## MARCIO BARBADO JUNIOR

# Efficient Gaussian sampling for the construction of lattice-based post-quantum cryptosystems 

Revised Version

Dissertation submitted to Escola Politécnica da Universidade de São Paulo fulfilling the requirements for the degree of Master of Science.

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Concentration area: Computer Engineering

Supervisor: Pedro Luiz Pizzigatti Corrêa

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## RESUMO

Com o avanço da computação quântica, a segurança computacional de esquemas criptográficos assimétricos clássicos amplamente utilizados, como os baseados em problemas de fatoração de inteiros e logaritmo discreto, encontra-se sob ameaça. Por essa razão, pesquisadores na área de criptografia têm buscado esquemas alternativos resistentes a ataques quânticos. Nesse cenário, abordagens criptográficas tais quais as baseadas em teoria de reticulados, que até então eram menos usadas por serem consideradas computacionalmente custosas, recuperam a atenção dos criptógrafos, e passam a figurar como opções viáveis. Este trabalho tem como objetivo contribuir para consolidar essa retomada na adoção da abordagem baseada em reticulados. Especificamente, tem-se como alvo a formulação do problema de aprendizado com erros em anel (ring learning with errors), aqui usada para a produção de erro, o que propicia a criação de chaves criptográficas supostamente mais seguras. As referidas chaves são formadas como polinômios de coeficientes produzidos através de amostragem, realizada em uma função de probabilidade associada a uma distribuição gaussiana. A construção de amostradores dessa natureza é parte da maioria dos projetos criptográficos baseados em reticulados, e frequentemente representa duas barreiras principais: um gargalo de eficiência, e um risco de vazamento de informação devido a ataques de canal colateral baseados em temporização. Procura-se reduzir a barreira de ineficiência através de técnicas para a aceleração da convergência do teorema central do limite durante as criações de distribuições normais, e também através do emprego da transformada rápida de Walsh-Hadamard para a geração de valores aleatórios. Já o vazamento de informação por ataques de temporização tem seu risco atenuado pela implementação (em software) da primitiva como um gerador de números aleatórios com rotinas isócronas. Métricas estatísticas clássicas empregadas mostram os benefícios do esquema e sua adequação, quando comparado a uma amostragem gaussiana discreta baseada na tabela de distribuição acumulada, aqui considerado o método de referência, dada a sua adoção em diversos esquemas criptográficos baseados em reticulados. Testes com até $2^{23}$ amostras são conduzidos, e os resultados são favoráveis ao amostrador aqui apresentado.

Palavras-chave: Criptologia. Aprendizado com erros em anel. Amostragem gaussiana discreta. Teorema central do limite. Transformada rápida de Walsh-Hadamard.


#### Abstract

As quantum computing advances, the computational security of widely adopted classic cryptographic schemes, like the asymmetric ones based on integer factorization and discrete logarithm problems, is put at risk. For that reason, cryptography researchers seek alternatives to resist quantum attacks. In that scenario, cryptographic approaches like the one based on lattice theory, mostly despised until then for being considered too computationally costly, regain the attention of cryptographers as viable alternatives. This work aims at contributing to consolidating that lattice-based approach resumption. Specifically, focus is given to the ring learning with errors problem formulation, explored herein for artificial noise generation, which propitiates stronger cryptographic keys. The referred keys are built as polynomials, whose coefficients are produced through sampling from a probability mass function, associated with a truncated normal distribution. Crafting a sampler like that, called Gaussian, is a part of most lattice-based cryptographic projects, and it often imposes two major barriers: an efficiency bottleneck, and an information leakage risk due to side-channel timing attacks. Part of the efficiency problem is overcome by accelerating convergence of the central limit theorem in the creation of a normal distribution, and by obtaining samples through a fast Walsh-Hadamard transform strategy. As for the information leakage risk, it is mitigated via software implementation of isochronous routines in the random number generator. Classic statistical metrics are employed to show the advantages and suitability of the scheme, when compared to a cumulative distribution table sampler, here considered as a reference, given the fact it is used in many lattice-based cryptographic schemes. Tests with up to $2^{23}$ sampling queries are conducted, and the results are favorable to the sampler presented herein.


Keywords: Cryptology. Ring learning with errors. Discrete Gaussian sampling. Central limit theorem. Fast Walsh-Hadamard transform.

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## LIST OF ABBREVIATIONS AND ACRONYMS

| AES | Advanced Encryption Standard |
| :---: | :---: |
| BDD | Bounded Distance Decoding |
| BKZ | blockwise Korkine-Zolotarev |
| BLISS | Bimodal Lattice Signature Scheme |
| CDT | cumulative distribution table |
| CLI | command line interface |
| CLT | Central Limit Theorem |
| CPU | central processing unit |
| CRYSTALS | cryptographic suite for algebraic lattices |
| CVP | closest vector problem |
| DDG | discrete distribution generating |
| DFT | discrete Fourier transform |
| DGS | discrete Gaussian sampling |
| DH | Diffie-Hellman |
| DiGS | discrete Gaussian sampler |
| DSA | Digital Signature Algorithm |
| ECC | elliptic curve cryptography |
| ECDSA | elliptic curve digital signature algorithm |
| EPUSP | Escola Politécnica da Universidade de Sã |


| FALCON | fast Fourier lattice-based compact signatures over NTRU |
| :---: | :---: |
| FFT | fast Fourier transform |
| FLOPS | floating point operations per second |
| FWHT | fast Walsh-Hadamard transform |
| GCC | GNU Compiler Collection |
| GGH | Goldreich, Goldwasser, and Halevi |
| GMP | GNU Multiple Precision Arithmetic Library |
| GSL | GNU Scientific Library |
| IoT | Internet of Things |
| IV | initialization vector |
| LLL | Lenstra-Lenstra-Lovász |
| LWE | learning with errors |
| MLE | maximum likelihood estimator |
| Module-LWE | module learning with errors |
| Module-SIS | module short integer solution |
| NIST | National Institute of Standards and Technology |
| NP | nondeterministic polynomial |
| P2P | peer-to-peer |
| PDF | probability density function |
| PKI | public-key infrastructure |
| PMF | probability mass function |
| PoC | proof of concept |


| PPGEE | graduate program in electrical engineering |
| :--- | :--- |
| PRNG | pseudo-random number generator |
| r.v. | random variable |
| Ring-LWE | ring learning with errors |
| RLWE | ring learning with errors |
| RNG | random number generator |
| RSA | Secure Hash Algorithm |
| SHA | short integer solution problem |
| SIS | shortest independent vector problem |
| SIVP | Unique shortest vector problem |
| SVP | Universidade de São Paulo |

## LIST OF SYMBOLS

$\beta \quad$ an exponent responsible for determining the number of coefficients each polynomial is formed of, e.g., a polynomial formed by $2^{\beta}$ coefficients.
$\eta \quad$ lowercase eta is a matrix, specifically the initialization vector to be transformed.
$\mu \quad$ lowercase mu is the mean for a given normal probability distribution.
$\sigma \quad$ lowercase sigma is the standard deviation for a given normal distribution.
$\sigma^{2} \quad$ the variance for a given normal distribution.
$\tau$ lowercase tau is the tail-cut factor for a given normal distribution.
$D \quad$ the random variable of a normal distribution, discrete case.
$\mathcal{D}$ normal distribution, discrete case.
$g(\omega) \quad$ a mathematical function of frequency.
$\ell \quad$ the number of cycles on a given stage of the fast Walsh-Hadamard transform algorithm presented herein.
$N$ the random variable of a normal distribution, continuous case.
$\mathcal{N}$ normal distribution, continuous case.
$O$ the origin of an Euclidean space.
$P(x) \quad$ a probability function.
$R_{q} \quad$ finite commutative ring of polynomials modulus $q$.
$s$ the sample size.
$S \quad$ Gaussian parameter.

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## 1 INTRODUCTION

This work addresses efficiency and security issues related to post-quantum cryptography or quantum-safe cryptography, i.e., cryptographic schemes that are supposedly resistant against quantum computer attacks. Such schemes can be built upon different types of computational problems. This work is interested in problems arising from lattice theory (GRÄTZER, 2011; WEHRUNG et al., 2016), which form what is called the lattice-based cryptography.

As for this introductory chapter, it firstly presents historical moments regarding the subject, which support the following section about motivation. The motivation section also includes a short description of the problem involved, which completes the stimulus explanation. Next section presents the goal, followed by related works and method. Finally, as our research report enforces the style of presenting something presumably better, this chapter concludes in Section 1.6 with a brief description of the contribution herein provided.

### 1.1 A brief history of lattice-based cryptography

Until circa 1990, mathematical theories supporting lattices were mostly used in cryptology for cryptanalytic purposes (ODLYZKO, 1990). That scenario changed after Peter Shor described polynomial-time quantum solvers able to deal with widelyadopted problems (SHOR, 1994; SHOR, 1996), namely the discrete log problem and the factoring problem. The discrete log problem serves as the basis for elliptic-curve
cryptography (ECC) (KOBLITZ, 1987) and for the Digital Signature Algorithm (DSA) standard (KERRY; GALLAGHER, 2013), whereas the factoring problem serves as the basis for RSA (RIVEST; SHAMIR; ADLEMAN, 1978). What the solvers of Shor represent since then are risks to the asymmetric cryptography practiced in regular computers. Such risks are inflicted by the supposed existence of quantum computers. Then, quantum-resistant cryptographic approaches proved to be necessary.

In 1996, an answer addressing the referred theoretical adversary is provided by (AJTAI, 1996), which presented a quantum-safe lattice-based exploration of a problem known as short integer solution (SIS). It served as the basis for the NTRU public-key cryptosystem (HOFFSTEIN; PIPHER; SILVERMAN, 1998).

In the year 2000, an NTRU patent application is granted in the United States of America (HOFFSTEIN; PIPHER; SILVERMAN, 2000; HOFFSTEIN; PIPHER; SILVERMAN, 2020). Later on, a few relevant studies arose, related to that new kind of cryptography, e.g., the NTRU-like cryptosystem known as GGH (GOLDREICH; GOLDWASSER; HALEVI, 1997). Though, the machines supposed to take advantage of the quantum routines described by Shor seemed distant from reality, and advancements occurred slowly. In 2008, more than a decade after (AJTAI, 1996), a standard from the Institute of Electrical and Electronics Engineers (IEEE) corroborated the lattice-based alternative (WHYTE et al., 2008). In the same year, a reference book covering quantum-resistant cryptographic approaches, including lattice-based ones, is published (BERNSTEIN et al., 2008). The referred group of approaches are generically called post-quantum.

As quantum computing approached feasible implementations, the threat of quantum attacks became imminent, and in 2016, the National Institute of Standards and Technology (NIST), a United States federal agency, publish a standardization call for post-quantum cryptography candidates (KIMBALL, 2016; CSRC, 2019). As a result,
a fierce competition took place ${ }^{1}$.

### 1.2 Motivation of this work

Given the relevance of lattice-based cryptography, and its learning with errors computation problem (LWE) (REGEV, 2009), it is appropriate to focus research efforts for the benefit of asymmetric cryptography. As mentioned, this work is about the LWE problem, precisely in its algebraically structured variant named ring learning with errors (RLWE) (LYUBASHEVSKY; PEIKERT; REGEV, 2013b; PEIKERT; PEPIN, 2019). The LWE problem consists in distinguishing between two types of equations: truly random ones, and those whose underlying structure have been masked by some controlled amount of noise. The RLWE problem has an analogous formulation, the main difference being that the underlying structure hidden involves polynomial rings. The interest in the RLWE problem lies in the fact that, besides being conjectured to be as hard as LWE for quantum computers, it also facilitates the construction of efficient cryptographic schemes. Not surprisingly, the RLWE assumption is adopted by many submissions to the NIST competition, as further discussed in Section 1.4. Still, it has some relevant shortcomings. Often, the generation of random values in RLWE-based cryptosystems make use of discrete Gaussian sampling (DGS) routines, which may suffer from efficiency issues (DWARAKANATH; GALBRAITH, 2014; ORTIZ, 2016), and may be vulnerable to timing attacks (BERNSTEIN et al., 2008; ORTIZ, 2016; ALKIM et al., 2019; PÖPPELMAN et al., 2019; ZHAO; STEINFELD; SAKZAD, 2020).

[^0]
### 1.3 Goal

The goal is to elaborate a new and competitive discrete Gaussian sampling strategy for lattice-based cryptography. Firstly, this new DGS strategy intends to offer more efficient parameter setups, which intends to be accomplished in a relative manner, through comparison against a widely-accepted reference DGS strategy. Such comparison is established through measures of central processing unit (CPU) cycles. Secondly, the DGS construction presented in this work intends to be resistant against timing sidechannel attacks. Evidences of the need for efficiency and security improvements in the DGS primitive are being provided by many state-of-the-art works, mostly stimulated by the post-quantum cryptography standardization process being promoted by NIST (CSRC, 2019). Both the justification for the DGS efficiency goal, and the justification for addressing the referred side-channel vulnerability, are provided in 1.2. Lastly, it is also in interest of this work to publish obtained results, so as to contribute with the cryptography community.

### 1.4 Related works

The following paragraphs present the main sources this work benefits from. It starts presenting essential lattice-based cryptography material, which paves the way for the specific subjects used here. Following, it focus on LWE and RLWE works, and then in discrete Gaussian sampling, which constitutes a major source of inefficiency problems for LWE and RLWE cryptographic schemes. Next, the works on the Central Limit Theorem (CLT) are presented, for that subject is explored as a means of achieving advantageous efficiency. Another subject whose related works are presented is the fast Walsh-Hadamard transform (FWHT), which connects all other topics, since it is used here as the structure of an alternative lattice-based DGS strategy, and it also stands as a resourceful artifice towards efficiency improvements.

### 1.4.1 Lattice theory in cryptography

A panorama of lattice-based cryptography previous research efforts in Brazil is given in (ORTIZ; ARANHA; DAHAB, 2015; BARGUIL, 2015; ORTIZ, 2016).

Recent efforts focusing on the trade-off between efficiency and security for state-of-the-art lattice-based schemes can be found in (ALBRECHT et al., 2018; ALBRECHT et al., 2019), which offer comparison benchmarks, and the possibility of simulating a few parameter combinations for NIST candidate submissions. The latter works helped in modeling the Gaussian sampling approach hereby presented, as further discussed in Section 3.2.

### 1.4.2 Learning with errors and ring learning with errors

In 2009, the learning with errors (LWE) problem formulation was presented to the world (REGEV, 2009). A few months after, the same author, Regev, along with Lyubashevsky and Peikert came up with the first formulation of the ring learning with errors (RLWE) problem, which has an updated text in (LYUBASHEVSKY; PEIKERT; REGEV, 2013b). In the following year,(BARRETO et al., 2014) was published, consisting in a chapter whose information is presented in a straightforward manner, assisting the present work. Lastly, a fortunate presentation helped in understanding how the noise vector is mathematically constructed (DING, 2019).

### 1.4.3 Discrete Gaussian sampling in lattice-based cryptography

Comprehensive web pages, listing works about discrete Gaussian sampling (DGS) in lattice-based cryptography can be found on (MICCIANCIO, 2019; BERNSTEIN et al., 2021). A historical perspective of optimizations is available in (FOLLÁTH, 2014). Prominent lattice-based cryptography works that rely on DGS are the Bimodal Lattice Signature Scheme (BLISS) digital signature scheme (DUCAS et al., 2013), the
qTESLA digital signature scheme (ALKIM et al., 2019; AKLEYLEK et al., 2019), the FrodoKEM key encapsulation or key exchange scheme (ALKIM et al., 2020), and the Falcon digital signature scheme (HOWE et al., 2019; FOUQUE et al., 2020). BLISS uses the SIS problem assumption, and its DGS is based on inversion and rejection. The qTESLA scheme uses the RLWE problem assumption, and its DGS is based on CDT and rejection. Falcon uses a SIS over NTRU problem assumption, and its sampling strategy is based on the fast Fourier transform, i.e., it uses a trapdoor sampler, which requires floating-point arithmetic, but it also relies on DGS with CDT and rejection. Those related works comprise cryptographic schemes with embedded samplers.

There are also related works about standalone samplers. It is the case of the GALACTICS sampler (BARTHE et al., 2019), and the FACCT sampler (ZHAO; STEINFELD; SAKZAD, 2020), both being based on the BLISS embedded sampler. It is also the case of (SUN et al., 2021), which offers an alternative sampler for Falcon. Besides the standalone samplers inspired by previously existing cryptographic schemes, there is COSAC (ZHAO; STEINFELD; SAKZAD, 2019), a Gaussian sampler from the creators of FACCT, which is not based on previously existing works.

Additionally,(WANG; LYU; LIU, 2019) presents the DGS topic from an information theory perspective, and (CHEN, 2019) approaches the decision versions of RLWE with possible DGS primitives, questioning the hardness for some cyclotomic number fields and specific DGS interval widths. Further insights on DGS implementation, regarding generic and specific prerequisites, e.g., isochrony and CDT implications, are offered by (REPARAZ; BALASCH; VERBAUWHEDE, 2016; KARMAKAR et al., 2018; MICCIANCIO; WALTER, 2018; WANG; LING, 2019), and also by two C language implementations, namely the GNU Scientific Library (GSL) (GALASSI; THEILER, 2019), and the Open Quantum Safe library (liboqs) (STEBILA; MOSCA, 2021).

A comparison of sampling strategies among related works is present in Table 1.

The hereby proposed work is referred to as "DiGS" in the table, which is short for "discrete Gaussian sampling".

Table 1: DGS base strategies for DiGS and related works.

| Sampler | Assumption | DGS strategy |
| :--- | :--- | :--- |
| DiGS (ours) | RLWE | FWHT |
| BLISS (DUCAS et al., 2013) | SIS | Inversion and rejection |
| FACCT (ZHAO; STEINFLD; SAKZAD, 2020) | generic | Inversion and rejection via CDT |
| Falcon (FOUQUE et al., 2020) | SIS over NTRU | CDT and rejection |
| FrodoKEM (ALKIM etal., 2020) | LWE | CDT |
| qTESLA (ALKIM et al., 2019) | RLWE | CDT and rejection |

Source: author (2023).

### 1.4.4 General central limit theorem

Some efforts in the present work are motivated by (DWARAKANATH; GALBRAITH, 2014), which constitutes one of its initial influences, regarding the use of the general central limit theorem (CLT). Then, (BHARUCHA-REID; SAMBANDHAM, 1986) added valuable information about the relationships among the CLT, the number of zeros in random polynomials, and also the products and sums of random companion matrices. Resources for getting to understand the CLT formally are (BILLINGSLEY, 1961; BILLINGSLEY, 1995; COVER; THOMAS, 2006). Those works present a number of CLT enunciations, e.g., the Lindeberg-Lévy, and the Lyapounov theorems, both assuming probability distributions in asymptotic contexts, but independent random variables with high probability of being small. Insights are provided by (BERRY, 1940), which affords relevant roles to both the second and third order absolute moments of a given Gaussian distribution, respectively variance and skewness, regarding its least upper bound. Earlier works seeking applications of the CLT for fast generation of Gaussian random variables were found in (RADER, 1969). In it, the author presents an Hadamard transform sampling strategy for a context in which many random variables are necessary, but only one is obtained by conventional means.

### 1.4.5 The fast Walsh-Hadamard transform as a shuffling strategy

Regarding the fast Walsh-Hadamard transform (FWHT), didactic resources to support this work are (SYLVESTER, 1867; PRATT; KANE; ANDREWS, 1969; RADER, 1969; HARWIT; SLOANE, 1979; EVANGELARAS; KOUKOUVINOS; SEBERRY, 2003; LU et al., 2013). Hadamard matrices and the Sylvester method originate in (SYLVESTER, 1867). The FWHT is detailed in 2.4.1.

Random variable generation by means of the Hadamard matrix is found both in (RADER, 1969) and in the appendix of (HARWIT; SLOANE, 1979), the latter being more didactic. Besides, it also covers the relationships between Hadamard matrices and Walsh functions, the Hadamard transform, and its fast variant. In (EVANGELARAS; KOUKOUVINOS; SEBERRY, 2003), it is also possible to study how Hadamard matrices relate to Walsh functions. Additionally, the referred work suggests FWHT as a potential helper in speeding up Hadamard transforms.

In the search for efficiency, even the image compression subject was studied. As a matter of fact, FWHT is a long known solution for such purpose (PRATT; KANE; ANDREWS, 1969).

Finally, the work in (LU et al., 2013) has contributed to arouse interest in the FWHT. The referred article uses the transform in an alternative ridge regression algorithm, and results are compared against other constructions, e.g., a general ridge regression algorithm, a standard principal component algorithm, and a randomized principal component algorithm. Comparisons are established, based upon measures of floating point operations per second (FLOPS). Concerning the general ridge regression algorithm, computational gains of 70 percent are reported, favoring the FWHT approach. The proposed construction is also favored against the principal component algorithms in all of the tested setups. Computational gains of at least 82 percent are reported.

### 1.5 Method

For this work, a scientific method is enforced. It assumes there are efficient discrete Gaussian sampling parameter setups, which take advantage of a fast Fourier transform (FFT) in its sampling strategy. Namely, the FFT used herein is the fast Walsh-Hadamard transform (FWHT). In the pursuit of the goal presented in Section 1.3, computer routines are then built to test the referred hypothesis, according to Chapter 3. As reference for comparison purposes, a sampler based upon cumulative distribution table (CDT) is used, due to CDT being adopted by many state-of-the-art lattice-based schemes. Further details are present in Table 1.

### 1.5.1 Steps

The experimental approach is organized in steps, corresponding to the next enumerated item list, all of them being eligible to suffer interventions by the author.
i) Problem identification.

Mostly built upon critical reading and reasoning, added to discussion activities with supervisor and other students.
ii) Hypothesis and prediction.

As presented in Section 1.4, the fast Walsh-Hadamard transform (FWHT), and the Central Limit Theorem (CLT) can be used to improve the efficiency of DGS. In this step, the mathematics to support this work is elaborated, and an initial parameter setting, described in Section 3.2 is defined.
iii) Prototyping.

Mathematical formalization, produced by hypothesis and prediction, is implemented in isochronous computer routines. Initial values for input variables, including the initial probability density function (PDF), follow the initial param-
eter setting present in Section 3.2. Then, two distinct sampling strategies are implemented, a CDT-based, which is the default trustworthy construction for comparisons, and an FWHT-based, which is the one presented by this work.
iv) First sampling.

Computer routines implemented in the prototyping step are used to generate data by means of sampling. This is done by sampling the initial PDF with both CDT and FWHT strategies, in order to build two probability mass functions. The probability mass function (PMF) is a discrete version of a probability density function (PDF). Next, the CDT PMF and the FWHT PMF are compared with the algebraic PDF. In the scope of this work, that is called a first-level comparison One bad PMF invalidates the process, which should then start over.
v) Second sampling.

The qualified CDT PMF and FWHT PMF are tested and compared against each other. In the scope of this work, that is called a second-level comparison The metrics of quality and efficiency are obtained from output variables, described in 3.1, and the results are organized for the subsequent, final step.
vi) Discussion.

This is an analytical step, performed on the generated data, regarding the goal of this work. Additional insights and remarks on this work as a whole may be produced. Also, in this step, an article is prepared and submitted to publication.

Lastly, it is noted that the first-level and second-level comparisons stand as falsifiability tests (POPPER, 2005).

### 1.6 Contribution

The discrete Gaussian sampling construction presented by this work is efficient and isochronous. It is shown that carefully chosen parameters will make a fast Walsh-Hadamard
transform sampler more efficient and more precise than a typical CDT-based construction. Additionally, its isochronous routines make the sampler side-channel resistant.

### 1.7 Document structure

Beyond the present chapter, the following structure is applied to this document.

Chapter two covers the technical building blocks for the Gaussian sampler, i.e., a few mathematical concepts, the RLWE problem, lattice-based cryptography, and sidechannel attacks. The mathematical concepts include algebra, calculus and probability, e.g., polynomial rings, lattice theory, the discrete Fourier transform, the Hadamard matrix, the fast Walsh-Hadamard transform, normal distribution, sampling algorithms, the central limit theorem, and the metrics adopted herein.

Chapter three presents specifications and implementation details regarding the Gaussian sampler, i.e., its variables, modeling, and computer routine specifications.

Tests and results are presented in Chapter four, and discussed in Chapter five.
Appendix A presents full tables with values of metrics and Gaussian sampling results for both the CDT and FWHT routines compared in this work.

## 2 GENERAL CONCEPTS

Cryptology is referred here as the body of knowledge comprising both cryptography and cryptanalysis. In general, the former, which is the subject of this work, is a group of protocols, schemes, specifications, standards, and techniques, meant to be useful for authentication, privacy and integrity requirements. Since ancient times, cryptology have provided societies with solutions to their problems, and history has provided the concept of secret with a determinant role in making societies thrive or fall (KAHN, 1996).

Given the threats regarding information security (TERADA, 2008), the concepts of cryptology have been implemented in a variety of applications, and they go far beyond protecting money transfers and electronic government transactions. Solutions to diverse fields have cryptology in their cores, and some of the new quandaries to be confronted by modern-day societies can be satisfactorily addressed by astute cryptologic perspectives.

In networking, cryptology is embedded in state-of-the-art protocols (ION et al., 2019), and wireless sensors (MARGI et al., 2009; TSCHOFENIG; BACCELLI, 2019). Data exchange in the deluging Internet of Things (IoT) constitutes a new focus of major security concerns, as its variety of constrained devices creates a growing attack surface (TSCHOFENIG; BACCELLI, 2019).

Even abrupt cultural changes can benefit from appropriated cryptologic solutions. Take the 2019-2021 coronavirus pandemic dilemma, in which infected people needed
to be rapidly recognized. Privacy-related issues rapidly arose from such context, as societies worldwide tried to figure out to which extent could privacy jeopardize public health. If exposure of medical data records pose as a reason of concern by one side, it may also form the solution to the other, and as the latter needed to be addressed, cryptology provided the world with balance by dealing with the contact tracing pandemic problem (ANDERSON, 2020; REICHERT; BRACK; SCHEUERMANN, 2020). Either by modelling old and new threats, or by managing their risks, the cryptology body of knowledge proves to be a significant actor in paving the road to an interconnected mankind.

Profusion of new paradigms seems to be inescapable (CONTE et al., 2017). Decades ago, computers have led to the development of cryptography, and nowadays, the embryonic phase of quantum computing triggers a new wave of research initiatives whereby improvement and recycling for this area of knowledge is sought. Many researchers think post-quantum cryptography, also called quantum-resistant or quantum-safe cryptography, constitutes such recycling. It should be noted the post-quantum designation has been used for some time, and it was even adopted in the NIST standardization process, the reasons why the referred designation seems to occur more often (CSRC, 2019). This new area of study refers to cryptographic approaches based upon problems which are supposedly harder to be solved by quantum computers than those based on integer factoring, or discrete logarithm.

In the scope of this work, the main subjects of mathematics used are algebra, calculus, and probability. Those three subjects, along with cryptology itself form the structuring sections for this chapter. In algebra, the basic topics used, in order to deal with lattice theory, revolve around polynomials. Calculus is mainly about integral transforms, precisely, the Fourier transform, which serves as basis for the FWHT. Probability stands as the substance for sampling and the CLT. The terms sample and random variable (r.v.) are used commutably in this text, referring to a given set of ob-
servations. The number of observations in a sample is the sample size, here denoted as $S$.

### 2.1 Algebra

This section covers algebra-related topics used in this work, namely polynomial rings and lattices.

### 2.1.1 Polynomial rings

In abstract algebra, the algebraic structure called ring is a set $R$ of finite or infinite elements, provided with the binary operations of addition and multiplication (SHOUP, 2008). Inputs and outputs of referred binary operations belong to the $R$ ring, and all elements of a given ring should assume a common nature, e.g., integers, matrices, or polynomials. As for the binary operations, addition is always commutative, that is, for $a, b \in \mathbb{Z}$, then $a+b=b+a$, whereas multiplication might not be commutative in some cases. An instance of the referred multiplication constraint is that, considering two matrices $A$ and $B$ of same order, it is not possible to state $A B$ produces the same result of $B A$. If the commutative property does apply to multiplication, as it does for integers and polynomials, then the ring is called a commutative ring, which is in the interest of this work, precisely as finite commutative rings of polynomials, provided with integer coefficients and identity elements both for addition and multiplication. Those rings can be represented as Equation 2.1.

$$
\begin{equation*}
R[x]=\left\{r_{s-1} x^{s-1}+r_{s-2} x^{s-2}+\ldots+r_{1} x+r_{0} \mid r_{i}, s \in \mathbb{Z}\right\} \tag{2.1}
\end{equation*}
$$

Since a finite polynomial ring representation should somehow express its modulus, this can be done by means of specific mathematical notation in which the denominator
is called an ideal generator, as in Equation 2.2.

$$
\begin{equation*}
R[x]=\frac{\mathbb{Z}[x]}{x^{s}-x-1} . \tag{2.2}
\end{equation*}
$$

The ring example represented by equation 2.2 has a modulus of $x^{s}-x-1$.

Finally, there are five properties for polynomial rings to satisfy:
i) identity for addition
represented as $0_{A}$;
ii) identity for multiplication
represented as $1_{A}$;
iii) associative multiplication
$a \cdot(b \cdot c)=(a \cdot b) \cdot c ;$
iv) commutative multiplication
$a \cdot b=b \cdot a$; and
v) distributive multiplication
$a \cdot(b \cdot c)=a \cdot b+a \cdot c$.

### 2.1.2 Lattice theory

In this section, the subject known as lattice theory (GRÄTZER, 2011; WEHRUNG et al., 2016) is briefly covered. Such theory formalizes conceptual nets called lattices. A lattice is distinguished by its structural periodicity through which a pattern occurs. Mathematics can formalize that concept through many approaches, e.g., geometry, group theory, and order theory. Geometry has a less abstract approach, describing a lattice as an $\mathcal{L}$ geometric object, which is an array formed by $i$ vertex or vector elements, as in $\mathcal{L}\left(v_{1}, v_{2}, \ldots, v_{i}\right)$ with $v_{1}, v_{2}, \ldots, v_{i} \in \mathbb{R}^{j}$, with $i, j \in \mathbb{N}$.

This versatile theory finds applications in many fields derived from chemistry and physics, like crystallography and communications (HEFFERON, 2017). In cryptology, lattice theory serves as a framework to answer a number of demands. A few of those are covered in section 2.5.

### 2.1.2.1 A concrete example

Although lattice theory is an abstract subject with a variety of approaches, it can be introduced by a concrete and simple instance. In chemistry, the table salt molecule makes an example of a real-world lattice. Figure 1 portrays an equalized greyscale photography of real table salt, and Figure 2 presents the basic structure of its molecule.

Figure 1: Photography of table salt.


Source: author (2021).

Figure 2: Lattice of a salt molecule.


Source: author (2021).

The table salt in Figure 1 is a compound known as sodium chloride, whose molecule can be denoted by the three-dimensional crystal structure in Figure 2, with bigger darker atoms representing the chloride element and the smaller lighter atoms representing the sodium element. Still in Figure 2, considering the distances involved, element combination, and angles, a structural repetition can be observed in the cuboid parallelepiped, regarding atom order. By comparing the atom sequence in parallel edges, a repetition is observed, and that periodic arrangement constitutes the basic idea supporting lattice theory in mathematics. As the structure repeats itself, it is possible to infer bigger lattices by joining polyhedrons like that of Figure 2.

By removing atoms in the representation of Figure 2, and adding three-dimensional

Euclidean space reference axes, as in Figure 3, it is possible to visualize the existing lattice structure, thus providing geometric means to deal with the referred lattice. The lattice theory formalizes those concepts as to offer a tool to many areas of knowledge other than chemistry.

Figure 3: The lattice structure of a salt molecule.


Source: author (2021).

The observed cubic pattern in Figure 3 is supposed to replicate itself in any of the three axes. Lattice theory uses that idea to build on its pattern periodicity concepts for complex algebraic structures. In linear algebra, a linear combination can describe such structural recurrence through the unit vectors composing the basic parallelepiped. Since our example deals with a three-dimensional geometry, the basic polyhedron from Figure 2 is able to provide up to three linearly independent vectors. For algebraic generalization intentions, one might consider higher-dimensional polytopes, which allows $i$ basis vectors, $i \in \mathbb{N}$, each of them featuring a $j$ norm, $j \leq i, j \in \mathbb{N}$, which can be denoted as $v_{1}, v_{2}, \ldots, v_{i} \in \mathbb{R}^{j}$. Remarkably, as a generalization, $i$ and $j$ do not necessarily assume the same value. However, if the number of vectors $i$ equals the norm $j$ for each basis vector, the lattice is categorized as full-rank, which is the standard adopted in this work. The use of full-rank lattices for cryptographic purposes is cov-
ered in Section 2.5. For simplicity, considering a three-dimensional Euclidean space, as in Figure 3, it is possible to represent basis vectors $v_{1}, v_{2}, v_{3} \in \mathbb{Z}^{3}$, presented by Figure 4. Such representation emphasizes the lattice elements arranged in the vertices, to the detriment of edges, which are now denoted by dashed lines. This is a lattice graph known as a grid.

Figure 4: A delimited region of a cubic lattice, and a possible basis.


Source: author (2021).

Figure 4 portrays a delimited region of a lattice in a Euclidean space originated in $O$, and its correspondent basis, which is orthogonal for this example. Infinite elements of that lattice may be obtained by combining $v_{1}, v_{2}$, and $v_{3}$. Although the example in Figure 4 portrays a lattice with an orthogonal basis, that might not always be the case. At that point, where bases are not orthogonal, lattice theory starts offering levels of computational complexity enough to make it interesting to cryptography. Later on, in Section 2.5, the lattice theory approach to cryptography is resumed. But before that, and in order to get to the referred section with the proper comprehension bases, it is also relevant to present the ring learning with errors (RLWE) problem, plus a few concepts on probability, and on the discrete Fourier transform (DFT).

### 2.2 The ring learning with errors problem

Firstly described in (LYUBASHEVSKY; PEIKERT; REGEV, 2013b; LYUBASHEVSKY; PEIKERT; REGEV, 2013a), the learning with errors over rings or ring learning with errors (RLWE) problem is a particular case of the learning with errors problem (LWE), which is a question over a system of equations, as of how random the referred equations are. LWE assumes equations may be artificially perturbed, then it takes them in pairs and tells which pairs are artificially random, and which are uniformly random. RLWE in its turn is an algebraically structured LWE, i.e., it implements LWE by means of polynomial rings, and in that sense, its origins are preceded by a structure the authors have previously adopted in the SWIFFT set of compression functions (LYUBASHEVSKY et al., 2008).

In practice, by enforcing the same security of LWE, RLWE is often more efficient, and if a public key is generated by RLWE instead of LWE, it occupies less memory.

There are two versions of RLWE, the decision version and the search version. Those versions are respectively based on the decision and search versions of the lattice problem known as the shortest vector problem (SVP). Decision RLWE is easier than search RLWE for the purpose of ideal lattices approximation, and for the matter of classical hardness characterization of the problem as a whole, hardness inherent to the decision version prevails. The decision version relies on figuring out pseudorandomness in a group of polynomials. More precisely, the goal in decision RLWE is to find out how pseudorandomness occurs in error distributions. The search version is about finding one polynomial, given a group of polynomials. More precisely, the goal in search RLWE is to recover a secret polynomial from the $R_{q}$ polynomial ring (LYUBASHEVSKY; PEIKERT; REGEV, 2013b). For both versions, the ring has two moduli, $f(x)$ and $q$. Considering the even sample size output variable $s$ (lowercase $s$ ), as described in 3.1, function $f(x)=x^{s}+1, s \in \mathbb{Z}$, denotes a generic $R[x]$ polyno-
mial ring modulus, as described in 2.1.1. The second modulus an RLWE polynomial ring should obey is $q$, a large public prime. The notation for such a ring is present in Equation 2.3.

$$
\begin{equation*}
R_{q}=\frac{\mathbb{Z}_{q}[x]}{x^{s}+1} . \tag{2.3}
\end{equation*}
$$

Security parameter $s$ also implies polynomials in $R_{q}$ should have degrees smaller than $s$ (LYUBASHEVSKY; PEIKERT; REGEV, 2013b).

Thus, by considering a set of $s$ monic polynomials as in:

$$
\begin{aligned}
p_{1}(x)= & a_{11} x^{s-1}+a_{12} x^{s-2}+\ldots+a_{1 s-2} x^{2}+a_{1 s-1} x+a_{1 s} \\
p_{2}(x)= & a_{21} x^{s-1}+a_{22} x^{s-2}+\ldots+a_{2 s-2} x^{2}+a_{2 s-1} x+a_{2 s} \\
& \vdots \\
p_{s-2}(x)= & a_{s-21} x^{s-1}+a_{s-22} x^{s-2}+\ldots+a_{s-2 s-2} x^{2}+a_{s-2 s-1} x+a_{s-2 s} \\
p_{s-1}(x)= & a_{s-11} x^{s-1}+a_{s-12} x^{s-2}+\ldots+a_{s-1 s-2} x^{2}+a_{s-1 s-1} x+a_{s-1 s} \\
p_{s}(x)= & a_{s 1} x^{s-1}+a_{s 2} x^{s-2}+\ldots+a_{s s-2} x^{2}+a_{s s-1} x+a_{s s}
\end{aligned}
$$

The $s$ polynomials constitute an $R_{q}$ finite commutative ring of polynomials, as explained in Section 2.1.1. By making the referred polynomials equal to zero, and separating their constant terms, it is possible to write the following system of equations:

$$
\begin{cases}a_{11} x^{s-1}+a_{12} x^{s-2}+\ldots+a_{1 s-2} x^{2}+a_{1 s-1} x & =-a_{1 s}  \tag{2.4}\\ a_{21} x^{s-1}+a_{22} x^{s-2}+\ldots+a_{2 s-2} x^{2}+a_{2 s-1} x & =-a_{2 s} \\ & \vdots \\ a_{s-21} x^{s-1}+a_{s-22} x^{s-2}+\ldots+a_{s-2 s-2} x^{2}+a_{s-2 s-1} x & =-a_{s-2 s} \\ a_{s-11} x^{s-1}+a_{s-12} x^{s-2}+\ldots+a_{s-1 s-2} x^{2}+a_{s-1 s-1} x & =-a_{s-1 s} \\ a_{s 1} x^{s-1}+a_{s 2} x^{s-2}+\ldots+a_{s s-2} x^{2}+a_{s s-1} x & =-a_{s s}\end{cases}
$$

From that system, it is possible to write the following matrix equation:

$$
\left[\begin{array}{cccccc}
a_{11} & a_{12} & \ldots & a_{1 s-2} & a_{1 s-1} & a_{1 s}  \tag{2.5}\\
a_{21} & a_{22} & \ldots & a_{2 s-2} & a_{2 s-1} & a_{2 s} \\
\vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\
a_{s-21} & a_{s-22} & \ldots & a_{s-2 s-2} & a_{s-2 s-1} & a_{s-2 s} \\
a_{s-11} & a_{s-12} & \ldots & a_{s-1 s-2} & a_{s-1 s-1} & a_{s-1 s} \\
a_{s 1} & a_{s 2} & \ldots & a_{s s-2} & a_{s s-1} & a_{s s}
\end{array}\right] \times\left[\begin{array}{c}
x^{s-1} \\
x^{s-2} \\
\vdots \\
x^{2} \\
x \\
1
\end{array}\right]=\left[\begin{array}{c}
-a_{1 s} \\
-a_{2 s} \\
\vdots \\
-a_{s-2 s} \\
-a_{s-1 s} \\
-a_{s s}
\end{array}\right]
$$

By representing the constant terms vector as $b$, Equation 2.5 can be written as:

$$
\begin{equation*}
A \times X=b \tag{2.6}
\end{equation*}
$$

Once matrix $A$ is invertible, there is:

$$
\begin{equation*}
X=A^{-1} \times b \tag{2.7}
\end{equation*}
$$

In Equation 2.7, $A^{-1}$ is the inverse matrix of $A$, the solution being represented by
the $X$ vector.

As previously stated, LWE problems are supposed to deal with artificially perturbed equations. Thus, Equation 2.7 is modified, and a pseudo-random error is added to it, hardening access to the $X$ system solution:

$$
\begin{equation*}
X+e \neq A^{-1} \times b \tag{2.8}
\end{equation*}
$$

At this point, the problem is about distinguishing $e$ influence in ring elements, i.e., in the left-hand side of Inequation 2.8. Tuning $e$ is actually a relevant aspect for RLWE applications, and it should consider the large public prime $q$ and the lattice dimension (LYUBASHEVSKY; PEIKERT; REGEV, 2013a).

### 2.3 Probability

This section covers discrete Gaussian sampling, probability distribution, the central limit theorem (CLT), and statistical metrics used herein. The terms Gaussian distribution and normal distribution are used interchangeably throughout this document.

### 2.3.1 Distribution

A distribution of probability can present itself in many sorts, and in any of those, it can be described by two mathematical functions. In the continuous case, they are the probability density function (PDF) and the cumulative density function (CDF). The latter keeps its name in the discrete case, but the former is called probability mass function (PMF).

A uniform distribution is a sort of probability distribution whose domain of possible samples offer zero probability, except for a bounded interval in which probability is a non-null positive constant. Binomial distributions are well-known discrete-case
probability distributions, used for modelling success chances in yes/no experiments. Normal distributions, also called Gaussian distributions, are the ones used throughout the present work. They are explained in Section 2.3.1.1.

### 2.3.1.1 Normal distribution

Considering $N$ as a one-dimensional independent random variable (BERRY, 1940) associated to a continuous-case normal distribution of probabilities, two parameters are typically used to describe it. The mean $\mu$ and the variance $\sigma^{2}$ are those parameters, as in equations 2.9 and 2.10.

$$
\begin{equation*}
N \sim \mathcal{N}\left(\mu, \sigma^{2}\right) \tag{2.9}
\end{equation*}
$$

Equation 2.9 shows a simplified normal PDF representation. According to (WEISSTEIN, 2021), the function itself can be written as in Equation 2.10.

$$
\begin{equation*}
P(x)=\frac{1}{\sigma \sqrt{2 \pi}} \cdot \mathrm{e}^{-\frac{1}{2}\left(\frac{x-\mu}{\sigma}\right)^{2}}, x \in \mathbb{R} \tag{2.10}
\end{equation*}
$$

Thus, the $N$ random variable denoted by Equation 2.9 corresponds to the probability function $P(x)$ expressed by Equation 2.10. In Figure 5, the graphical representation of two generic normal distribution PDF functions are portrayed. A continuous line and a dashed one representing respectively $\mathcal{N}_{1}$ and $\boldsymbol{N}_{2}$. They have the same generic mean value $\mu$ but different variance values $\sigma_{1}{ }^{2}$ and $\sigma_{2}{ }^{2}$, such that $\sigma_{1}{ }^{2}<\sigma_{2}{ }^{2}$. Also, the tail-cut factor $\tau$ is the same for both.

Figure 5 reveals that bigger variance values spread the probability distribution more, that is, the chances of sampling values more distant from the mean increase while the chances of sampling values near the mean decrease. A numeric example is

Figure 5: Two normal distribution PDF plots with different variance values.


Possible sample values
Source: author (2021).
given in Figure 6. It portrays a normal distribution PDF graphical representation, in which the PDF is truncated or bounded inside the $[-4,4]$ domain. Its mean is 0 , and variance is 1 , such values denoting a standard normal distribution.

Figure 6: A $[-4,4]$ truncated-domain view of a standard normal distribution PDF.


Source: author (2021).

Though, in order to help in Gaussian PDF manipulation, this work enforces a third parameter, known as the tail-cut factor $\tau$. It constitutes an artificial resource used in some works of lattice-based cryptography (KARMAKAR et al., 2018; ZHAO; STE-

INFELD; SAKZAD, 2020), serving the purpose of interval manipulation. Gaussian domain then becomes $[\mu-\tau \sigma, \mu+\tau \sigma]$

Lastly, as for sampling uncertainty, if proper mean and variance values are used, normal distributions offer Shannon entropy values at least as great as those of uniform distributions (COVER; THOMAS, 2006; MORAIS, 2018).

### 2.3.2 Sampling

According to (HOUSE, 1989), sampling is the process of selecting a sample for a given purpose, e.g., analysis. A sample may be composed by one or more observations. In probability and statistics, sampling is a standard procedure performed on probability distributions. In cryptology, it supports the concept of random value generation.

### 2.3.2.1 Discrete Gaussian sampling

Gaussian sampling refers to sampling from a normal or Gaussian probability distribution. Discrete Gaussian sampling (DGS) is a term used to indicate a Gaussian sampling of integer values. In lattice-based cryptography, it stands as a primitive for random number generation.

The sampling precision required by cryptography represents computationally costly routines. In general, DGS complexity resides in low-level mathematical evaluations of integrals and series, which require specialist routines (SAARINEN, 2015).

As a pillar of lattice-based cryptosystems (GENISE et al., 2020), DGS has received many recent contributions, offering a variety of methods, such as (KARMAKAR et al., 2018; WANG, 2019; WANG; LING, 2019). Additionally, there are valuable works on computer-based Gaussian sampling in previous decades, e.g., (RADER, 1969).

In this work, the referred primitive picks its observations from a truncated normal distribution probability mass function (PMF). It is strenghtened by a shuffling strategy
based on the fast Walsh-Hadamard transform (FWHT). Often in lattice-based cryptography, the DGS primitive is used to build polynomial rings, but here it generates noise for already existing polynomials, according to RLWE premises.

### 2.3.3 Sampling-related algorithms

As the random number generation primitive of this work is a discrete Gaussian sampler, this section portrays some notorious sampling strategies.

### 2.3.3.1 Algorithm: rejection

The rejection sampling strategy follows the premise that computations not achieving certain criteria should be discarded. Its first formal explanation supposedly happens in (NEUMANN, 1951), as the author discusses the production of randomness by physical methods, through coin tossing. By assuming independence of two successive tosses, he proposes that at least one pair of tosses is necessary for obtaining one single random result, which can be either heads or tails. In such process, two successive tosses are made. Then if the results are equal, those two tosses are rejected, and another pair of tosses takes place, until the results are different. Thus, it is emphasized that potential process repetition stands as a downside for rejection sampling, which in its turn, can be mitigated by means of having random values recorded somewhere.

### 2.3.3.2 Algorithm: binary

This section covers a sampling strategy for discrete distributions known as binary, which was introduced by (DUCAS et al., 2013) for the lattice-based BLISS digital signature scheme. The binary sampling algorithm builds upon a combination of two strategies, inversion sampling and rejection sampling (NEUMANN, 1951; DWARAKANATH; GALBRAITH, 2014). While the inversion strategy translates sampling from a normal distribution into a uniform one on a different set, the rejection
strategy samples from a slightly modified normal distribution, and then performs a rejection test on the result.

In BLISS, rejection sampling uses two resembling, but still distinct, normal distributions. They are mixed, and the result is a single bimodal distribution, whose PDF is presented by Equation 2.11.

$$
\begin{equation*}
P(x)=2^{-x^{2}}, x \in \mathbb{Z} \tag{2.11}
\end{equation*}
$$

Regarding the rejection test, Bernoulli functions are used, here denoted as $\mathcal{B}(x)$. BLISS expects rejection tests to return either zero or one. It needs no precomputed table, relying on a binary representation system supposed to compensate probability distributions being computed on the fly (FOLLÁTH, 2014).

A possible binary sampling construction is presented in Algorithm 2.1, which is a contribution of this work.

```
Algorithm 2.1 A possible binary sampling construction.
    algorithm binary_dgs ( \(k\) : natural)
        \(y \leftarrow \operatorname{random}\) from \(\{0,1, \ldots, k-1\} ;\)
        do
        \(x \leftarrow\) random from \(P(x)\);
        while \((\mathcal{B}(x)=0)\)
        return \(k x+y\);
10:
    end algorithm
```

Source: author (2022).

In Algorithm 2.1, variable $y$ stores the inversion sampling result, and variable $x$
stores the rejection sampling result. Line number seven represents the Bernoulli function performing the rejection test for the random value stored in $x$. If the Bernoulli rejection test returns a zero, then the value stored in variable $x$ is rejected, and the rejection sampling routine is performed again, followed by another rejection test. That routine repeats until the Bernoulli function returns one, meaning the value in $x$ is accepted. Finally, the algorithm returns the result of $k x+y$. That is a simple explanation of binary sampling. There are modified approaches, such as the one present in the FACCT sampler (ZHAO; STEINFELD; SAKZAD, 2020).

### 2.3.3.3 Algorithm: cumulative distribution table

This section presents a DGS algorithm built upon a cumulative distribution function, namely the cumulative distribution table (CDT) sampling algorithm. Besides denoting the name of the algorithm, CDT also refers to the table used by that algorithm. Before sampling, the cumulative distribution function must fill that table with probability values. Thus, in CDT, persistence must precede sampling, i.e., a regular CDT strategy should consider the implications of memory access and memory consumption.

The core of the algorithm takes a random real value $r \in[0,1$ ), and performs a search operation on the precomputed table, comparing $r$ to each value of the table. The last value of the CDT to be bigger than $r$ has its index $s$ returned as a valid observation for the sample. More formally, the algorithm tries to find an $S$ index such that the CDT $_{s-1}<r<$ CDT $_{s}$ condition is satisfied. According to (ZHAO; STEINFELD; SAKZAD, 2020), the CDT-based DGS can be $O(\tau \sigma)$, its main drawback being the high memory consumption involved, as the algorithm requires a precomputed CDT.

DGS routines like that are specially relevant to this work for their generic construction is used as a basis for comparisons with the FWHT strategy. The use of a CDT-based DGS algorithm as reference is justified by the fact many lattice-based cryptography works use it for Gaussian sampling, as mentioned in Section 1.4. One
example is (ALKIM et al., 2019), which presents a detailed CDT-based algorithm, which includes the table filling. Their construction receives a seed and a nonce as inputs, and then it outputs a sequence of samples. The isochronous construction uses a precision variable, and keeps track of the original sampling order. Another example is (HOWE et al., 2019), which presents a Gaussian sampler based both on CDT and rejection. Just as in (ALKIM et al., 2019), this construction is isochronous, and it also enforces a precision variable to both generate cumulative distribution tables, and get random-like numbers in $[0,1)$. The latter are then used to obtain index values from the cumulative distribution table initially generated. More specifically, after getting a random number from $[0,1)$, that number is compared to each value of the table. Then, the last value of the table to be greater than the referred number has its index chosen as a sample observation.

A possible CDT sampler, based upon (ALKIM et al., 2019) and (HOWE et al., 2019), is presented by Algorithm 2.2, which is a contribution of this work. It works in the $[0,1]$ interval, and its input parameters are a cumulative distribution table, named $c d t$, and the desired number of observations to compose a sample. The natural value in $n$ holds the number of elements in the table, that is, the number of elements to be in the $c d t$ input variable. The $n \_a u x$ variable serves as an iteration control through the table. Variable nobs_aux is used to control iterations through $v$. Variable $p$ stands for the natural-valued precision used to populate the table. Variable $r$ is a random value, which can also be used as a seed, depending on the sample size. It is uniformly obtained by means of the generate_random () function sampling from the $[0,1]$ real interval. The integer vector $v$ stores index values obtained, and it is returned by the end. According to (HOWE et al., 2019), isochrony enforcement is achieved if each $r$ value takes the entire table to be read.

Before using a CDT-based sampler, it is necessary to compute a cumulative distribution table, using a precision of $p$.

```
Algorithm 2.2 A possible CDT-based DGS construction.
    algorithm cdt_dgs ( \(c d t\) : vector, nobs : natural)
        \(n: \quad\) natural;
        n_aux: natural;
        nobs_aux : natural;
        \(p: \quad\) natural;
        \(r: \quad\) real;
        \(v: \quad\) integer vector;
        \(n \leftarrow\) get_length \((c d t)\);
        \(p \leftarrow\) get_precision \((c d t)\);
        \(v \leftarrow 0 ;\)
        for (nobs_aux from 0 to nobs - 1 , step 1 )
            \(r \leftarrow\) generate_random \(([0,1))\);
            for ( \(n \_\)aux from 0 to \(n-1\), step 1 )
            if \(r<c d t_{n_{-} \text {aux }}\)
                    \(v_{\text {nobs_aux }} \leftarrow n_{-} a u x\);
                    break;
            end if
        end for
        end for
        return \(v\);
    end algorithm
Source: author (2022).
```

The returned variable $v$ stands for a sample.

### 2.3.3.4 Algorithm: Knuth-Yao

This section presents an overview of the Knuth-Yao sampling algorithm (KNUTH; YAO, 1976). In the article, a known non-uniform probability distribution is sampled via random walk in a type of binary tree called a discrete distribution generating (DDG)
tree. Authors end up concluding that further investigation is needed as to understand how efficiently a normal distribution can be generated with simple algorithms. According to the text, the ability to work with binary representations could be a desirable characteristic for such algorithms. The referred DDG tree can be implemented as a matrix, according to the following rule: the number of nodes in the tree at the $i$ th level should be equal to the Hamming weight of the matrix in its $i$ th column. Each leaf node corresponds then to a sample, denoted here as $z$.

A possible construction is presented in Algorithm 2.3, which is a contribution of this work. It receives a probability matrix $v$ as an argument, and returns a sample $z$. Variable $d$ is the distance between the visited node and the rightmost internal node of the DDG tree. Variable $t$ should be zero until sampling hits a terminal node, which changes its value to one.

```
Algorithm 2.3 A possible Knuth-Yao DGS construction.
    algorithm dgs_knuthyao ( \(v\) : real matrix)
        \(d \leftarrow 0 ;\)
        \(j \leftarrow 0 ;\)
        \(t \leftarrow 0 ;\)
        do
            \(d \leftarrow 2 d+r a n d o m \_b i t() ;\)
            for \((i \leftarrow\) max_row; \(i>1 ; i--)\)
            \(d \leftarrow d-v_{i j} ;\)
            if \(d=-1\) then
                    \(z \leftarrow i ;\)
                    \(t \leftarrow 1 ;\)
                    break;
            end if
            end for
        \(j++;\)
        while \(t=0\)
        return \(z\);
23:
    end algorithm
```

Source: author (2022).

Implementations of the original Knuth-Yao sampling strategy used to experience two main downsides, inefficiency and potential information leakage. The former refers to the use of arbitrary-precision in floating-point arithmetic, and the latter is related to timing vulnerabilities. Recent versions of Knuth-Yao are proposed in (ROY; VERCAUTEREN; VERBAUWHEDE, 2014; KARMAKAR et al., 2018; MICCIANCIO; WALTER, 2018). They deal with the referred downsides using different approaches.

In (KARMAKAR et al., 2018), authors highlight the referred timing vulnerabilities in the original algorithm, presenting then an isochronous construction as to mitigate those risks. Although it does not require large precomputed tables, its routines execution demands considerable memory.

### 2.3.4 Cental limit theorem

The central limit theorem (CLT) refers to a number of enunciations, some of them being referenced in Section 1.4. The core idea behind most enunciations is that by sampling randomly and repeatedly from a given population distribution, e.g., a uniform distribution, and then computing an average for each sample, the resulting distribution of averages tends to a normal distribution. Samples correspond to any uncorrelated random variables, and the obtained distribution of averages tends to a normal random variable (RADER, 1969; MENDONÇA, 2022). Thus, the CLT can also be used to estimate values, e.g., as a maximum likelihood estimator (MLE).

In this work, the CLT is verified, and most importantly, it is exploited in order to accelerate the generation of Gaussian random variables, e.g., seemingly normal distributions, in a more efficient fashion. The $\mu_{\mathcal{D}}$ mean of $2^{\beta}$ random variables approaches the theoretical population average as the number of samples grows. Equation 3.3 presents the formula used to obtain $\mu_{\mathcal{D}}$. As mentioned, a supposedly uniform population distribution is to be sampled. In this point lies a part of the CLT exploitation, precisely, in population choice. For that matter, the chosen population is previously manipulated, forcing the original, supposedly uniform population distribution to be normal already. Considering the samples obtained in this work, it is desirable to understand how far results are from a trustable normal distribution.

### 2.3.5 Metrics

This section briefly presents the metrics chosen to be used by this work. Further information, e.g., adapted formulas, using the variables of this work, are supplied by Section 3.1.

Shannon entropy: it constitutes a metric to assess the uncertainty of random variables (COVER; THOMAS, 2006). According to (KARMAKAR et al., 2018), higher values of standard deviation produce higher values of entropy.

Relative entropy: it is also known as the Kullback-Leibler divergence (COVER; THOMAS, 2006). The relative entropy is a particular case of the Rényi divergence. It is used to obtain the amount of information one probability distribution has of another probability distribution.

Mean: a metric of the normal distribution PMF functions, which is expected to be near zero, because that is the fixed mean value of the algebraic Gaussian.

Standard deviation: measure of dispersion, determining a confidence interval to sample from.

Coefficient of skewness: it regards the symmetry of the curve, corresponding to the third moment of the discrete Gaussian.

Kurtosis: a measure of quality, corresponding to the fourth moment of the discrete Gaussian.

### 2.4 Discrete Fourier transform

The Fourier transform is a mathematical function of frequency, often represented as $g(\omega)$, used to find the frequencies of a time-domain function, often $f(t)$. For an integrable function $f(t)$, it is possible to write $g(\omega)$ as in Equation 2.12:

$$
\begin{equation*}
g(\omega)=\int_{-\infty}^{\infty} f(t) e^{-i 2 \pi \omega t} d t, \omega \in \mathbb{R} \tag{2.12}
\end{equation*}
$$

In equation 2.12, $g(\omega)$ is a complex value, and it tells how much the $\omega$ frequency is present in $f(t)$. As a function of frequency, the Fourier transform has conceptual ties with PDF functions, because both frequency and probability carry the idea of likelihood (GILLIES, 2012). In fact, relative frequency is closely related with probability, e.g., it is possible to carry out a probability experiment with a given range of possible results. After a number of repetitions, by observing the relative frequencies for the referred results, these values approach the ones previously obtained with probability (NEYMAN, 1937). The Fourier transform of a PDF, e.g., $P(x)$, actually results in a characteristic function, which can be used as an alternative probability distribution analysis means (SAKAMOTO; MORI; SEKIOKA, 1997; KARDAR, 2019), as in Equation 2.13. In this case, it is necessary to treat the probability domain of possible sample values as a time domain.

$$
\begin{equation*}
g(\omega)=\int_{-\infty}^{\infty} P(x) e^{-i 2 \pi \omega x} d x, \omega \in \mathbb{R} . \tag{2.13}
\end{equation*}
$$

The characteristic function expressed by Equation 2.13 basically denotes the expected value for the random variable $N$ (see Equation 2.9). As the expected value of a given random variable returns an average value, thus it is possible to infer Equation 2.13 results in $\mu$.

In terms of computational complexity, the Fourier transform integral covers the full real line, which makes it unattractive, mostly if efficiency is regarded. However, its approximation known as discrete Fourier transform (DFT) rises as a computationallyviable option (ORSINI, 1994). Generic formulation of the DFT can be put as that of equation 2.14, which operates on a finite number of $n$ samples:

$$
\begin{equation*}
g(\omega)=\sum_{t=0}^{n-1} f(t) e^{-i 2 \pi \omega t}, \omega \in \mathbb{R} \tag{2.14}
\end{equation*}
$$

As in equation 2.12, in equation 2.14 , the $g(\omega)$ value is a complex number showing how much the $\omega$ frequency is present in $f(t)$, but this time regarding only the considered sequence of samples. Algorithms to implement the DFT are typically $O(n \log n)$, like (COOLEY; TUKEY, 1965). Called fast Fourier transform (FFT) algorithms, they are used in many applications, e.g., image processing. As enhancements kept coming for FFT algorithms, the use of Hadamard matrices stood out as a valuable feature (PRATT; KANE; ANDREWS, 1969).

In this work, for the benefit of efficient Gaussian sampling, an FFT algorithm known as the Fast Walsh-Hadamard transform (FWHT), based on Hadamard matrices, is used. The FWHT is introduced in Section 2.4.1.3.

### 2.4.1 The Hadamard matrix and transform

An Hadamard matrix $H$ can be described as a symmetric, and thus square, matrix whose elements are either one or minus one, meaning $H$ obeys a binary system. Not all orders are possible for the construction of such matrices, e.g., it is impossible to build an Hadamard matrix of order three (RADER, 1969). Though, it is relatively simple to build it with order $2^{\beta}, \beta \in \mathbb{N}^{*}$. Examples are provided in equations 2.15, 2.16, and 2.17.

$$
\begin{gather*}
H_{\beta=1}=\left[\begin{array}{rr}
1 & 1 \\
1 & -1
\end{array}\right]  \tag{2.15}\\
H_{\beta=2}=\left[\begin{array}{rrrr}
1 & 1 & 1 & 1 \\
1 & -1 & 1 & -1 \\
1 & 1 & -1 & -1 \\
1 & -1 & -1 & 1
\end{array}\right]  \tag{2.16}\\
H_{\beta=2}=\left[\begin{array}{rrrr}
-1 & 1 & 1 & 1 \\
1 & -1 & 1 & 1 \\
1 & 1 & -1 & 1 \\
1 & 1 & 1 & -1
\end{array}\right] \tag{2.17}
\end{gather*}
$$

From 2.16, and 2.17, it can be seen there might be more than one Hadamard matrix for a given $2^{\beta}$ order. However, some works, like (RADER, 1969), only make use of those in which both the initial row and column are composed of plus ones.

As for notation, considering an Hadamard matrix $H$ of order $h, H^{T}$ denotes its transpose, and $I_{h}$ denotes an identity matrix of order $h$. Some properties are presented next.

Property one: $\quad$ since $H$ is symmetric, that is, $h_{i, j}=h_{j, i}$, its transpose $H^{T}$ is also an Hadamard matrix.

Property two: for matrices of order $h, H \times H^{T}=h \times I_{h}$.

Property three: $\quad H \times H^{T}=H^{T} \times H$.

Property four: orthogonality is verified for rows, and also for columns, of $H$.

Property five: from property four, by comparing two rows or two columns of $H$, half the elements in one vector will find correspondence in the other.

Property six: known as the Sylvester method, it says that if $H$ is an Hadamard matrix of order $2^{\beta}$, then $\left[\begin{array}{rr}H & H \\ H & -H\end{array}\right]$ is also an Hadamard matrix (SYLVESTER, 1867). The sixth property is used to generate the matrix in equation 2.16 from the one in equation 2.15. As in (RADER, 1969), for both matrices, an element $h_{i j}$ can be described as in Equation 2.18.

$$
\begin{equation*}
h_{i j}=(-1)^{h_{1} 1}(-1)^{h_{2} 2} \ldots(-1)^{h_{2} \beta}{ }_{2} \beta \tag{2.18}
\end{equation*}
$$

Property seven: considering an Hadamard matrix in which both the initial row and column are formed by plus ones, i.e., elements $h_{i j}, h_{1 j}=1$ and $h_{i 1}=1$, then there are two rows which, by having their elements multiplied produce a third row which is also in the matrix (RADER, 1969), as in Equation 2.19. The cited work also points out that such property means $h_{l j}$ is the result of an $\oplus$ (exclusive or) operation between elements of rows $i$ and $k$, and that, by symmetry, it holds true for columns.

$$
\begin{equation*}
h_{i j} \cdot h_{k j}=h_{l j} \tag{2.19}
\end{equation*}
$$

The Hadamard transform is a DFT in $\mathbb{R}$, basically constituting an operation of multiplication between two matrices. One of those matrices is an $H$ matrix, as in equation 2.20, which portrays an Hadamard transform of a given matrix $\eta$. In its turn, matrix $\eta$ is a $2^{\beta}$-lengthened initialization vector, storing randomly-generated binary
values obtained from the normal distribution.

$$
\begin{equation*}
g(\omega)=H \times \eta \tag{2.20}
\end{equation*}
$$

### 2.4.1.1 Randomness in the Hadamard matrix

$H$ is said to be normalized if the first row and column contain only ones (HARWIT; SLOANE, 1979), e.g., the matrices in equations 2.15 and 2.16. By removing the referred row and column, a square matrix $G$ of order $h-1$ is obtained. Now if ones are changed to zeros, and minus ones to ones, then an S-matrix of order $h-1, S_{h-1}$, is produced. For the 2.16 equation, its referring S-matrix is described in equation 2.21.

$$
S_{3}=\left[\begin{array}{lll}
1 & 0 & 1  \tag{2.21}\\
0 & 1 & 1 \\
1 & 1 & 0
\end{array}\right]
$$

If a given S-matrix has the property of having each row as a left shift of the former, as in equation 2.21, then that S-matrix is said to be cyclic, and its first row is considered a pseudo-random sequence (HARWIT; SLOANE, 1979).

### 2.4.1.2 Relationship with Walsh functions

Walsh functions describe zero-centered square waves in the $-\frac{1}{2} \leq t \leq \frac{1}{2}$ domain, and their general form can be expressed as $w(i, t), i \in \mathbb{N}, i$ being the number of times the $t$ axis is crossed. So, $w(6, t)$ crosses the $t$ axis six times and is called the 6th Walsh function. Similarly, $w(7, t)$ crosses the $t$ axis seven times and is called the 7th Walsh function, and so on. According to (HARWIT; SLOANE, 1979), the most important property of Walsh functions is that they form a complete orthonormal family of functions.

Hadamard matrices relate to Walsh functions because, considering the former, alternation of values in a given row can be treated as a function whose behavior is similar to that of the latter (SYLVESTER, 1867; HARWIT; SLOANE, 1979; BEER, 1981; EVANGELARAS; KOUKOUVINOS; SEBERRY, 2003). The first row of matrix $H_{\beta=2}$ in equation 2.16 is $1,1,1,1$. Starting in the first element, it is possible to verify there is zero changes of sign from a position to its next. So, the referred Hadamard matrix associates to the 0th Walsh function, or $w(0, t)$, because the latter expresses what happens in the transitions between the Hadamard matrix row elements. In Figure 7, the Walsh function to describe transitions taking place in the first row of $H_{\beta=2}$ is presented.

Figure 7: The 0th Walsh function.


Source: author (2021).

An additional example considers a row formed by $1,-1,-1,1$ from another $H_{\beta=2}$ matrix. Starting in the first element, it is possible to verify two changes of sign, the first occuring in the transition from element one to element two, and the second occurring in the last transition. It is an association to the 2 nd Walsh function, or $w(2, t)$, which is presented in Figure 8.

Figure 8: The 2 nd Walsh function.


Source: author (2021).

Axiom 1. From (HARWIT; SLOANE, 1979), it is possible to infer that if a given Hadamard matrix row relates to the $i^{\text {th }}$ Walsh function, then all Walsh functions to cross the $t$ axis a number of times smaller than $i$ also relate to the referred Hadamard matrix.

### 2.4.1.3 The Fast Walsh-Hadamard transform

Hadamard transforms can benefit from the fact Hadamard matrices are populated by ones and minus ones elements only. Thus, the matrix product, represented by $g(\omega)$ in equation 2.20, can be obtained without multiplication operations between scalars, only additions being used (EVANGELARAS; KOUKOUVINOS; SEBERRY, 2003). Remarkably, that characteristic meets a suggestion in the conclusion of (KNUTH; YAO, 1976), as explained in 2.3.3.4. Done that way, the transform is said to be fast, and it is called a fast Hadamard transform, or a fast Walsh-Hadamard transform (FWHT). The latter emphasizes the relationship between Hadamard matrices and Walsh functions, something that is explained in Section 2.4.1.2.

The number of steps needed to compute an Hadamard transform of order $n, H_{\beta=\log _{2} n}$ is $n^{2}-n$, whilst a fast Hadamard transform reduces the number of steps to approximately $n \log _{2} n$ (HARWIT; SLOANE, 1979), or simply $n \beta$, considering the main input variable of this work. Indeed, as mentioned in Section 1.4, speedups are reported by some works using the FWHT. Besides benefiting from reduced computational complexity, adoption of FWHT still offers the randomness facilities of Hadamard matrices, as seen in Section 2.4.1.1. Though, only Sylvester method-supported Hadamard matrices, e.g., property six in Section 2.4.1, offer the referred fast transform option (HARWIT; SLOANE, 1979). This work uses those benefits to formulate an FWHT-based shuffling routine inside its discrete Gaussian sampler.

As an example, the diagram in Figure 9 presents the transform evolution of an initialization vector (IV) with sixteen positions, that is, $\beta=4$. Loaded with binary values, the vector corresponds to the $\eta$ matrix of Equation 2.20, and the resulting FWHT of $\eta$ is presented in column $g(\omega)$. Continuous arrows generate positive numbers, and dashed arrows generate negative numbers. A stage can be understood as a vector shuffling procedure. There are $\beta=4$ stages, and in each stage, $2^{4}$ operations are computed, one for each vector position.

Regarding the FWHT inverse computation, a number of $\beta$ stages corresponds to solving $\beta$ systems of $2^{\beta}$ equations in a set of $2^{\beta}$ variables each.

Figure 9: Diagram of FWHT for input vector size 16.


Source: author (2021).

The leftmost grayed column in Figure 9 presents the $\eta$ initialization vector of Equation 2.22, storing binary values.

$$
\begin{equation*}
\eta=(0,0,1,0,1,1,1,0,0,1,0,1,1,0,1,0) \tag{2.22}
\end{equation*}
$$

As stages are done from left to right, columns of Figure 9 assume lighter tones of gray, indicating the transform computation is closer to its end, when the $g(\omega)$ vector is ready, as in Equation 2.23. Each $g(\omega)$ result consists in one observation for a sample being formed.

$$
\begin{equation*}
g(\omega)=(8,2,0,-2,-2,-4,-2,0,0,2,0,-2,-2,4,-2,0) \tag{2.23}
\end{equation*}
$$

Each stage contains one or more cycles. For convenience, in Figure 10, stages and cycles are contoured by approximate rectangles with rounded corners. It repeats Figure 9, this time with stages marked as four grayed rectangles, and cycles marked as inner darker gray rectangles. From left to right, the four gray rectangles correspond to the first, second, third, and fourth stages.

Figure 10: Diagram of FWHT with stages and cycles marked.


Source: author (2021).

Further details to support this work are presented in Section 3.2.

### 2.5 Lattice-based cryptography

This section intends to retrieve the concepts of lattice theory, in order to present their use within cryptography, in what is referred to as lattice-based cryptography. Earlier, in the introductory chapter, and then in Section 2.1.2, lattice theory was briefly presented. It constitutes a pillar for this section, since lattice-based cryptography is built upon nondeterministic polynomial (NP) problems from lattice theory. Lattice-based cryptosystems rely on the NP-hardness of those problems, e.g., the shortest vector problem (SVP), the closest vector problem (CVP), the short integer solution problem (SIS), and the learning with errors problem (LWE). SIS and LWE are closely related, and they can be built upon SVP and CVP (BOAS, 1981; AJTAI, 1996; REGEV, 2009). Typically, algorithms to solve SVP use the idea of lattice basis reduction, being often based on Lenstra-Lenstra-Lovász (LLL) (LENSTRA; JR.; LOVÁSZ, 1982), and blockwise Korkine-Zolotarev (BKZ) (SCHNORR; EUCHNER, 1994). Their approximation regimes vary, e.g., common strategies enforce enumeration, pruning, sieving, or a combination of those (SCHNORR, 2003; AONO; NGUYEN, 2017; TERUYA; KASHIWABARA; HANAOKA, 2018). Recent solutions of the Technische Universität Darmstadt Lattice Challenge show the best LWE and SVP results are being achieved by sieving-based algorithms for lattice dimensions in the $10^{2}$ order (LINDNER et al., 2021).

Nowadays, lattice-based cryptography is said to address as many requirements as the current cryptosystems do, and more, e.g., sophisticated applications featuring homomorphic encryption (COMINETTI, 2019; ION et al., 2019). A survey on the applicability of lattice theory to cryptography, previous to the NIST standardization process, is available in (PEIKERT, 2016). Lattice-based cryptosystems hold high asymptotic efficiency, and strong provable security, backed by the computational complexity nature of lattice problems (PEIKERT, 2014). Their intrinsic computational complexity offers worst-case to average-case reduction support, meaning that, theoretically, if a
given algorithm solves an average-case lattice problem, than it is possible to have that same algorithm solve a worst-case lattice problem. That may seem contradictory from an attacker perspective but it is a necessity for legitimate parts involved in a secured communication. They need attackers to see their communication data as a worst-case problem only, and, as legitimate parts have their supposedly worst-case cryptosystem modeled after an average-case problem, once these parts hold proper secrets, they manage to communicate securely, solving polynomial-time problems.

### 2.5.1 Schemes family: LWE

LWE cryptography is built upon hard problems from lattice theory. The family schemes derive from (AJTAI, 1996), whose asymptotic behavior is secure and reasonably efficient. Downsides for the lattice-based LWE approach include efficiency bottlenecks where DGS is present, and overly-sized keys and ciphertexts (PEIKERT; PEPIN, 2019).

A generic Ring-LWE or RLWE scheme is an LWE scheme built upon polynomial rings (LYUBASHEVSKY; PEIKERT; REGEV, 2013b; LYUBASHEVSKY; PEIKERT; REGEV, 2013a). Most RLWE cryptosystems make use of a discrete Gaussian sampling primitive to generate pseudo-random values, which by its turn can be modeled via many different sampling strategies. In the scope of this work, those values form an artificial noise vector supporting a considerable number of RLWE keys. So far, RLWE DGS imposes costly computational issues.

The CRYSTALS-Dilithium scheme or simply Dilithium is a module learning with errors (module-LWE) and module short integer solution (module-SIS) digital signature scheme, which samples from uniform distributions in order to improve its efficiency (BAI et al., 2021). By the end of the third round in the NIST post-quantum
cryptography process, Dilithium was selected for standardization, being a primary recommendation for digital signature purposes (CSRC, 2019; ALAGIC et al., 2022).

The CRYSTALS-Kyber scheme or simply Kyber is a module-LWE key encapsulation or key exchange scheme, which samples from binomial and uniform distributions, in order to improve its efficiency (AVANZI et al., 2021). By the end of the third round in the NIST post-quantum cryptography process, Kyber was selected for standardization, being a primary recommendation for key-establishment purposes (CSRC, 2019; ALAGIC et al., 2022).

The NewHope scheme claims to be an RLWE-based replacement for RSA and ECC, addressing key-exchange purposes. It implements no DGS but a centered binomial distribution, in order to improve its efficiency. Authors argue DGS has a marginal impact in their scheme, being crucial only to signature and trapdoor schemes. It was a candidate for the NIST post-quantum cryptography standardization process, having been excluded by the beginning the third round of the competition (CSRC, 2019; PÖPPELMAN et al., 2019).

The qTESLA scheme is an RLWE-based digital signature scheme. It enforces DGS after a CDT strategy, for key-generation purposes only. Other than that, it uses a uniform distribution after a rejection strategy. qTESLA has been submitted to the NIST post-quantum cryptography standardization process, and as the NewHope scheme, it was excluded by the beginning of the third round of the competition (CSRC, 2019; ALKIM et al., 2019).

The FrodoKEM scheme is a plain LWE-based key encapsulation or key exchange scheme, whose DGS is modeled via CDT. Its implementation is isochronous. It lived up to the third round of the NIST post-quantum cryptography standardization process,
when it failed in being selected for standardization (CSRC, 2019; ALKIM et al., 2020).

### 2.5.2 Schemes family: NTRU

Originally, the NTRU term referred to a single lattice-based cryptographic scheme, which was patented in 1996. The anticipated expiration for the NTRU patent occurred in 2017 (HOFFSTEIN; PIPHER; SILVERMAN, 1998; HOFFSTEIN; PIPHER; SILVERMAN, 2000; HOFFSTEIN; PIPHER; SILVERMAN, 2020). As the patent expired, cryptologic research started to benefit from it, and variant works were published. Today, NTRU-based schemes covers a variety of requirements, from key encapsulation (HÜLSING et al., 2017) to digital signatures (HOWE et al., 2019).

The Falcon scheme is based on SIS over NTRU, and it specifies a trapdoor sampling strategy. Such strategy relies on isochronous DGS routines, which are modeled via CDT and rejection sampling (FOUQUE et al., 2020). It covers digital signature requirements, making use of floating-point operations for key generation and signing. Falcon inspired ulterior schemes like MITAKA and Hawk, the latter differing from its inspiration by refraining from floating-point operations (ESPITAU et al., 2021; DUCAS et al., 2022). By the end of the third round in the NIST post-quantum cryptography process, Falcon was selected for standardization (CSRC, 2019).

### 2.5.3 Independent discrete Gaussian samplers

This section presents standalone samplers which are independent of schemes, as it is the case of DiGS itself.

The FACCT sampler is a general-purpose lattice-based discrete Gaussian sampler (ZHAO; STEINFELD; SAKZAD, 2020). Its sampling strategy is binary, explained in Section 2.3.3.2, and it can be integrated in both LWE-based and NTRU-
based schemes.

### 2.6 Side-channel attacks

In a broad sense, a side-channel attack is a real-world threat to cryptographic constructions, meaning it targets cryptographic implementations. It exploits hardware and software, in order to unduly access sensitive information which otherwise would hardly be available. For example, an attack can be performed by means of probing a given CPU output, and analyzing its signal with an oscilloscope, in order to detect differences and patterns in electric signals. Risks associated to simultaneous multithreading, which is a CPU parallelization facility, constitute a notable case (LOU et al., 2021). Alternatively to the CPU, it is also possible to use exploitation vectors like the main memory, and even the network (BRUMLEY; BONEH, 2003).

### 2.6.1 Mitigation of timing side-channel attacks

There is a specific type of side-channel attack, known as timing, which might use signal analysis to measure times in runtime. Negligent RLWE implementations expose their samples to timing attacks. Mitigation measures to timing and other sidechannel attacks can be implemented in application, operating system, and hardware levels. As an LWE-based proposal, this work addresses timing-attack risks through vulnerability-reduction measures in the application level, implementing isochrony in parts of its construction (ORTIZ, 2016; REPARAZ; BALASCH; VERBAUWHEDE, 2016).

A regular DGS implementation may be susceptible to timing side-channel attacks. Thus it is necessary to build the primitive in a way to mitigate timing risks in vulnerable routines. Achieving that is possible either through isochrony and random time insertion (ORTIZ, 2016). Isochrony in coding can be described as a design pattern
which imposes an invariant execution time to a given routine. Random time insertion on the other hand adds random execution time values to vulnerable routines. DiGS, the sampler presented in this work, implements isochrony, following works that accomplish positive DGS results using invariant time routines (REPARAZ; BALASCH; VERBAUWHEDE, 2016; ORTIZ, 2016; KARMAKAR et al., 2018; ALKIM et al., 2019; HOWE et al., 2019; ALKIM et al., 2020).

### 2.7 Summary for general concepts

The general concepts chapter covers the algebra topics polynomial rings and lattice theory. It then presents the RLWE problem.

Next, the probability subject is covered in its distribution, sampling and CLT. The statistical metrics used are then presented.

In order to support the Fast Walsh-Hadamard Transform, a brief Discrete Fourier transform section is present.

Finally, the chapter presents recent lattice-based cryptography works, and relates them to the one presented herein. Table 2 summarizes key characteristics of the latticebased cryptographic samplers previously presented.

Table 2: Comparison among works on lattice-based cryptography samplers.

| sampler | primitive | lattice assumption | distribution |
| :--- | :--- | :--- | :--- |
| DiGS (ours) | digital signature | RLWE | Gaussian |
| Dilithium (BAI et al., 2021) | digital signature | module-LWE | uniform |
| FACCT (ZHAO; STEINFELD; SAKZAD, 2020) | generic | generic | Gaussian |
| Falcon (FOUQUE et al., 2020) | digital signature | SIS over NTRU | Gaussian |
| FrodoKEM (ALKIM et al., 2020) | key exchange | LWE | Gaussian |
| Kyber (AVANZI et al., 2021) | key exchange | module-LWE | uniform |
| NewHope (PÖPPELMAN et al., 2019) | key exchange | RLWE | binomial |
| qTESLA (ALKIM et al., 2019) | digital signature | RLWE | Gaussian and uniform |

Source: author (2023).

In Table 2, grayed rows denote standalone samplers whereas regular white rows
denote embedded samplers. Italicized text in column sampler indicates participation in NIST post-quantum cryptography standardization process.

## 3 EFFICIENT AND SECURE FWHT GAUSSIAN SAMPLER

This chapter presents the construction of an efficient and secure discrete Gaussian sampler as a primitive for digital signing in RLWE-based cryptosystems.

Efficiency gains are pursued through the CLT convergence acceleration, and also through FWHT shuffling. Security is addressed as a vulnerability mitigation to timing side-channel attacks, which is built upon algorithmic isochrony (REPARAZ; BALASCH; VERBAUWHEDE, 2016; MICCIANCIO; WALTER, 2018; HOWE et al., 2019; WANG; LING, 2019).

A generic CDT-based Gaussian sampler is also constructed for comparison purposes, following Section 2.3.3.3.

Building of approximate Gaussians is achieved by means of sampling algebraic Gaussian distributions, instead of uniform distributions, meaning a biased procedure is enforced. This is also the phase of CLT convergence, which consists in getting to a probability distribution fitting in reduced time. The number of sampling iterations are reduced by a precision acceptability criteria, which estimates tolerance values for differences between the mean of the polynomial roots and the roots of the algebraic polynomial (BHARUCHA-REID; SAMBANDHAM, 1986), offering opportunities for routines to be terminated prematurely, still being effective. The FWHT is then used for shuffling, as shown in 2.4.1.3. Sampling is always performed in a fixed regime, i.e., Gaussian parameter values do not change during a sampling session.

Metrics regarding efficiency and approximation quality are used. The term firstlevel comparison denotes a comparison between a PDF and its resulting PMF, for a given sampling strategy, and the term second-level comparison denotes a comparison between results of distinct sampling strategies.

Regarding the structure of this chapter, the first section introduces the many variables dealt with herein. Then, section 3.2 details the FWHT-based random number generator, Section 3.2.1 presents mathematical formalization, and Section 3.3 presents implementation details for computer routines. In 2.4.1.3, pseudocode and diagrams, related to the implemented routines, are presented.

### 3.1 Variables

Variables may have an $\mathcal{N}$ index if they relate to the algebraic normal distribution PDF, or a $\mathcal{D}$ index if they relate to the approximate Gaussian PMF. Additionally, the following presentation of variables divides them in two groups, input and output, all of them are presented in the following sections. Values of input variables are supposed to be secret.

Input variable: $\boldsymbol{\sigma}_{\mathcal{N}}{ }^{2}$ denotes the variance for a Gaussian PDF. Its square root is the standard deviation $\sigma$, which is used to determine where to bound the PDF, for practical purposes. Standard deviation is directly proportional to the Gaussian parameter $S$, as presented by Equation 3.1:

$$
\begin{equation*}
\sigma=\frac{S}{\sqrt{2 \pi}} \tag{3.1}
\end{equation*}
$$

Many works present the normal distribution standard deviation or variance as relevant parameters for discrete Gaussian sampling (DWARAKANATH; GALBRAITH,

2014; FOLLÁTH, 2014).

Input variable: $\boldsymbol{\tau}_{\boldsymbol{N}}$ is the tail-cut factor. It is not often used for simple Gaussian descriptions, but it constitutes an artificial resource for bound manipulation.

Input variable: $\boldsymbol{\beta} \quad$ is a scalar, $\beta \in \mathbb{N}^{*}$, created to comply with dimension requirements of Hadamard matrices. The variable influences all of the sampling routines, both on PDF and PMF functions. This is because the FWHT-based sampling strategy demands a $2^{\beta}$ number of positions on its input vector. See Section 2.4.1.3 for further details on the transform. As a consequence, not only does the $\beta$ exponent dictate the number of stages in the FWHT algorithm presented by this work, but it also determines a $2^{\beta}$ order square matrix, the full-rank lattice dimension to work with, and the number of keys to be supported. In practical terms, $\beta$ influences the sample size, that is, the number of observations in each sample, and it also influences the number of samples itself. Thus, a $\beta$ value of 8 produces a sample size and a number of samples of 256 . Regarding the sample size, denoted in this work as $s$, each observation corresponds to one polynomial coefficient, that is, a sample size of 256 implies a polynomial of degree 255 with 256 coefficients. As for the number of samples, each sample represents a polynomial, so 256 samples stand for 256 polynomials.

Output variable: $\sigma_{\mathcal{D}}$ denotes the standard deviation for the Gaussian PMF. The formula used is presented in Equation 3.2.

$$
\begin{equation*}
\sigma_{\mathcal{D}}=\sqrt{\frac{1}{2^{\beta}} \sum_{i=1}^{2^{\beta}}\left(D_{i}-\mu_{\mathcal{D}}\right)^{2}} \tag{3.2}
\end{equation*}
$$

Output variable: $\boldsymbol{\mu}_{\mathcal{D}}$ denotes the mean of a normal distribution PMF.

Equation 3.3 calculates the mean for $2^{\beta}$ samples.

$$
\begin{equation*}
\mu_{\mathcal{D}, 2^{\beta}}=\frac{\sum_{i=1}^{2^{\beta}} D_{i}}{2^{\beta}} \tag{3.3}
\end{equation*}
$$

Output variable: $\boldsymbol{s} \quad$ lowercase $\boldsymbol{s}$ is the even $2^{\beta}$ sample size to be used both by PDF and PMF sampling routines, and it also represents a security parameter of the RLWE problem (LYUBASHEVSKY; PEIKERT; REGEV, 2013b). The sample size is the number of observations in each sample, and, in this work, that also means the number of coefficients in each RLWE polynomial. Bigger values are expected to reduce tails, and make statistical distance smaller between algebraic PDF and approximated PMF (DWARAKANATH; GALBRAITH, 2014).

Output variable: skewness $\boldsymbol{s}_{\mathcal{D}}$ denotes quality. An approximated normal distribution PMF whose skewness value is closer to zero indicate a more likely bell-shaped function. The already adapted formula used here is presented in Equation 3.4.

$$
\begin{equation*}
\text { skewness }_{\mathcal{D}}=\frac{\sum_{i=1}^{2^{\beta}}\left(D_{i}-\mu_{\mathcal{D}}\right)^{3} \operatorname{PMF}\left[\left(D_{i}-\mu_{\mathcal{D}}\right)^{3}\right]}{\sigma_{\mathcal{D}^{3}}} \tag{3.4}
\end{equation*}
$$

Output variable: kurtosis $_{\mathcal{D}}$ as $s k e w n e s s_{\mathcal{D}}$, the kurtosis $_{\mathcal{D}}$ variable stands as a measure of quality. Higher values of kurtosis indicate lower-quality PMF approximation. The formula used is presented by Equation 3.5.

$$
\begin{equation*}
\text { kurtosis }_{\mathcal{D}}=\frac{\sum_{i=1}^{2^{\beta}}\left(D_{i}-\mu_{\mathcal{D}}\right)^{4} \operatorname{PMF}\left[\left(D_{i}-\mu_{\mathcal{D}}\right)^{4}\right]}{\sigma_{\mathcal{D}^{4}}} \tag{3.5}
\end{equation*}
$$

Output variable: CPUcycles $_{\mathcal{N}}$ portrays an efficiency metric, reporting the number of CPU cycles spent until CLT convergence. Typically, it can be calculated by a formula like that of Equation 3.6.

$$
\begin{equation*}
\text { CPUcycles }=\text { frequency } \cdot \text { time } \tag{3.6}
\end{equation*}
$$

In Equation 3.6, frequency represents a CPU clock frequency, given in hertz $(\mathrm{Hz})$, and time represents the time spent in a computer routine, given in seconds (s).

Output variable: CPUCycles $_{\mathcal{D}}$ portrays an efficiency metric, reporting the number of CPU cycles spent in the production of a noise vector. As it is the case for CPUcycles $_{\mathcal{N}}$, CPUcycles $s_{\mathcal{D}}$ can be calculated by a formula like that of Equation 3.6.

Output variable: CPUcycles $_{\text {total }}$ portrays an efficiency metric, corresponding to the total CPU cycles, from the moment routines start trying to achieve CLT convergence until the production of a noise vector, that is

$$
\begin{equation*}
\text { CPU cycles } \text { total }=C P U \text { cycle }_{\mathcal{N}}+C P U \text { cycle }_{\mathcal{D}_{\mathcal{D}}} . \tag{3.7}
\end{equation*}
$$

Output variable: entropy is also known as the Shannon entropy. For the purposes of this work, higher values of this variable are desirable, as they offer more randomness. Given in bits, the maximum entropy value possible for a $2^{\beta}$ sample size is described by Equation 3.8.

$$
\begin{equation*}
\text { entropy } \leq \log _{2} 2^{\beta} \tag{3.8}
\end{equation*}
$$

Equation 3.8 shows that, for the purposes of this work, the maximum entropy possible is less than or equal to $\beta$ bits.

Output variable: $\boldsymbol{D}_{K L}$ is the relative entropy, measured in bits. Values closer to zero are desirable in this work, as they stand for PMF functions better representing the original PDF function. Equation 3.9 describes how the relative entropy is computed from a continuous-case distribution to a discrete-case one.

$$
\begin{equation*}
D_{K L}(\mathcal{D} \| \mathcal{N})=\sum_{i=1}^{2^{\beta}} \log \left(\frac{\mathcal{D}_{i}}{\mathcal{N}_{i}}\right) \tag{3.9}
\end{equation*}
$$

### 3.2 Sampler modeling

Theoretical modeling background for the FWHT-based DGS sampler is presented in this section.

The FWHT routine presented in Algorithm 3.1 is a contribution of this work. It is supposed to be provided with argumentative values for the following parameters: the exponent $\beta$, the $\sigma_{\mathcal{N}}$ standard deviation for the Gaussian $\operatorname{PDF}$, the $\tau_{\mathcal{N}}$ tail-cut factor, and the option sampling algorithm. As for the algorithm variables, $\ell$ is expected to store the number of cycles on a given stage. Variable $l l$ is expected to store the current cycle length, that is, the number of vector positions the current cycle takes. Variable $\ell l h$ is expected to store half the cycle length, and it also helps didactically in the pseudocode, emphasizing the idea cycles always have two equal-sized chunks, one for addition operations and other for subtraction operations. Variable $v$ is a $2^{\beta}$ -
sized vector, which firstly stores an $\eta$ initialization vector, produced by the sample() function, and the PDF sampling strategy to be performed by this function is arbitrated by the option parameter. Variable $v_{\text {aux }}$ serves the purpose of preserving the value stored in vector position $\ell_{a u x} \ell l+\ell l_{a u x}$ before it is altered. Variables $\beta_{a u x}, \ell_{a u x}$, and $\ell l_{\text {aux }}$ help in counting and controlling the number of iterations in each of the three loop structures. Variable $\ell p$ is expected to store a relative position in vector $v$, always in the first chunk of the current cycle, computed as $\ell_{a u x} \ell l+\ell l_{a u x}$.

```
Algorithm 3.1 An FWHT isochronous construction.
    algorithm fwht_non_recursive ( \(\beta\) : natural, \(\sigma_{\mathcal{N}}\) : real, \(\tau_{\mathcal{N}}\) : real, option: natural)
        \(\ell: \quad\) natural; // Number of cycles on a given stage.
        \(\ell l: \quad\) natural; // Current cycle length.
        llh: natural; // Half the cycle length.
        \(\ell p: \quad\) natural; // Position in the first chunk of the current cycle.
        \(v\left[2^{\beta}\right], v_{\text {aux }}: \quad\) integer;
        \(\beta_{a u x}, \ell_{\text {aux }}, \ell l_{\text {aux }}\) : natural;
        \(v \quad \leftarrow \operatorname{sample}\left(\beta, \sigma_{\mathcal{N}}, \tau_{\mathcal{N}}\right.\), option \()\);
        \(\ell \quad \leftarrow 1\);
        \(\ell l \quad \leftarrow 2^{\beta}\);
        for \(\left(\beta_{a u x}\right.\) from 0 to \(\beta-1\), step 1)
            \(\ell \quad \leftarrow \ell \cdot 2^{\beta a u x}\);
            \(\ell l \quad \leftarrow \ell l / 2^{\text {Baux }}\);
            llh \(\leftarrow \ell l / 2\);
            for \(\left(\ell_{\text {aux }}\right.\) from 0 to \(\ell\), step 1\()\)
            for \(\left(\ell l_{\text {aux }}\right.\) from 0 to \(\ell l h-1\), step 1\()\)
                    \(\ell p \quad \leftarrow \ell_{a u x} \ell l+\ell l_{\text {aux }}\);
                    \(v_{\text {aux }} \leftarrow v[\ell p] ;\)
                    \(v[\ell p] \leftarrow v_{a u x}+v[\ell p+\ell l h] ;\)
                    \(v[\ell p+\ell l h] \leftarrow v_{a u x}-v[\ell p+\ell l h] ;\)
            end for
        end for
        end for
26:
27: \(\quad\) return \(v\);
    end algorithm
```

Source: author (2022).

Algorithm 3.1 presents a non-recursive isochronous FWHT construction, using imperfectly-nested loop nests (KODUKULA; PINGALI, 1996), with the purpose of mitigating timing vulnerabilities. Vector $v$ has its content successively overwritten
throughout the iterated $\beta$ stages, and it is also returned with the $g(\omega)$ final stage calculation result.

The first loop structure iterates $\beta$ times, corresponding to the number of stages. The second loop structure iterates on the number of cycles of the current stage. In the first stage, there is only one cycle. Then, in the second stage, there are two cycles, and that value keeps being doubled at each new stage. The third and last loop is based on the length of the current cycle, but it iterates upon only half that value. In the first stage, the cycle length equals $2^{\beta}$. In the second stage, the cycle length becomes $2^{\beta-1}$. Then $2^{\beta-2}$ in the third stage, and the exponent keeps being decremented by one at each new stage, until it reaches the value of one, meaning the last stage has cycles with 2 elements. Thus, as the number of cycles double, the number of elements in each cycle decreases in an exponential rate. Using only half the cycle length for iteration is due to the FWHT always breaking a cycle length into two equal-sized chunks. In this work, a chunk corresponds to half the length of a cycle. For each chunk element, there is a sign attribution, followed by an addition or a subtraction operation, respectively represented by lines 21 and 22 of Algorithm 3.1. Line 21 represents the first of the two chunks, so its first position corresponds to the first position of the current cycle, which is determined by $\ell p$. In the first chunk, vector elements are given plus signs, and then each of those elements is used in two operations, the first operation occurring in the first chunk itself, and the second operation occurring in the second chunk. Line 22 represents the second of the two chunks, so its first position corresponds to the middle of the current cycle, which is determined by $\ell p+\ell l h$. In the second chunk, vector elements are given plus signs for operations in the first chunk, and minus signs for operations in the second chunk itself.

Lastly, $v$ is returned as the $g(\omega)$ transform output. It has the same $2^{\beta}$ length of the $\eta$ input, and its content is a set of integer coefficients for a polynomial. Those integer values may be turned into binaries if necessary. Possible options to generate the $\eta$
input, and to convert the FFT output, are discussed in Chapter 5.

In the example represented by Figure 9 and Figure 10, didacticism is addressed, and many one-valued positions are used in the $\eta$ vector. However, for the sampling routine of this work, there is a single non-zero value in the referred IV, all other positions being occupied by zeros. The $\eta$ initialization vector is obtained from a preliminary Gaussian sampling observation. Thus, still considering the $\beta=4$ example, the referred sampling is performed in the positive portion of a $\mathcal{N}\left(0, \sigma^{2}\right)$ truncated PDF, whose domain is divided into 16 equally-sized intervals, as that of Figure 11. Each interval corresponds to one $\eta$ vector position.

Figure 11: The possible intervals to be observed for $\beta=4$.


Source: author (2021).

In this work, the $\mu$ mean assumes a value of zero, and the positive bound, $\tau \sigma$. Thus, a sampling like that of Figure 11 uses a $(0, \tau \sigma]$ domain, and observed values assume alternating signs minus and plus. Thus, in practice, half the number of intervals is used, as in Figure 12, and the alternating signs simulate a $(-\tau \sigma, \tau \sigma]$ domain. As an exception is forced in the negative bound, the first sign is chosen to be minus.

In Figure 12, intervals corresponding to positions [00], [01], [02], [03], [04], [05], [06], and [07] are not shown because they stand in the negative side of the curve. A negative [08] corresponds to [07], a negative [09] corresponds to [06], a negative [10] corresponds to [05], a negative [11] corresponds to [04], a negative [12] corresponds to [03], a negative [13] corresponds to [02], a negative [14] corresponds to [01], and a

Figure 12: The intervals to be observed for $\beta=4$.


Source: author (2021).
negative [15] corresponds to [00].

Previously to observations, the vector is filled with zeros. Then, after having one of its positions occupied by 1 , such position corresponding to the observed interval, $\eta$ is subjected to the FWHT, in order to generate a $g(\omega)$ transform representation.

For the purpose of obtaining initial standard deviation values to start modeling DGS, (ALBRECHT et al., 2018; ALBRECHT et al., 2019) are used. Results, presented in Table 3, are picked for available schemes in the interest of this work, which are Falcon, FrodoKEM, and qTESLA. They all make use of isochronous and discrete Gaussian sampling. The primal attack is used as a filter because its results are available for both LWE and NTRU lattice assumptions, the referred attack being a solver variant for Shortest Vector Problem (SVP) lattice problems. Column security represents the security level, $\beta$ is the exponent for the number of samples, and $\sigma$ is the standard deviation.

Table 3: Comparison of NIST lattice-based candidates.

| scheme | security (bits) | $\boldsymbol{\beta}$ | $\boldsymbol{\sigma}$ |
| :--- | :--- | :--- | :--- |
| Falcon | 103 | 512 | 4.05 |
| FrodoKEM | 150 | 976 | 2.30 |
| qTESLA | 128 | 1024 | 8.49 |

Source: author (2021).

Although the Falcon signing scheme is built upon NTRU lattice assumptions, the
works (ALBRECHT et al., 2018; ALBRECHT et al., 2019) test it with LWE premises. Thus, it should be pointed out that the first row in Table 3 refers to numbers from those LWE-adapted Falcon tests.

Variance of the PDF: initial $\sigma_{\mathcal{N}}$ value is 4.9467 , obtained by computing the mean for the three $\sigma$ values present in Table 3. Thus, initial variance is $4.9467^{2}$, that is, 24.4695.

Mean of the PDF: PDF functions in this work are constantly centered in zero, meaning their mean value, $\mu_{\mathcal{N}}$, is fixed to zero.

Bounds of the PDF: as explained in Section 2.3.1.1, the PDF functions of this work are truncated in the interval $\left[-\tau_{\mathcal{N}} \sigma_{\mathcal{N}}, \tau_{\mathcal{N}} \sigma_{\mathcal{N}}\right]$. Regarding the PDF tail-cut factor $\tau_{\mathcal{N}}$, in (KARMAKAR et al., 2018), authors propose values of $\tau_{\mathcal{N}}$ between six and twelve, and in (ZHAO; STEINFELD; SAKZAD, 2020), tail-cut factor values are said to be between ten and twelve. Here, initial value for the input variable $\tau_{\mathcal{N}}$ is ten.

Security level: the discrete Gaussian sampler presented by this work enforces a 128-bit security level, meaning PMF functions should offer $2^{128}$ possible values in their considered intervals. PMF bounds and output variable $\sigma_{\mathcal{D}}$ should produce values to comply with such level. Considering the integers necessary to offer 128-bit resolution, if PMF is centered in zero, a $\left(-2^{127}, 2^{127}\right.$ ] domain interval supports such resolution. Also, the referred security level implies in a 128 -bit valued seed.

Number of samples, and sample size: the sampler assumes a full-rank lattice, which means the number of samples is equal to the number of observations inside each sample. A square matrix is to be built, and its order should meet Hadamard matrices requirements, meaning both the number of samples, and the sample size, are computed
as $2^{\beta}$, and $\beta$ initial value is one. That same value determines the size of the $e$ error vector, and ultimately, the number of RLWE keys covered. Preliminary tests indicate feasibility of computation for $\beta$ values up to twenty.

### 3.2.1 Mathematical formalization

This section uses the RLWE problem presented in 2.2. For the efficiency purpose of this work, only one random variable needs to be formed by sampling. Others can be derived from the first (RADER, 1969). This strategy could be applied to key generation but in this case, we are generating noise.

Initially, concerning the statistical model, it consists in a bounded normal probability distribution with zero mean, which is enforced as the sampling source. The relation between lattice-based cryptosystems and sampling from truncated normal distribution PDF functions is close enough to make works like the estimator of (ALBRECHT et al., 2018) assume every error vector distribution to be a discrete normal, even if they are normal or binomial distributions. Since the FWHT is used, there is a requirement for a $2^{\beta}$ sample vector size, $\beta \in \mathbb{N}$. As for context, we consider such vector as an element of a $2^{\beta}$-dimension lattice, thus we also need $2^{\beta}$ samples or polynomials, constituting a polynomial ring. Also, a $2^{\beta}$ lattice dimension means polynomials having a degree of $2^{\beta}-1$.

The data structure into which samples are stored is a square matrix $A$ of order $2^{\beta}$, and its inverse is later denoted as $A^{-1}$.

From the $e$ error vector in Equation 2.8, which is supposed to harden the system solution, it is possible to write the matrix inequation in 3.10:

The discrete Gaussian sampler presented herein generates the $e$ vector from a truncated normal distribution.

For the main tests, values of $\beta$ varies from 0 to 23 , meaning that in the context of this work, the maximum number of polynomials to deal with in a system like 2.4 , is $2^{23}$.

### 3.3 Specification and implementation of computer routines

This section presents implementation information for DiGS, which is an interactive computer routine, having evolved from a SageMath script to a Python 3 script, and also to a C language program, which is depicted by the flowchart in Figure 13. The C programming language standard used is C99. Even though the standard has been replaced, it is almost fully supported by the GNU Compiler Collection (GCC), which in turn is the software chosen for compilation (Technical Committee: ISO/IEC JTC 1/SC 22, 1999; GCC Team, 2023).

Its inputs are algebraic Gaussian probability distributions, and outputs are intended to be approximate Gaussian probability distributions. The referred script was initially ported to the Python language, and the concept was verified as consistent. Then it was

Figure 13: DiGS routine flowchart.


Source: author (2023).
rewritten in the C language, with improvements. The program builds discrete Gaussian sampling primitives following two distinct strategies, namely CDT, and FWHT shuffling.

Input variable values, e.g., those listed under Section 3.1, may be provided in runtime. However, the code offers default values, for quick exemplifying.

Efficiency remarks: regarding the FWHT, improvements are built upon avoidance of floating-point computations, restricting operations to sums and subtractions only.

Isochrony remarks: isochrony is implemented with an invariant number of steps during shuffling iterations in computing routines.

Sampling remarks: the coefficient matrix is implemented as a simple C array data structure with pointers because it is generally considered faster for regular computers (SUMMIT, 2019). FWHT construction in DiGS receives a $2^{\beta}$-sized array of pointers, pointing to binary values, as input. Variable $\beta$ is described in 3.1. Assuming initial parameter values set, the routine is described next, respecting the steps in Section 1.5. Samples are generated and then processed, as described in Section 1.5.1. Firstly, histograms are built, and then, interpolated Gaussian-like PMF functions too. A simple example of that process is present in A.2. For quality assurance of CDT and FWHT strategies, the PDF used in the last step is compared to each of the produced PMF functions, using the statistical metrics presented in Section 3.1. The first comparison round is referred to in this work as a first-level comparison. It embraces a comparison between the original PDF and the computed CDT PMF, and a comparison between the original PDF and the computed FWHT PMF. Results considered as unacceptable force the whole dataset to be discarded, and the process start over. In that case, one or more of the initial values defined in the prototyping step of Section 1.5.1 may be changed, until acceptable statistical values are obtained. Once acceptable statistical
results are achieved, the two distinct PMF functions are available for sampling. Then, CDT sampling is performed on the CDT PMF, and FWHT sampling is performed on the FWHT PMF. Results are compared, and that is referred to in this work as the second-level comparison. If no FWHT efficiency advantage nuance is present, former steps are reviewed, and variations might be tested, e.g., different initial values. Finally, the data persistence takes place.

DiGS FWHT routine outputs another array of pointers, with the same length of the one received as input, but this time pointing to integers. DiGS grabs the FWHT output, and in order to produce its binary noise vector, it turns even numbers in zeroes, and odd numbers in ones. Then, FWHT input and output are then destroyed for security.

Yet, there is an additional remark regarding $g(\omega)$, for the conversion of its contents to zeros and ones. According to (MARINGER; FRITZMANN; SEPÚLVEDA, 2019), $\beta$ influences stochastic dependence of LWE cryptosystems in a directly proportional manner, that is, for the purposes of this work, bigger values of $\beta$ would tend to reduce randomness. Indeed, it is observed that bigger $\beta$ values in the FWHT DGS make parity predictability grow on the output vector. Its numbers tend to be even. That does not impose a problem to DiGS because its binary vector of noise is built upon $k, k \in \mathbb{Z}$, which by its turn form all even numbers, as $2 k$.

Execution remarks: in order to run it on a Linux operating system command line interface, considering one is already on the directory containing the executable file, then in a regular bash shell, DiGS should be manually invoked as:

```
$ ./digs
```


### 3.3.1 Calculation precision

In regular computer routines, calculation precision is limited by the largest number registers can hold. That is sometimes called fixed-size arithmetic. DiGS routines deal
with numbers bigger than those supported by regular registers. So it would be affected by the aforementioned limitation. Two options of library categories were considered to circumvent such issue:
i) arbitrary-precision arithmetic
sometimes called multiple-precision arithmetic, as opposed to fixed-size arithmetic, arbitrary-precision arithmetic libraries work with floating-point numbers; and
ii) symbolic computation
this category of library do not work with floating-point numbers, but symbols.

In the Python proof-of-concept ( PoC ) phase, the following libraries were considered:

- decimal

Python-native library;

- gmpy

Python version of the GNU Multiple Precision Arithmetic Library (GMP) program; and

- mpmath

Python-native library, which was the choice during the Python development phase, for providing an easy interface to work with gmpy. The mpmath library can be found alone or bundled in the larger SymPy library (JOHANSSON et al., 2013).

Afterwards, in the C development phase, the following arbitrary-precision arithmetic libraries were considered:

- GNU Multiple Precision Arithmetic Library (GMP) the choice for this work is a portable library written in C , and it works with integers, rational numbers, and floating-point numbers;
- libgcrypt
a cryptology library which has its arbitrary-precision arithmetic routines as a fork of an old GMP release; as a part of the GnuPG project, it is adapted to suit its main project requirements; and
- RELIC
a library for working with integers (ARANHA; GOUVÊA, 2020).


### 3.4 Summary for efficient FWHT Gaussian sampler

Development of an efficient Gaussian sampler, and a method to measure it, are present in the current chapter. It details how to improve DGS, and the full set of variables used to achieve it. Mathematical background is also provided, as well as the computer routines specifications.

## 4 TESTS AND RESULTS

Results for the discrete Gaussian sampling tests with computer routine software implementations are presented in this chapter. The tests are executed with the cumulative distribution table strategy, and with the fast Walsh-Hadamard transform strategy. Initial setting for both samplers is described in Section 3.2, and the CDT itself is presented in A.3. The referred routines compute the metrics specified in Section 2.3.5. Testing sequence is detailed as enumerated steps in Section 1.5. The continuous-case algebraic normal probability distribution used is $\mathcal{N}(0,14.71025358)$.

Table 4 presents the results for the CDT sampling strategy. For all of the listed $\beta$ values, skewness is zero and kurtosis is -2 . Relative entropy values are truncated for cleaner presentation.

Table 4: Results for the cumulative distribution table strategy.

| $\boldsymbol{\beta}$ | $\boldsymbol{s}$ | CPU cycles $\times \mathbf{1 0}^{\mathbf{6}}$ | $\boldsymbol{\mu}_{\mathcal{D}}$ | $\boldsymbol{\sigma}_{\mathcal{D}}$ | relative entropy |
| ---: | ---: | ---: | ---: | ---: | ---: |
| 1 | 2 | 16.40 | 21.0 | 15.0 | $\infty$ |
| 2 | 4 | 16.42 | -1.5 | 4.5 | $\infty$ |
| 3 | 8 | 16.40 | 9.0 | 19.0 | $\infty$ |
| 4 | 16 | 14.35 | 7.0 | 12.0 | 0.346 |
| 5 | 32 | 14.35 | 4.0 | 17.0 | 0.415 |
| 6 | 64 | 13.32 | 11.0 | 10.0 | 0.485 |
| 7 | 128 | 12.81 | -9.0 | 17.0 | 0.346 |
| 8 | 256 | 12.82 | -8.0 | 10.0 | 0.462 |
| 9 | 512 | 13.95 | -1.0 | 12.0 | 0.356 |
| 10 | 1024 | 13.72 | -13.5 | 2.5 | 0.373 |
| 11 | 2048 | 13.49 | -13.0 | 8.0 | 0.360 |
| 12 | 4096 | 13.41 | -4.5 | 10.5 | 0.353 |
| 13 | 8192 | 13.60 | -1.0 | 10.0 | 0.366 |
| 14 | 16384 | 13.31 | -22.0 | 1.0 | 0.360 |
| 15 | 32768 | 13.33 | 4.0 | 26.0 | 0.355 |
| 16 | 65536 | 14.35 | -2.0 | 2.0 | 0.359 |
| 17 | 131072 | 14.13 | -3.5 | 23.5 | 0.363 |
| 18 | 262144 | 14.34 | -12.5 | 7.5 | 0.359 |
| 19 | 524288 | 14.20 | 3.5 | 2.5 | 0.359 |
| 20 | 1048576 | 14.19 | -17.0 | 15.0 | 0.360 |

Source: author (2021).

Table 5 presents the results for the FWHT sampling strategy. For all of the listed $\beta$ values, the obtained $\mu$, is zero. The same applies to skewness values. Relative entropy values are truncated for cleaner presentation.

Table 5: Results for the fast Walsh-Hadamard transform strategy.

| $\beta$ | $s$ | CPU cycles $\times 10^{\mathbf{3}}$ | $\sigma_{\mathcal{D}}$ | kurtosis | relative entropy |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 4 | 16 | 0.00 | 18 | -2 | 0.277 |
| 5 | 32 | 0.00 | 17 | -2 | 0.207 |
| 6 | 64 | 0.00 | 11 | -2 | 0.306 |
| 7 | 128 | 0.00 | 10 | -2 | 0.346 |
| 8 | 256 | 128.10 | 2 | -2 | 0.346 |
| 9 | 512 | 64.05 | 12 | -2 | 0.306 |
| 10 | 1024 | 96.18 | 2 | -2 | 0.301 |
| 11 | 2048 | 96.18 | 2 | -2 | 0.333 |
| 12 | 4096 | 104.16 | 14 | -2 | 0.332 |
| 13 | 8192 | 110.67 | 12 | -2 | 0.325 |
| 14 | 16384 | 124.11 | 10 | -2 | 0.316 |
| 15 | 32768 | 114.24 | 10 | -2 | 0.320 |
| 16 | 65536 | 111.72 | 6 | -2 | 0.319 |
| 17 | 131072 | 111.51 | 15 | -2 | 0.320 |
| 18 | 262144 | 109.83 | 8 | -2 | 0.317 |
| 19 | 524288 | 111.72 | 0 | -3 | 0.318 |
| 20 | 1048576 | 109.20 | 18 | -2 | 0.317 |
| 21 | 2097152 | 108.57 | 7 | -2 | 0.317 |
| 22 | 4194304 | 107.73 | 2 | -2 | 0.318 |
| 23 | 8388608 | 108.36 | 12 | -2 | 0.318 |

Source: author (2021).

A histogram plot corresponding to FWHT tests is present in Figure 14.

Figure 14: Histogram of observations for the fast Walsh-Hadamard transform sampling strategy.


Source: author (2021).

### 4.1 Summary for tests and results

In this chapter, quantitative content is presented in an organized manner. Table 6 presents some of the results, combining both strategies side by side, for comparison convenience. In this comparison, the $\beta$ discrete domain used starts in 4 because lower values produce unwanted relative entropy. Then it ends in $\beta=20$ because bigger values proved to be computationally costly regarding the CDT strategy, given the context of this work. Values are subjected to approximations, in order to make a cleaner presentation.

Discussion about Table 6 is present in Chapter 5, and complete tables are present

Table 6: Comparison of results.

|  | $\boldsymbol{\sigma}_{\mathcal{D}}$ |  |  | CPU cycles $_{\boldsymbol{N}}$ |  |  | relative entropy |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\boldsymbol{\beta}$ | CDT | FWHT |  | CDT $\times \mathbf{1 0}^{\mathbf{6}}$ | ${\text { FWHT } \times \mathbf{1 0}^{\mathbf{3}}}$ |  | CDT | FWHT |
| 4 | 12.00 | 18.00 |  | 14.35 | 0.00 |  | 0.34 | 0.28 |
| 5 | 17.00 | 17.00 |  | 14.35 | 0.00 | 0.41 | 0.21 |  |
| 6 | 10.00 | 11.00 |  | 13.32 | 0.00 | 0.48 | 0.30 |  |
| 7 | 17.00 | 10.00 |  | 12.81 | 0.00 | 0.34 | 0.34 |  |
| 8 | 10.00 | 2.00 |  | 12.82 | 128.10 |  | 0.46 | 0.34 |
| 9 | 12.00 | 12.00 |  | 13.95 | 64.05 |  | 0.35 | 0.30 |
| 10 | 2.50 | 2.00 |  | 13.72 | 96.18 |  | 0.37 | 0.30 |
| 11 | 8.00 | 2.00 |  | 13.49 | 96.18 | 0.36 | 0.33 |  |
| 12 | 10.50 | 14.00 |  | 13.41 | 104.16 | 0.35 | 0.33 |  |
| 13 | 10.00 | 12.00 |  | 13.60 | 110.67 | 0.36 | 0.32 |  |
| 14 | 1.00 | 10.00 |  | 13.31 | 124.11 | 0.36 | 0.31 |  |
| 15 | 26.00 | 10.00 |  | 13.33 | 114.24 |  | 0.35 | 0.32 |
| 16 | 2.00 | 6.00 |  | 14.35 | 111.72 | 0.36 | 0.32 |  |
| 17 | 23.50 | 15.00 |  | 14.13 | 111.51 | 0.36 | 0.32 |  |
| 18 | 7.50 | 8.00 |  | 14.34 | 109.83 | 0.36 | 0.32 |  |
| 19 | 2.50 | 0.00 |  | 14.20 | 111.72 | 0.36 | 0.32 |  |
| 20 | 15.00 | 18.00 |  | 14.19 | 109.20 | 0.36 | 0.32 |  |

Source: author (2021).
in Appendix A.

## 5 CONCLUSIONS

Like many other fields, cryptology is being pushed forward by quantum computing, and the efforts spent in this work represent a contribution to further advancements. Schedule delays were caused by the COVID-19 pandemic consequences but the goal, as described in 1.3, is successfully achieved. Preliminary results were presented in VIII Workshop de Pós-Graduação em Engenharia de Computação, and published in the corresponding proceedings, in (JÚNIOR; JUNIOR, 2019). Final results were presented in XXII Simpósio Brasileiro em Segurança da Informação e de Sistemas Computacionais, and published in the corresponding proceedings, in (JÚNIOR, 2022). As the NIST process is still in course, fresh material related to lattice-based cryptography is often published. Efforts are made in order to work with accurate and updated information as much as possible.

As for the input parameters, the $\beta$ exponent determines the most relevant aspects of the sampler, from lattice dimension, and polynomial degrees, to CLT convergence rate, the entropy of samples, and the key size to be supported. Additionally, in terms of implementation, $\beta$ is directly responsible for the CPU cycles spent. Modeling DGS via the $\beta$ parameter alone reveals itself as a challenge in searching for optimal values, because higher values raise entropy, but they also lower efficiency. Thus, the $\beta$ exponent represents a trade-off involving efficiency and security. Natural values ranging from zero (0) to twenty (20) are tested for both CDT and FWHT. Still, the values of twenty one (21), twenty two (22) and twenty three (23) are tested for the FWHT case
only. Given the context and setup of this work, those $\beta$ exponent values prove to be unfeasible for CDT.

The standard deviation $\sigma_{\mathcal{N}}$ of the PDF is an input value, which influences inflection points of the function. In cases of bounded domains, it is possible to affirm that $\sigma_{\mathcal{N}}$ also influences the cardinality of the sample space. For the settings of this work, the optimal standard deviation value of the $\operatorname{PDF}$ is $\sigma_{\mathcal{N}}=14.71025358$.

The tail-cut factor $\tau_{\mathcal{N}}$ of the PDF was initially planned to be used as an input parameter because, along with $\sigma_{\mathcal{N}}$, it influences the cardinality of sample spaces. However, acceptable efficiency results are obtained without it, thus, for the sake of simplicity, this work chooses not to use it.

As for the outputs, feasible lattice dimensions of up to $2^{23}$, that is, $8,388,608$, are covered herein. Regarding $\sigma_{\mathcal{D}}$ values, Table 6 shows that in most cases, the obtained numbers are more than $10 \%$ above or below $\sigma_{\mathcal{N}}$. That is not considered as a good approximation result for this work.

Regarding the Kullback-Leibler divergence values, the fact that all measures are closer to zero than they are to one denotes a reasonable approximation achievement. Such values indicate PMFs resemble their respective PDFs.

Regarding the quality of the PMFs, there are the results for coefficient of skewness, and kurtosis. All of the obtained coefficient of skewness values are zero, showing maximum quality is achieved for that metric. Kurtosis is always -2 in all tests but one, in which a value of -3 is obtained, corresponding to the FWHT test for $\beta=19$. Approaching zero from the left, with absolute values below 3 means the PMFs are platykurtic, i.e., they are flatter than they should be.

As for the lattice-based approach, some conceptual coherence is perceived in its cryptologic utility. This is due to the periodic structure of lattices adhering to generic
modulus specifications often used in cryptology. Regarding lattice dimensions, the highest values solved, by the time of this work, are in the $10^{2}$ order, but specifically for LWE, the biggest dimensions solved do not reach the value of one hundred (LINDNER et al., 2021). In this work, which is based on the LWE problem, sampling works with lattice dimensions in the $10^{6}$ order.

As for practical applications, this work specifies and implements a discrete Gaussian sampler for lattice-based cryptosystems. Also, with a few adaptations, it can generate full sets of polynomial coefficients if needed, covering sets of up to $2^{23}$ RLWE keys. The sampler intends to be useful for RLWE-based digital signature schemes. DiGS implementation is planned to be available as a C language library, e.g., the generated $e$ error vector, presented in Equation 3.10, may be easily returned to cryptosystems used in the context described.

### 5.1 Efficiency conclusions

DGS is considered expensive both in computational and memory terms, if compared to, e.g., uniform sampling, which causes some works to reject the former or to use it moderately, as seen in section 2.5 . That is due to DGS extensive low-level evaluation of integrals or series through specialist algorithms. As a typical FFT algorithm, the fast Walsh-Hadamard transform (FWHT) is $O(n \cdot \log n)$. Such complexity holds for the isochronous shuffling algorithm of this work, which is $O\left(\beta \cdot 2^{\beta}\right)$, if expressed through the $\beta$ exponent.

As for alternative constructions, a feasible workaround to avoid isochronous constructions and keep implementations secure is to insert random delay times in timingvulnerable routines. That alternative may be worthwhile if the random delay time generation alternative takes less time than using the invariant routine. Greater delay values
could be detected, which would jeopardize the referred workaround. Also, as it can be seen in the diagram of Figure 9, parallelization may be an option, e.g., from the second stage on, cycles belonging to the same stage do not depend on each other. Notwithstanding, simultaneous multithreading may also increase susceptibility to side-channel attacks.

As for the comparison between FWHT and CDT, regarding efficiency, firstly, it is relevant to resume the algorithm complexities involved. Then, while CDT is $O\left(\tau_{\mathcal{N}} \cdot \sigma_{\mathcal{N}}\right)$, the FWHT shuffling routine is $O(n \cdot \log n)$, or $O\left(\beta \cdot 2^{\beta}\right)$ in terms of the $\beta$ parameter. Adoption of the CDT strategy as a reference is justified in 1.4, and 1.5. CDT sampling complexity, being governed by $\tau$, the tail-cut factor, and $\sigma$, the standard deviation, depends on the size of the sampling interval. FWHT sampling complexity is governed by the $\beta$ exponent, responsible for the number of samples, the lattice dimension, and other variables.

In the scope of this work, a more efficient algorithm is the one which takes less central processing unit (CPU) cycles to securely complete the noise generation routine for a given parameter setup. Considering the values of $\beta$ in the interval $4 \leq \beta \leq 20$, and the further settings of this work, the results of efficiency for the FWHT strategy are better than the CDT strategy results. The difference, measured in CPU cycles, tends to be two orders of magnitude, in favor of the FWHT approach, as it is shown in Table 6.

As for the values of $\mu_{\mathcal{D}}$, which are supposed to follow the $\mu_{\mathcal{N}}$ value of zero, that is observed in all of the FWHT tests but is not observed in the CDT tests. This issue, regarding the mean of the Gaussian, is consistent with the relative entropy results, which are generally bigger for CDT tests. Thus, the relative entropy values show that the PMF vector is closer to the algebraic function as the FWHT strategy is used. In the tests performed, the only case of similar Kullback-Leibler values for CDT and FWHT is $\beta=7$. The values of $\mu_{\mathcal{D}}$ following those of $\mu_{\mathcal{D}}$ denote successful exploitation of
the CLT. As seen in Section 2.3.4, the theorem converges as $\mu_{\mathcal{D}}$ approaches $\mu_{\mathcal{N}}$.

Regarding memory, while the CDT strategy requires it for the table it works with, the FWHT strategy does not.

### 5.2 Security conclusions

Firstly, it is relevant to discuss how the normal distribution of probability makes sampling secure. Frequently observed in nature, normal distributions present higher complexity and often lower randomness if compared to uniform distributions. If nothing is known about a probability distribution, maximum entropy lies in the uniform case. It would not be attractive to cryptographic ends, but if variance $\sigma^{2}$ is specified, which happens in this work, the Gaussian case happens to offer maximum entropy. Such idea is present in Figure 5. Thus, two of the parameters used, $\beta$ and $\sigma^{2}$, influence Shannon entropy. Regarding resistance to attacks, if properly adjusted, the random number generator presented here provides RLWE cryptosystems with adequate Shannon entropy levels.

Standard deviation is expected to influence the security level of RLWE-based discrete Gaussian samplers, and so it is verified with regards to the $\sigma_{\mathcal{N}}$ input variable, introduced in Section 3.1. Note that $\sigma_{\mathcal{N}}$ is a dispersion metric, and for random number generation purposes, the bigger dispersion value assumes, the better for that matter.

As for resistance against timing attacks, a strengthening isochronous design is implemented in parts of the code. Though, isochrony is not a binary characteristic, and it demands cautious code study, e.g., a given conditional statement often represent a vulnerability to timing attacks, and in that case, an invariant execution time structure can reduce the referred risk. But enforcing isochrony in the referred conditional statement may also lead to severe efficiency damage.

By testing the isochronous timing-resistant FWHT-based DGS scheme in a soft-
ware implementation running on a simple hardware setup, its feasibility is verified.

### 5.3 Downsides

Downsides of this work firstly evoke the fact that isochronous DGS constructions are considered computationally costly in lattice-based cryptography. Also, it is relevant to mention the established comparison presented here is built only upon suitable dimensions for Hadamard matrices, that is, $2^{\beta}$. Finally, software tests of this work do not reach high values of $\beta$.

### 5.4 Open questions

This section presents barriers future research is expected to overcome. In the scope of this work, there are both general and specific points needing attention for continued improvement.

Generally considering lattice-based cryptography there are relevant questions that remain open, concerning the rationale of the present work. Sampling from discrete normal distributions is one of those questions, regarding the low-level inner workings of its supporting algorithms, which are based on computationally costly evaluations of integrals and series. Additionally, in terms of key size, e.g., in bits, classical schemes like the RSA system deal with smaller values. As sample sizes of this work are proportional to key sizes, smaller keys could reduce sampling time.

Specifically considering the present work harder scrutiny can be conducted by finding ways to obtain better numbers of $\sigma_{\mathcal{D}}$. Also, cryptology cares about the inverse of functions, thus, it might be worthwhile to analyze the inverse of the FWHT. Once it is considered as an FFT, a computational complexity of $O(n \log n)$ is ex-
pected for both the FWHT and for its inverse. But given the many properties involved in an FWHT, proper analyses should be conducted, in order to better understand if the referred properties can affect the asymptotic behavior of its inverse.

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## APPENDIX A - TABLES AND SUPPLEMENTARY INFORMATION

The first section of this appendix presents a short description of the NIST standardization process for post-quantum cryptography. The following section presents a simple discretization example for a normal probability distribution. Then, there is a section showing the complete tables containing values of metrics, and Gaussian sampling samples for both FWHT and CDT sampling strategies, as regarded in this work.

## A. 1 The NIST standardization process

The National Institute of Standards and Technology (NIST) is a federal agency of the United States of America government. They have successfully conducted the creation of notable cryptography standards such as the SHA family of hash functions, and the AES block cipher (TERADA, 2008).

Since 2016, the NIST is officially conducting another standardization process. This time they are working on post-quantum cryptographic schemes, which are expected to offer resistance against classical and quantum computing attacks. Supposed to be concluded in the year of 2024, the current process evaluates schemes covering primitives for digital signing, key exchange, and public-key encryption. Candidate proposals should comply with up to five security levels, according to Table 7, and the evaluation criteria is mainly based on security and performance. The standardization
process is formatted as a competition, divided into a number of stages or rounds. An open public call was initially announced by the NIST, and since then, interested parties started submitting their candidate schemes, firstly for public scrutiny, and then for the NIST evaluation itself (KIMBALL, 2016; CSRC, 2019; NIST, 2021; MOODY, 2022).

Table 7: The security levels.

| Level | Minimal hardness |
| :---: | :--- |
| I | AES-128 against an exhaustive key search attack. |
| II | SHA-256 against a collision search attack. |
| III | AES-192 against an exhaustive key search attack. |
| IV | SHA-384 against a collision search attack. |
| V | AES-256 against an exhaustive key search attack. |

Source: (MOODY, 2022).

In Table 7, the Level column presents the five possible security levels a given candidate scheme can address. Column Minimal hardness describes each security level. If a given candidate scheme claims to offer a security level I parameter set, then by using the referred parameter set, the scheme should be at least as hard to break as AES-128 against an exhaustive key search attack. A candidate scheme can support more than one security levels, e.g., the already selected scheme know as CRYSTALS-Dilithium supports levels II, III and V.

By the end of a given competition stage, some candidates are declared ineligible for standardization, a few may be considered good enough, being early picked for standardization, while other schemes qualify for the next stage. Three rounds were initially planned but additional ones proved necessary (ALAGIC et al., 2022). In July 2023, NIST announced additional digital signature candidates to be considered in the process (CSRC, 2023).

An independent annotated compendium on the standardization process evolution
is available in (PERSICHETTI et al., 2021).

## A. 2 Discretization of a continuous Gaussian

This appendix section presents the basics for the practical process of turning a continuous-case normal distribution probability density function into its discrete-case counterpart. Bounds for the PDF are defined by the [-183, 183] interval limits. By using the notation of Equation 2.9, a continuous-case algebraic Gaussian function is $\mathcal{N}(0,216.391560416985)$ is used. It denotes a $\sigma_{\mathcal{N}}$ standard deviation value of 14.7102535809885, and a $\mu_{\mathcal{N}}$ mean value of zero. This test is executed with a Python language routine, supported by the mpmath library (JOHANSSON et al., 2013). Initially, a seed value of 31415926536 is defined, and the routine randomly acquires 2,048 observation values from the bounded PDF, in order to form a sample. Table 8 presents earlier results obtained with DiGS. An Obs. header title denotes the observation position in the sample vector, and a Val. header title denotes the value observed. Corresponding plots for the histogram, the approximate function made of line segments, and the approximate normal PDF are presented next, in Figure 15. The approximate Gaussian is loosely related to the algebraic one. It has a $\sigma_{\mathcal{D}}$ value of 1.33863166279 , and a $\mu_{\mathcal{D}}$ mean value of -178.668457031 for which the maximum probability of 0.445 occurs. Nevertheless, for this work, it represents the beginning of successful Gaussian fitting routines. The routine completes in $2.206545996669 \cdot 10^{9} \mathrm{CPU}$ cycles, and the entropy obtained for $\sigma_{\mathcal{D}}$ is 7.62459100595 bits.

Table 8: Python calibration-testing samples, using the mpmath library.

| Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | -178 | 256 | -183 | 512 | -183 | 768 | -181 | 1024 | -178 | 1280 | -179 | 1536 | -179 | 1792 | -180 |
| 1 | -178 | 257 | -177 | 513 | -178 | 769 | -181 | 1025 | -181 | 1281 | -178 | 1537 | -177 | 1793 | -178 |
| 2 | -182 | 258 | -181 | 514 | -179 | 770 | -179 | 1026 | -179 | 1282 | -180 | 1538 | -180 | 1794 | -179 |
| 3 | -181 | 259 | -183 | 515 | -177 | 771 | -178 | 1027 | -178 | 1283 | -178 | 1539 | -180 | 1795 | -179 |
| 4 | -177 | 260 | -181 | 516 | -178 | 772 | -180 | 1028 | -179 | 1284 | -178 | 1540 | -179 | 1796 | -181 |
| 5 | -178 | 261 | -180 | 517 | -179 | 773 | -180 | 1029 | -178 | 1285 | -183 | 1541 | -179 | 1797 | -181 |

Table 8 continuation from previous page.

| Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. |
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| 6 | -179 | 262 | -178 | 518 | -178 | 774 | -179 | 1030 | -178 | 1286 | -178 | 1542 | -183 | 1798 | -177 |
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| 163 | -178 | 419 | -182 | 675 | -180 | 931 | -182 | 1187 | -178 | 1443 | -179 | 1699 | -178 | 1955 | -182 |
| 164 | -181 | 420 | -179 | 676 | -178 | 932 | -179 | 1188 | -178 | 1444 | -177 | 1700 | -177 | 1956 | -178 |
| 165 | -178 | 421 | -178 | 677 | -179 | 933 | -178 | 1189 | -178 | 1445 | -180 | 1701 | -178 | 1957 | -178 |
| 166 | -178 | 422 | -178 | 678 | -179 | 934 | -182 | 1190 | -177 | 1446 | -178 | 1702 | -179 | 1958 | -179 |
| 167 | -178 | 423 | -182 | 679 | -177 | 935 | -178 | 1191 | -178 | 1447 | -177 | 1703 | -179 | 1959 | -178 |
| 168 | -178 | 424 | -180 | 680 | -180 | 936 | -181 | 1192 | -183 | 1448 | -177 | 1704 | -177 | 1960 | -178 |
| 169 | -180 | 425 | -178 | 681 | -178 | 937 | -179 | 1193 | -180 | 1449 | -179 | 1705 | -178 | 1961 | -179 |
| 170 | -178 | 426 | -179 | 682 | -179 | 938 | -178 | 1194 | -177 | 1450 | -178 | 1706 | -177 | 1962 | -179 |
| 171 | -179 | 427 | -179 | 683 | -178 | 939 | -178 | 1195 | -180 | 1451 | -178 | 1707 | -178 | 1963 | -183 |
| 172 | -178 | 428 | -178 | 684 | -178 | 940 | -179 | 1196 | -180 | 1452 | -179 | 1708 | -179 | 1964 | -178 |
| 173 | -178 | 429 | -177 | 685 | -179 | 941 | -177 | 1197 | -178 | 1453 | -180 | 1709 | -177 | 1965 | -178 |
| 174 | -178 | 430 | -181 | 686 | -177 | 942 | -177 | 1198 | -179 | 1454 | -178 | 1710 | -178 | 1966 | -182 |
| 175 | -178 | 431 | -179 | 687 | -179 | 943 | -183 | 1199 | -178 | 1455 | -179 | 1711 | -177 | 1967 | -179 |
| 176 | -180 | 432 | -180 | 688 | -178 | 944 | -179 | 1200 | -179 | 1456 | -180 | 1712 | -180 | 1968 | -179 |
| 177 | -178 | 433 | -178 | 689 | -178 | 945 | -179 | 1201 | -180 | 1457 | -180 | 1713 | -183 | 1969 | -178 |
| 178 | -177 | 434 | -181 | 690 | -178 | 946 | -181 | 1202 | -178 | 1458 | -180 | 1714 | -181 | 1970 | -178 |
| 179 | -179 | 435 | -183 | 691 | -178 | 947 | -178 | 1203 | -178 | 1459 | -182 | 1715 | -178 | 1971 | -178 |
| 180 | -178 | 436 | -178 | 692 | -178 | 948 | -183 | 1204 | -182 | 1460 | -177 | 1716 | -178 | 1972 | -178 |
| 181 | -177 | 437 | -181 | 693 | -177 | 949 | -178 | 1205 | -178 | 1461 | -178 | 1717 | -178 | 1973 | -182 |
| 182 | -183 | 438 | -178 | 694 | -181 | 950 | -178 | 1206 | -178 | 1462 | -177 | 1718 | -177 | 1974 | -178 |
| 183 | -179 | 439 | -182 | 695 | -182 | 951 | -177 | 1207 | -177 | 1463 | -179 | 1719 | -178 | 1975 | -180 |
| 184 | -178 | 440 | -178 | 696 | -179 | 952 | -179 | 1208 | -178 | 1464 | -179 | 1720 | -178 | 1976 | -178 |
| 185 | -182 | 441 | -180 | 697 | -178 | 953 | -178 | 1209 | -178 | 1465 | -179 | 1721 | -178 | 1977 | -179 |
| 186 | -182 | 442 | -179 | 698 | -181 | 954 | -178 | 1210 | -177 | 1466 | -178 | 1722 | -179 | 1978 | -178 |
| 187 | -178 | 443 | -179 | 699 | -181 | 955 | -178 | 1211 | -181 | 1467 | -178 | 1723 | -178 | 1979 | -178 |
| 188 | -181 | 444 | -180 | 700 | -181 | 956 | -178 | 1212 | -177 | 1468 | -178 | 1724 | -177 | 1980 | -177 |
| 189 | -178 | 445 | -178 | 701 | -178 | 957 | -180 | 1213 | -177 | 1469 | -179 | 1725 | -178 | 1981 | -178 |
| 190 | -179 | 446 | -179 | 702 | -179 | 958 | -182 | 1214 | -177 | 1470 | -178 | 1726 | -181 | 1982 | -179 |
| 191 | -179 | 447 | -178 | 703 | -180 | 959 | -179 | 1215 | -179 | 1471 | -177 | 1727 | -178 | 1983 | -178 |
| 192 | -177 | 448 | -179 | 704 | -178 | 960 | -182 | 1216 | -178 | 1472 | -177 | 1728 | -180 | 1984 | -177 |
| 193 | -178 | 449 | -177 | 705 | -179 | 961 | -178 | 1217 | -178 | 1473 | -177 | 1729 | -178 | 1985 | -177 |
| 194 | -178 | 450 | -177 | 706 | -180 | 962 | -178 | 1218 | -181 | 1474 | -181 | 1730 | -178 | 1986 | -178 |
| 195 | -178 | 451 | -179 | 707 | -177 | 963 | -178 | 1219 | -178 | 1475 | -178 | 1731 | -178 | 1987 | -178 |
| 196 | -177 | 452 | -178 | 708 | -178 | 964 | -182 | 1220 | -179 | 1476 | -177 | 1732 | -178 | 1988 | -178 |
| 197 | -177 | 453 | -178 | 709 | -178 | 965 | -179 | 1221 | -180 | 1477 | -178 | 1733 | -177 | 1989 | -178 |
| 198 | -180 | 454 | -179 | 710 | -178 | 966 | -179 | 1222 | -178 | 1478 | -178 | 1734 | -179 | 1990 | -179 |
| 199 | -178 | 455 | -178 | 711 | -178 | 967 | -178 | 1223 | -179 | 1479 | -179 | 1735 | -178 | 1991 | -178 |
| 200 | -180 | 456 | -180 | 712 | -178 | 968 | -178 | 1224 | -177 | 1480 | -177 | 1736 | -179 | 1992 | -178 |

Table 8 continuation from previous page.

| Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 201 | -179 | 457 | -179 | 713 | -177 | 969 | -178 | 1225 | -177 | 1481 | -178 | 1737 | -178 | 1993 | -178 |
| 202 | -181 | 458 | -179 | 714 | -178 | 970 | -178 | 1226 | -178 | 1482 | -178 | 1738 | -178 | 1994 | -178 |
| 203 | -178 | 459 | -178 | 715 | -179 | 971 | -177 | 1227 | -177 | 1483 | -178 | 1739 | -179 | 1995 | -178 |
| 204 | -178 | 460 | -179 | 716 | -179 | 972 | -178 | 1228 | -178 | 1484 | -177 | 1740 | -179 | 1996 | -177 |
| 205 | -180 | 461 | -179 | 717 | -183 | 973 | -180 | 1229 | -181 | 1485 | -179 | 1741 | -178 | 1997 | -179 |
| 206 | -179 | 462 | -178 | 718 | -178 | 974 | -178 | 1230 | -180 | 1486 | -179 | 1742 | -179 | 1998 | -179 |
| 207 | -181 | 463 | -178 | 719 | -178 | 975 | -179 | 1231 | -178 | 1487 | -178 | 1743 | -178 | 1999 | -177 |
| 208 | -180 | 464 | -177 | 720 | -177 | 976 | -181 | 1232 | -179 | 1488 | -178 | 1744 | -178 | 2000 | -178 |
| 209 | -179 | 465 | -182 | 721 | -178 | 977 | -179 | 1233 | -178 | 1489 | -179 | 1745 | -177 | 2001 | -178 |
| 210 | -180 | 466 | -181 | 722 | -178 | 978 | -177 | 1234 | -178 | 1490 | -180 | 1746 | -178 | 2002 | -181 |
| 211 | -179 | 467 | -178 | 723 | -179 | 979 | -178 | 1235 | -178 | 1491 | -178 | 1747 | -179 | 2003 | -178 |
| 212 | -178 | 468 | -177 | 724 | -177 | 980 | -178 | 1236 | -178 | 1492 | -178 | 1748 | -178 | 2004 | -178 |
| 213 | -179 | 469 | -178 | 725 | -179 | 981 | -179 | 1237 | -177 | 1493 | -178 | 1749 | -179 | 2005 | -178 |
| 214 | -178 | 470 | -178 | 726 | -178 | 982 | -178 | 1238 | -178 | 1494 | -182 | 1750 | -179 | 2006 | -178 |
| 215 | -180 | 471 | -180 | 727 | -178 | 983 | -178 | 1239 | -179 | 1495 | -180 | 1751 | -179 | 2007 | -178 |
| 216 | -183 | 472 | -179 | 728 | -178 | 984 | -178 | 1240 | -179 | 1496 | -179 | 1752 | -180 | 2008 | -178 |
| 217 | -178 | 473 | -179 | 729 | -178 | 985 | -178 | 1241 | -180 | 1497 | -178 | 1753 | -178 | 2009 | -178 |
| 218 | -178 | 474 | -178 | 730 | -177 | 986 | -179 | 1242 | -178 | 1498 | -180 | 1754 | -179 | 2010 | -179 |
| 219 | -179 | 475 | -177 | 731 | -177 | 987 | -177 | 1243 | -179 | 1499 | -178 | 1755 | -177 | 2011 | -178 |
| 220 | -178 | 476 | -177 | 732 | -180 | 988 | -178 | 1244 | -178 | 1500 | -181 | 1756 | -180 | 2012 | -178 |
| 221 | -177 | 477 | -177 | 733 | -180 | 989 | -180 | 1245 | -178 | 1501 | -179 | 1757 | -179 | 2013 | -178 |
| 222 | -178 | 478 | -177 | 734 | -181 | 990 | -177 | 1246 | -179 | 1502 | -183 | 1758 | -178 | 2014 | -178 |
| 223 | -179 | 479 | -179 | 735 | -179 | 991 | -178 | 1247 | -177 | 1503 | -178 | 1759 | -178 | 2015 | -178 |
| 224 | -178 | 480 | -178 | 736 | -178 | 992 | -178 | 1248 | -178 | 1504 | -178 | 1760 | -179 | 2016 | -178 |
| 225 | -177 | 481 | -178 | 737 | -178 | 993 | -178 | 1249 | -177 | 1505 | -177 | 1761 | -177 | 2017 | -177 |
| 226 | -180 | 482 | -181 | 738 | -178 | 994 | -178 | 1250 | -179 | 1506 | -179 | 1762 | -178 | 2018 | -177 |
| 227 | -178 | 483 | -178 | 739 | -179 | 995 | -177 | 1251 | -178 | 1507 | -177 | 1763 | -179 | 2019 | -178 |
| 228 | -178 | 484 | -177 | 740 | -178 | 996 | -178 | 1252 | -177 | 1508 | -178 | 1764 | -178 | 2020 | -183 |
| 229 | -178 | 485 | -179 | 741 | -178 | 997 | -181 | 1253 | -177 | 1509 | -179 | 1765 | -178 | 2021 | -181 |
| 230 | -179 | 486 | -179 | 742 | -179 | 998 | -178 | 1254 | -178 | 1510 | -178 | 1766 | -179 | 2022 | -177 |
| 231 | -178 | 487 | -177 | 743 | -177 | 999 | -179 | 1255 | -177 | 1511 | -178 | 1767 | -180 | 2023 | -178 |
| 232 | -180 | 488 | -177 | 744 | -177 | 1000 | -179 | 1256 | -177 | 1512 | -177 | 1768 | -178 | 2024 | -178 |
| 233 | -178 | 489 | -178 | 745 | -178 | 1001 | -178 | 1257 | -178 | 1513 | -177 | 1769 | -180 | 2025 | -178 |
| 234 | -179 | 490 | -178 | 746 | -179 | 1002 | -179 | 1258 | -179 | 1514 | -178 | 1770 | -180 | 2026 | -178 |
| 235 | -179 | 491 | -178 | 747 | -179 | 1003 | -178 | 1259 | -178 | 1515 | -178 | 1771 | -179 | 2027 | -178 |
| 236 | -178 | 492 | -179 | 748 | -179 | 1004 | -178 | 1260 | -179 | 1516 | -178 | 1772 | -179 | 2028 | -180 |
| 237 | -181 | 493 | -178 | 749 | -178 | 1005 | -178 | 1261 | -180 | 1517 | -178 | 1773 | -180 | 2029 | -181 |
| 238 | -181 | 494 | -177 | 750 | -177 | 1006 | -178 | 1262 | -178 | 1518 | -178 | 1774 | -179 | 2030 | -178 |
| 239 | -178 | 495 | -177 | 751 | -178 | 1007 | -178 | 1263 | -178 | 1519 | -177 | 1775 | -181 | 2031 | -179 |

Table 8 continuation from previous page.

| Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. | Obs. | Val. |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 240 | -178 | 496 | -177 | 752 | -183 | 1008 | -178 | 1264 | -178 | 1520 | -179 | 1776 | -177 | 2032 | -180 |
| 241 | -180 | 497 | -177 | 753 | -180 | 1009 | -177 | 1265 | -179 | 1521 | -178 | 1777 | -179 | 2033 | -178 |
| 242 | -178 | 498 | -178 | 754 | -179 | 1010 | -181 | 1266 | -180 | 1522 | -180 | 1778 | -177 | 2034 | -182 |
| 243 | -177 | 499 | -178 | 755 | -178 | 1011 | -178 | 1267 | -181 | 1523 | -181 | 1779 | -178 | 2035 | -179 |
| 244 | -179 | 500 | -181 | 756 | -178 | 1012 | -180 | 1268 | -180 | 1524 | -179 | 1780 | -178 | 2036 | -178 |
| 245 | -180 | 501 | -179 | 757 | -179 | 1013 | -177 | 1269 | -177 | 1525 | -178 | 1781 | -178 | 2037 | -179 |
| 246 | -178 | 502 | -179 | 758 | -178 | 1014 | -178 | 1270 | -178 | 1526 | -178 | 1782 | -177 | 2038 | -178 |
| 247 | -178 | 503 | -178 | 759 | -178 | 1015 | -178 | 1271 | -183 | 1527 | -179 | 1783 | -178 | 2039 | -178 |
| 248 | -179 | 504 | -178 | 760 | -179 | 1016 | -181 | 1272 | -179 | 1528 | -178 | 1784 | -182 | 2040 | -178 |
| 249 | -179 | 505 | -178 | 761 | -178 | 1017 | -179 | 1273 | -178 | 1529 | -178 | 1785 | -179 | 2041 | -178 |
| 250 | -180 | 506 | -182 | 762 | -178 | 1018 | -179 | 1274 | -180 | 1530 | -178 | 1786 | -178 | 2042 | -178 |
| 251 | -179 | 507 | -183 | 763 | -178 | 1019 | -178 | 1275 | -178 | 1531 | -179 | 1787 | -178 | 2043 | -182 |
| 252 | -178 | 508 | -179 | 764 | -180 | 1020 | -178 | 1276 | -179 | 1532 | -178 | 1788 | -178 | 2044 | -177 |
| 253 | -182 | 509 | -180 | 765 | -178 | 1021 | -177 | 1277 | -179 | 1533 | -179 | 1789 | -178 | 2045 | -178 |
| 254 | -182 | 510 | -178 | 766 | -179 | 1022 | -180 | 1278 | -178 | 1534 | -178 | 1790 | -179 | 2046 | -180 |
| 255 | -177 | 511 | -178 | 767 | -178 | 1023 | -180 | 1279 | -179 | 1535 | -177 | 1791 | -179 | 2047 | -178 |

Source: author (2021).

Figure 15: Histogram of observations, and Gaussian approximation.


Source: author (2021).

## A. 3 The cumulative distribution table used

This appendix section presents in Table 9 the cumulative distribution table (CDT) used in the tests of the CDT-based discrete Gaussian sampler.

Table 9: The cumulative distribution table used by this work.

| Position | Value | Position | Value |
| :---: | :---: | :---: | :---: |
| 0 | 0 | 97 | 340282366902788985317896529203028560353 |
| 1 | 9228462493351110473597041657947797092 | 98 | 340282366909461681135086961316997321092 |
| 2 | 27642789659143819934692025361980055762 | 99 | 340282366913713946414412559132152934149 |
| 3 | 45929912339185481206974167095818864061 | 100 | 340282366916411265894161291135121729086 |
| 4 | 64006977247976486123651496194149979097 | 101 | 340282366918114355282467857200614032189 |
| 5 | 81794008662808119598967550596620492198 | 102 | 340282366919184729187249640618211905803 |

Table 9 continuation from previous page.

| Position | Value | Position | Value |
| :---: | :---: | :---: | :---: |
| 6 | 99214967010543519719527723982122616624 | 103 | 340282366919854346383310497515313483120 |
| 7 | 116198718570185839248173721556362105814 | 104 | 340282366920271322037738061722552979678 |
| 8 | 132679895809032129485237851807443093831 | 105 | 340282366920529778750018355527593467690 |
| 9 | 148599631277039782639814771967288384067 | 106 | 340282366920689241022080314156838418824 |
| 10 | 163906151856162374745290895274741469911 | 107 | 340282366920787172226455454882666338026 |
| 11 | 178555224346767839709539400552399419832 | 108 | 340282366920847037815352332287494207629 |
| 12 | 192510447711147483407448520742454256647 | 109 | 340282366920883465069693266007857866034 |
| 13 | 205743391622772455628744117035017830165 | 110 | 340282366920905528276344232158095493526 |
| 14 | 218233585133794971304219977848862993467 | 111 | 340282366920918829875096654603813664759 |
| 15 | 229968363129746213565316406163284007235 | 112 | 340282366920926812249040363447839731974 |
| 16 | 240942581665693854082205362525868016057 | 113 | 340282366920931580436382437767102927622 |
| 17 | 251158216172002921770481550717083362665 | 114 | 340282366920934415531066409418642660678 |
| 18 | 260623858806746505114691852226601027586 | 115 | 340282366920936093464935720427947186385 |
| 19 | 269354132870709165905526117913527246739 | 116 | 340282366920937081961419531051917797029 |
| 20 | 277369043173590454192770916761457234520 | 117 | 340282366920937661614879909918756760010 |
| 21 | 284693281557884949750179305992168359467 | 118 | 340282366920937999955976427751912035217 |
| 22 | 291355506486676852442751272614702640149 | 119 | 340282366920938196533603231539009314689 |
| 23 | 297387614741713670208101001790230752188 | 120 | 340282366920938310219459865522637422106 |
| 24 | 302824021934610738559622041384292999210 | 121 | 340282366920938375663756591334491989430 |
| 25 | 307700966795635144557768932918957406644 | 122 | 340282366920938413163663289681020643343 |
| 26 | 312055852167739877852802764089557951894 | 123 | 340282366920938434552225187682149463164 |
| 27 | 315926633397728357937842503713030363383 | 124 | 340282366920938446695225278270824484201 |
| 28 | 319351262479228085846041229665079340378 | 125 | 340282366920938453557426158857674219280 |
| 29 | 322367193955305743664346087034485275350 | 126 | 340282366920938457417484527860251694780 |
| 30 | 325010956314605435946813411768504745518 | 127 | 340282366920938459578795867256495467918 |
| 31 | 327317790484558076752574972980945282764 | 128 | 340282366920938460783370762814764232092 |
| 32 | 329321355095645417755941825328303916953 | 129 | 340282366920938461451627428274575360296 |
| 33 | 331053496504581822367938598164939798159 | 130 | 340282366920938461820643946068958880120 |
| 34 | 332544080149682245136832795231139185736 | 131 | 340282366920938462023478214034466375672 |
| 35 | 333820878682692463596365006169060202807 | 132 | 340282366920938462134454418509984731758 |
| 36 | 334909511479213505440293948529744414348 | 133 | 340282366920938462194892604558729646256 |
| 37 | 335833429564599848145021829589587742578 | 134 | 340282366920938462227655780254438251843 |
| 38 | 336613939684627828788307393185703765487 | 135 | 340282366920938462245334612545605103389 |
| 39 | 337270261173934837195825998906436035728 | 136 | 340282366920938462254830032492351639936 |
| 40 | 337819609398823282862144715854695818333 | 137 | 340282366920938462259906572265589767112 |
| 41 | 338277299840159867617134524799962596041 | 138 | 340282366920938462262608131069520674070 |
| 42 | 338656867301382879444154781925233325266 | 139 | 340282366920938462264039178646791852691 |
| 43 | 338970195241265506721993563170537548183 | 140 | 340282366920938462264793726409988784362 |
| 44 | 339227650808253032038440903731776363832 | 141 | 340282366920938462265189742120070265265 |

Table 9 continuation from previous page.

| Position | Value | Position | Value |
| :---: | :---: | :---: | :---: |
| 45 | 339438221763109584782516034148317213384 | 142 | 340282366920938462265396628123152951141 |
| 46 | 339609652093265732827765441962657935273 | 143 | 340282366920938462265504210917267147280 |
| 47 | 339748573723863218674739391754985659825 | 144 | 340282366920938462265559897112441174990 |
| 48 | 339860632299656076346434833343566197087 | 145 | 340282366920938462265588588086088029936 |
| 49 | 339950605535596306043330422086896686103 | 146 | 340282366920938462265603302265377355723 |
| 50 | 340022513103149665187694553655575855342 | 147 | 340282366920938462265610813646807090556 |
| 51 | 340079717428902553538171454761371537605 | 148 | 340282366920938462265614630422206142293 |
| 52 | 340125015129807829022926769953448126673 | 149 | 340282366920938462265616560907102454639 |
| 53 | 340160719096135231850585043070367197286 | 150 | 340282366920938462265617532824212264950 |
| 54 | 340188731461631912016860203677173672351 | 151 | 340282366920938462265618019887126183316 |
| 55 | 340210607874941583169578684213845612839 | 152 | 340282366920938462265618262846641953090 |
| 56 | 340227613612444904872291704014997276637 | 153 | 340282366920938462265618383482322801688 |
| 57 | 340240772156474223096304505698880551789 | 154 | 340282366920938462265618443104888604256 |
| 58 | 340250906910681973641524361347082489522 | 155 | 340282366920938462265618472436678069855 |
| 59 | 340258676742503432739092215018291397605 | 156 | 340282366920938462265618486800151397578 |
| 60 | 340264606037148044339979539544772440333 | 157 | 340282366920938462265618493801366179249 |
| 61 | 340269109923897641173573541977057476264 | 158 | 340282366920938462265618497198247277555 |
| 62 | 340272515298608963745510402213100540331 | 159 | 340282366920938462265618498838762654389 |
| 63 | 340275078220479752248548421531777799685 | 160 | 340282366920938462265618499627392631141 |
| 64 | 340276998209934281211602988011139621644 | 161 | 340282366920938462265618500004755593342 |
| 65 | 340278429920846833669409456228479717399 | 162 | 340282366920938462265618500184492920557 |
| 66 | 340279492606561684163118977281270278900 | 163 | 340282366920938462265618500269706792993 |
| 67 | 340280277747035410469601142564771442911 | 164 | 340282366920938462265618500309920613934 |
| 68 | 340280855155178466467342323362955809018 | 165 | 340282366920938462265618500328810676378 |
| 69 | 340281277834944774093105230899685556540 | 166 | 340282366920938462265618500337643192989 |
| 70 | 340281585822400801920940256530947823350 | 167 | 340282366920938462265618500341754013813 |
| 71 | 340281809204112302163003653234724474176 | 168 | 340282366920938462265618500343658446594 |
| 72 | 340281970474717317553901953463590866790 | 169 | 340282366920938462265618500344536651309 |
| 73 | 340282086367350892940184522243560420621 | 170 | 340282366920938462265618500344939756969 |
| 74 | 340282169266383848123707234149974020989 | 171 | 340282366920938462265618500345123933820 |
| 75 | 340282228291399701676933390984801831882 | 172 | 340282366920938462265618500345207695273 |
| 76 | 340282270124088138432650281313180044007 | 173 | 340282366920938462265618500345245613357 |
| 77 | 340282299635395903851266206075114275335 | 174 | 340282366920938462265618500345262699403 |
| 78 | 340282320358468582862967655190722194527 | 175 | 340282366920938462265618500345270362949 |
| 79 | 340282334843280509558140703121923758314 | 176 | 340282366920938462265618500345273784405 |
| 80 | 340282344921053857622417845235828264621 | 177 | 340282366920938462265618500345275304900 |
| 81 | 340282351900313069332539850050451495281 | 178 | 340282366920938462265618500345275977493 |
| 82 | 340282356711443000621385556860036325483 | 179 | 340282366920938462265618500345276273643 |
| 83 | 340282360012688778320785941847082130970 | 180 | 340282366920938462265618500345276403440 |

Table 9 continuation from previous page.

| Position | Value | Position | Value |
| :---: | :---: | :---: | :---: |
| 84 | 340282362267455660587782539040615659220 | 181 | 340282366920938462265618500345276460065 |
| 85 | 340282363800371993390626989531164892809 | 182 | 340282366920938462265618500345276484654 |
| 86 | 340282364837729063796944129682366535053 | 183 | 340282366920938462265618500345276495282 |
| 87 | 340282365536494004322210194491509825597 | 184 | 340282366920938462265618500345276499855 |
| 88 | 340282366005012734817613777873088096491 | 185 | 340282366920938462265618500345276501813 |
| 89 | 340282366317704053877358501294218166755 | 186 | 340282366920938462265618500345276502647 |
| 90 | 340282366525433329569361423227664819231 | 187 | 340282366920938462265618500345276503001 |
| 91 | 340282366662797227047845184570220926209 | 188 | 340282366920938462265618500345276503150 |
| 92 | 340282366753212233632867345370957481661 | 189 | 340282366920938462265618500345276503212 |
| 93 | 340282366812450378220363228485688267561 | 190 | 340282366920938462265618500345276503238 |
| 94 | 340282366851083107627671162948427431413 | 191 | 340282366920938462265618500345276503248 |
| 95 | 340282366876161653578565443519559930409 | 192 | 340282366920938462265618500345276503252 |
| 96 | 340282366892366403327902636518806646673 | 193 | 340282366920938462265618500345276503253 |

Source: author (2021).

## A. 4 Cumulative distribution table results

Table 10 presents all of the discrete Gaussian sampling values, regarding the cumulative distribution table sampling strategy.

Table 10: Sampling results for the cumulative distribution table implementation.

| $\boldsymbol{\beta}$ | $\boldsymbol{s}$ | elapsed time $(\mathbf{m s})$ | CPU cycles $\times \mathbf{1 0}^{\mathbf{6}}$ | $\boldsymbol{\mu}_{\mathcal{D}}$ | $\boldsymbol{\sigma}_{\mathcal{D}}$ | skewness | kurtosis | relative entropy |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 1 | 2 | 7.8 | 16.40 | 21.0 | 15.0 | 0 | -2 | $\infty$ |
| 2 | 4 | 7.8 | 16.42 | -1.5 | 4.5 | 0 | -2 | $\infty$ |
| 3 | 8 | 7.8 | 16.40 | 9.0 | 19.0 | 0 | -2 | $\infty$ |
| 4 | 16 | 6.8 | 14.35 | 7.0 | 12.0 | 0 | -2 | 0.3465735903 |
| 5 | 32 | 6.8 | 14.35 | 4.0 | 17.0 | 0 | -2 | 0.4158883083 |
| 6 | 64 | 6.3 | 13.32 | 11.0 | 10.0 | 0 | -2 | 0.4852030264 |
| 7 | 128 | 6.1 | 12.81 | -9.0 | 17.0 | 0 | -2 | 0.3465735903 |
| 8 | 256 | 6.1 | 12.82 | -8.0 | 10.0 | 0 | -2 | 0.4628886713 |
| 9 | 512 | 6.6 | 13.95 | -1.0 | 12.0 | 0 | -2 | 0.3564175976 |
| 10 | 1024 | 6.5 | 13.72 | -13.5 | 2.5 | 0 | -2 | 0.3732798688 |
| 11 | 2048 | 6.4 | 13.49 | -13.0 | 8.0 | 0 | -2 | 0.3608235965 |
| 12 | 4096 | 6.4 | 13.41 | -4.5 | 10.5 | 0 | -2 | 0.3538642674 |
| 13 | 8192 | 6.4 | 13.60 | -1.0 | 10.0 | 0 | -2 | 0.3663805817 |
| 14 | 16384 | 6.3 | 13.31 | -22.0 | 1.0 | 0 | -2 | 0.3603741576 |
| 15 | 32768 | 6.3 | 13.33 | 4.0 | 26.0 | 0 | -2 | 0.3557731270 |
| 16 | 65536 | 6.8 | 14.35 | -2.0 | 2.0 | 0 | -2 | 0.3590101838 |
| 17 | 131072 | 6.7 | 14.13 | -3.5 | 23.5 | 0 | -2 | 0.3630296207 |
| 18 | 262144 | 6.8 | 14.34 | -12.5 | 7.5 | 0 | -2 | 0.3596341891 |
| 19 | 524288 | 6.7 | 14.20 | 3.5 | 2.5 | 0 | -2 | 0.3599337052 |
| 20 | 1048576 | 6.7 | 14.19 | -17.0 | 15.0 | 0 | -2 | 0.3609803212 |

Source: author (2021).

## A. 5 Fast Walsh-Hadamard transform results

Table 11 presents all of the discrete Gaussian sampling values, regarding the fast Walsh-Hadamard transform sampling strategy.

Table 11: Sampling results for the fast Walsh-Hadamard transform implementation.

| $\boldsymbol{\beta}$ | $\boldsymbol{s}$ | elapsed time $(\boldsymbol{\mu} \mathbf{s})$ | CPU cycles $\times \mathbf{1 0}^{\mathbf{3}}$ | $\boldsymbol{\mu}_{\mathcal{D}}$ | $\boldsymbol{\sigma}_{\mathcal{D}}$ | skewness | kurtosis | relative entropy |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 0 | 1 | 00.0 | 0.00 | 0 | 02 | 0 | -2 | $\infty$ |
| 1 | 2 | 00.0 | 0.00 | 0 | 03 | 0 | -2 | $\infty$ |
| 2 | 4 | 00.0 | 0.00 | 0 | 02 | 0 | -2 | -2 |
| 3 | 8 | 00.0 | 0.00 | 0 | 12 | 0 | -2 | 0.2772588722 |
| 4 | 16 | 00.0 | 0.00 | 0 | 18 | 0 | -2 | 0.2079441542 |
| 5 | 32 | 00.0 | 0.00 | 0 | 17 | 0 | -2 | -2 |
| 6 | 64 | 00.0 | 0.00 | 0 | 11 | 0 | 0.3060270795 |  |
| 7 | 128 | 00.0 | 0.00 | 0 | 10 | 0 | -2 | 0.3465735903 |
| 8 | 256 | 61.0 | 128.10 | 0 | 02 | 0 | -2 | 0.3465735903 |
| 9 | 512 | 30.5 | 64.050 | 0 | 12 | 0 | -2 | 0.3060270795 |
| 10 | 1024 | 45.8 | 96.18 | 0 | 02 | 0 | -2 | 0.3019448800 |
| 11 | 2048 | 45.8 | 96.18 | 0 | 02 | 0 | -2 | 0.3334159545 |
| 12 | 4096 | 49.6 | 104.16 | 0 | 14 | 0 | -2 | 0.3320553893 |
| 13 | 8192 | 52.7 | 110.67 | 0 | 12 | 0 | -2 | 0.3252011573 |
| 14 | 16384 | 59.1 | 124.11 | 0 | 10 | 0 | -2 | 0.3164474572 |
| 15 | 32768 | 54.4 | 114.24 | 0 | 10 | 0 | -2 | 0.3206531674 |
| 16 | 65536 | 53.2 | 111.72 | 0 | 06 | 0 | -2 | 0.3195664039 |
| 17 | 131072 | 53.1 | 111.51 | 0 | 15 | 0 | -2 | 0.3202583187 |
| 18 | 262144 | 52.3 | 109.83 | 0 | 08 | 0 | -2 | 0.3176651006 |
| 19 | 524288 | 53.2 | 111.72 | 0 | 00 | 0 | -3 | 0.3185097623 |
| 20 | 1048576 | 52.0 | 109.20 | 0 | 18 | 0 | -2 | 0.3176811012 |
| 21 | 2097152 | 51.7 | 108.57 | 0 | 07 | 0 | -2 | 0.3179489186 |
| 22 | 4194304 | 51.3 | 107.73 | 0 | 02 | 0 | -2 | 0.3184993903 |
| 23 | 8388608 | 108.36 | 0 | 12 | 0 | -2 | 0.3181674804 |  |

Source: author (2021).


[^0]:    ${ }^{1}$ A short description of the NIST post-quantum cryptography standardization process is available in Section A.1.

