



**Museu de Zoologia**  
Universidade de São Paulo

Vinícius Corrêa Espíndola

# **Phylogenetic relationship of the Anguilliformes (Teleostei: Elopomorpha) with an emphasis in cephalic morphology**

**Relações filogenéticas em Anguilliformes (Teleostei:  
Elopomorpha) com ênfase na morfologia cefálica**

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Advisor: Mario César Cardoso de Pinna, PhD.

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## ABSTRACT

Anguilliformes are a group of Teleostei fish with more than 1,000 species and 20 families. They were commonly known as eels, congers, and morays. The lack of consensus about the relationship hypotheses of a group in the last 40 years has mostly resulted from a lack of comprehensive studies bringing all families. Our study exposed the proposal for an integrated study of osteology and cephalic muscle and gill arches as a promising new source of characters to understand the intrarelationships of families which compound the order. With 108 terminal taxa, including representants of the 20 Anguilliformes families, the present study realized the most extensive morphological analysis ever made with the group, totalizing 248 phenotypic characters. The results generated a topology which the Anguilliformes order had strong support with 28 synapomorphies, indicating *Protanguilla* basal position been a sister group of remaining members of the order. One clade compound by long snout eels presented for the first time on the present study, which “Saccopharyngoidei” shared nine characteristics with Nemichthyidae. Synphobranchidae grouped with “Chlopsidae” (not recovered as a monophyletic group), Myrocongridae and Muraenidae, a new arrangement with support of two synapomorphies non-ambiguous. The present work also clarified the homology problem, such as palatine absent in Anguilliformes, opercle sutured to hyomandibular, interopercle associated to *levator operculi*, *adductor mandibulae segmentum facialis* and *adductor hyomandibulae* (= elevator muscles) identified in Saccopharyngoidei. Moreover, we observed the presence of some structure, still present in the remaining representants, not only found in *Protanguilla*, as pre-maxillae in *Derichthys* and gill rakers in Heterocongrinae. The results pointed out that muscles characters were an essential source in clarifying Anguilliformes relationships.



## RESUMO

Os Anguilliformes são um grupo de peixes teleósteos, com cerca de 1000 espécies e 20 famílias, popularmente conhecidos como enguias, congros e moreias. A ausência de consenso sobre as hipóteses de relações no grupo, durante os últimos 40 anos, decorreu em grande medida da falta de estudos abrangentes que reunissem todas as famílias. Nossa pesquisa expõe a proposta de um estudo integrado de osteologia e musculatura cefálica e dos arcos branquiais, como uma nova e promissora fonte de caracteres para entender as relações entre as famílias que compõem a ordem. Com 108 táxons terminais, incluindo representantes de todas as vinte famílias de Anguilliformes, o presente estudo realizou a maior análise morfológica já feita com o grupo, totalizando 248 caracteres fenotípicos. Os resultados geraram uma topologia na qual a ordem Anguilliformes tem um grande suporte de 28 sinapormorfias, indicando a posição basal de *Protanguilla* sendo grupo irmão dos demais membros da ordem. Um clado composto por enguias de focinho longo foi apresentado pela primeira vez no atual estudo, na qual os “Saccopharyngoidei” tiveram nove características compartilhadas com Nemichthyidae. Os Synaphobranchidae foram agrupados com “Chlopsidae” (não recuperado como grupo monofilético), Myrocongridae e Muraenidae, um arranjo inédito para a ordem com suporte de duas sinapomorfias não ambíguas. O presente estudo ainda esclareceu problemas de homologia, como a ausência de palatino em Anguilliformes, opérculo suturado a hiomandíbula, interopérculo associado ao *levator operculi*, *adductor mandibulae segmentum facialis* e *adductor hyomandibulae* identificados em Saccopharyngoidei. Além disso, observamos a presença de algumas estruturas, ainda presentes nos demais representantes, não somente em *Protanguilla*, como: pré-maxilla presente em *Derichthys* e rastros branquiais em Heterocongrinae. O resultado aponta que os caracteres musculares foram uma fonte importante nos esclarecimentos sobre as relações de parentesco de Anguilliformes.

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## 1. INTRODUCTION

Elopomorpha is composed of Elopiformes (tarpons), Albuliformes (bony fishes), Notacanthiformes (spiny eels) and Anguilliformes (eels, morays, congers, and gulper eels), the group occurs from tropical regions to polar seas, with most species marine or estuarine. The Anguilliformes comprises 1,009 species and 20 families in 156 genera (Fricke *et al.*, 2019), being the most heterogeneous subgroup of Elopomorpha, comprising about 90% of its species (Figures 1 and 2). Anguilliformes occur in a broad array of habitats, including brackish water, reef environments, fossorial benthic, pelagic, and abyssal (Helfman *et al.*, 2009). Wiley & Johnson (2010) compiled six synapomorphies corroborating the Anguilliformes as a monophyletic group.

Feeding habits of anguilliform are as diverse as their anatomy. Although typically carnivorous, they include an enormous variety of resources, including zooplankton (*e.g.*, *Heteroconger*, *Gorgasia*), crustacea and mollusks (*e.g.*, *Echidna*, *Eurypharynx*), fish and cephalopods (*e.g.*, *Gymnothorax*, *Muraena*), or generalist or necrophages (*e.g.*, *Anguilla*, *Simenchelys*) (Helfman *et al.*, 2009; Nelson *et al.*, 2016). Their feeding mechanism was the subject of investigation, for example, some species of *Echidna* have the pharyngeal jaw system (a specialization of the fourth arch) into a shell-crushing mechanism (Mehta & Wainwright, 2008). Other species can project their pharyngeal jaw as a functional second set of jaws to help food displacement to the esophagus (Mehta & Wainwright, 2007; Mehta & Wainwright, 2008; Johnson, 2019).

The bizarre anatomy of gulper eels (Saccopharyngoidei) has attracted attention. Both *Eurypharynx* and *Saccopharynx* can swallow prey with their tremendously swollen jaws, which can be over eight times the length of the skull (Nielsen *et al.*, 1989). The Saccopharyngoidei specializations are so extreme that Tchernavin (1947) expressed doubts about the taxa therein being bony fishes. Helfman *et al.* (2009) noted that Saccopharyngoidei lacks a multitude of

bones and bony complexes, such as opercular bones, symplectic, branchiostegals, maxillae, pre-maxillae, vomer, parasphenoid, scales, pelvic and pectoral girdles. The Monognathidae have additional unique specializations such as rostral fang and poisonous glands Bertelsen & Nielsen (1987).

The discovery of *Protanguilla palau*, prompted the anatomical diagnosis of an additional ten synapomorphies (two were previously maintained) for the Anguilliformes (Johnson *et al.*, 2012; Springer & Johnson, 2015):

1. body scales absent or embedded and arranged in a basket-weave fashion
2. Ethmoid fused with vomer
3. Pterotic extends anteriorly above prootic to contact pterosphenoid
4. Dermopalatine and autopalatine absent
5. Pectoral girdle displaced posteriorly
6. First pharyngobranchial absent and pharyngobranchials without uncinata processes
7. Gill arches free from braincase and displaced posteriorly
8. Opercular series characterized by a distinctive pattern
9. Uppermost branchiostegals curving dorsally behind
10. Posterior ceratohyal almost equal to or longer than anterior ceratohyal
11. Branchiostegals more numerous on the posterior than on the anterior ceratohyals
12. Posteriormost one to four branchiostegals with spatulate expansions distally
13. Dorsal part of suture between anterior and posterior ceratohyals deflected posteriorly
14. Interhyal absent
15. Angular, articular and retroarticular fused into a single bone
16. entopterygoid absent;
17. Post-temporal absent
18. Two pairs of upper pharyngeal tooth plates present and autogenous (not fused to pharyngobranchials)

19. epurals absent;
20. one or no hypohyals;
21. dorsal and anal fins confluent;
22. less than eight rays in each caudal-fin lobe;
23. pyloric caecum absent;
24. nasal opening considerable separated, the posterior slightly anterior to the orbit;
25. branchial membrane united over isthmus, restricted opening;
26. Hypobranchial 3 cartilaginous or absent; accessory element 4 absent (lost independently in *Albula* and *Lipogenys*).

## 1.1 – History

### 1.1.1 – Morphological and Anatomical Analyses

One of the first studies about anguilliform osteology and morphology is Cope (1871, 1884), who named them Apoda subdivided into two groups: Enchelycephali (Anguillidae) and Colocephali (other eels, including Eurypharyngidae). Gill (1889a, b, 1890a, b, c, e) made a great series of publication on the anatomy and relationships of Muraenidae, Anguillidae, Muraenesocidae, Synphobranchidae, and Notacanthiformes. Gill & Ryder (1883, 1884) published two paper on saccopharyngoids, focused mostly on general anatomy and relationships with Lyomeri.

Regan (1909) proposed two new groups, the Halosauridae, and Notacanthidae + *Lipogenys* (=Heteromi). In the same publication, anguilloids (Apodes) were diagnosed by the lack of premaxillae, and anguimorph body, a fixed quadrat, the maxilla articulating with a fixed

ethmo-vomer, and the pectoral girdle free from the neurocranium. Regan (1909) mentioned the presence of pre-maxillae in *Derichthys* and was “skeptical” about its inclusion in Anguilliformes, despite their similarities. Regan (1909) also proposed that Saccopharyngoidei (=Lyomeri), in lacking parietals, and having transverse processes ankylosed with vertebral centra and restricted gill-openings based on hyomandibular position, and broad skull, are derived from Apodes. Regan (1912) reviewed his character and proposed an identification key to the 16 families of Apoda. The author also positioned Saccopharyngoidei in Lyomeri, divided Anguilliformes (=Apoda) into two suborders: Anguilloidei and Nemichthyoidei.

Bertin (1932a, b, 1934, 1936, 1938) published a series of works on adults and larvae of Anguilliformes, mostly saccopharyngoids and described a new family, Monognathidae. The author drew an interesting parallel between the jaw mechanism in *Saccopharynx* and snakes. Saccopharyngoids (=Lyomeri) was the object of a study by Tchernavin (1947), with a focus on bones, muscles, branchial arches, and viscera, with ensuing implications for phylogenetic relationships.

Berg (1940) changed Apoda to Anguilliformes, and Saccopharyngiformes to Lyomeri. The same author divided Anguilliformes into two suborders: Anguilloidei (ventral fin absent) and Nemichthyoidei (supraoccipital absent, supracleithrum absent, and scapula and coracoid unossified).

Trewavas (1933) did substantial research on different families of eels and proposed a new classification of fresh eels. Greenwood *et al.* (1966) proposed four anguilliform groups: Anguilloidei, Saccopharyngoidei, Elopiformes (Elopoidei and Albuilloidei) and Notacanthiformes, and first used the name Elopomorpha. Later, Patterson & Rosen (1977) included Saccopharyngoidei in Anguilliformes, corroborating Elopomorpha as a monophyletic group and discussing the relationship among the orders (Figure 3a). Lauder & Liem (1983) proposed Anguilliformes based on the following features: (1) leptocephalus larvae; (2) angular and retroangular fused on lower jaw; and (3) rostral and prenasal ossicles. The Elopomorpha



was divided in Albuloidei, with Albulidae + (Notacanthidae + Halosauridae) and Anguilloidei (Anguillidae + Saccopharyngoidei).

The extensive book publication about larvae generated three relevant studies about Elopomorpha and Anguilliformes were performed by (1984), Smith (1984) and Leiby (1984), which the first one raised some osteological characters. Castle (1984) pointed out the characters for Anguilliformes relationships leptocephalus nevertheless without an algorithm or cladogram, many of them overlapping characteristics. In another chapter, Smith (1984) raised larvae characters of Elopiformes, Noatacanthiformes, and Anguilliformes. Such a study was the first phylogenetic analysis using parsimony algorithm, with outstanding results. In this proposed, Serrivomeridae and Nemichthyidae formed a monophyletic group, another group formed by Muraenidae, Myrocongridae and Chlopsidae (=Xenocongridae), and additional clade with anguillids, moringuids, and heterenchelyids. Smith (1984) indicated that Colocongridae and Muraenosocidae share congrid characters, a similar hypothesis with Nettastomatids. Essential thorough research performed by Leiby (1984) was an extensive larvae examination, establishing a comprehensive anatomical feature among Ophichthidae species and increasing knowledge on leptocephalus structures development fusion, absence, or loss, such as pre-maxillae and ectopterygoid. Furthermore, that research provides a cladogram based on the light of the results of the leptocephalus of the 51 genera of ophichthids and corroborating the two subfamilies (Ophichthinae and Myrophinae) proposed by McCosker (1977).

Böhlke *et al.* (1989) provided taxonomic and osteological s of adults (part 1) and larvae (part 2) of Anguilliformes and Saccopharyngiformes. All families of Anguilliformes and Saccopharyngiformes were included, with identification keys, species diagnosis, and geographical distributions (Bertelsen *et al.*, 1989; McCosker *et al.*, 1989a, b; Robins & Robins, 1989a, b; Smith, 1989a, b, c, d, e, f, g, h; Smith *et al.*, 1989; Tighe, 1989). Robins (1989) raised 42 osteology and external morphological items, cited the Anguilliformes relationship with outgroup Elopomorpha, based on six synapomorphies, notwithstanding his survey not included Saccopharyngiformes within eels, nevertheless only four are synapomorphies (listed below).

However, it was not performance characters exhaustive searches, matrix analysis, or characters polarization. That contribution divided Anguilliformes into three suborders: Congroidei, with frontal bones, fused; Muraenoidei, with frontal bones, separated, branchial elements reduced, lateral line absent and scales absent; and Anguilloidei, with frontal separated. Saccopharyngiformes also was divided into two suborders: Cymatoidei, with lateral pores absent, relatively small and compressed body; and Saccopharyngoidei, with quadrate extremely long and pharynx extensible (Figure 3b).

Forey *et al.* (1996) listed morphological and molecular characters of Elopomorpha placing Saccopharyngoidei within Anguilliformes (Figure 3c). Forey *et al.* (1996) raised six synapomorphies for Anguilliformes:

1. extrascapular canal absent;
2. symplectic fused to quadrate;
3. branchial arches displaced posteriorly and free from neurocranium;
4. gill rakers absent;
5. pelvic girdles and fins absent;
6. body scales absent or embedded and arranged in basket-weave fashion.

In an unpublished thesis, Belouze (2002) compiled characters from many previous studies, including osteology, nerves, and circulatory system, in a total of 123 characters. The phylogenetic hypothesis included 30 Recent and 20 fossil anguilliforms, plus outgroup taxa (Figure 4). Shortly after that, Belouze *et al.* (2003) offered an extensive anatomical of †*Anguillavus quadripinnis* Hay, 1903, †*A. mazoni* and †*Luenchelys minimus*.

The first study on the cephalic musculoskeletal system in Anguilliformes was Eagderi (2010), an unpublished Ph.D. thesis. It included 67 specimens in seven different families: Anguillidae, Nettastomatidae, Heterenchelyidae, Congridae, Moringuidae, Ophichthidae and Eurypharyngidae.

Branchial arches in anguilliforms were studied by Nelson (1966, 1967), who suggested the recognition of three different lineages: Anguilloid, Synaphobranchioidei, and Congroidei. Nelson (1966) also recognized two subfamilies in Muraenidae: Muraninae (basibranchials and hypobranchials absent) and Uropterygiinae (basibranchials absent and only hypobranchials 1 and 2 present). Nelson (1967) described the muscles of five families in Anguilliformes (Congridae, Anguillidae, Moringuidae, Xenocongridae, and Muraenidae), reporting the presence of the retractor dorsal and ventral muscles in Congridae and a second insertion of the vertebrae associated with pharyngeal jaws in Muraninae.

Springer & Johnson (2004) published a comprehensive, detailed comparative anatomy of the dorsal branchial arches in various groups of bony fishes (Teleostei), including one species each of Congridae, Anguillidae, and Synaphobranchidae. The authors clarify various homology and nomenclatural problems.

Another unique and poorly explored clade is the pugnose eel *Simenchelys parasitica* Gill 1879 (family Synaphobranchidae, subfamily Simenchelyinae). That deep-water species has a unique behavior among Anguilliformes and is a parasite and scavenger. Eadgeri *et al.* (2016) offered detailed musculoskeletal anatomy and tissue analysis comparing *Ilyophis brunneus* Gilbert 1891 (Ilyophinae) and *Synaphobranchus brevidorsalis* Günther 1887 (Synaphobranchinae).

Mehta & Wainwright (2007a, b, 2008), based on species of Muraenidae, described the functional role of branchial arches as a second jaw (=pharyngeal jaw or raptorial jaw). The upper and lower tooth plates, pharyngobranchial, ceratobranchial and epibranchial of the fourth arch; the muscles associated as *levator internus* 4, *levator externus* 4, *obliquus dorsalis* 4, *rectus communis*, *dorsal retractor*, *sternohyoideus*, and *adductor dorsalis* 5, are so that the entire structure is capable of projecting until the middle of the orbit. Mehta & Wainwright (2008) reported on the ecomorphology of ten species of Muraenidae, piscivorous, or shell-crushers. Carnivorous species exhibits long jaws, small heads, long curved tooth, processing food with the pharyngeal jaws. Shell-crushing morays have short jaws, short, round teeth, and handle prey

with the oral jaws. Johnson (2019) provided an extensive revision of Muraenidae branchial muscles, reviewing terminology and anatomical nomenclature of Mehta & Wainright (2007a, b, 2008)

New anguilliform synapomorphies were proposed by Johnson *et al.* (2012), along with the of *Protanguilla palau*, known only from reef caves in Palau, Pacific Ocean. The new taxon is the sister group to the rest of the order, with three plesiomorphies found only in Cretaceous Anguilliformes: gill rakers (not seen in living Anguilliformes). The authors propose that *Protanguilla* diverged from the remaining anguilliforms in the Triassic-Jurassic, about 220 million years ago (Figure 5). Additional synapomorphies for Anguilliformes from branchial arches muscles suggested by Springer & Johnson (2015).

Da Silva & Johnson (2018) recently explored the pectoral-fin skeleton. The authors described the pectoral girdles of *Anguilla* and *Nemychthys*, correcting previous misinterpretations.

Despite the plethora of work on Recent Anguilliformes, merely two authors focused on morphological characters (Böhlke *et al.*, 1989; Belouze, 2002).

### 1.1.2 – Molecular Analyses

The molecular results proposed by Obermiller & Pfeiffer (2003) did not recover Elopomorpha as a monophyletic group (Figure 6), also Saccopharyngoidei in their order. The hypotheses of the relationships of Anguilliformes inferred from morphological characters according to Filleul & Lavoué (2002) pointed out Muraenidae as basal clade and Saccophayngiformes within Anguilliformes (Figure 7).

Tang & Fielitz (2012) proposed Saccopharyngoidei (*sensu* Forey *et al.*, 1996) as a sister group to Anguilloidei (Moringuidae, Serrivomeridae, Nemichthyidae, Saccopharyngoidei, and Anguillidae), Congridae as a paraphyletic group and *Protanguilla* as a sister group of Synphobranchidae (Figure 8).

After decades of pitched contention over the interrelationships of Anguilliformes, Chen *et al.* (2014) and Santini *et al.* (2013) tested some maximum likelihood analyses using nuclear and mitochondrial gene sequences to evaluate the phylogenetic relationship in Elopomorpha (Figures 9 and 10). In the two papers, Chen *et al.* (2014) and Santini *et al.* (2013) gathered *Protanguilla*, and Synphobranchidae in the same clade, and this clade as the sister group of the rest of all Anguilliformes, likewise Congridae and Muraenosocidae non-monophyly. In the light of what was written by Chen *et al.* (2014) and Santini *et al.* (2013) grouping saccopharyngoids and anguillids together. It may perhaps be observed that the position of "*Thalassenchelys*" in Chen *et al.* (2014) as the sister group of *Coloconger*, however, in Santini *et al.* (2013) the big mouth larvae are related to *Derichthys*. All researches mentioned herein recovered the Muraenosocidae and Ophichthidae together.

Many investigations have strived to determine the position of the most bizarre group of the deep-water fish, the Saccopharyngoidei, composed of Cyematidae, Monognathidae, Eurypharyngidae, and Saccopharyngidae. Their remarkable modifications include exceptional bone reductions, fusions, or losses (Tchernavin, 1947a, b; Nilsen *et al.*, 1989). The leptocephalus stage of saccopharyngoids is still undescribed. Poulsen *et al.* (2018), based on mitogenomic sequence data, performed molecular and morphological analysis over "*Leptocephalus holti*," the *incertis sedis* larvae, however, the authors pointed out these specimens as larval stage of one-jaw eels of the Monognathidae. Poulsen *et al.* (2018) also generated a cladogram in which Neocyematidae is more closely related to Eurypharyngidae and Saccopharyngidae. In that study, *Protanguilla* is not a basal group among Anguilliformes, but rather sister group of Synphobranchidae.

Lü *et al.* (2019) sequenced the complete mitochondrial genome of *Ophichthus brevicaudatus* Wu & Jin 1981 and proposed a hypothesis of phylogenetic relationships of Anguilliformes including 45 species in 16 families, but not included *Protanguilla palau* in their analysis, also chose Saccopharngoidei as an outgroup. The results pointed out that a group composed by Synaphobranchidae + (Heretenchelyidae + (Myrocongridae + Muraenidae), another monophyletic clade gathering Nemychthidae + (Serrvomeridae + Anguillidae) this one as a sister group of all Anguilliformes (Figure 11).

Worthy of mentioning in all molecular analysis presented here, the Congridae not recovered as monophyletic, and the Muraenosocidae and Ophichthidae hypothesized as sister groups.

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