

Universidade de São Paulo  
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Influência da disponibilidade hídrica sobre o  
comportamento fotossintético de *Portulaca oleracea* L.

Influence of water availability on the photosynthetic  
behavior of *Portulaca oleracea* L.

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Prof. Dr. Luciano Freschi  
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## RESUMO

BITTENCOURT, Priscila Pires. Influência da disponibilidade hídrica sobre o comportamento fotossintético de *Portulaca oleracea* L. 2018. 53f. Dissertação (Mestrado em Ciências – Área Botânica) – Instituto de Biociências, Universidade de São Paulo, São Paulo, 2018.

O metabolismo ácido das crassuláceas (CAM) e a via C<sub>4</sub> são adaptações fotossintéticas que melhoram significativamente a eficiência no uso da água (WUE). Esses dois mecanismos concentradores de CO<sub>2</sub> (CCMs) compartilham semelhanças, incluindo a pré-fixação de CO<sub>2</sub> na forma de ácidos orgânicos através da atividade da enzima fosfoenolpiruvato carboxilase (PEPC) e a subsequente descarboxilação desses ácidos próximos ao sítio ativo da Rubisco. A ocorrência simultânea de ambos os CCMs no mesmo tecido é vista como bioquimicamente conflitante; no entanto, a existência de espécies de *Portulaca* capazes de alternar entre as vias C<sub>4</sub> e CAM numa mesma folha desafia essa potencial incompatibilidade. Ao monitorar as características anatômicas, os parâmetros fotossintéticos, o acúmulo noturno de ácidos e a abundância de transcritos de genes relacionados aos comportamentos C<sub>4</sub> e CAM, o presente estudo buscou caracterizar as mudanças induzidas pela seca na expressão do CAM em tecidos foliares e caulinares de *Portulaca oleracea*. Enquanto a ocorrência do CAM nas folhas parece ocorrer de modo totalmente facultativo, tanto fatores ontogenéticos quanto ambientais parecem controlar a expressão desse comportamento fotossintético nos caules. Os dados revelaram que, dependendo das condições ambientais e da idade das plantas, os caules de *P. oleracea* podem realizar C<sub>3</sub> ou CAM, mas não a fotossíntese C<sub>4</sub>. As análises de trocas gasosas e de fluorescência da clorofila *a* nas folhas das plantas submetidas à seca sugerem que a descarboxilação diurna dos ácidos orgânicos acumulados durante a noite forneceria CO<sub>2</sub> à Rubisco em níveis suficientes para manter a integridade e funcionamento do aparato fotossintetizante mesmo após exposição prolongada à seca. Em geral, nenhuma alteração anatômica marcante foi observada nas folhas ou caules durante a indução do CAM, sugerindo que as reprogramações da expressão gênica e do metabolismo respondem pela maior parte das mudanças associadas à transição de C<sub>4</sub> para CAM e de C<sub>3</sub> para CAM nas folhas e caules, respectivamente. Dados de expressão gênica também indicaram que a transição entre as vias C<sub>3</sub> e CAM nos caules requer alterações no perfil transcricional de um conjunto mais numeroso de genes relacionados aos CCMs do que a transição de C<sub>4</sub> para CAM nos tecidos foliares. Em conjunto, os dados obtidos revelam uma notável plasticidade fotossintética em *P. oleracea* e fornecem pistas importantes sobre os mecanismos responsáveis pela compatibilidade entre as vias C<sub>4</sub> e CAM nesta espécie vegetal.

**Palavras-Chave:** Eficiência no uso da água. Estresse hídrico. Fotossíntese. Metabolismo ácido das Crassuláceas. *Portulaca*.

## ABSTRACT

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BITTENCOURT, Priscila Pires. Influence of water availability on the photosynthetic behavior of *Portulaca oleracea* L. 2018. 53p. Thesis (Master in Science – Botany) – Bioscience Institute, University of Sao Paulo, Sao Paulo, 2018.

Crassulacean acid metabolism (CAM) and the C<sub>4</sub> pathway are photosynthetic adaptations that significantly improve plant water use efficiency (WUE). These two CO<sub>2</sub>-concentrating mechanisms (CCMs) share many similarities, including the pre-fixation of CO<sub>2</sub> as organic acids via phosphoenolpyruvate carboxylase (PEPC) and the subsequent decarboxylation of these acids near the active site of Rubisco. The simultaneous occurrence of both CCMs in the same tissues has long been regarded as biochemically conflicting; however, the existence of *Portulaca* species that can switch between C<sub>4</sub> and CAM pathways within a single leaf challenges this assumption. By monitoring anatomical traits, photosynthetic parameters, nocturnal acid accumulation and transcript abundance of C<sub>4</sub>- and CAM-related genes, this study aimed to characterize the drought-induced changes in CAM expression in both leaf and stem tissues of *Portulaca oleracea*. CAM was shown to be expressed in an entirely facultative fashion in leaves whereas both ontogenetic and environmental cues seem to control CAM induction in the stem tissues. Data revealed that depending on the environmental conditions and plant age, *P. oleracea* stems can perform either C<sub>3</sub> or CAM, but not C<sub>4</sub> photosynthesis. Gas exchange and chlorophyll *a* fluorescence analysis suggested that the daytime decarboxylation of the organic acids accumulated overnight in CAM-performing leaves supplied CO<sub>2</sub> to Rubisco behind closed stomata at sufficient levels to maintain the photosynthetic apparatus integrity and functioning even after prolonged drought exposure. Overall, no marked anatomical changes were observed in *P. oleracea* leaves or stems during the CAM induction, suggesting that gene expression and metabolism reprogramming may account for most of the C<sub>4</sub>-to-CAM and C<sub>3</sub>-to-CAM transition in leaves and stems, respectively. Gene expression data also indicated that the switch between C<sub>3</sub> and CAM pathways in the stems requires the transcriptional regulation of a more extensive set of CCM-related genes than the C<sub>4</sub>-to-CAM transition in the leaves. Altogether, our findings reveal a remarkable photosynthetic plasticity in *P. oleracea* and provide important clues about the mechanisms behind the compatibility between the C<sub>4</sub> and CAM pathways in this plant species.

**Key-words:** Water use efficiency. Drought stress. Photosynthesis. Crassulacean acid metabolism. *Portulaca*.

# 1. Introduction

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## Photosynthesis in plants

Photosynthesis is arguably one of the most important biochemical processes on the planet. It initiates in the thylakoid membrane of the chloroplasts with the conversion of light energy into chemical energy in the form of two energy-transporting molecules, ATP and NADPH. The energy stored in ATP and NADPH molecules is subsequently used in a series of reactions in the stroma known as the C<sub>3</sub> cycle, the Calvin-Benson cycle or the photosynthetic carbon reduction cycle (PCR cycle), which is responsible for the fixation and reduction of CO<sub>2</sub> into sugars. The cycle begins when CO<sub>2</sub> is combined with a five-carbon acceptor molecule, 1,5-ribulose biphosphate (RuBP), generating an unstable six-carbon molecule that splits into two molecules of a three-carbon compound, 3-phosphoglycerate (3-PGA). This reaction is catalyzed by RuBP carboxylase/oxygenase (Rubisco), a key enzyme abundantly found in all photosynthetic organisms. Subsequent reactions in the Calvin cycle lead to the conversion of 3-PGA into triose phosphate as well as the regeneration of RuBP (Whitney *et al.*, 2011; Raven and Beardall, 2016).

Importantly, Rubisco catalyzes either the carboxylation or oxygenation of RuBP depending upon the relative molecular concentration of carbon dioxide or oxygen near the enzyme active site. Under low CO<sub>2</sub> availability, Rubisco oxygenase activity is intensified resulting in the production of PGA and phosphoglycolate (PG), the latter being a toxic molecule capable of inhibiting photosynthesis. Whereas PGA can be recycled through the Calvin cycle, PG is converted to PGA via a coordinated series of chemical reactions in chloroplasts, peroxisomes and mitochondria (Bauwe *et al.*, 2010; Sage *et al.*, 2012). The formation and recycling of PG, known as photorespiration, can significantly limit carbon gain in plants performing C<sub>3</sub> photosynthesis, particularly under environmental conditions such as water deficit, when CO<sub>2</sub> concentration inside the leaves decline due to the reduced stomatal conductance. At current atmospheric levels of CO<sub>2</sub> and O<sub>2</sub>, it is estimated that photorespiration dissipates about 25% of the organic carbon initially fixed in C<sub>3</sub> plants (Peterhansel and Maurino, 2011). Therefore, photorespiration is interpreted as a wasteful process and is very likely a vestige of the high CO<sub>2</sub> and O<sub>2</sub> atmospheric concentrations (~1,000 ppm) under which the Rubisco-mediated CO<sub>2</sub> fixation initially evolved (~3.5 billion years ago) (Sage, 2004).

Over the last 40 million years ago (Mya) until the beginning of modern human era,

atmospheric levels of CO<sub>2</sub> have considerably declined (Zhang *et al.*, 2013) and this environmental change has been advocated as a major evolutive driver for terrestrial plants evolving innovative photosynthetic pathways capable of withstanding the atmospheric decline in CO<sub>2</sub> concentration. These adaptive traits are known as CO<sub>2</sub>-concentrating mechanisms (CCMs), and in land plants primarily comprise the C<sub>4</sub> and the Crassulacean acid metabolism (CAM). C<sub>4</sub> and CAM plants evolved from C<sub>3</sub> ancestors, recruiting existing genes involved in anaplerotic functions to play photosynthesis-related roles (Sage, 2002; Edwards and Ogburn, 2012). Both photosynthetic behaviors independently evolved several times, with CAM occurring first whereas C<sub>4</sub> probably evolved more recently (Silvera *et al.*, 2010; Edwards and Ogburn, 2012).

### **C<sub>4</sub> photosynthesis**

C<sub>4</sub> photosynthesis evolved independently more than 60 times in 19 different Angiosperm families (Sage *et al.*, 2011, 2014). The evolution of the C<sub>4</sub> metabolism involves a series of biochemical and metabolic modifications, including increased photosynthetic capacity, repositioning of the organelles, changes in enzymatic kinetics and regulatory properties, redistribution of enzymes within the tissues, among others (Sage *et al.*, 2011, 2012). Moreover, in the so-called dual-cell C<sub>4</sub> plants, the evolution of C<sub>4</sub> also involved relevant structural changes, including the development of an inner ring of bundle sheath cells (BSCs) around vascular tissues and an outer layer of mesophyll cells (MCs) in contact with the epidermis (*i.e.* Kranz anatomy) (Sage, 2004; Voznesenskaya *et al.*, 2010). However, relatively rare examples of single-cell C<sub>4</sub> species have also been found both in aquatic (e.g. *Hydrilla verticillata*, *Egeria densa*) and terrestrial environments (e.g. *Borszczowia aralocaspica*, *Bienertia cycloptera*), which are able to concentrate CO<sub>2</sub> at the active site of Rubisco due to a polarized distribution of the organelles within each photosynthetic cell (Sage, 2002; Voznesenskaya *et al.*, 2002; Edwards *et al.*, 2004).

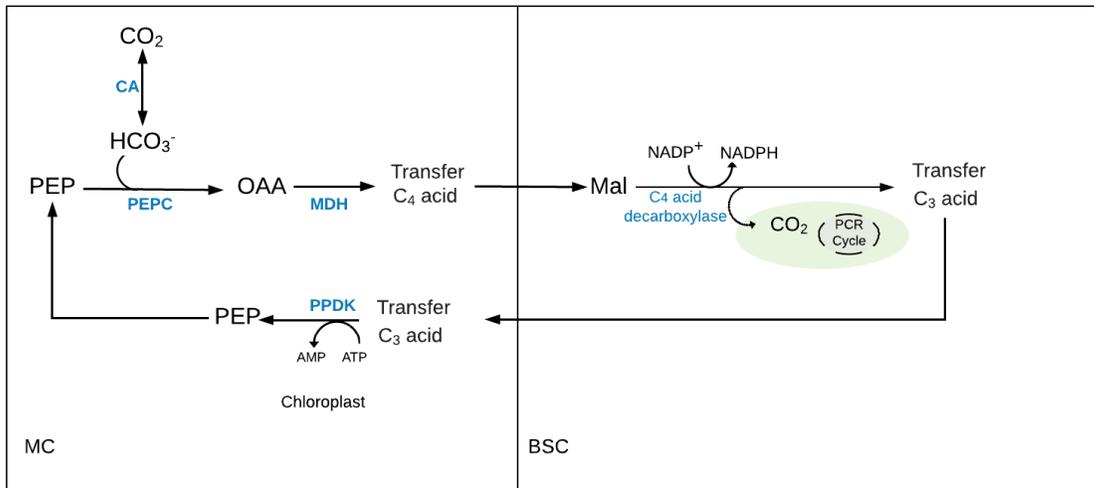
In dual-cell C<sub>4</sub> plants, CO<sub>2</sub> is converted to bicarbonate (HCO<sub>3</sub><sup>-</sup>) via carbonic anhydrase (CA) before being fixed by phosphoenolpyruvate carboxylase (PEPC) in the MCs. The carboxylation catalyzed by PEPC consumes phosphoenolpyruvate (PEP) and HCO<sub>3</sub><sup>-</sup> to form oxaloacetate (OAA) and inorganic phosphate (Pi), and this reaction is facilitated by the high affinity of PEPC for CO<sub>2</sub> (~60 times higher than that of Rubisco) (Edwards *et al.*, 2004). The OAA formed is converted to a four-carbon acid (malate or aspartate), and these acids are transported to the BSCs, where they are decarboxylated via NAD(P) malic enzyme (NAD-ME and/or NADP-ME), and the CO<sub>2</sub> released is refixed by Rubisco, initiating the Calvin cycle. The pyruvate (Pyr)

formed during the decarboxylation process is transported to the chloroplast of MCs, where it becomes the substrate for the enzyme pyruvate orthophosphate dikinase (PPDK) to regenerate PEP, restarting the C<sub>4</sub> cycle (Fig. 1) (Voznesenskaya *et al.*, 2002; Sage, 2004).

This spatial separation between the sites of CO<sub>2</sub> pre-fixation via PEPC and the CO<sub>2</sub> assimilation site via Rubisco provided by the Kranz anatomy results in a higher concentration of CO<sub>2</sub> near the active site of Rubisco, thereby minimizing the occurrence of photorespiration and facilitating high rates of atmospheric CO<sub>2</sub> fixation in hot and moderately dry environmental conditions (Kraybill and Martin, 1996; Kanai and Edwards, 1999). In agreement, C<sub>4</sub> plants are predominantly found in tropical, subtropical and hot temperate regions (Edwards *et al.*, 2010).

In line with the multiple evolutive origins of C<sub>4</sub>, considerable structural, metabolic and physiological diversity can be observed among C<sub>4</sub> plants. About 22 types of Kranz anatomy variants have already been described (Freitag and Stichler, 2000; Sage *et al.*, 2011; Kadereit *et al.*, 2013; Voznesenskaya *et al.*, 2017); the atriplicoid-type anatomy, which exhibits a complete sheath around the bundles, being the most commonly found (Sage *et al.*, 2011).

In terms of decarboxylation system, two major C<sub>4</sub> types have been described: the NADP-ME and the NAD-ME types. In the NADP-ME type, the OAA produced in the MCs is reduced to malate and transported to BSC chloroplasts, where the decarboxylation takes place releasing pyruvate. NADP-ME is the primary decarboxylation system in about 43 C<sub>4</sub> lineages, including all major high-productive monocot crops such as maize (*Zea mays*), sugarcane (*Saccharum* spp.) and sorghum (*Sorghum bicolor*) (Sage, 2004; Muhaidat *et al.*, 2007). Conversely, in the NAD-ME type, most OAA is transaminated to aspartate in the cytoplasm and transported to BSC mitochondria, where the decarboxylation takes place releasing pyruvate, which in turn is transaminated to alanine (Kanai R. and Edwards, 1999; Furbank, 2011). Species from 20 lineages, mostly eudicots, utilizes NAD-ME as the major decarboxylation system, including *Cleome* species (Brautigam *et al.*, 2011), *Amaranthus hypochondriacum* (Long *et al.*, 1994), among others. A third decarboxylation enzyme, the phosphoenolpyruvate carboxykinase (PEPCK), is usually described as playing an accessory role rather than being the exclusive decarboxylation system in C<sub>4</sub> plants, therefore coexisting with either NADP-ME or NAD-ME enzymes (Muhaidat *et al.*, 2007; Bräutigam *et al.*, 2014; Wang *et al.*, 2014).



**Figure 1: A simplified view of the C<sub>4</sub> pathway.** In mesophyll cell (MC), CO<sub>2</sub> is converted to bicarbonate (HCO<sub>3</sub><sup>-</sup>) by carbonic anhydrase (CA). HCO<sub>3</sub><sup>-</sup> is then combined to phosphoenolpyruvate (PEP) by PEP carboxylase (PEPC) to form oxaloacetate (OAA), which is converted into another 4-C organic acid (malate or aspartate) that is shuttled to bundle sheath cells (BSC). Decarboxylation of the 4-C organic acid in the BSC releases CO<sub>2</sub> that initiates the photosynthetic carbon reduction cycle (PCR cycle). The 3-C organic acid released from the decarboxylation is transferred to the MCs, where it is phosphorylated to PEP by pyruvate phosphate dikinase (PPDK).

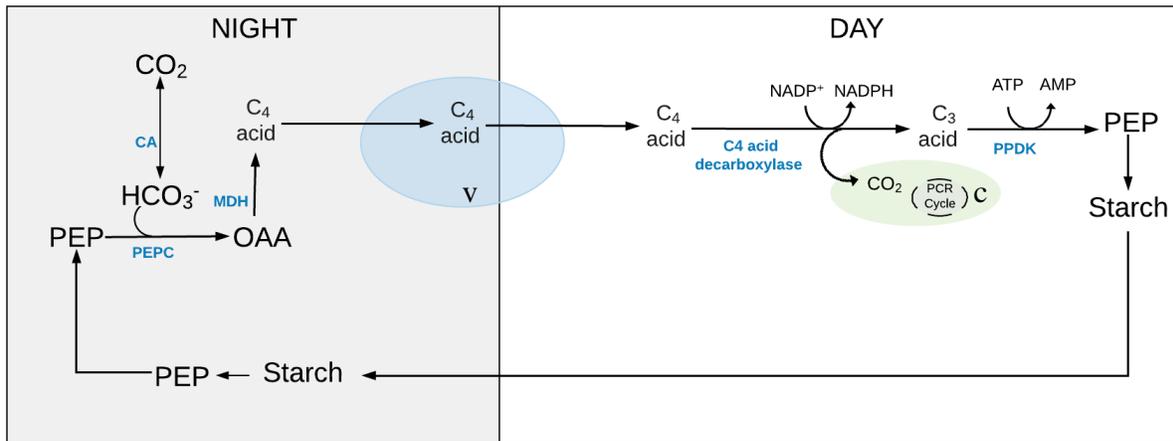
Comparative studies performed in C<sub>3</sub> and C<sub>4</sub> species of *Flaveria* or *Cleome* revealed that virtually all enzymes required for the C<sub>4</sub> pathway are present in C<sub>3</sub> plants (Brautigam *et al.*, 2011). Therefore, increased attention has been devoted to understanding how these genes were recruited as such knowledge may significantly facilitate the current endeavors of engineering C<sub>4</sub> into C<sub>3</sub> species (Aubry *et al.*, 2011). Besides possessing both C<sub>3</sub> and C<sub>4</sub> species, the genus *Flaveria* also comprises some C<sub>3</sub>-C<sub>4</sub> intermediate species, which have also been intensively studied as a means to develop hypotheses about the evolution and functioning of C<sub>4</sub> photosynthesis (McKown *et al.*, 2005; Vogan *et al.*, 2007).

### Crassulacean acid metabolism (CAM)

CAM photosynthesis is particularly frequent among vascular plants inhabiting arid and semiarid environments, being found in approximately 16,800 species of 343 genera in 34 families, including angiosperms (monocotyledons and eudicots) as well as gymnosperms (Winter and Holtum, 2002; Silvera *et al.*, 2010). Several commercially important species, such as pineapple (*Ananas comosus*), agaves (*Agave* spp.), cactus (Cactaceae), and orchids (Orchidaceae), perform CAM photosynthesis and are recognized by their high water use efficiency (WUE) and remarkable

capacity to grow under relatively dry conditions (Cushman, 2001).

In CAM plants, stomata are typically open during the night, when CO<sub>2</sub> pre-fixation via PEPC generates OAA, which is converted to malate by cytosolic malate dehydrogenase (MDH) and subsequently accumulated overnight in the vacuole. During the day, malate is transported out of the vacuoles, where it is decarboxylated by a cytosolic NADP-ME or mitochondrial NAD-ME, producing CO<sub>2</sub> for subsequent fixation via Rubisco behind closed stomata (Cushman and Borland, 2002; Lüttge, 2004) (Fig. 2). Since the atmospheric CO<sub>2</sub> uptake in CAM plants usually takes place in moments of lower evaporative demand (i.e., nighttime, dawn and dusk), fewer water molecules are lost through transpiration per carbon molecule fixed, thus providing significantly higher WUE values than C<sub>4</sub>, and particularly C<sub>3</sub> plants (Osborne and Sack, 2012).



**Figure 2: A simplified view of the CAM pathway.** During the night, CO<sub>2</sub> is captured as bicarbonate (HCO<sub>3</sub><sup>-</sup>) by phosphoenolpyruvate carboxylase (PEPC) originating a C<sub>4</sub> acid (usually malate), which is accumulated overnight in the vacuole. During daytime, the C<sub>4</sub> acid leaves the vacuoles and is decarboxylated, releasing CO<sub>2</sub> to initiate the photosynthetic carbon reduction cycle (PCR cycle) in the chloroplast. The pyruvate (Pyr) released at the decarboxylation step is converted to triose phosphate and subsequently into starch. During the night, starch degradation contributes to the formation of PEP via pyruvate phosphate dikinase (PPDK) to reinitiate the CAM cycle. V, vacuole; C, chloroplast.

CAM photosynthesis may vary depending on the species and environmental conditions, operating in different modes according to the stomatal behavior and acid accumulation patterns (Cushman, 2001). In most CAM plants, stomata are open at night and closed during the day, and large amounts of organic acids are accumulated and consumed during the night and daytime, respectively. Representatives of this classic mode of CAM functioning include numerous species

belonging to Crassulaceae (e.g., *Kalanchoë daigremontiana*), Cactaceae (e.g., *Opuntia basilaris* and *Opuntia ficus-indica*), Bromeliaceae (e.g., *Bromelia humilis*) and Orchidaceae (e.g., *Schomburgkia humboldtiana*) families (Winter and Smith, 1996; Lüttge, 2004; Winter *et al.*, 2008).

In contrast, the so-called CAM cycling species exhibit daytime stomata opening as observed in C<sub>3</sub> plants while showing nocturnal acid accumulation derived from the refixation of nocturnally respired CO<sub>2</sub> (Herrera, 2009). When challenged with extreme drought conditions, CAM plants can also engage in a ‘emergency mode’ known as CAM idling, in which stomata remain closed 24 hours per day but a small diurnal fluctuation in organic acids remains due to respiratory CO<sub>2</sub> refixation (Lüttge, 2006; Freschi *et al.*, 2010). CAM idling mode does not allow additional carbon gain and consequently cannot support plant growth; however, it provides an efficient mechanism to recycle respiratory CO<sub>2</sub> behind closed stomata, thus minimizing water loss and potentially favoring a faster recovery in atmospheric CO<sub>2</sub> capture when environmental conditions become suitable to plant growth (Kraybill and Martin, 1996; Herrera, 2009).

Moreover, significant plasticity in CAM expression can be observed both within and between species. In recent years, CAM plasticity has been interpreted as a continuum trait, with constitutive plants exhibiting the lowest plasticity in CAM expression and facultative species at the opposite end. In adult constitutive plants, CAM photosynthesis is expressed even when environmental conditions are conducive to daytime CO<sub>2</sub> uptake. In contrast, the CAM behavior is an option rather than the mandatory carbon fixation mechanism in facultative species (Silvera *et al.*, 2010; Winter and Holtum, 2014). In facultative CAM plants, mature tissues can freely cycle between CAM and C<sub>3</sub> (or C<sub>4</sub>) photosynthesis depending on the environmental conditions, frequently being promoted by drought and/or salinity (Winter and Holtum, 2014).

The induction of CAM in facultative species is one of the most complex metabolic adaptative responses against drought, involving extensive changes in gene expression, metabolic fluxes and stomatal behavior (Cushman and Borland, 2002). Recent studies have increasingly described facultative CAM species in plant families as diverse as Aizoaceae (*Mesembryanthemum crystallinum*), Bromeliaceae (*Guzmania monostachia*), Clusiaceae (*Clusia cylindrica*, *C. minor*, and *C. pratensis*), Talinaceae (*Talinum triangulare*), Piperaceae (*Peperomia scandens*), Portulacaceae (*Portulaca oleracea*), among others (Medina *et al.*, 1977; Koch and Kennedy, 1982; Herrera *et al.*, 1991; Holtum *et al.*, 2004; Winter *et al.*, 2008, 2009; Winter and Holtum, 2014).

Facultative CAM has been interpreted as particularly advantageous for annual species of semi-arid regions and/or environments characterized by a wet season followed by a period of drought (Lüttge, 2004). Under wet conditions, these plants can perform C<sub>3</sub> (or C<sub>4</sub>) photosynthesis favoring fast and efficient growth, whereas a slow growth mode associated with reduced water loss can be maintained through CAM under water limiting conditions (Holtum *et al.*, 2018).

C<sub>3</sub>-CAM facultative plants have received considerably more attention than C<sub>4</sub>-CAM species most likely due to the more limited occurrence of the later. Currently, only six species have been shown to perform facultative C<sub>4</sub>-CAM photosynthesis, all of them belonging to the genus *Portulaca* (*P. oleracea*, *P. grandiflora*, *P. australis*, *P. pilosa*, *P. cyclophylla* and *P. digyna*) (Koch and Kennedy, 1980, 1982; Ku *et al.*, 1981; Guralnick and Jackson, 2001; Guralnick *et al.*, 2002; Holtum *et al.*, 2017). More extensive screening is still required to evaluate whether other C<sub>4</sub> species may also be able to display CAM-like traits when challenged with limitations in the water supply or other environmental stresses.

#### **C<sub>4</sub> and CAM: similarities and differences**

C<sub>4</sub> and CAM behaviors share many similarities, including the pre-fixation of CO<sub>2</sub> as organic acids via PEPC and the subsequent decarboxylation of these acids near the active site of Rubisco. However, whereas the PEPC- and Rubisco-mediated carboxylation in C<sub>4</sub> plants take place in different compartments (MCs and BSCs, respectively), the separation between both carboxylation systems in CAM plants is rather temporal (i.e., PEPC at night and Rubisco during the day, both in the MCs). In both cases, an efficient accumulation of internal CO<sub>2</sub> is achieved, as CAM plants concentrate CO<sub>2</sub> in the dark in the form of acids whereas C<sub>4</sub> species concentrate CO<sub>2</sub> in the BSCs during the day.

Although the C<sub>4</sub> and CAM behaviors share similarities, the simultaneous occurrence of both CCMs in the same tissue has been regarded by some authors as biochemically conflicting (Sage, 2002). As described by Sage (2002), these two syndromes should be considered as incompatible because they present a differential regulation in the enzymatic activation of carboxylation and decarboxylation steps; metabolic transport dynamics and different structural arrangements for each syndrome (Kranz anatomy in C<sub>4</sub> and succulence in CAM). However, the existence of *Portulaca* species that can perform both C<sub>4</sub> and CAM photosynthesis within a single leaf indicates that both these photosynthetic adaptations can operate in the same tissues, probably

depending on additional layers of control of enzymes as well as transport and regulatory proteins. Therefore, investigating these species may provide important clues on how both syndromes can co-exist within a single individual, potentially opening up a window of opportunities for the future engineering of crops constitutive or facultatively expressing both CCMs.

### ***Portulaca oleracea* as a model system for investigating C<sub>4</sub>/CAM compatibility**

In the order Caryophyllales, eight families present C<sub>4</sub> photosynthesis (*i.e.* Aizoaceae, Amaranthaceae, Caryophyllaceae, Gisekiaceae, Molluginaceae, Nyctaginaceae, Polygonaceae, and Portulacaceae) and six families present CAM (*i.e.* Anacampserotaceae, Cactaceae, Didiereaceae, Montiaceae, Portulacaceae and Talinaceae) (Sage, 2004; Kluge and Ting, 1978; Richards, 1915; Winter, 1979; von Willert *et al.*, 1992; Guralnick and Jackson, 2001; Koch and Kennedy, 1980; Martin and Zee, 1983). Both facultative and constitutive CAM have been reported in Montiaceae and Talinaceae (Herrera *et al.*, 1991; Winter and Holtum, 2011) whereas Portulacaceae contains the only known C<sub>4</sub>-CAM species, all of which expressing CAM in a facultative fashion (Holtum *et al.*, 2017; Winter and Holtum, 2017)

Among the C<sub>4</sub>-CAM *Portulaca* species, *P. oleracea* (commonly known as purslane) has received relatively more attention, showing drought-induced CAM expression in both leaves and stems (Mazen, 1996; Guralnick and Jackson, 2001; Guralnick *et al.*, 2002; Christin *et al.*, 2014; Winter and Holtum, 2014). This fast-growing herbaceous weed displays small succulent leaves with atriplicoid-type Kranz anatomy and large water storage cells (WSCs), exhibiting NAD-ME-type C<sub>4</sub> photosynthesis under well-watered conditions (Lara *et al.*, 2003, 2004; Voznesenskaya *et al.*, 2010; Ocampo *et al.*, 2013). Curiously, *P. oleracea* stems also present photosynthetically active cells, but lack Kranz anatomy traits, very likely performing C<sub>3</sub> photosynthesis under well-watered conditions (Kraybill and Martin, 1996; Voznesenskaya *et al.*, 2010). Therefore, this species seems to perform facultative C<sub>4</sub>-CAM in the leaves and C<sub>3</sub>-CAM in the stems, thereby representing a particularly interesting system for investigating the genetic, biochemical and physiological mechanisms behind such remarkable photosynthetic plasticity (Kraybill and Martin, 1996; Mazen, 1996; Lara *et al.*, 2003, 2004).

In one of the first studies on the occurrence of CAM behavior in this species, Kraybill and Martin (1996) found a significant nocturnal accumulation of titratable acidity under water deficit conditions. Distinct kinetic properties of PEPC in C<sub>4</sub>- and CAM-performing *P. oleracea* were later

demonstrated, suggesting that specific PEPC isoforms would be expressed in each of these two photosynthetic behaviors (Mazen, 1996; 2000) In line with this, Christin *et al.* (2014) identified two *PPC* genes differentially expressed in well-watered and droughted *P. oleracea* plants.

Immunolocalization data obtained by using an antibody against maize PEPC, suggested that PEPC may be expressed both in MCs and WSCs of both well-watered and droughted plants (Guralnick *et al.*, 2002; Lara *et al.*, 2003, 2004). Moreover, Rubisco was shown to remain in the BSCs even after the drought-induced C<sub>4</sub>-to-CAM transition, thereby implicating that an alternative model of CAM functioning may take place in *Portulaca* leaves. Based on this set of evidence, two distinct hypothetical models have been proposed for the C<sub>4</sub>-CAM system in *Portulaca* leaves: (1) during CAM induction, acids accumulated overnight in both MCs and WSCs are shuttled to the BSCs for decarboxylation, which would characterized an alternative, two-cell CAM system, herein addressed as a ‘C<sub>4</sub>-CAM hybrid’ system (Lara *et al.*, 2003, 2004); and, (2) CAM could occur independently of the C<sub>4</sub> pathway, operating as a typical single-cell CAM system in MCs and WSCs relaying on very low Rubisco activity levels in those cells (Guralnick *et al.*, 2002). It is important to highlight that both these hypotheses still lack conclusive evidence; therefore, additional information is needed to clarify how a ‘C<sub>4</sub>-CAM hybrid’ system can work in plants. Thus, *P. oleracea* offers us an opportunity to investigate in greater depth the biochemical, physiological, genetic and regulatory aspect associated with the occurrence of a ‘C<sub>4</sub>-CAM hybrid’ system in plants, potentially enabling the future application of this knowledge for the genetic improvement of species of agronomic interest.

## 2. Objectives

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### **General Objective**

The overall aim of this Dissertation was to characterize the photosynthetic plasticity in *Portulaca oleracea* in response to changes in water availability.

### **Specific Objectives**

To get insight into the general objective of this Dissertation, the following specific goals were set:

- 1) By monitoring photosynthetic parameters, chlorophyll *a* fluorescence, nocturnal acid accumulation and transcript abundance of C<sub>4</sub>- and CAM-related genes, characterize the drought-induced changes in CAM expression in both leaf and stem tissues.
- 2) Investigate whether the drought-induced changes in CAM expression are associated with anatomical changes in both leaves and stems.

### 3. Material and methods

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#### Plant material and experimental conditions

Plants of *Portulaca oleracea* subsp. *gigantea* were grown from seeds in 25-mL pots containing a 1:1 mixture of commercial substrate (Plantmax HT, Eucatex, São Paulo, Brazil) and vermiculite supplemented with 1 g L<sup>-1</sup> of NPK 10:10:10, 4 g L<sup>-1</sup> of dolomitic limestone (MgCO<sub>3</sub> + CaCO<sub>3</sub>) and 2 g L<sup>-1</sup> thermophosphate (Yoorin Master<sup>®</sup>, Yoorin Fertilizantes, Brazil). Plants were maintained in a growth chamber at a photosynthetic flux density (PFD) of about 350 μmol m<sup>-2</sup> s<sup>-1</sup>, 12h photoperiod, temperature of 27 ± 1°C day and 22 ± 1°C night and air humidity of 60% day and 70% night. Plants were watered daily before the start of the treatments.

After 20 days of initial growth, plants were separated into three experimental groups, each one submitted to a different watering regime as follows: (1) plants watered daily until field capacity (well-watered), (2) plants subjected to water withholding for 10 days followed by a period of 24 days under soil field capacity below 20% (droughted), (3) plants irrigated to field capacity after 34 days of drought (rewatered). Leaf and stem samples were harvested after 0 (D0), 10 (D1), 22 (D2) and 34 (D3) days of drought and after 4 (R1) and 8 (R2) days of rewatering treatments. All samples were harvested 1 h after the onset of illumination (dawn samples) and 1h before the end of the light period (dusk samples) in four biological replicates (each replicate was composed of a pool of whole stems or all full-expanded and non-senescent leaves of at least three plants).

#### Soil volumetric water content (SVWC) and tissue osmotic potential ( $\Psi_s$ )

Soil volumetric water content (SVWC) was continuously monitored (every 30 minutes) using Decagon soil moisture meter EC-5 coupled to the Em5b datalogger (Decagon Devices, Pullman, WA, USA). Osmotic potential ( $\Psi_s$ ) of leaf and stem samples harvested at dawn (1h after the onset of illumination) were determined as described in Vieira *et al.* (2017). By using 20-mL disposable syringes, leaf and stem fragments were pressed to extract the sap, which was measured using a vapor pressure osmometer model VAPRO 5520 (Wescor, Logan, UT, USA). Osmolarity was measured in mmol kg<sup>-1</sup> and transformed into MPa, using the Van't Hoff equation (Santa-Cruz

*et al.*, 2002).

### **Leaf gas exchange and chlorophyll *a* fluorescence**

Net CO<sub>2</sub> uptake ( $A$ ,  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ), stomatal conductance ( $g_s$ ,  $\text{mol H}_2\text{O m}^{-2} \text{ s}^{-1}$ ), transpiration rate ( $E$ ,  $\text{mmol m}^{-2} \text{ s}^{-1}$ ) and the intercellular CO<sub>2</sub> concentration ( $C_i$ ,  $\mu\text{mol CO}_2 \text{ mol}^{-1}$ ) were determined in the third fully expanded leaf (counting from the top) using with an infrared gas analyzer (Li 6400XT, Li-Color, Lincoln, USA). Measurements were performed between the 2<sup>nd</sup> and the 5<sup>th</sup> h after the onset of illumination, under controlled conditions of CO<sub>2</sub> concentration (380 ppm CO<sub>2</sub>), photon flux density of  $250 \mu\text{mol m}^{-2} \text{ s}^{-1}$  and chamber temperature of 25°C. Intrinsic water use efficiency ( $iWUE$ ) was estimated as  $A/g_s$ .

Chlorophyll *a* fluorescence was measured using a portable Pulse Amplitude Modulation fluorometer (PAM-2500, Walz, Germany). For the values of minimal ( $F_o$ ) and maximal ( $F_m$ ) fluorescence, leaves were dark-adapted for 30 min before a saturating pulse of light ( $3000 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$  for 1s). Dark-adapted PSII maximum quantum efficiency ( $F_v/F_m$ ), effective quantum yield of the linear electron flow [ $Y(\text{II})$ ]; electron transport rate (ETR) were estimated according to Maxwell and Johnson (2000).

### **Titrateable Acidity**

For titrateable acidity analysis, frozen leaf and stem samples (200 mg fresh weight - FW) were extracted in 1 mL 80 % (v/v) ethanol for 15 min at 80°C and the supernatants were recovered by centrifugation (5,000 g, 15 min). The pellets were re-extracted five times and all supernatants were combined before being titrated with 700  $\mu\text{M}$  NaOH to pH 7.0 using phenolphthalein as indicator.

### **RNA isolation and quantitative RT-PCR analysis**

Total RNA was extracted from ~100 mg FW of powdered leaf samples using ReliaPrep<sup>TM</sup> RNA Tissue Miniprep System (Promega) following the manufacturer's instructions for fibrous tissues. Complementary DNA (cDNA) was synthesized from 1  $\mu\text{g}$  of total RNA using random primers and the SuperScript IV One-Step RT-PCR System (Invitrogen, USA), as recommended by the manufacturer. qRT-PCR reactions were performed in a StepOnePlus PCR Real-Time thermocycler (Applied Biosystems) in a final volume of 10  $\mu\text{l}$  using 2X SYBR Green Master Mix

reagent (Thermo Fisher Scientific). Melting curves were checked for unspecific amplifications and primer dimerization. Absolute fluorescence data were analyzed using the LinRegPCR software package to obtain quantitation cycle (Cq) values and calculate primer efficiency. The relative gene expression was calculated applying the  $2^{-\Delta\Delta CT}$  method (Livak and Schmittgen, 2001). Transcript abundances were normalized against the geometric mean of two reference genes, *CLATHRIN* (*PoCLAT*) and *UBIQUITIN-TRANSFERASE* (*PoUBQ*). Primer sequences are listed in Table 1.

**Table 1: Primer sequences.**

<i>Gene</i>	<b>Primer sequences<sup>1</sup></b>
<i>PoUBQ</i> (constitutive)	F: 5'- GATGGAAGTCGGACAGTGA - 3'/ R: 5'- TGAAGCTGAGGGAAGTCG - 3'
<i>PoCLAT</i> (constitutive)	F: 5'- GTAGTTCTGGGTCTGGTG - 3'/ R: 5'- CGGCTTCTTCAATTAGGG - 3'
<i>PoCA1</i>	F: 5'- GAATTGGACACTCTCGCTG - 3'/ R: 5'- GGAAATCTAGGATGTGAGAGG - 3'
<i>PoCA3</i>	F: 5'- GTGCCACATCAACGGATT - 3'/ R: 5'- CTCACGAATGGGTATGTCAA - 3'
<i>PoCA4</i>	F: 5'- CAAGGCTAAGTACGTTGGAG - 3'/ R: 5'- GCTCGCCAAAACCTTGT - 3'
<i>PoPPC1</i>	F: 5'- GTTCGTCGGTCTTTGCTTCAG - 3'/ R: 5'- TCATCCTGTGGAGTTGGCTG - 3'
<i>PoPPC2</i>	F: 5'- CTCAGTGTCTTCTCCTAGAGG - 3'/ R: 5'- GCGTCCTGCTTGTGAATGC - 3'
<i>PoMDH1</i>	F: 5'- GGAGAATTGTGATGCCAGC - 3'/ R: 5'- ATTCCTCCCCATCAATCAAC - 3'
<i>PoMDH3</i>	F: 5'- ATCGTGAAGAACCTGGTC - 3'/ R: 5'- GTCCACGTCAATCAGCTT - 3'
<i>PoMDH4</i>	F: 5'- GCTGAGGAGGAGACGAAA - 3'/ R: 5'- ATGCTGACTTCCCTCAACC - 3'
<i>PoMDH5</i>	F: 5'- TGACGCATGGCTAAACAG - 3'/ R: 5'- CGGCAGGAACGTTGTATG - 3'
<i>PoNAD-ME1</i>	F: 5'- AGAGGTATTGAGGGCGATG - 3'/ R: 5'- AGCTCCCAAACCAATCCC - 3'
<i>PoNAD-ME3</i>	F: 5'- CAGAACTCCCGAAAGACAG - 3'/ R: 5'- GCCCATCTTTTGTGCT - 3'
<i>PoNADP-ME1</i>	F: 5'- GAACAAACAACGAGGCAC - 3'/ R: 5'- GGCTGTCCCCTGTATATCAT - 3'
<i>PoNADP-ME2</i>	F: 5'- GATGTTTACGGCGAGGAT - 3'/ R: 5'- AGCAATCACAGAGGGAAC - 3'
<i>PoPPDK1</i>	F: 5'- TACTGGAATCTTGACGGCTC - 3'/ R: 5'- CTAGATCGCCGCTAAGAG - 3'
<i>PoASPT1</i>	F: 5'- TGTTCTCGATTGTGTGCG - 3'/ R: 5'- TCCAGTACCAGAGAGAGCC - 3'
<i>PoASPT2</i>	F: 5'- GAATTTGGGTGTTGGTGC - 3'/ R: 5'- GATAAACATTGGACAGTGGT - 3'
<i>PoASPT3</i>	F: 5'- TTCTTGCGTGTCTGAAG - 3'/ R: 5'- TGAGGACGTATGGCTGAAG - 3'

<sup>1</sup>F: forward, R: reverse;

### Anatomical analyses

Leaf and stem samples were fixed in FAA (formalin, acid acetic and ethanol 50% 9:1:1) for 24h and stocked in ethanol 70% (v/v), dehydrated, embedded in historesin (Leica®) and serial sectioned at 12 µm thickness on a Leica RM2145 rotary microtome (Leica Microsystems, Wetzlar, Germany). Transverse sections of the midrib of leaf and stem were stained with toluidine blue solution and analyzed by light microscopy (Leica Microsystems, Wetzlar, Germany).

Subsequently, starch grains were detected by adding Lugol`s reagent to the sections. To determine chloroplast distribution within the tissues, transversal leaf and stem cryosections were prepared using Leica KS34 cryomicrotome (Leica Microsystems) immediately analyzed using a UV epifluorescence microscope (DMLB; Leica) equipped with a Leica H3 filter (excitement at 420 nm and emission at 495 nm).

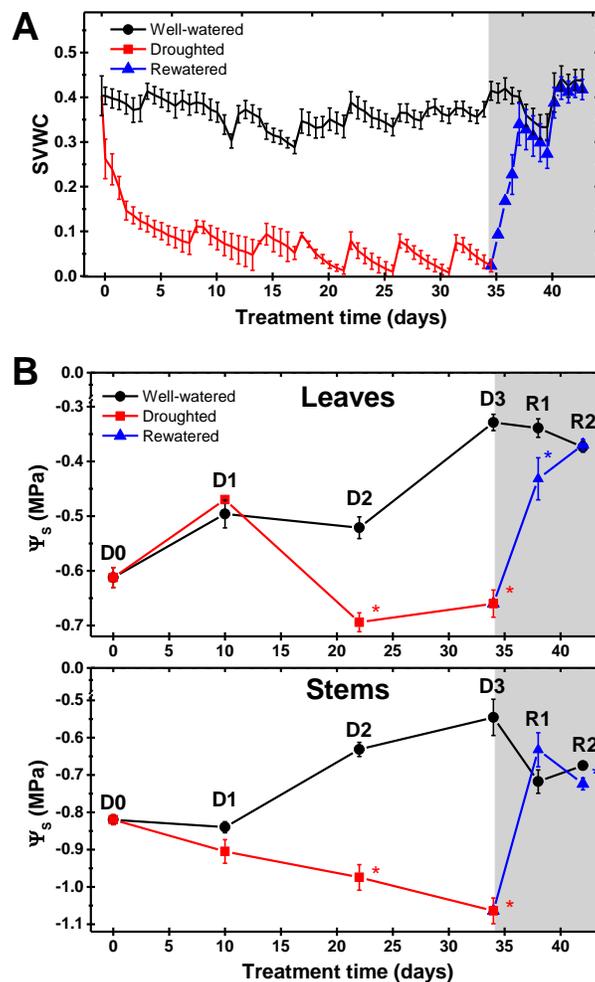
### **Statistical Analysis**

Statistical analyses were performed using the JMP software package (SAS System for Windows, release 5.0.1a). Comparisons with  $P \leq 0.05$  were considered statistically significant.

## 4. Results

### Soil humidity and plant water status

After 10 days of water withholding soil volumetric water content (SVWC) was reduced to less than 25% of field capacity (Fig. 3A). Subsequent periodic supply of small volumes of water to the droughted plants (~10 mL per pot) maintained SVWC within 0 and 25% of field capacity during the rest of the drought treatment. Within the first 24h of rewatering, SVWC returned to values similar to those detected in the soil of the control group (Fig. 3A).



**Figure 3. Soil humidity and plant water status.** (A) Soil volumetric water content (SVWC) was continuously measured during the treatments. (B) Osmotic potential ( $\Psi_s$ ) was measured in leaf and stem tissues of well-watered, droughted and rewatered plants. Gray area indicates the rewatering event. Data are means ( $\pm$ SE) of at least three replicates. In B, statistically significant differences compared with the well-watered sample were determined using Student's t-test: \* $P < 0.05$ .

Although SVWC rapidly declined within the first 10 days of water withholding (D1), no significant differences in leaf and stem osmotic potential ( $\Psi_s$ ) were observed between well-watered and droughted plants at this early stage of treatment (Fig. 3B). In contrast, significant reductions in both leaf and stem  $\Psi_s$  were detected later in the drought stress treatment (D2 and D3). Stem and leaf  $\Psi_s$  values rapidly increased upon rewatering (R1 and R2), achieving values similar to those observed in well-watered plants. An overall tendency of lower  $\Psi_s$  in the stem compared to the leaf tissues was observed regardless the watering treatment.

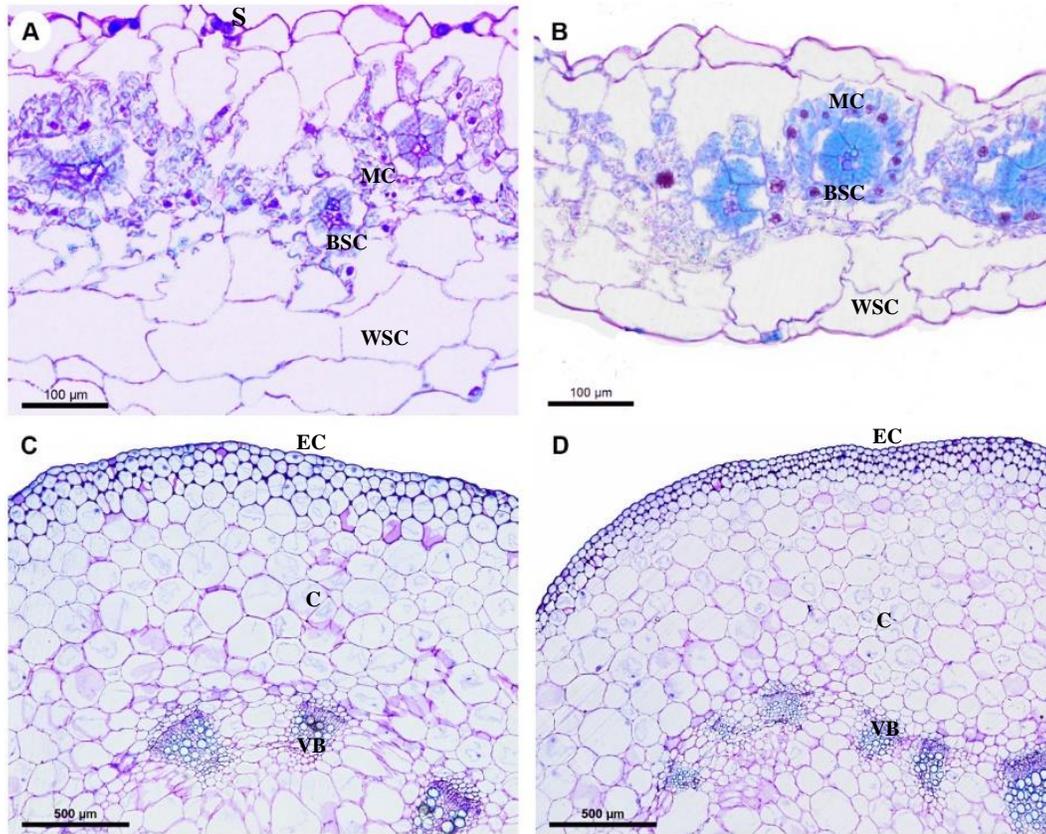
Drought treatment resulted in a significant reduction in plant growth, resulting in shorter and less branched plants than in the control group (Fig. 4). Plant growth was rapidly restored after rewatering (Fig. 4). In both well-watered and droughted plants, flowering started approximately 38 days after germination, corresponding to the D2 sampling point.



**Figure 4:** Visual phenotypes of well-watered, droughted and rewatered plants. Phenotypes of plants before the start of the drought treatment (D0), after 10, 22 and 34 days of drought treatment (D1, D2 and D3, respectively), and 4 and 8 days after rewatering (R1 and R2, respectively). In D1-D3, well-watered and droughted are shown on the left and the right, respectively. Bar = 07cm.

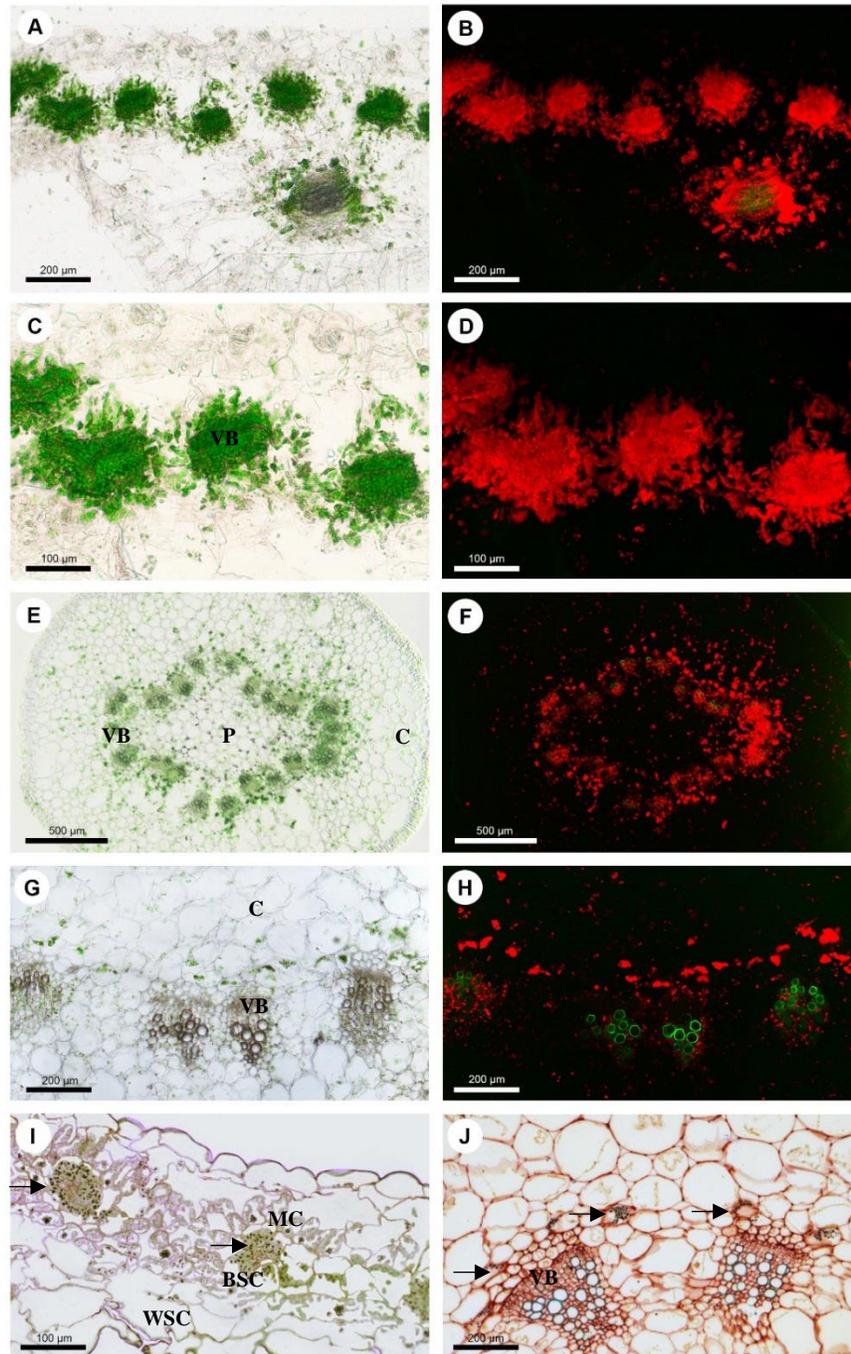
#### 4.2. Leaf and stem anatomical traits

Microscopy analysis revealed that leaf and stem anatomy were not significantly altered in droughted *P. oleracea* plants compared to the well-watered ones, except for the reduction in the volume of the water storage cells (WSCs) in the leaves of droughted plants (Fig. 5). Regardless of the watering regime, typical atriplicoid-type leaf anatomy was observed, displaying several Kranz units, each one surrounding an individual vascular bundle. Stems were largely constituted by parenchyma cells and did not present typical Kranz-type anatomy traits.



**Figure 5: Cross-sections of *P. oleracea* leaves and stems.** (A) Leaf section of well-watered plant. (B) Leaf section of droughted plant. (C) Stem section of well-watered plant. (D) Stem section of droughted plant. All samples were obtained from 54-day-old well-watered and droughted plants (D3 samples). EC, epidermal cell; BSC, bundle sheath cell; MC, mesophyll cell; WSC, water storage cell; S, stomata; C, cortex; VB, vascular bundle.

Bright field and fluorescence microscopy analysis revealed that chloroplasts are mainly located around the vascular bundles in both leaves and stems (Fig. 6A-G). In the leaves, these organelles were predominantly found the proximities of the bundles, throughout the mesophyll, forming multiple Kranz units. In the stem, chloroplasts form a well-delimited sheath in the inner cortex, also surrounding the vascular bundles ( Fig. 6A-G ). By revealing the distribution of the starch grains, Lugol staining confirmed the localization of the chloroplasts around the vascular bundles in both leaves and stems (Fig. 6I-J).

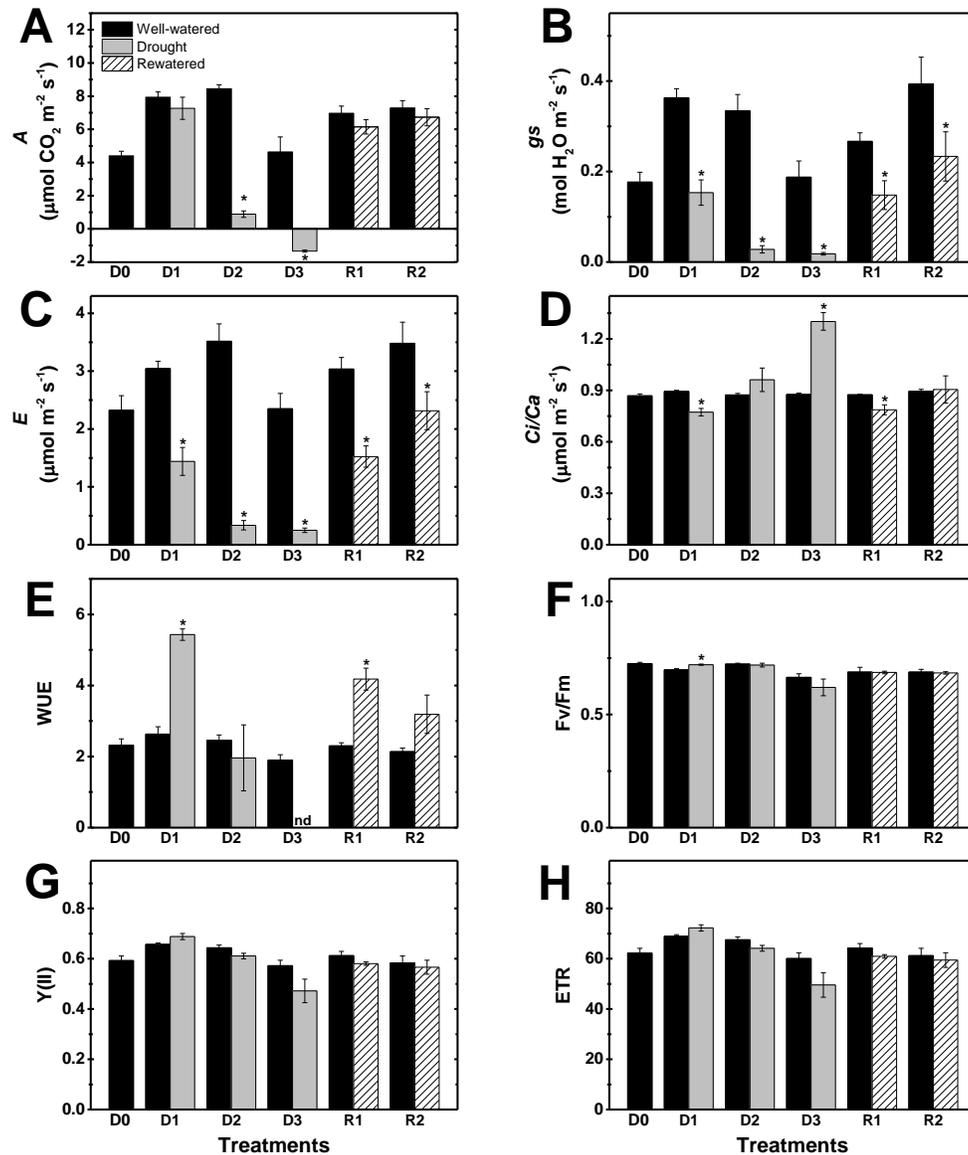


**Figure 6: Distribution of chloroplasts in *P. oleracea* leaf and stem cross-sections.** (A-B) Bright field and fluorescence microscopy of leaf sections. (C-D) Detail of A-B, showing the chloroplasts concentrated in the bundle sheath cells. (E-F) Bright field and fluorescence microscopy of stem sections. (G-H) Details of E-F, showing chloroplasts mainly present cells belonging to the inner cortex. (I) Lugol staining in leaf section. (J) Lugol staining in stem section. Red color in B, D, F and H represents chlorophyll autofluorescence under blue light. Arrows in I-J indicate the position of the starch reaction with Lugol (dark blue color). All samples were obtained from 54-day-old well-watered plants (D3 samples). BSC, bundle sheath cell; MC, mesophyll cell; WSC, water storage cell; C, cortex; VB, vascular bundle.

## Impacts of water availability on gas exchange and chlorophyll fluorescence

Although no significant changes in both leaf and stem  $\Psi_s$  were observed within the first 10 days of water withholding (D1), drought plants exhibited a reduction of approximately 50% in stomatal conductance ( $g_s$ ) and transpiration ( $E$ ) compared to the well-watered individuals at this sampling point (Fig. 7). As no significant changes in net CO<sub>2</sub> uptake ( $A$ ) were detected between control and droughted plants at D1, the water use efficiency (WUE) values exhibited by droughted plants were up to 2.7 higher than those observed in control plants (Fig. 7E). Prolonged drought treatment (i.e., D2 and D3 sampling points) led to a pronounced decline in  $A$ ,  $g_s$  and  $E$ , achieving values close or below zero for these three gas exchange parameters (Fig. 7A-C). Net CO<sub>2</sub> uptake rapidly increased after rewatering, reaching values similar to control plants as soon as 4 days after rehydration (R1), remaining relatively unchanged thereafter. In contrast,  $g_s$  and  $E$  values gradually increased during the rewatering period, but did not achieve levels as high as those detected in well-watered plants even after 8 days of rewatering (R2).

The ratio between intra/extracellular CO<sub>2</sub> concentrations ( $C_i/C_a$ ) in leaves of droughted and rewatered plants were maintained at similar or slightly lower levels than those detected in well-watered counterparts, except for the increment of approximately 50% in  $C_i/C_a$  observed in droughted plants at D3 sampling point (Fig. 7D). Neither drought nor rewatering treatments significantly altered chlorophyll *a* fluorescence parameters such as photochemical efficiency of the PSII ( $F_v/F_m$ ), effective quantum yield of the linear electron flow [ $Y(II)$ ] and electron transport rate (ETR). (Fig. 7F-H), suggesting that the marked impact of water availability on leaf gas exchange did not significantly affect the linear electron transport within the chloroplasts.



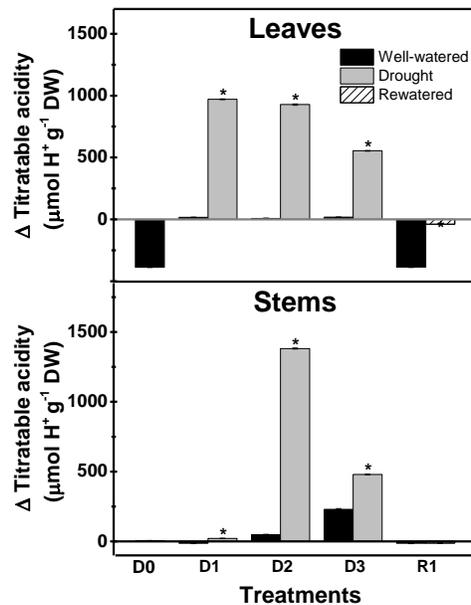
**Figure 7: Leaf gas exchange and chlorophyll *a* fluorescence in response to changes in water availability.** (A) Net CO<sub>2</sub> uptake (*A*). (B) Stomatal conductance (*g<sub>s</sub>*). (C) Transpiration (*E*). (D) Ratio between intra/extracellular CO<sub>2</sub> concentrations (*C<sub>i</sub>/C<sub>a</sub>*). (E) Water use efficiency (WUE). (F) Photochemical efficiency of the PSII (*F<sub>v</sub>/F<sub>m</sub>*). (G) Effective quantum yield of the linear electron flow [*Y(II)*]. (H) Electron transport rate (ETR). Data are means (±SE) of at least three biological replicates. Statistically significant differences compared with the well-watered samples were determined using Student's t-test: \*P<0.05.

### Impacts of water availability on nocturnal acid accumulation

As in other facultative CAM plants, the nocturnal acid accumulation has been widely used to monitor CAM expression in *P. oleracea* (Kraybill and Martin, 1996; Mazen, 2000; Lara *et al.*, 2003, 2004; Winter and Holtum, 2014). Here, the highest levels of leaf nocturnal acid

accumulation ( $\Delta H^+$ ) in droughted plants were detected at D1 and D2, declining in about 50% after prolonged drought (D3) (Fig. 8). Very limited  $\Delta H^+$  was observed at D0 and D1 sampling points in stems of both well-watered and droughted plants, indicating very low, if any, CAM expression in the stems at these sampling points. Conversely, stem  $\Delta H^+$  values in droughted samples were about 30 times higher than in well-watered counterparts at the D2 sampling point. As in the leaves,  $\Delta H^+$  in stems of droughted plants significantly declined after 34 days of drought treatment (D3) compared to samples harvested after 22 days of drought (D2). These findings indicate that 10 days of drought (D1) may be sufficient for inducing full CAM in the leaf tissues, whereas CAM expression in stems is achieved after more prolonged drought exposure, particularly at D2 sampling time. Prolonging the drought treatment (D3) negatively impacted nocturnal acid accumulation in both leaves and stems.

Interestingly, the maximum  $\Delta H^+$  values detected in stems of droughted plants were as high as those observed in the leaf tissues of the same plants (Fig. 8), suggesting a significant contribution of the stem tissues in storing  $CO_2$  as organic acids overnight when *P. oleracea* plants are challenged by water-limiting conditions.



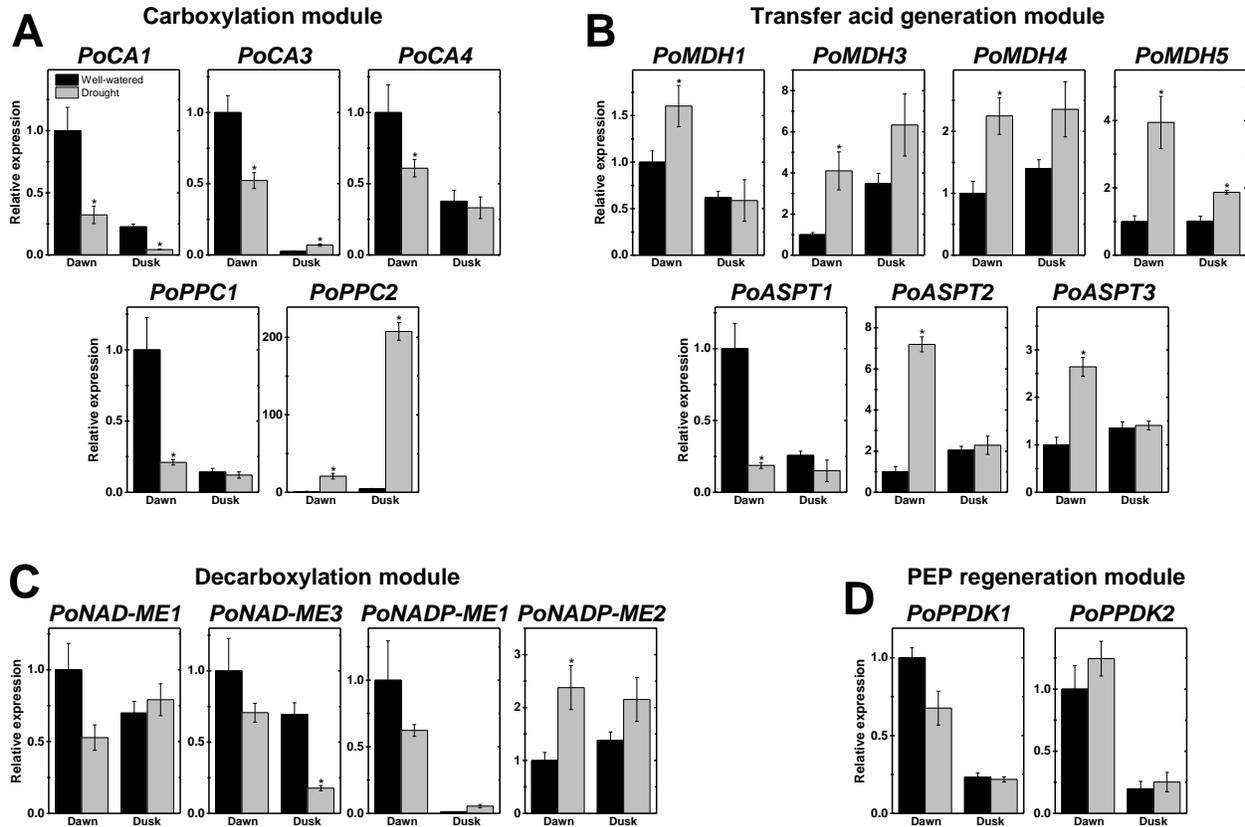
**Figure 8: Nocturnal acid accumulation in response to changes in water availability.** Titratable acidity at dusk and dawn was determined in both leaf and stem tissues of well-watered, droughted and rewatered plants.  $\Delta H^+$  indicates the dawn-dusk differences. Standard error of the dawn-dusk difference =  $\sqrt{(\text{standard error}_{\text{dawn}})^2 + (\text{standard error}_{\text{dusk}})^2}$ . Data are means ( $\pm$ SE) of at least three biological replicates. Statistically significant differences compared with the well-watered samples were determined using Student's t-test: \* $P < 0.05$ .

CAM was rapidly and fully reverted when the water supply is reestablished as indicated by the decline in both leaf and stem  $\Delta\text{H}^+$  to values close to zero within 4 days of rewatering (Fig. 8). Interestingly, however, distinct patterns of CAM expression were observed in well-watered leaves and stems. Under well-watered conditions, leaf  $\Delta\text{H}^+$  remained negative or close to zero all over the experiment, indicating that *P. oleracea* plants not challenged with drought can complete their life cycle without expressing CAM in the leaves. In contrast, positive  $\Delta\text{H}^+$  values were detected in stems of well-watered plants at both D2 and D3 sampling points, implicating that CAM expression in *P. oleracea* stems relies on both ontogenic and environmental regulation.

### **Transcriptional profiling of C<sub>4</sub>- and CAM- related genes under contrasting water regimes**

A set of candidate genes potentially involved in C<sub>4</sub> and CAM syndromes was selected from a large RNA-seq dataset recently generated for *P. oleracea* (unpublished data), and their relative transcript abundances were monitored during both the C<sub>4</sub>-to-CAM and the CAM-to-C<sub>4</sub> switch in response to changes in water availability. Among the 18 genes were initially selected, five encoding enzymes involved in the carboxylation module (*PoCA1*, *PoCA3*, *PoCA4*, *PoPPC1* and *PoPPC2*), seven involved in the acid generation reactions (*PoMDH1*, *PoMDH3*, *PoMDH4*, *PoMDH5*, *PoASPT1*, *PoASPT2* and *PoASPT3*), four encoding malate decarboxylating enzymes (*PoNAD-ME1*, *PoNAD-ME3*, *PoNADP-ME1* and *PoNADP-ME2*), and two encoding pyruvate/orthophosphate dikinase (*PoPPDK1* and *PoPPDK2*), a key enzyme responsible for PEP regenerating.

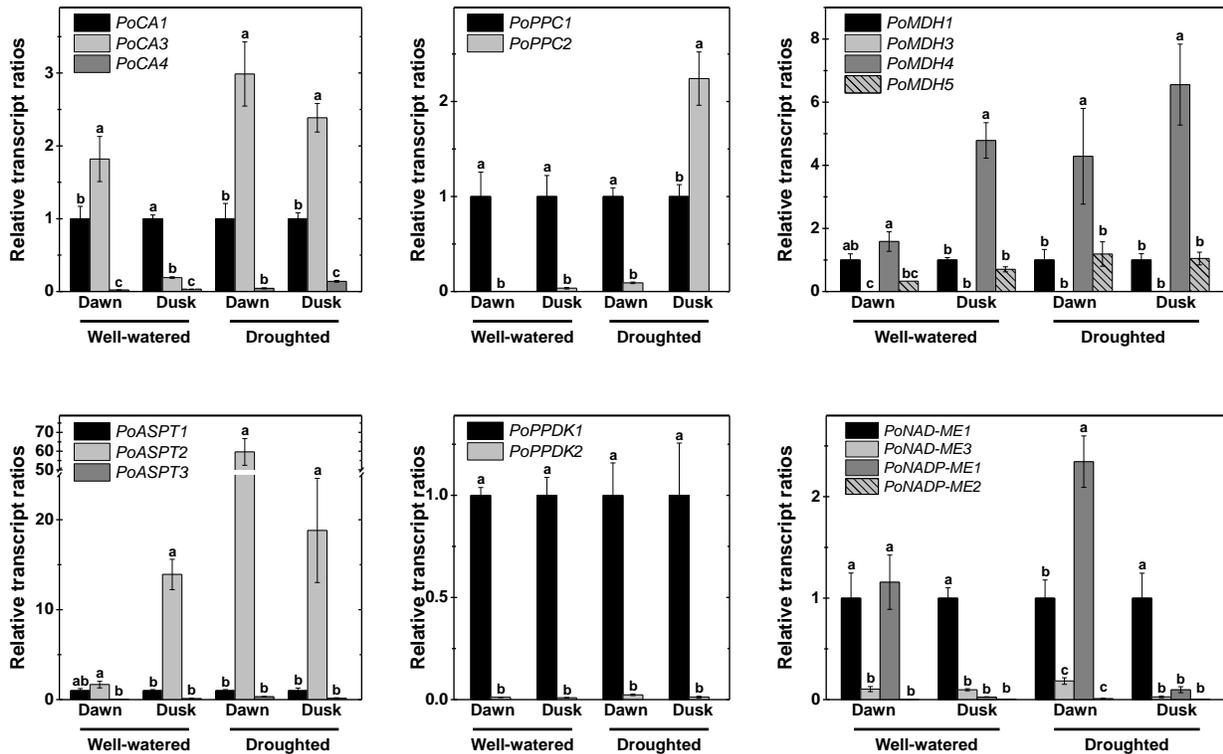
The transcriptional profile of these genes in dawn and dusk leaf samples of *P. oleracea* performing either C<sub>4</sub> (D2, well-watered) or CAM (D2, droughted) revealed significantly higher *PoCA1*, *PoCA3*, *PoCA4*, *PoPPC1* and *PoASPT1* mRNA levels in C<sub>4</sub>-performing plants and higher *PoPPC2*, *PoMDH1*, *PoMDH3*, *PoMDH4*, *PoMDH5*, *PoASPT2*, *PoASPT3* and *PoNADP-ME2* transcript abundance in CAM-performing individuals (Fig. 9). Overall, the highest change fold for the comparison between C<sub>4</sub>- and CAM-performing samples were observed at dawn samples, except for the *PoPPC2* that peaked at dusk.



**Figure 9: Transcriptional profile of C<sub>4</sub>- and CAM-related genes.** Transcript abundance of genes encoding major components of the carboxylation (A), transfer acid generation (B), decarboxylation (C) and phosphoenolpyruvate (PEP) regeneration modules (D) was determined in leaves of well-watered and droughted plants (D2). Mean relative expression was normalized against well-watered samples harvested at dawn. Data are means ( $\pm$ SE) of at least three biological replicates. Statistically significant differences compared with the well-watered samples were determined using Student's t-test: \* $P < 0.05$ . CA, carbonic anhydrase; PPC, phosphoenolpyruvate carboxylase; MDH, malate dehydrogenase; ASPT, aspartate transaminase; NAD-ME, NAD-dependent malic enzyme; NADP-ME, NADP-dependent malic enzyme; PPK, pyruvate/orthophosphate dikinase.

Significant differences in relative transcript ratios of genes encoding for the same enzyme were observed (Fig. 10). Among the CA-encoding genes, *PoCA1* and *PoCA3* transcripts were significantly more abundant than *PoCA4* regardless of the watering regime or the time of the day. *PoPPC1* was more abundantly expressed than *PoPPC2* under all conditions analyzed, except for the dusk-watered samples of droughted plants. In all conditions, *PoMDH3* and *PoMDH4* were the least and most expressed MDH-encoding genes, respectively. *PoASPT2* was significantly more expressed than other ASPT genes under all conditions analyzed, exceeding in more than 60 times *PoASPT1* and *PoASPT3* in samples of droughted plants harvested at dawn. *PoPPDK1* was at least 50 times

more expressed than *PoPPDK2* under all conditions analyzed. Among ME-encoding genes, *PoNAD-ME1* and *PoNADP-ME1* were significantly more expressed than *PoNAD-ME2* and *PoNADP-ME2*. Interestingly, the transcript ratio of genes between *PoNAD-ME1* and *PoNADP-ME1* were relatively similar in samples harvested at dawn from either well-watered and droughted plants, thereby suggesting that both NAD-ME and NADP-ME may participate in malate decarboxylation in both C<sub>4</sub>- and CAM-performing *P. oleracea* plants.



**Figure 10: Relative transcript ratios of C<sub>4</sub>- and CAM-related genes in *P. oleracea* leaves.** Values represent means  $\pm$  SD from at least three biological replicates and are expressed as relative transcript ratios between the distinct genes encoding for the same enzymes. Different letters indicate statistically significant differences (Tukey's test,  $p < 0.05$ ) among the distinct genes encoding for the same enzyme at each sampling point. Transcript abundance was determined in leaves of well-watered and droughted plants (D2 sampling). *PoPCC2* mRNA levels were determined in dusk samples whereas all other gene expression data were generated using dawn samples. CA, carbonic anhydrase; PPC, phosphoenolpyruvate carboxylase; MDH, malate dehydrogenase; ASPT, aspartate transaminase; NAD-ME, NAD-dependent malic enzyme; NADP-ME, NADP-dependent malic enzyme; PPK, pyruvate/orthophosphate dikinase.

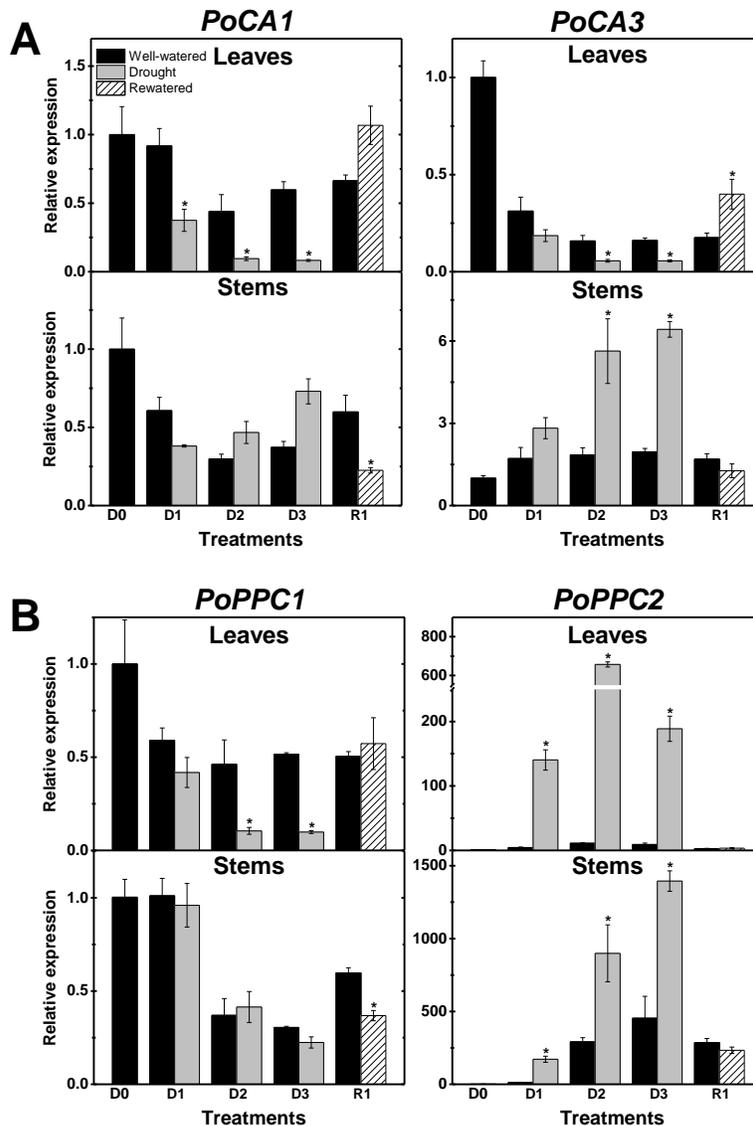
Based on their differential expression in C<sub>4</sub>- and CAM-performing samples (Fig. 9) and their transcript abundance ratio in relation to other genes encoding for the same enzymes (Fig. 10),

*PoCA1*, *PoCA3*, *PoPPC1*, *PoPPC2*, *PoMDH4*, *PoMDH5*, *PoASPT1*, *PoASPT2*, *PoNAD-ME1*, *PoNADP-ME1* and *PoPPDK1* were selected for the next steps of this study.

### **Temporal and spatial transcriptional patterns of C<sub>4</sub>- and CAM related genes**

In both C<sub>4</sub> and CAM plants, the first carboxylation involves the interconversion of CO<sub>2</sub> and bicarbonate (HCO<sub>3</sub><sup>-</sup>) via carbonic anhydrase (CA) and the subsequent addition of HCO<sub>3</sub><sup>-</sup> to phosphoenolpyruvate (PEP) via PEP carboxylase to form the four-carbon compound oxaloacetate (OAA) (Badger and Price, 1994). In *P. oleracea* leaves, *PoCA1* and *PoCA3* transcript levels were significantly reduced in response to water deficit, whereas rewatering promoted the accumulation of these transcripts (Fig. 11A). In contrast, *PoCA3* mRNA levels in the stems were approximately three times higher in droughted samples (D2 and D3) compared to well-watered ones. This drought-induced accumulation of *PoCA3* transcripts in stem tissues was completely reverted after rewatering (R1).

In line with previous findings (Christin *et al.*, 2014), two *PoPPC* with contrasting responses to water availability were identified. In leaves, *PoPPC1* and *PoPPC2* mRNA levels were significantly reduced and promoted in response to water limitation, respectively (Fig. 11B). The highest *PoPPC2* transcript abundance in leaves were detected in D2 sampling point more expressed in droughted than in well-watered samples. Whereas stem *PoPPC1* mRNA levels did not differ between droughted and well-watered samples, a progressive accumulation of *PoPPC2* transcripts was observed in stems from droughted plants. In both leaves and stems, rewatering up- and down-regulated *PoPPC1* and *PoPPC2*, respectively, to levels similar to those detected in well-watered plants. These findings support the view that *PoPPC1* and *PoPPC2* may encode the PEPCs responsible for OAA formation during C<sub>4</sub> and CAM photosynthesis, respectively. In line with this, *PoPPC1* mRNA levels peaked at dawn as expected for a PEPC isoform associated with the C<sub>4</sub> pathway, whereas *PoPPC2* peaked at dusk as commonly observed for other CAM-related PEPC isoforms (Christin *et al.*, 2014).

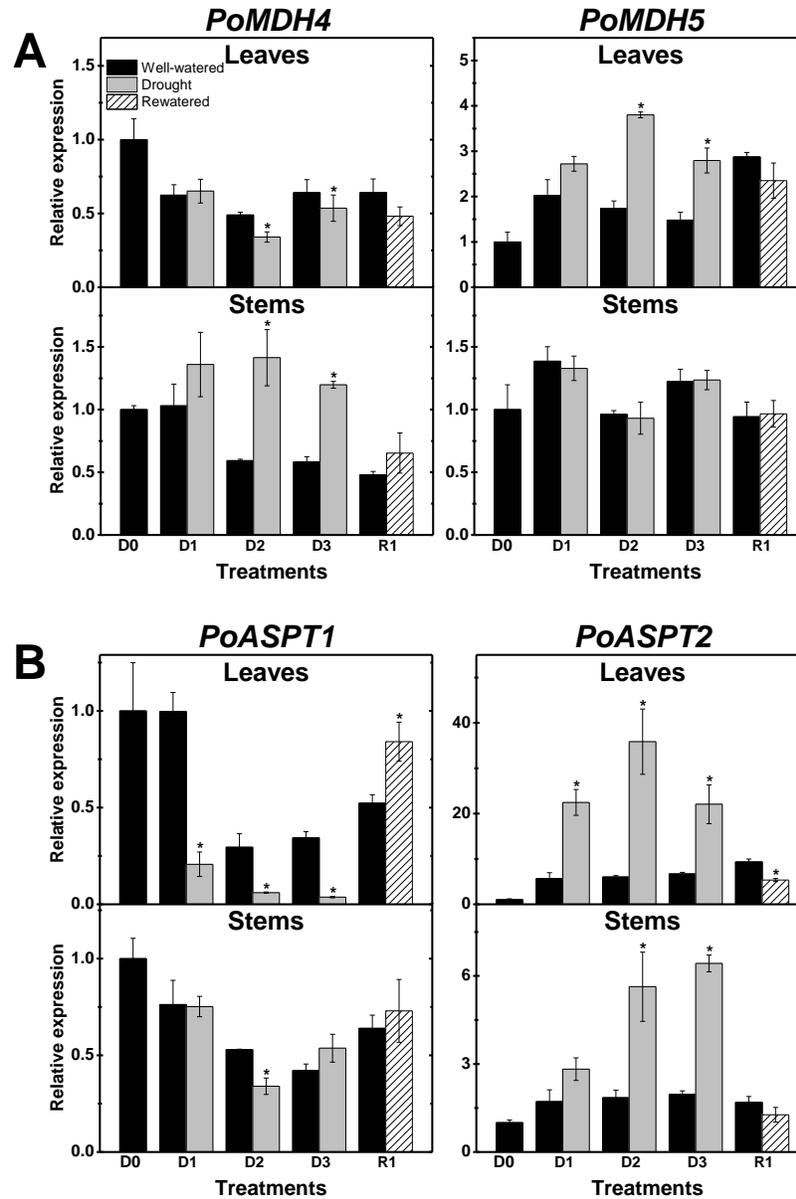


**Figure 11: Transcript abundance of genes encoding key enzymes involved in the carboxylation module. (A)** Carbonic anhydrase (CA)-encoding genes. **(B)** Phosphoenolpyruvate carboxylase (PPC)-encoding genes. Transcript abundance was determined in leaves and stems of well-watered, droughted and rewatered plants. Mean relative expression was normalized against D0 samples. *PoCA1*, *PoCA3* and *PoPPC1* mRNA levels were determined in samples harvested at dawn. *PoPPC2* transcript abundance was determined in samples harvested at dusk. Data are means ( $\pm$ SE) of at least three biological replicates. Statistically significant differences compared with the well-watered samples were determined using Student's t-test: \* $P < 0.05$ .

In CAM plants, the OAA formed via PEPC is converted to malate via malate dehydrogenase (MDH) and stored overnight in the vacuoles, whereas in  $C_4$  plants the OAA is converted to malate via MDH or aspartate via aspartate aminotransferase (ASPT) before being

shuttled to the BSCs (Hatch *et al.*, 1988). After prolonged drought exposure (D2 and D3), *PoMDH4* and *PoMDH5* mRNA levels were significantly promoted in *P. oleracea* stems and leaves, respectively (Fig 12A). This suggests that these two MDH-encoding genes may be differentially recruited to convert OAA into malate in each of these two photosynthetically-active tissues (i.e., stems and leaves). After rewatering, *PoMDH4* and *PoMDH5* transcripts returned to levels similar to those observed in well-watered plants.

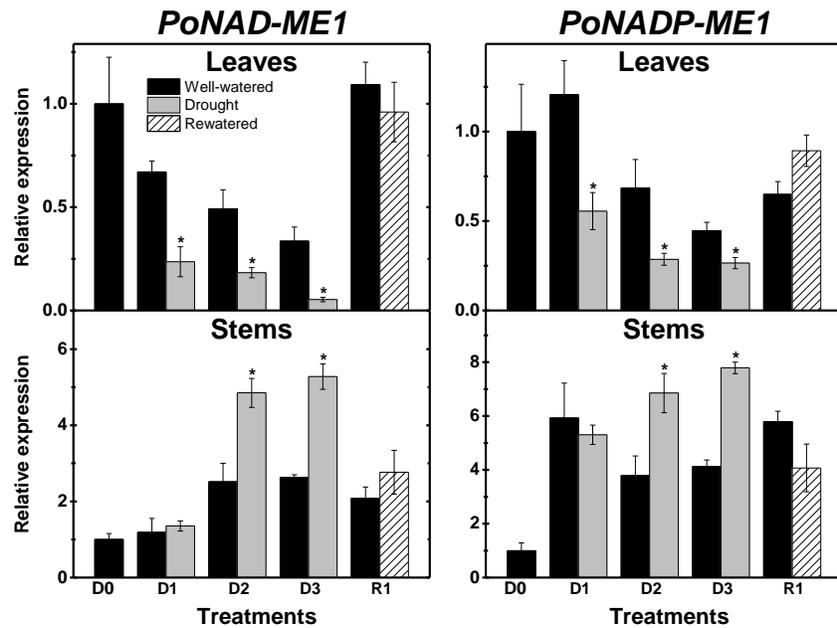
Drought differentially impacted *PoASPT1* and *PoASPT2* transcript accumulation, repressing the former and promoting the later in both leaves and stems (Fig. 12B). The overall drought-triggered changes in *PoASPT2* mRNA levels resembled those observed for *PoPPC2*. After rewatering, *PoASPT1* and *PoASPT2* mRNA in both leaves and stems returned to levels similar to those detected in well-watered samples. As observed for *PoPPCs*, the drought-induced downregulation of *PoASPT1* and upregulation of *PoASPT2* suggest that *PoASPT1* and *PoASPT2* are involved in C<sub>4</sub> and CAM photosynthesis, respectively.



**Figure 12: Transcript abundance of genes encoding key enzymes involved in the acid generation module. (A) Malate dehydrogenase (MDH)-encoding genes. (B) Aspartate transaminase (ASPT)-encoding genes.** mRNA levels were determined in leaf and stem samples of well-watered, droughted and rewatered plants harvested at dawn. Mean relative expression was normalized against D0 samples. Data are means ( $\pm$ SE) of at least three biological replicates. Statistically significant differences compared with the well-watered samples were determined using Student's t-test: \* $P < 0.05$ .

*P. oleracea* is regarded as a NAD-ME-type  $C_4$  plant (Voznesenskaya *et al.*, 2010). Here, we demonstrate that *PoNAD-ME1* and *PoNADP-ME1* are the ME-encoding genes predominantly expressed in *P. oleracea* (Fig. 10). Interestingly, whereas *PoNAD-ME1* is expressed at similar

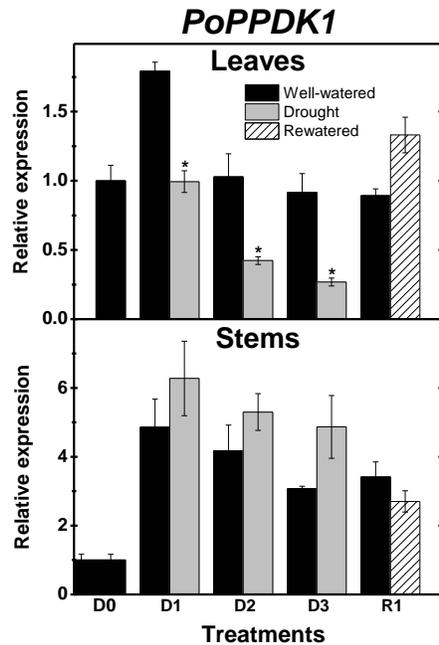
levels in dawn and dusk samples, *PoNADP-ME1* is predominantly expressed at dawn (Fig. 9). Overall, *PoNAD-ME1* and *PoNADP-ME1* exhibited similar gene expression patterns in responses to changes in water availability. In leaves, both these genes exhibited a decrease in relative expression as drought exposure was prolonged, followed by their upregulation to levels similar to the control as soon as four days after rewatering (R1) (Fig. 13). These results suggest a reduction in the NAD(P)-ME-dependent decarboxylation during the C<sub>4</sub>-to-CAM transition in leaves. In contrast, an opposite trend was observed in stems as both *PoNAD-ME1* and *PoNADP-ME1* were clearly more expressed under drought than under well-watered or rewatered conditions. This indicates that, differently from the leaves, the CAM induction in stems presumably requires the upregulation of the NAD(P)-ME-dependent decarboxylation system.



**Figure 13: Transcript abundance of genes encoding key enzymes involved in the malate decarboxylation.** mRNA levels were determined in leaf and stem samples of well-watered, droughted and rewatered plants harvested at dawn. Mean relative expression was normalized against D0 samples. Data are means ( $\pm$ SE) of at least three biological replicates. Statistically significant differences compared with the well-watered samples were determined using Student's t-test: \* $P < 0.05$ . NAD-ME, NAD-dependent malic enzyme; NADP-ME, NADP-dependent malic enzyme.

The PPDK-mediated regeneration of PEP is a crucial step in both C<sub>4</sub> and CAM pathways (Chastain *et al.*, 2011). *PoPPDK1* was identified as the dominant PPDK-encoding genes in both C<sub>4</sub>- and CAM-performing leaves of *P. oleracea* (Fig 10), and its transcript abundance in leaves

was progressively reduced in response to drought stress and rapidly increased in response to rewatering (Fig. 14). In contrast, *PoPPDK1* mRNA levels in stems of droughted or rewatered plants did not significantly differ from the well-watered plants at each sampling point. Therefore, the CAM induction in leaves was presumably accompanied by a reduction in PEP regeneration rates, whereas no changes in this metabolic step seem to be required for the CAM induction in stem tissues.

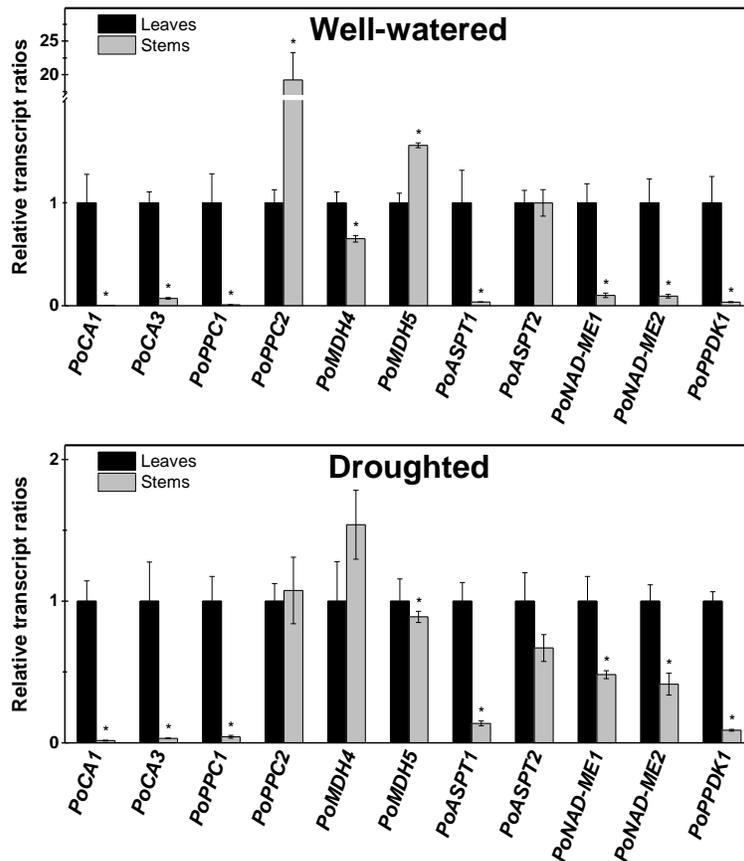


**Figure 14: Transcript abundance of pyruvate/orthophosphate dikinase (PPDK)-encoding genes.** mRNA levels were determined in leaf and stem samples of well-watered, droughted and rewatered plants harvested at dawn. Mean relative expression was normalized against D0 samples. Data are means ( $\pm$ SE) of at least three biological replicates. Statistically significant differences compared with the well-watered sample were determined using Student's t-test: \* $P < 0.05$ .

Finally, the relative transcript ratios of  $C_4$ - and CAM-related genes were compared in leaves and stems of both well-watered ( $C_4$ -performing) and droughted (CAM-performing) plants. All CA-, NAD(P)-ME- and PPDK-encoding genes were significantly less expressed in stems than in leaves of both well-watered and droughted plants (Fig. 15). In contrast, both MDH-encoding genes analyzed were expressed at relatively similar levels in stems and leaves regardless of the watering regime.

Very interestingly, however, an evident pattern was observed for the PEPC- and ASPT-encoding genes analyzed. *PoPPCI* and *PoASPT1*, which were downregulated by drought and

consequently more closely related to C<sub>4</sub> photosynthesis (Figs. 11 and 12), were drastically less expressed in stems than in leaves (Fig. 15). In contrast, *PoPPC2* and *PoASPT2*, which were sharply upregulated by drought and consequently more closely related to CAM photosynthesis (Figs. 11 and 12), were expressed in stems at similar or even higher levels than those detected in the leaves (Fig. 15). Therefore, these findings suggest that C<sub>4</sub> photosynthesis in *P. oleracea* plants may be restricted to the leaves under well-watered conditions. In contrast, CAM expression in stems seems to be as high as those detected in the leaves under drought stress.



**Figure 15: Relative transcript ratios of C<sub>4</sub>- and CAM-related genes in leaves and stems.** Values represent means  $\pm$  SD from at least three biological replicates and are expressed as relative transcript ratios between the leaf and stem samples at the same experimental conditions. Different letters indicate statistically significant differences (Tukey's test,  $p < 0.05$ ) between leaf and stem samples. Transcript abundance was determined in leaves and stems of well-watered and droughted plants (D2 sampling). *PoPPC2* mRNA levels were determined in dusk samples whereas all other gene expression data were determined in dawn samples. CA, carbonic anhydrase; PPC, phosphoenolpyruvate carboxylase; MDH, malate dehydrogenase; ASPT, aspartate transaminase; NAD-ME, NAD-dependent malic enzyme; NADP-ME, NADP-dependent malic enzyme; PPDK, pyruvate/orthophosphate dikinase.

## 5. Discussion

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*P. oleracea* is one of the few species capable of performing both C<sub>4</sub> and CAM within a single leaf, thereby representing a particularly convenient model system for dissecting how a single individual can express both these CCM syndromes depending on environmental and ontogenetic factors. As other facultative CAM species, water availability has been characterized as the predominant environmental factor controlling nocturnal acid accumulation in *P. oleracea* (Koch and Kennedy, 1980, 1982; Kraybill and Martin, 1996; Mazen, 2000; Guralnick and Jackson, 2001; Lara *et al.*, 2003, 2004). Here, we present physiological and gene expression evidence demonstrating that CAM expression in both leaves and stems of *P. oleracea* can be modulated in response to changes in water availability in a remarkably fast and fully reversible fashion. Monitoring the nighttime H<sup>+</sup> accumulation and several photosynthetic parameters revealed that leaves from both well-watered and rewatered *P. oleracea* plants predominantly perform C<sub>4</sub> whereas those exposed to drought clearly exhibit CAM photosynthesis. By comparing the transcript abundance of candidate genes in well-watered, droughted and rewatered plants, we found that *PoPPC1* and *PoASPT1* were predominantly expressed in C<sub>4</sub>-performing individuals whereas *PoPPC2* and *PoASPT2* were most expressed in those engaged in CAM photosynthesis. Therefore, the differential recruitment of *PoPPC* and *PoASPT* genes into the C<sub>4</sub> and CAM pathways suggest that their encoding proteins may play a vital role in allowing both CCMs to occur within a single leaf. Moreover, these genes seem to represent excellent markers for monitoring the C<sub>4</sub>-CAM transitions in this species.

Alongside with the changes in  $\Delta\text{H}^+$  and *PoPPC2* and *PoASPT2* expression patterns indicated that drought-induced CAM induction in leaves took place relatively faster in leaves than in stems. Whereas drought-induced CAM expression in stems was only detected at more advanced stages of the drought treatment (D2 and D3 samples),  $\Delta\text{H}^+$  as well as *PoPPC2* and *PoASPT2* transcript accumulation in the leaves were clearly induced by drought at all drought sampling points (D1, D2 and D3). Interestingly, at the D1 sampling point, leaf and stem osmotic potential values were still indistinguishable between well-watered and droughted plants (Fig. 3), thereby suggesting that changes in leaf water status and mesophyll cell turgor may not be an absolute requirement for the induction of CAM in *P. oleracea* leaves. This implicates that a signal generated at water-stressed roots may be conveyed to the leaves, where it may promote CAM expression. In

line with this, a split-root experiment conducted with the C<sub>3</sub>-CAM facultative species *Mesembryanthemum crystallinum* demonstrated that CAM induction can be triggered before any measurable change in mesophyll cell turgor pressure (Eastmond and Ross, 1997). Although the identity of this endogenous signal remains to be determined in *P. oleracea*, evidence obtained for other facultative CAM species suggests that it may involve the increment in abscisic acid and/or the decline in cytokinin levels (Chu *et al.*, 1990; Dai *et al.*, 1994; Peters *et al.*, 1997; Taybi and Cushman, 2002).

Our findings also indicated that the shift from C<sub>4</sub> to CAM photosynthesis in *P. oleracea* leaves is not part of a preprogrammed developmental process as no evidence of CAM expression was detected in leaves of well-watered plants from the start (D0, 20-day-old, actively-growing plants) to the end of the experiment (R2, 62-day-old, senescing plants). Interestingly, however, whereas the CAM expression in the leaves seem to be exclusively under environmental control, an ontogenetic component in the induction of the CAM pathway may be present in the stems as positive  $\Delta H^+$  values and significant *PoPPC2* mRNA accumulation were detected in stems of well-watered plants during the flowering phase (D2 and D3). In line with this, facultative CAM has been interpreted by some authors as an adaptive response that facilitates resource allocation to reproductive structures, thus maximizing reproductive success of annual plants (Herrera, 2009). However, whether the ontogenic induction of CAM in the *P. oleracea* stem has a significant impact on its reproductive output remains to be determined.

Besides assisting in reproductive fitness, CAM may also be interpreted as a strategy to increase water-use efficiency (WUE), minimize photoinhibition and provide photo-protection of the photosynthetic apparatus during prolonged water deficit conditions (Herrera *et al.*, 1991; Herrera, 2009). Monitoring gas exchange and chlorophyll fluorescence revealed that the progressive drought-induced decline in  $A$ ,  $g_s$  and  $E$  were not accompanied by significant changes in  $F_v/F_m$ , NPQ and ETR values (Fig. 6), suggesting the daytime decarboxylation of the organic acids accumulated overnight may have supplied CO<sub>2</sub> to Rubisco behind closed stomata at sufficient levels to maintain the photosynthetic apparatus integrity and functioning under drought. Accordingly, very limited changes in  $C_i/C_a$  were detected between well-watered and droughted plants, despite the massive decline in  $A$ ,  $g_s$  and  $E$  detected under drought conditions (Fig. 7). Therefore, it seems tempting to suggest that the CAM expression during the drought period may have facilitated the rapid and complete recovery of CO<sub>2</sub> assimilation rates soon after rewatering

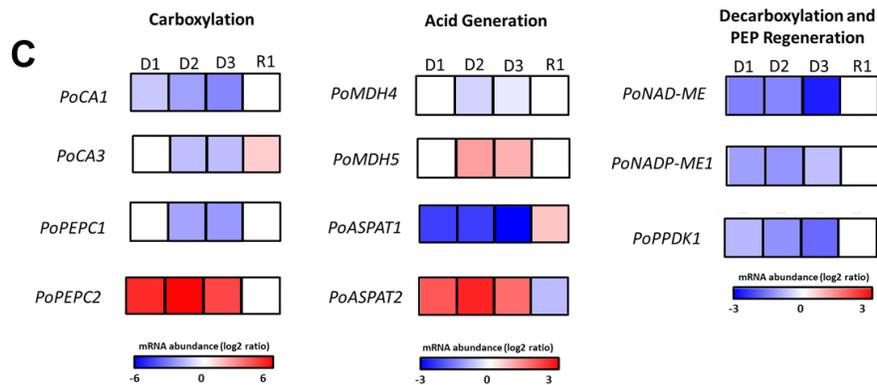
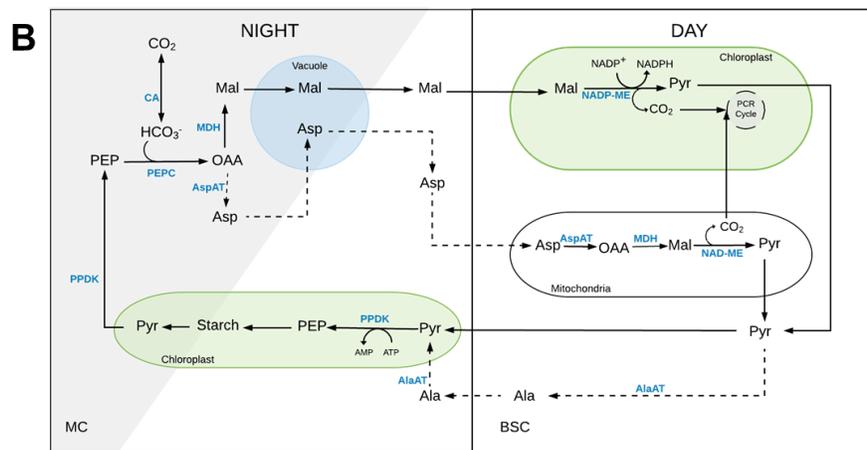
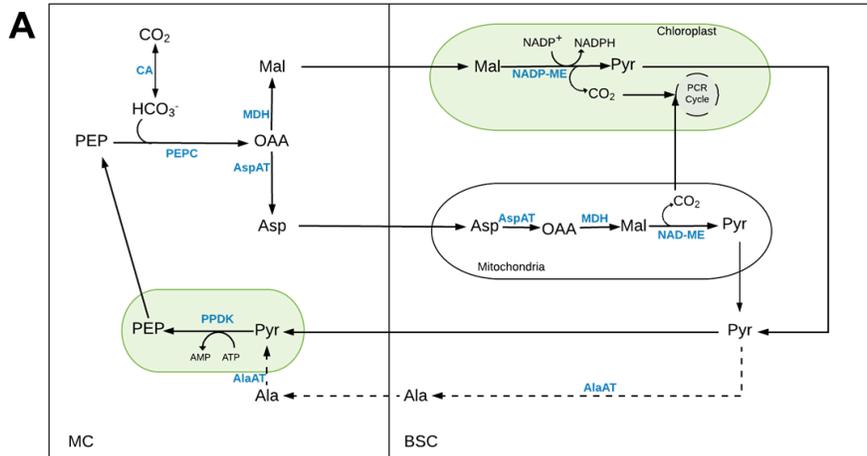
by avoiding permanent damages to the photosynthetic apparatus even after prolonged drought exposure. The importance of CAM for photoprotection has also been demonstrated for both constitutive CAM plants and facultative C<sub>3</sub>-CAM species (Rascher and Lüttge, 2002; Pikart *et al.*, 2018) and the data presented here seem to be the first indication that a similar process may also take place in facultative C<sub>4</sub>-CAM species.

Although nighttime acid accumulation in *P. oleracea* leaves has been shown to depend to some extent on internal refixation of respiratory CO<sub>2</sub>, nocturnal CO<sub>2</sub> uptake has also been reported for this species (Winter and Holtum, 2014). In contrast, *P. oleracea* stems are devoid of stomata (Voznesenskaya *et al.*, 2010) therefore, organic acid formation via PEPC in this organ entirely relies on internal refixation of respiratory CO<sub>2</sub>. Due to the lack of a typical Kranz anatomy, *P. oleracea* has been regarded as performing C<sub>3</sub> photosynthesis under well-watered conditions (Voznesenskaya *et al.*, 2010). Although anatomical analysis confirmed the absence of typical Kranz anatomy in the stems of both well-watered and droughted plants, it was observed that chloroplasts formed a well-delimited sheath in the inner cortex, surrounding the vascular bundles (Fig. 6). Despite such preferential localization of the chloroplast surrounding the vascular bundles of the stems, which could resemble some features of Kranz-like anatomy, the transcript abundance of C<sub>4</sub>-related genes, including *PoCA1*, *PoPPC1* and *PoASPT1*, were drastically lower in stems than in leaves of well-watered plants. Therefore, the data currently available suggest that, depending on the environmental conditions and plant age, *P. oleracea* stems can perform either C<sub>3</sub> or CAM, but not C<sub>4</sub> photosynthesis.

As no conspicuous changes in leaf or stem anatomy were observed after long-term drought exposure (Fig. 5), we can assume that gene expression and biochemical modifications are enough to allow the shift from C<sub>3</sub> (in stems) and C<sub>4</sub> (in leaves) to CAM photosynthesis. Among the changes observed in gene expression during the C<sub>4</sub>-to-CAM transition in *P. oleracea* leaves, it is important to highlight that the progressive drought-induced downregulation of C<sub>4</sub>-related genes, including *PoCA1*, *PoPPC1* and *PoASPT1*, is concomitant with up-regulation of *PoPPC2* and *PoASPT2*. Conversely, in the stems, not only *PoPPC2* and *PoASPT2*, but also *PoCA3*, *PoMDH4*, *PoNAD-ME1* and *PoNAD-ME2*, are up-regulated in response to drought. These findings have several implications. First, it reveals an opposite influence of water availability on C<sub>4</sub> and CAM machinery, which suggests that either C<sub>4</sub> or CAM, but not both CCMs, can be expressed within the same leaf at the same time. Second, it indicates that among the genes analyzed, the upregulation

of only *PoPPC2* and *PoASPT2* would be required for the CAM functioning in the leaves as the residual activity of other C<sub>4</sub>-related enzymes, including those encoded by *PoCA3*, *PoMDH4*, *PoNAD-ME1* and *PoNAD-ME2*, would be sufficient to sustain drought-induced CAM photosynthesis. In contrast, in the C<sub>3</sub>-performing tissues of the stems, a more extensive set of components of CAM, including *PoPPC2*, *PoASPT2* as well as CA-, MDH- and ME-encoding genes, needs to be upregulated to sustain the occurrence of the CAM pathway. Third, our findings suggest that the conversion of OAA to aspartate (as well as the reverse reaction) catalyzed by the *PoASPT2*-encoded protein may be a necessary step for the CAM functioning in leaves with typical Kranz anatomy. To the best of our knowledge, this is the first indication that aspartate could be involved in CAM photosynthesis, which needs to be confirmed via additional experimental approaches, including the analysis of ASPT enzymatic activity, the localization of aspartate pools inside the leaves, and monitoring the diel analysis of aspartate fluctuations.

By combining our findings and data from the literature, a highly hypothetical model can be proposed to explain the C<sub>4</sub>-CAM functioning in *P. oleracea* leaves (Fig. 16). Immunolocalization experiments conducted in *P. oleracea* leaves indicate that Rubisco is restricted to BSCs whereas PEPC is predominantly found in MCs (Lara *et al.*, 2003). Therefore, under well-watered conditions, the OAA produced via PEPC in the MC during the day would be converted to malate via MDH and/or Asp via ASPT activity. After being shuttled to the BSC, malate may be decarboxylated in the chloroplast via NADP-ME whereas aspartate may be converted to malate in the mitochondria via the coordinated action of ASPT, MDH and subsequently decarboxylated via NAD-ME activity (Fig. 16A). Under drought conditions, a ‘C<sub>4</sub>-CAM hybrid’ system may occur in leaves of droughted *P. oleracea* plants, which involves the nighttime accumulation of organic acids (malate and/or aspartate) in MCs, followed by the transference of these acids to the BSCs during the day. Once in the BSCs, malate and/or aspartate may be decarboxylated, releasing CO<sub>2</sub> close to the active site of Rubisco (Fig. 16B). The pyruvate resulting from the malate decarboxylation may be transported into the chloroplasts of MC chloroplasts, where it is consumed to generate PEP by PPDK. Alternatively, pyruvate can be transaminated to alanine (Ala) by a cytosolic Ala aminotransferase (ALT) before being shuttled to the MC. Inside the MC chloroplast, PEP is used to generate glucose-6-phosphate, which is then used to synthesize starch during the day. Finally, the starch accumulated in the MC chloroplasts can be degraded overnight to regenerate PEP to restart the CAM cycle.



**Figure 16: Proposed model summarizing the metabolic reactions potentially involved in the C<sub>4</sub> and CAM pathways in *P. oleracea*.** (A) Diagram outlining the reactions potentially required for the C<sub>4</sub> pathway in leaves of well-watered *P. oleracea* plants. (B) Schematic representation of the reactions potentially required for the ‘C<sub>4</sub>-CAM hybrid’ pathway in leaves of droughted *P. oleracea* plants. (C) Heatmap representing the statistically significant differences in mRNA levels of C<sub>4</sub>- and CAM- related genes compared to the drought samples (Student’s t-test, P<0.05). Key metabolites include: PEP, phosphoenolpyruvate; OAA, oxaloacetate; Mal, malate; Asp, aspartate; Pyr, pyruvate; Ala, Alanine. Key enzymes include: CA, carbonic anhydrase; PEPC, PEP carboxylase; MDH, malate dehydrogenase; AspAT,

aspartate aminotransferase; NAD-ME, NAD-malic enzyme; NADP-ME, NADP-malic enzyme; AlaAT, alanine aminotransferase; PPK, pyruvate phosphate dikinase. In B, the gray area indicates reaction taking place in the nighttime. Chloroplasts, vacuoles and mitochondria are represented in green, blue and yellow, respectively. Dashed arrows represent alternative pathways.

It is important to highlight that the model here proposed is highly hypothetical. For example, the suggestion that both malate and aspartate may play a role as transfer acid is exclusively based on the drought-induced fluctuation in mRNA levels of genes encoding enzymes responsible for producing these acids (Fig. 16C). However, in line with our findings, accumulating evidence indicates that C<sub>4</sub> plants tend to use a combination of transfer acids and decarboxylation systems, which may provide adaptive advantages by facilitating the adjustment of the energy balance between MC and BSC in response to changes in the environmental conditions (Wang *et al.*, 2014; Ludwig, 2016). Therefore, additional investigation is needed to clarify whether *P. oleracea* uses a combination of transferred organic acids and carboxylases when performing either C<sub>4</sub> or the ‘C<sub>4</sub>-CAM hybrid’ pathways.

## 6. Conclusion and Future Remarks

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Altogether, our findings reveal a remarkable photosynthetic plasticity in *P. oleracea* and start to elucidate the key components responsible for the compatibility between the C<sub>4</sub> and CAM pathway in this species. CAM was shown to be expressed in a fully facultative fashion in leaves, whereas both ontogenetic and environmental cues seem to control the induction of this photosynthetic behavior in stem tissues. Gas exchange and chlorophyll *a* fluorescence analysis in droughted plants suggested that the daytime decarboxylation of the organic acids accumulated overnight may have supplied CO<sub>2</sub> to Rubisco behind closed stomata at sufficient levels to maintain the photosynthetic apparatus integrity and functioning even after prolonged drought exposure. Overall, no marked anatomical changes were observed in leaves or stems during the CAM induction, suggesting that gene expression and metabolism reprogramming may account for most of the C<sub>4</sub>-to-CAM and C<sub>3</sub>-to-CAM transition in leaves and stems, respectively. Transcriptional data indicated that distinct *PPC* and *ASPT* genes were recruited to allow C<sub>4</sub> and CAM compatibility within a single leaf. Gene expression evidence also indicated that the switch between C<sub>3</sub> and CAM pathways in the stems requires the transcriptional regulation of a more extensive set of CCM-related genes than the C<sub>4</sub>-to-CAM transition in the leaves.

Taking into account these results, this study also raises important questions for future investigations, such as:

*Where are the key components of the 'C<sub>4</sub>-CAM hybrid' pathway localized within the cells and tissues?*

Answering this question will require further experiments characterizing the intra-cellular and tissue distribution of enzymes responsible for the major C<sub>4</sub>/CAM-related reactions (e.g., PEPC, ASPT, ME, MDH, PPDK). A combination of approaches including *in situ* hybridization, tissue immunolocalization, laser microdissection RNAseq (LM-RNAseq), as well as promoter-reporter and protein-reporter fusion assays, may be required to adequately determine the intra-cellular and tissue localization of the individual components of this 'C<sub>4</sub>-CAM hybrid' pathway.

*Are multiple transfer organic acids and decarboxylation systems involved in the 'C<sub>4</sub>-CAM hybrid' pathway?*

Monitoring the diel fluctuations in metabolites as well as the activities of C<sub>4</sub>/CAM-related enzymes may be instrumental for answering this question. Dissecting whether malate and/or

aspartate play a significant role as transfer acids in the ‘C<sub>4</sub>-CAM hybrid’ pathway, and determining the relative contribution of NAD-ME, NADP-ME and PEP carboxykinase (PEPCK) as the decarboxylation system in *P. oleracea* BSC may provide valuable insights into the functioning of CAM in a leaf exhibiting typical dual-cell C<sub>4</sub> anatomy.

*How many genes should be manipulated to engineer CAM into C<sub>4</sub> species?*

Among the genes analyzed in this study, only *PoPPC2* and *PoASPT2* were sharply up-regulated to sustain the ‘C<sub>4</sub>-CAM hybrid’ pathway in leaves of droughted *P. oleracea* plants. Therefore, one could argue that perhaps a relatively limited number of genetic manipulations may be required to engineer CAM into C<sub>4</sub> leaves. However, it is important to highlight that a relatively small number of genes were analyzed in this study; therefore, future studies exploring other core C<sub>4</sub>/CAM genes as well as accessory pathways (e.g., sugar metabolism, stomatal control) may provide a more comprehensive list of candidate genes for future attempts of engineering facultative CAM into C<sub>4</sub> crop species.

*What are the adaptive advantages of facultative CAM for a C<sub>4</sub> plant?*

Perhaps the best strategy to answer this fundamental question involves generating CAM-deficient *P. oleracea* mutants or transgenics. In this context, knockout/knockdown of *PoPPC2* and *PoASPT2* seem to be a promising venue to achieve CAM-deficient *P. oleracea* plants, whose development, metabolism and reproductive success under stressful conditions could be compared to wild-type counterparts. The use of organ-specific promoters for the generation of the knockdown lines may also facilitate dissecting the adaptive relevance of the CAM photosynthesis in *P. oleracea* leaves and stems.

We hope the findings presented in this Dissertation substantiate further advances in understanding the C<sub>4</sub>/CAM compatibility within a single individual, thereby instigating future attempts to incorporate facultative CAM-like traits into C<sub>4</sub> crop species via synthetic biology and other biotechnological tools.

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