,000 words (including introduction, materials, methods results, discussion and; excluding abstracts , acknowledgments, figure legends and references); a total of 6 figures or tables; up to a maximum of 60 references; and must contain a 300 word abstract. Manuscripts above the 4,000 word/6 figure or table limit may use supplemental appendices for other supporting information that would be available online only.

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-It is important to include illustrations or diagrams to enhance clarity. Manuscripts that lack figures or diagrams typically receive a low priority score.

-Summarize important concepts in tables or flow charts or show critical data in the form of figures. NOTE: authors will need to obtain permission to reproduce a previously published figure or table.

-Due to the broad readership, abbreviations commonly recognized in one field may not be readily apparent to those in a different field. Keep abbreviation use to a minimum.

-The cover page, abstract, text, summary, figure legends, and table(s) should be combined into a single Word document. Figures should be submitted as a separate document.

-To view examples of recent Clinical Reviews in the Journal, please click the following links: <http://jdr.sagepub.com/content/90/3/304.full.pdf+html> or <http://jdr.sagepub.com/content/90/5/573.full.pdf+html>

All submissions must include a title page and be accompanied by a cover letter and list of suggested reviewers. Cover letters should certify the research is original, not under publication consideration elsewhere, and free of conflict of interest. Title pages should include: abstract word count, total word count (Abstract to Acknowledgments), total number of tables/figures, number of references, and a minimum of 6 keywords. Keywords cannot be words that have been included in the manuscript title. Key words should be selected from Medical Subject Headings (MeSH) to be used for indexing of articles. See: <http://www.nlm.nih.gov/mesh/MBrowser.html> for information on the selection of key words.

Please submit the names and email addresses of four preferred reviewers when prompted by the SAGETrack system. Preferred reviewers cannot be colleagues at the contributors' institution or present or former collaborators.

TITLES

Titles can consist of a maximum of 75 characters (including spaces). Titles do not normally include numbers, acronyms, abbreviations or punctuation. The title should include sufficient detail for indexing purposes but be general enough for readers outside the field to appreciate what the paper is about.

ACKNOWLEDGMENTS

Authors are required to report all sources of support for their project or study, including but not limited to: grant funds, commercial sources, funds from a contributors' institution. Do not refer to a study being "partially funded by the cited sources." Consultancies and funds paid directly to investigators must also be listed. Authors are required to specify during the submission process if their paper received funding from NIH, NIDCR, or any other NIH Institute or Center and provide the grant number. To comply with the NIH Public Access Mandate, for qualifying NIH-funded papers, the *Journal of Dental Research* will deposit the final, copyedited paper to PubMed Central on behalf of the authors.

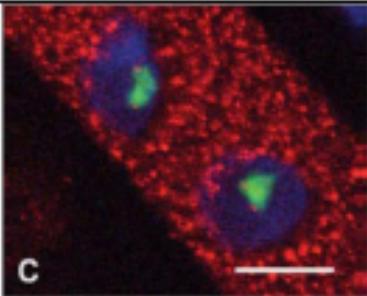
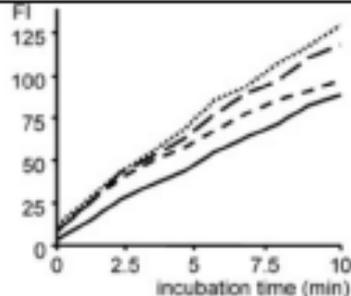
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These guidelines are intended to aid authors in providing figures that will reproduce well in both print and online media. Submitting digital image files that conform to these guidelines will prevent delays in the review and publication processes, and maximize the published quality of your figures.

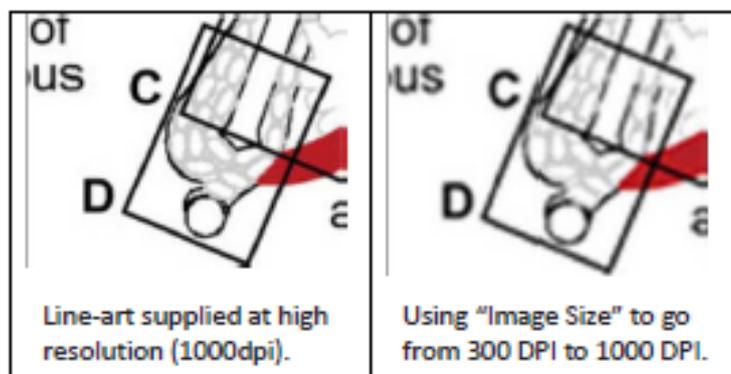
Figure Types

JDR figures can fall into one of three categories: Continuous-tone images, Line-art images, and Combination images. Each image type has specific requirements in terms of the resolution needed for publication and the file types best suited for the figure. See the following panels for examples and requirements.

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<p>Continuous-tone Image Minimum resolution: 300dpi. Preferred File Formats: TIFF, Bitmap.</p>	<p>Line-art Image Minimum resolution: 800dpi. Preferred File Formats: EPS, PowerPoint, Illustrator.</p>	<p>Combination Image Minimum resolution: 800dpi. Preferred File Formats: PDF, EPS, PowerPoint, Illustrator, InDesign.</p>																																																															

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REFERENCES

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Additional supporting data may be referenced as a supplemental appendix for publication online only. All supplemental appendix files must be submitted with the manuscript for review. Supplementary files will be subjected to peer-review alongside the article.

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The *Journal of Dental Research* requires authors to register their clinical trials in a public trials registry. Authors of manuscripts describing such studies are asked to submit the name of the registry and the study registration number prior to publication. Authors are asked to include their clinical trial registration number at the end of their abstracts. In accordance with the aforementioned "Uniform Requirements for Manuscripts Submitted to Biomedical Journals," clinical trials will only be considered for publication if they are registered.

INSTITUTIONAL REVIEW BOARD AND WRITTEN INFORMED CONSENT

For protocols involving the use of human subjects, authors should indicate in their Methods section that subjects' rights have been protected by an appropriate Institutional Review Board and written informed consent was granted from all subjects. When laboratory animals are used, indicate the level of institutional review and assurance that the protocol ensured humane practices.

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Prior to submission, the *Journal of Dental Research* asks that novel gene sequences be deposited in a public database and the accession number provided to the Journal. Authors may want to use the following Journal approved databases:

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MIAME: www.mged.org/Workgroups/MIAME/miame.html

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ANNEX B – Approval of the Ethics Committee



PARECER CONSUBSTANCIADO DO CEP

DADOS DA EMENDA

Título da Pesquisa: Comportamento longitudinal do crescimento mandibular e facial de pacientes com Sequência de Pierre Robin isolada

Pesquisador: Gabriela Leticia Clavasio Siqueira Machado

Área Temática:

Versão: 3

CAAE: 53785816.4.0000.5441

Instituição Proponente: Hospital de Reabilitação de Anomalias Craniofaciais da USP

Patrocinador Principal: Financiamento Próprio

DADOS DO PARECER

Número do Parecer: 1.869.005

Apresentação do Projeto:

O projeto de Dissertação, de autoria de Gabriela Leticia Clavasio Siqueira Machado sob orientação de Terumi Okada Ozawa retorna ao CEP comunicando algumas alterações. O estudo avaliará a evolução longitudinal do crescimento mandibular e facial de indivíduos com SRi. A amostra deste estudo será composta por 200 fotografias do perfil facial direito de 100 indivíduos. Essas fotografias são parte da documentação ortodôntica do Hospital de Reabilitação de Anomalias Craniofaciais e da Sociedade de Promoção Social do Fissurado Labio Palatal. A principal hipótese é de que os indivíduos com Sequência de Pierre Robin apresentem um crescimento facial e mandibular diferente de indivíduos que não apresentam a anomalia, com deficiência mandibular e um perfil convexo.

Objetivo da Pesquisa:

Avaliar a evolução longitudinal do crescimento mandibular e facial de indivíduos com SPRI.

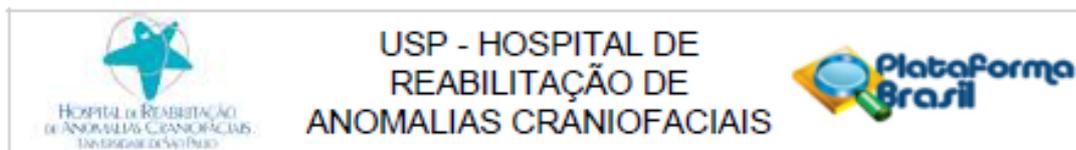
Avaliação dos Riscos e Benefícios:

Riscos: Não se aplica, por se tratar de uma pesquisa com fonte de dados secundários.

Benefícios (segunda as autoras):

Por se tratar de um estudo com fonte de dados secundários, os benefícios serão indiretos e não apenas

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Continuação do Parecer: 1.889.005

para os pacientes cujas fotografias serão utilizadas e sim para toda a comunidade, incluindo outros indivíduos que possuem a Sequência de Pierre Robin, uma vez que esta pesquisa visa avaliar longitudinalmente o crescimento dos mesmos e mais informações serão obtidas sobre este quadro. Isso será importante para avaliação de possíveis protocolos de tratamento para estes indivíduos, a partir da verificação da condição de crescimento dos mesmos (adequado ou não). Esta pesquisa também contribuirá de forma significativa com a literatura sobre esta temática, assim que sua publicação for realizada.

Comentários e Considerações sobre a Pesquisa:

As autoras informam as seguintes alterações:

Aumento da amostra de 30 para 50 pacientes em cada grupo (SPRi e controle)

Avaliação das fotografias de 2 para 3 fases (bebê, dentadura mista e permanente), formando 4 grupos (SRbebê, SRmista, SRpermanente e controle).

Alteração do teste estatístico (ANOVA)

Considerações sobre os Termos de apresentação obrigatória:

Os seguintes termos foram apresentados adequadamente:

Carta de encaminhamento dos pesquisadores aos CEP;

Formulário HRAC;

Folha de Rosto Plataforma Brasil;

Termo de Compromisso de Manuseio de Informações;

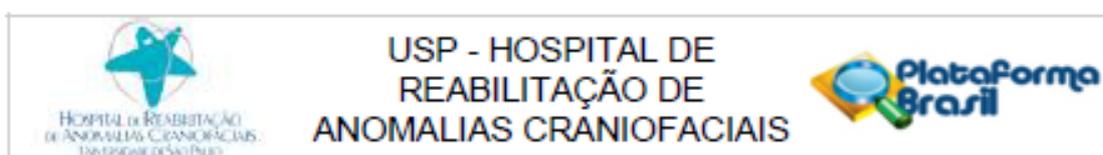
Formulário de Permissão para uso de Registros para Fins Científicos;

Termo de Compromisso de Tornar Públicos os Resultados da Pesquisa e Destinação de Materiais ou Dados Coletados;

Termo de Compromisso do Pesquisador Responsável.

Recomendações:

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Não há.

Conclusões ou Pendências e Lista de Inadequações:

As alterações comunicadas não ferem a ética, assim sugiro aprovação da emenda.

Considerações Finais a critério do CEP:

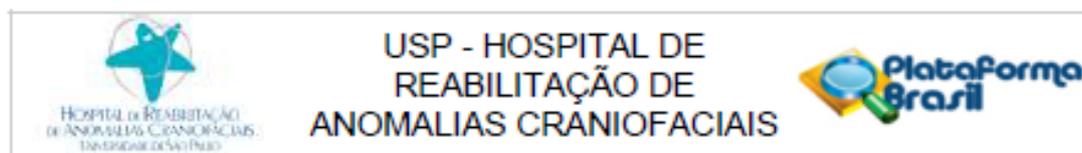
O pesquisador deve atentar que o projeto de pesquisa aprovado por este CEP refere-se ao protocolo submetido para avaliação. Portanto, conforme a Resolução CNS 466/12, o pesquisador é responsável por "desenvolver o projeto conforme delineado", se caso houver alterações nesse projeto, este CEP deverá ser comunicado em emenda via Plataforma Brasil, para nova avaliação.

Cabe ao pesquisador notificar via Plataforma Brasil o relatório final para avaliação. Os Termos de Consentimento Livre e Esclarecidos e/ou outros Termos obrigatórios assinados pelos participantes da pesquisa deverão ser entregues ao CEP. Os relatórios semestrais devem ser notificados quando solicitados no parecer.

Este parecer foi elaborado baseado nos documentos abaixo relacionados:

Tipo Documento	Arquivo	Postagem	Autor	Situação
Informações Básicas do Projeto	PB_INFORMAÇÕES_BÁSICAS_835908 E1.pdf	01/12/2016 11:05:55		Aceito
Projeto Detalhado / Brochura Investigador	projetcocomemenda.pdf	01/12/2016 11:01:57	Gabriela Leticia Clavasio Siqueira Machado	Aceito
Outros	carta.pdf	01/12/2016 11:00:07	Gabriela Leticia Clavasio Siqueira Machado	Aceito
Outros	CARTA_RESPOSTA.pdf	04/04/2016 11:04:44	Gabriela Leticia Clavasio Siqueira Machado	Aceito
Outros	Permissao.pdf	01/04/2016 14:13:55	Gabriela Leticia Clavasio Siqueira Machado	Aceito
Outros	Lista_checagem_Plataforma_Brasil_Protocolo 7 2016.pdf	03/03/2016 15:34:56	Rafael Mattos de Deus	Aceito
Outros	Gabriela_Termo_Tomar_Publico.pdf	16/02/2016 13:54:20	Gabriela Leticia Clavasio Siqueira Machado	Aceito
Outros	Gabriela_Termo_Manuseio_Informacoes.pdf	16/02/2016 13:53:59	Gabriela Leticia Clavasio Siqueira Machado	Aceito
Outros	Gabriela_Oficio.pdf	16/02/2016 13:53:00	Gabriela Leticia Clavasio Siqueira	Aceito

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Outros	Gabriela_Oficio.pdf	16/02/2016 13:53:00	Machado	Aceito
Outros	Gabriela_Formulario_HRAC.pdf	16/02/2016 13:52:14	Gabriela Leticia Clavísio Siqueira Machado	Aceito
Declaração de Pesquisadores	Gabriela_Termo_Compromisso.pdf	16/02/2016 13:49:29	Gabriela Leticia Clavísio Siqueira Machado	Aceito
Projeto Detalhado / Brochura Investigador	ProjetodePesquisa.pdf	04/02/2016 01:20:18	Gabriela Leticia Clavísio Siqueira Machado	Aceito
Folha de Rosto	Gabriela_Folha_Rosto.pdf	04/02/2016 01:14:41	Gabriela Leticia Clavísio Siqueira Machado	Aceito

Situação do Parecer:

Aprovado

Necessita Apreciação da CONEP:

Não

BAURU, 15 de Dezembro de 2016

Assinado por:
Silvia Maria Graziadei
(Coordenador)

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