

UNIVERSIDADE DE SÃO PAULO
FACULDADE DE ODONTOLOGIA DE BAURU

MARCELO SOARES CORRÊA

Comparison of smile attractiveness, dental inclination, and dental arch widths in patients treated with Damon system self-ligating appliance, using two different bonding: conventional and “Smile Arc”

Comparação da atratividade do sorriso, inclinações dentárias e dimensões dos arcos dentários em pacientes tratados com aparelho autoligável, no sistema Damon, usando dois diferentes tipos de colagem: convencional e “Smile Arc”

BAURU

2022

MARCELO SOARES CORRÊA

Comparison of smile attractiveness, dental inclination, and dental arch widths in patients treated with Damon system self-ligating appliance, using two different bonding: conventional and “Smile Arc”

Comparação da atratividade do sorriso, inclinações dentárias e dimensões dos arcos dentários em pacientes tratados com aparelho autoligável, no sistema Damon, usando dois diferentes tipos de colagem: convencional e “Smile Arc”

Tese constituída por artigos apresentada à Faculdade de Odontologia de Bauru da Universidade de São Paulo para obtenção do título de Doutor em Ciências no Programa de Ciências Odontológicas Aplicadas, na área de concentração Ortodontia.

Orientador: Prof. Dr. Marcos Roberto de Freitas

BAURU

2022

Corrêa, Marcelo Soares

Comparison of smile attractiveness, dental inclination, and dental arch widths in patients treated with Damon system self-ligating appliance, using two different bonding: conventional and "Smile Arc"-- Bauru, 2022.

106p. : il. ; 31 cm.

Tese (Doutorado) -- Faculdade de Odontologia de Bauru, Universidade de São Paulo, 2022.

Orientador: Prof. Dr. Marcos Roberto de Freitas

Autorizo, exclusivamente para fins acadêmicos e científicos, a reprodução total ou parcial desta dissertação/tese, por processos fotocopiadores e outros meios eletrônicos.

Assinatura:

Data:

Comitê de Ética da FOB-USP
Protocolo nº: 24540619.8.0000.5417
Data: 07/04/2020

ERRATA



**Universidade de São Paulo
Faculdade de Odontologia de Bauru**

**Assistência Técnica Acadêmica
Serviço de Pós-Graduação**

FOLHA DE APROVAÇÃO

Tese apresentada e defendida por
MARCELO SOARES CORRÊA
e aprovada pela Comissão Julgadora
em 18 de fevereiro de 2022.

Prof.^a Dr.^a **PAULA PATRÍCIA COTRIN DA SILVA**
UNINGÁ

Prof.^a Dr.^a **CELIA REGINA MAIO PINZAN VERCELINO**

Prof.^a Dr.^a **DANIELA GAMBA GARIB CARREIRA**
FOB-USP

Prof. Dr. **JOSÉ FERNANDO CASTANHA HENRIQUES**
Presidente da Banca
FOB - USP

Prof.^a Dr.^a **Izabel Regina Fischer Rubira de Bullen**
Presidente da Comissão de Pós-Graduação

DEDICATÓRIA

Uma pequena contribuição à ciência e um enorme esforço para mim, em todos os sentidos, físico e mental. É a realização de um sonho de 20 anos atrás, quando durante minha especialização em ortodontia nesta mesma universidade, eu observava e admirava os alunos do doutorado em ortodontia.

Estes doutorandos nos ajudavam e nos ensinavam, e eu imaginava o quão distante estava o dia em que eu conseguiria cursar o doutorado em ortodontia da FOB-USP.

Dedico este trabalho à minhas amadas, esposa **Flávia Neiva Ellinger Corrêa** e filha **Manuela Ellinger Corrêa**, que aguentaram firme as dificuldades desta trajetória e sempre me deram forças para alcançar os objetivos.

Ao querido professor **Dr. Guilherme Janson** (*in memorian*), meu grande incentivador e inspiração, obrigado por todos os ensinamentos durante estes anos que estivemos juntos.

Aos meus Pais, **Ronaldo Corrêa** e **Maria Alice Soares Corrêa**, pelos ensinamentos de vida, que me proporcionaram estar trilhando este caminho hoje.

As minhas irmãs, **Daniela** e **Flávia**, aos meus cunhados e sobrinhos, pelo apoio, mesmo que a distância.

Ao meu sogro **Dr. Fred Ellinger** e sogra **Dra. Ediéla Neiva Ellinger**, pelo apoio e suporte para que este sonho se realizasse.

Ao meu avô **José Gomes do Nascimento** (*in memorian*), que com certeza estaria orgulhoso, e a minha querida avó **Alice**, que sempre torceu e torce por mim.

Aos demais familiares, tios e primos, que mesmo distantes ficam felizes com esta nossa conquista.

AGRADECIMENTOS

Obrigado a **Deus...** por guiar os meus passos pelo melhor caminho e me dar forças nos momentos difíceis.

À minha querida esposa **Flávia**, por aguentar as chatices e o estresse destes anos de curso, ao mesmo tempo obrigado pelo apoio e incentivo incontestes. Obrigado pelas orações, pelos anjos de proteção mandados nas estradas percorridas...

A minha filha querida, **Manuela**, obrigado pelo apoio, a sua dedicação nos estudos, nos serve de inspiração e nos impõe uma grande responsabilidade.

Aos meus Pais, **Ronaldo Corrêa e Maria Alice Soares Corrêa**, pelo apoio e orações para a realização de mais esta etapa de vida.

As minhas irmãs, **Daniela e Flávia**, aos meus cunhados e sobrinhos, pela torcida e apoio.

Ao meu sogro **Dr. Fred Ellinger** e sogra **Dra. Ediéla Neiva Ellinger**, pelo apoio.

À professora e orientadora **Dra. Karina Maria Salvatore de Freitas**, parte essencial e fundamental deste trabalho. Minha eterna gratidão pelos valiosos ensinamentos, obrigado pela paciência e tranquilidade em conduzir com maestria nosso trabalho.

Agradecimento especial ao meu estimado orientador professor **Dr. Marcos Roberto de Freitas**, que sempre me acolheu, desde a época de minha especialização. Obrigado pela atenção e disponibilidade durante a realização deste trabalho. Obrigado pelas nossas conversas nos corredores, nas clínicas, sempre um grande aprendizado. Obrigado por compartilhar seus ensinamentos. É um orgulho pra mim a oportunidade que tive em aprender e conviver com uma grande pessoa e referência da ortodontia brasileira. Muito obrigado professor!

Agradeço muito aos amigos e colegas de doutorado: Pedro Graziane Olímpio Pereira, Silvio Augusto Bellini Pereira, José Gregório Pelayo Guerra, Cristina Bastiani, Marcelo Vinícius Valério, Gabriela Manami Natsumeda, Olga Benário Vieira Maranhão, Rodrigo Andrés Naveda Araque, Maria Pia Seminário Yarleque, Cinthya

Quagliato Nogueira e Luciana Trevisan B. Muniz, pela amizade, pelo incentivo e pela ajuda em todos os momentos de dificuldade. Do fundo do coração o meu muito obrigado a todos vocês.

Agradeço aos professores do departamento de Ortodontia da FOB: **Dra. Daniela Garib, Dr. Arnaldo Pinzan, Dr. José Fernando Castanha Henriques e Dr. Renato Almeida**. Obrigada pelos ensinamentos e pela oportunidade de aprender e partilhar um período de minha vida, com o melhor da ortodontia mundial e brasileira.

Agradeço também aos professores **Eduardo Alvares Dainesi e Márcia Yuri Kawachi**, pelo acolhimento, pela amizade e pelos ensinamentos. Ensinamentos estes, que vem de longa data, desde a época que cursava a especialização em ortodontia. O meu muito obrigado.

Aos alunos de **especialização da FOB e da Funbeo** pela oportunidade do aprendizado durante as monitorias de clínica e laboratórios.

Agradeço também aos funcionários do departamento de Ortodontia: **Vera, Cléo, Sérgio e Wagner**. Obrigado pela paciência em nos ensinar como proceder nas clínicas, laboratórios e procedimentos burocráticos.

Ao **Daniel (Bonné)** pela prontidão em ajudar com os computadores. Sua ajuda foi imprescindível.

A todos os **funcionários da FOB** de maneira geral, muito obrigado.

À **CAPES** pelo apoio financeiro e pelo incentivo ao desenvolvimento de pesquisa e ciência no Brasil. **O presente trabalho foi realizado com o apoio da Coordenação de Aperfeiçoamento de Pessoal de Nível Superior – Brasil (CAPES) – Código de Financiamento 001.**

A todos que colaboraram de forma direta ou indireta na realização e finalização desse trabalho e que, porventura, não foram mencionados

E, por último, parte fundamental deste trabalho: agradeço a todos os pacientes que se voluntariaram a participar de nossa pesquisa.

ABSTRACT

Comparison of smile attractiveness, dental inclination, and dental arch widths in patients treated with Damon system self-ligating appliance, using two different bonding: conventional and “Smile Arc”

Objective: The purpose of this retrospective study was to evaluate whether there is a difference in the smile attractiveness, dental inclinations and dental arches dimensions in patients treated by the Damon® System, using two different orthodontic bonding: conventional and “Smile Arc Protection”. **Material and methods:** The sample consisted of 40 patients (19 women, 21 men) with completed orthodontic treatment who already have all the initial and final documentation, including cone beam computed tomography (CBCT). The sample was divided into two groups: group 1 - 20 patients, 7 women and 13 men, with initial age mean of $23,75 \pm 4,03$ and final age mean $26,87 \pm 4,09$, treated with Damon system using conventional bonding; group 2 - 20 patients, 12 women and 8 men, with initial age mean of $28,11 \pm 9,66$ and final age mean $30,62 \pm 10,46$, treated with Damon system using “Smile Arc” bonding. Eighty CBCT images, 40 pretreatment and 40 posttreatments were evaluated. Initials and finals dental inclinations, and dental arches dimensions were evaluated, and compared between these two groups. Eighty frontal photographs of the posed smile, 40 pretreatment (T1) and 40 posttreatment (T2) photographs were evaluated to compare the smile attractiveness between the two groups, and compared between these two groups. A website with the smile attractiveness evaluation instructions was created for the raters. Through e-mail, each possible evaluator received a link to the evaluations form. The smiles were automatically randomized, each time the user accessed the webpage. The groups of evaluators consisted of 3 groups: group 1 - 59 orthodontists, group 2 - 62 dentists and group 3- 57 lay people with a mean age of $39.83 (\pm 10.48)$, $48.73 (\pm 14.07)$ and $42.68 (\pm 14.03)$ respectively. **Results:** The intergroup comparison of the dental inclination at the initial stage (T1), the conventional group was presenting statistically significantly greater dental inclination than the smile arc group, and the smile arc group showed a smaller arch width than the conventional group. In the final stage (T2), after the end of orthodontic treatment and after removal of the orthodontic appliance, the dental buccal inclinations of the both groups increased in relation to the initial stage, with the exception of the mandibular molars in the smile arc group, and the intergroup comparison the conventional group presented statistical significantly greater increase of dental buccal inclinations than the smile arc group and the smile

arc group showed statistically significantly smaller arch dimensions than the conventional group. In the intergroup comparison of the changes that occurred during treatment (T2-T1), the smile arc group presented higher buccal dental inclinations statistical significantly than the conventional group in 3 of 24 evaluated dental inclinations. On the other hand, the smile arc group presented smaller buccal dental inclinations statistical significantly than the conventional group in 6 of the 24 dental inclinations. And in relationship of the arch dimensions the conventional group presented a greater increase in all the measures analyzed than smile arc group, and in 5 of the 8 analyzed arch widths there were statistically significant differences.

In the intragroup comparison of smile dimensions, in relation to the initial (T1) and final (T2) stages, dependent t test showed that the smile arc group, there was an increase in the Smile Width (SW), Maxillary Inter canine Width (MICW), and Buccal Corridor (BC), and in the Interlabial Distance (ILD) there was a decrease, but all these changes were not statistically significant. However, there was a statistically significant increase in the Smile Index (SI). Regarding the treatment changes (T2-T1) between the groups, none of the 5 analyzed variables presented statistically significant differences. The results of comparability of the groups of evaluators, one-way ANOVA and Tukey test showed statistically significant difference between the age of groups, the Dentists group presented an older age. Regarding gender, the chi-square test also showed the presence of a statistically significant difference between the groups. **Conclusion:** The conventional bonding group showed, in general, a greater dental buccal inclination and a larger transversal increase in the arch's dimensions. The 5 attractiveness variables analyzed showed no differences between groups. The perception of smile attractiveness, considered the highest rating for the Smile Arc bonding group.

Key words: Damon System, Self-ligating Appliance, Dental inclination, Dental Arch Widths, Attractiveness, Cone Beam Computed Tomography.

RESUMO

Comparação da atratividade do sorriso, inclinações dentárias e dimensões dos arcos dentários em pacientes tratados com aparelho autoligável, no sistema Damon, com colagem convencional e “Smile Arc”

Objetivo: O objetivo deste estudo retrospectivo foi avaliar se existe diferença na atratividade do sorriso, inclinações dentárias e dimensões das arcadas dentárias em pacientes tratados pelo Sistema Damon®, utilizando duas colagens ortodônticas distintas: convencional e “Smile Arc Protection”. **Material e métodos:** A amostra foi composta por 40 pacientes (19 mulheres, 21 homens) com tratamento ortodôntico concluído que já possuem toda a documentação inicial e final, incluindo tomografia computadorizada de feixe cônico (TCFC). A amostra foi dividida em dois grupos: grupo 1 - 20 pacientes, 7 mulheres e 13 homens, com média de idade inicial de 23,75 + 4,03 e média de idade final de 26,87 + 4,09, tratados com sistema Damon com colagem convencional; grupo 2 - 20 pacientes, 12 mulheres e 8 homens, com média de idade inicial de 28,11 + 9,66 e média de idade final de 30,62 + 10,46, tratados com sistema Damon com colagem “Smile Arc”. Oitenta imagens de TCFC, 40 pré-tratamento e 40 pós-tratamento foram avaliadas. As inclinações dentárias iniciais (T1) e finais (T2) e as dimensões das arcadas dentárias foram avaliadas e comparadas entre os dois grupos. Oitenta fotografias frontais do sorriso posado, 40 fotografias de pré-tratamento (T1) e 40 de pós-tratamento (T2) foram avaliadas para comparar a atratividade do sorriso entre os dois grupos e comparadas entre esses dois grupos. Foi criado um site com instruções de avaliação da atratividade do sorriso para os avaliadores. Os sorrisos eram randomizados automaticamente, cada vez que o usuário acessava a página. Os grupos de avaliadores foram compostos por 3 grupos: grupo 1 - 59 ortodontistas, grupo 2 - 62 dentistas e grupo 3- 57 leigos com média de idade de 39,83 (+10,48), 48,73 (+14,07) e 42,68 (+14,03) respectivamente. **Resultados:** Na comparação intergrupos da inclinação dentária na fase inicial (T1), o grupo convencional apresentou inclinação dentária estatisticamente significativamente maior do que o grupo “Smile Arc”, e o grupo “Smile Arc” apresentou uma largura de arco menor que o grupo convencional. No estágio final (T2), após o término do tratamento ortodôntico e após a retirada do aparelho ortodôntico, as inclinações bucais dentais de ambos os grupos aumentaram em relação ao estágio inicial, com exceção dos molares inferiores do grupo “Smile Arc”, e na comparação intergrupos, o grupo convencional apresentou aumento estatisticamente significativamente maior

das inclinações dentais vestibulares do que o grupo “Smile Arc” e este, apresentou dimensões de arco estatisticamente significativamente menores do que o grupo convencional. Na comparação intergrupos das mudanças ocorridas durante o tratamento (T2-T1), o grupo “Smile Arc” apresentou estatisticamente significativamente maiores inclinações dentárias vestibulares, do que o grupo convencional em 3 das 24 inclinações dentárias avaliadas. Por outro lado, o grupo “Smile Arc” apresentou inclinações dentárias vestibulares estatisticamente significativamente menores do que o grupo convencional em 6 das 24 inclinações dentárias. E em relação às dimensões do arco, o grupo convencional apresentou um aumento maior em todas as medidas analisadas do que o grupo “Smile Arc”, sendo que em 5 das 8 larguras de arco analisadas houve diferenças estatisticamente significantes.

Na comparação intragrupo das dimensões do sorriso, em relação aos estágios inicial (T1) e final (T2), o teste t dependente mostrou que no grupo “Smile Arc”, houve um aumento na Largura do Sorriso (SW), Largura Intercanino Maxilar (MICW), e Corredor Bucal (BC), e na Distância Interlabial (DPI) houve uma diminuição, mas todas essas alterações não foram estatisticamente significativas. No entanto, houve um aumento estatisticamente significativo no Índice de Sorriso (SI). Em relação às mudanças de tratamento (T2-T1) entre os grupos, nenhuma das 5 variáveis analisadas apresentou diferença estatisticamente significativa. Os resultados da comparabilidade dos grupos de avaliadores, ANOVA one-way e teste de Tukey mostraram diferença estatisticamente significativa entre as idades dos grupos, o grupo Dentistas apresentou uma idade mais avançada. Em relação ao sexo, o teste do qui-quadrado também mostrou a presença de diferença estatisticamente significativa entre os grupos. **Conclusão:** O grupo de colagem convencional apresentou, em geral, uma maior inclinação dentária vestibular e um maior aumento transversal nas dimensões do arco. As 5 variáveis de atratividade do sorriso analisadas não apresentaram diferenças entre os grupos. A percepção da atratividade do sorriso, considerada a classificação mais alta para o grupo de colagem Smile Arc.

Palavras-chave: Sistema Damon, Aparelho Autoligável, Inclinação Dentária, Larguras do Arco Dentário, Atratividade, Tomografia Computadorizada de Feixe Cônico.

LIST OF FIGURES

ARTICLE 1

- Figure 1** - Reduction of confounding variables: (A) original image, (B) image cropped at a standardized proportion of 21 × 12.4 cm, (C) elimination of facial blemishes and facial hair, (D) image conversion to black and white.....38
- Figure 2** - Example of smile photograph for evaluation.39
- Figure 3** - Measurement of the following attributes of the smile by using the vertical lines as limits: (A) smile width, (B) maxillary intercanine width.....40
- Figure 4** - Smile index: (A) Smile width, (C) Interlabial distance.41

ARTICLE 2

- Figure 1** - Schematic representation of the measurement of dental inclination, in the selected sagittal section.61
- Figure 2** - Schematic drawing showing the method of measuring the widths of the arches used in the analysis of the 3D model. Redesigned by (FRANCHI et al., 2006).62
-
-

LIST OF TABLES

ARTICLE 1

Table I	- Intergroup comparability of initial and final ages, treatment time, Little irregularity index and sex distribution.	42
Table II	- Intragroup comparison of the initial and final stages of the smile dimensions.	43
Table III	- Intergroup comparison of the smile dimensions at the initial stage (T1), final stage (T2) and treatment changes (T2-T1).	44
Table IV	- Results of comparability of the groups of evaluators.	45
Table V	- Results of intergroup comparison of the smile attractiveness.	46
Table VI	- Comparison of the three groups of evaluators.	47

ARTICLE 2

Table I	- Intergroup comparability of initial and final ages, treatment time, Little irregularity index and sex distribution.	63
Table II	- Intergroup comparison of the dental inclination at the initial stage (T1).	64
Table III	- Intergroup comparison of the arch dimensions at the initial stage (T1).	65
Table IV	- Intergroup comparison of the dental inclination at the final stage (T2).	66
Table V	- Intergroup comparison of the arch dimensions at the final stage (T2).	67
Table VI	- Intergroup comparison of treatment changes (T2-T1) of the dental inclination.	68
Table VII	- Intergroup comparison of treatment changes (T2-T1) of the arch dimensions.	69

LIST OF ABBREVIATIONS AND ACRONYMS

T1	Pretreatment
T2	Posttreatment
T2 – T1	Treatment changes
CBCT	Cone Beam Computed Tomography
Mx	Maxilla
Md	Mandible
SD	Standard deviation
BC	Buccal Corridor
SW	Smile Width
MICW	Maxillary Intercanine Width
SI	Smile Index
ILD	Interlabial Distance
3-3 width	Intercanine width
4-4 width	Interpremolar 1 width
5-5 width	Interpremolar 2 width
6-6 width	Intermolar 1 width

TABLE OF CONTENTS

1	INTRODUCTION	15
2	ARTICLES.....	21
2.1	ARTICLE 1 - Comparison of smile attractiveness in patients treated with Damon System Self-ligating Appliance, using two different bonding: conventional and “smile arc”	23
2.2	ARTICLE 2 - Comparison of dental inclination and dental arch widths in patients treated with Damon System Self-ligating Appliance, using two different bondings: conventional and “smile arc”	49
3	DISCUSSION.....	73
4	FINAL CONSIDERATIONS.....	79
	REFERENCES	83
	ANNEXES.....	89

1 INTRODUCTION

1 INTRODUCTION

For a long time, the results of successful orthodontic treatments were based only on occlusal factors and lateral cephalometric measurements. Information is needed concerning soft-tissue and dental relationships from a frontal view to provide a wider basis for assessing facial esthetics.¹

Common perceptions about facial aesthetics are usually based on author's opinions rather than scientific methods. This might be explained by the difficulty to qualify and quantify beauty and the close association between esthetics and the fine arts, which questions the validity of measuring beauty. However, the measurement of what is beautiful or the perception of beauty in dentistry is fundamental for providing scientific data that can guide diagnosis and treatment planning.²

Patients today seeking esthetic treatment are looking for enhancement of their appearance for improved quality of life. Interdisciplinary treatment also has been necessary, with the inclusion of soft tissue and periodontal components of the dentition and smile assessment, as well as the whole face.³

Facial and dental esthetics have become greatly important during the last decade. Currently, there is to focus on esthetics, with emphasis on the soft tissues. The increasing demand for a "beautiful smile" requires a harmonious balance between soft tissue and occlusion.⁴

The "art of the smile" is reported as the orthodontist's ability to evaluate the patient in 3-dimensions and use the latest technologies to document and communicate the treatment strategy to patients and colleagues involved in interdisciplinary treatment planning.⁵

Smile is also an important factor in facial attractiveness and it is important to differentiate posed or social smile from pleasant or involuntary smile. The posed or social smile is a voluntary smile that is used in a social environment or when posing for photographs, while the pleasant smile is involuntary and reflects the emotion of the moment.⁵

Many variables may influence the attractiveness of the smile, among which we highlight: the width of the buccal corridors (BC) and the smile area, evaluated by smile index (SI).^{5,6}

The buccal corridor is the transverse dimension of the smile and is measured from the angle of the distal line of the maxillary canine to the interior portion of the commissure of the lips. The smile area is described by the area framed the vermilion borders of the lips during the posed smile.⁵⁻⁷

The technological developments in orthodontic materials have grown exponentially and provided the professional with tools for more efficient orthodontic treatment and comfort for the patient, thus improving its quality of life. Self-ligating orthodontic appliances are currently popular among orthodontists. They allow to perform teeth alignment and leveling more effectively, with a relatively reduced chair time and less need for dental extractions, in cases of significant crowding compared with conventional edgewise brackets.⁸

The Damon® system (Ormco Corp., Glendora, CA, USA) is a passive self-ligating (PSL) bracket system that was originally introduced in 1994. Damon's philosophy is based on the use of light forces only sufficient to initiate tooth movement.⁸

The fundamental principle of this force is that it should be light enough to prevent obstruction of the periodontal membrane blood vessels and allow biochemical cells and messengers to be transported to the side where bone is being resorbed and where bone apposition will occur and then allow dental movement.⁹

The positioning of brackets used in the Damon® system follows the principles suggested by Andrews¹⁰, where brackets are positioned at a midpoint of the facial axis of the clinical crown of teeth with the vertical positioners of these brackets parallel to that axis.¹¹

Recently, another way of bracket positioning, called “Bracket positioning for Smile Arc Protection”, was considered an innovation that combined the art of contemporary aesthetics with the science behind three-dimensional control of dental positioning, achieving superior and more predictable aesthetic results at the orthodontic treatment.¹²

Positioning the upper brackets for protection or enhancement of the smile arc has been called “Smile Arc Protection”. Although the positioning of brackets in this technique is individualized to meet the aesthetic needs of each patient, usually the upper incisor brackets are bonded more gingivally than the canine brackets. The lower posterior brackets are also placed more gingivally to allow occlusion, while the occlusal-gingivally positioning of the mandibular incisors depends on the vertical relationship of the bite, and the lower anterior brackets are placed more incisal to improve overbite or more gingivally to correction the open bite.¹²

The advantages defended by the authors of the Damon system include: the possibility of increasing the size of the dental arches, without periodontal compromise, with alveolar bone accompanying tooth movement¹¹, and reduction of tooth extractions, due to the this increase in dental arch size, would be possible the crowded teeth to be aligned without the need for dental extractions. The increase in arch length and transverse dimensions without performing orthopedic procedures, such as maxillary disjunction, results from distal movement of the posterior teeth, advancement of the anterior teeth, and expanding the arch transversely.¹³

Dental inclinations with displacement of the tooth from the center of its bone base may lead to an increased risk of bone defect onset or worsening^{14,15}, and gingival recessions.^{16,17}

The most appropriate exam for the study of maxillary and mandibular alveolar bone changes is cone-beam computed tomography (CBCT), because it allows axial, sagittal and frontal cuts with good accuracy and precision.^{18,19}

Therefore, this study assessed whether there is a significant difference in relation to the smile attractiveness, dental inclination, and dental arch widths in patients with orthodontic treatment already completed by the Damon system with two types of bracket bonding, conventional²⁰ and “Smile Arc Protection”.²¹

2 ARTICLES

2 ARTICLES

The articles presented in this Thesis were written according to the American Journal of Orthodontics and Dentofacial Orthopedics instructions and guidelines for article submission (Annex B).

- **Article 1** - Comparison of smile attractiveness in patients treated with Damon system self-ligating appliance, using two different bonding: conventional and “smile arc”.
- **Article 2** – Comparison of dental inclination and dental arch widths in patients treated with Damon system self-ligating appliance, using two different bonding: conventional and “smile arc”.

2.1 ARTICLE 1

COMPARISON OF SMILE ATTRACTIVENESS IN PATIENTS TREATED WITH DAMON SYSTEM SELF-LIGATING APPLIANCE, USING TWO DIFFERENT BONDING: CONVENTIONAL AND “SMILE ARC”.

ABSTRACT

Introduction: In this retrospective study, the purpose was to evaluate whether there is a difference in the smile attractiveness in patients treated by the Damon® System, using two different orthodontic bonding: conventional and “Smile Arc Protection”. And whether there is an influence in the buccal corridor and smile area. **Material and methods:** The sample consisted of 40 patients (19 women, 21 men) with completed orthodontic treatment who already have all the initial and final documentation, including cone beam computed tomography (CBCT). The sample was divided into two groups: group 1 - 20 patients, 7 women and 13 men, with an initial mean age of 23.75 ± 4.03 and final age mean 26.87 ± 4.09 , treated with Damon system using conventional bonding; group 2 - 20 patients, 12 women and 8 men, with an initial mean age of 28.11 ± 9.66 and final age mean 30.62 ± 10.46 , treated with Damon system using “Smile Arc” bonding. Eighty frontal photographs of the posed smile, 40 pretreatment (T1) and 40 posttreatment (T2) photographs were evaluated to compare the smile attractiveness between the two groups, and compared between these two groups. A website with the smile attractiveness evaluation instructions was created for the raters. Through e-mail, each possible evaluator received a link to the evaluations form. The smiles were automatically randomized, each time the user accessed the webpage. The groups of evaluators consisted of 3 groups: group 1 - 59 orthodontists, group 2 - 62 dentists and group 3- 57 laypeople with a mean age of $39.83 (\pm 10.48)$, $48.73 (\pm 14.07)$ and $42.68 (\pm 14.03)$ respectively. **Results:** In the intragroup comparison of smile dimensions, in relation to the initial (T1) and final (T2) stages, dependent t test showed that in the smile arc group, there was an increase in the Smile Width (SW), Maxillary Inter canine Width (MICW), and Buccal Corridor (BC), and in the Interlabial Distance (ILD) there was a decrease, but all these changes were not statistically significant. However, there was a statistically significant increase in the Smile Index (SI). Regarding the treatment changes (T2-T1) between the groups, none of the 5 analyzed variables presented statistically significant differences. The results of comparability of the groups of evaluators, one-way ANOVA and Tukey test showed a statistically significant difference between the age of groups, the Dentists group presented an older age. Regarding gender, the chi-square test also showed the presence of a statistically significant difference between the groups. **Conclusion:** The 5 variables analyzed showed no differences between groups. The perception of smile attractiveness considered the highest rating for the Smile Arc bonding group.

Keywords: Damon System, Self-ligating Appliance, Attractiveness, Smile Width, Buccal Corridor, and Smile Index.

INTRODUCTION

For a long time, the results of successful orthodontic treatments were based only on occlusal factors and lateral cephalometric measurements. Information is needed concerning soft-tissue and dental relationships from a frontal view to provide a wider basis for assessing facial esthetics.^{1,2}

Common perceptions about facial aesthetics are usually based on the author's opinions rather than scientific methods. This might be explained by the difficulty to qualify and quantify beauty and the close association between esthetics and the fine arts, which questions the validity of measuring beauty. However, the measurement of what is beautiful or the perception of beauty in dentistry is fundamental for providing scientific data that can guide diagnosis and treatment planning.³

Patients today seeking esthetic treatment are looking for enhancement of their appearance for improved quality of life. Interdisciplinary treatment also has been necessary, with the inclusion of soft tissue and periodontal components of the dentition and smile assessment, as well as the whole face.⁴

Facial and dental esthetics have become greatly important during the last decade. Currently, there is to focus on esthetics, with emphasis on the soft tissues. The increasing demand for a "beautiful smile" requires a harmonious balance between soft tissue and occlusion.⁵

The "art of the smile" is reported as the orthodontist's ability to evaluate the patient in 3-dimensions and use the latest technologies to document and communicate the treatment strategy to patients and colleagues involved in interdisciplinary treatment planning.⁶

Smile is also an important factor in facial attractiveness and it is important to differentiate posed or social smiles from pleasant or involuntary smiles. The posed or social smile is a voluntary smile that is used in a social environment or when posing for photographs, while the pleasant smile is involuntary and reflects the emotion of the moment.⁷

Many variables may influence the attractiveness of the smile, among which we highlight: the width of the buccal corridors (BC) and the smile area, evaluated by smile index (SI).^{7,8}

The buccal corridor is the transverse dimension of the smile and is measured from the angle of the distal line of the maxillary canine to the interior portion of the

commissure of the lips. The smile area is described by the area framed by the vermilion borders of the lips during the posed smile.⁷⁻⁹

The technological developments in orthodontic materials have grown exponentially and provided the professional with tools for more efficient orthodontic treatment and comfort for the patient, thus improving its quality of life. Self-ligating orthodontic appliances are currently popular among orthodontists. They allow to perform teeth alignment and leveling more effectively, with a relatively reduced chair time and less need for dental extractions, in cases of significant crowding compared with conventional edgewise brackets.¹⁰

The Damon® system (Ormco Corp., Glendora, CA, USA) is a passive self-ligating (PSL) bracket system that was originally introduced in 1994. Damon's philosophy is based on the use of light forces only sufficient to initiate tooth movement.¹⁰

The fundamental principle of this force is that it should be light enough to prevent obstruction of the periodontal membrane blood vessels and allow biochemical cells and messengers to be transported to the site where the bone is being resorbed and where bone apposition will occur and then allow dental movement.¹⁰

The positioning of brackets used in the Damon® system follows the principles suggested by Andrews¹¹, where brackets are positioned at a midpoint of the facial axis of the clinical crown of teeth with the vertical positioners of these brackets parallel to that axis.¹²

Recently, another way of bracket positioning, called “Bracket positioning for Smile Arc Protection”, was considered an innovation that combined the art of contemporary aesthetics with the science behind three-dimensional control of dental positioning, achieving superior and more predictable aesthetic results at the orthodontic treatment.¹³

Positioning the upper brackets for protection or enhancement of the smile arc has been called “Smile Arc Protection”. Although the positioning of brackets in this technique is individualized to meet the aesthetic needs of each patient, usually the upper incisor brackets are bonded more gingivally than the canine brackets. The lower posterior brackets are also placed more gingivally to allow occlusion, while the occlusal-gingivally positioning of the mandibular incisors depends on the vertical relationship of the bite, and the lower anterior brackets are placed more incisal to improve overbite or more gingivally to correct the open bite.¹³

Therefore, this study assessed whether there is a significant difference in relation to the smile attractiveness in patients with orthodontic treatment already completed by the Damon system with two types of bracket bonding, conventional¹⁴ and “Smile Arc Protection”.¹⁵

OBJECTIVES

Evaluate whether there is a difference in the smile attractiveness in patients treated by the Damon® System, using two different orthodontic bonding: conventional and “Smile Arc Protection”.

Secondly, whether there is an influence in the buccal corridor and smile area when using these two different types of orthodontic bonding.

MATERIAL AND METHODS

This retrospective study was approved by the Ethics Research Committee of Bauru Dental School, University of São Paulo, Brazil (protocol number: 24540619.8.0000.5417; decision number: 3.959.613).

Sample Calculation

The sample calculation was based on an alpha error of 5% and a beta error of 20%, with 80% test power to detect a minimum difference of 1.1 points in the evaluation of smile attractiveness, considering the standard deviation of 1.2.¹⁶ Thus, the sample calculation resulted in the need for 20 patients in each group.

Material

In this retrospective study, the sample consisted of 40 patients, 19 women (47,5%) and 21 men (52,5%), with completed orthodontic treatment who already have all the initial and final documentation, including cone beam computed tomography (CBCT). The sample was divided into two groups: group 1 - 20 patients, 7 women and 13 men, with an initial mean age of 23.75 ± 4.03 and final age mean 26.87 ± 4.09 , treated with Damon system using conventional bonding; group 2 - 20 patients, 12 women and 8 men, with an initial mean age of 28.11 ± 9.66 and final age mean 30.62 ± 10.46 , treated with Damon system using “Smile Arc” bonding.

Eighty frontal photographs of the posed smile, 40 pretreatment and 40 posttreatment photographs were evaluated to compare the smile attractiveness between the two groups, and compared between these two groups.

Inclusion criteria

- Patients with Angle Class I or Class II malocclusions
- Presence of all permanent teeth up to the first molar
- Absence of craniofacial anomalies
- Presence of initial and final orthodontic documentation
- Individuals treated with Damon system self-ligating fixed appliance
- Patients with absence of periodontal disease, agenesis or tooth loss.

Methods

Photograph's standardization

The subjects were instructed to seat maintaining the natural head position — a standardized and reproducible head position in an upright and natural posture with the visual axis in the horizontal¹⁷. They were also instructed to give a posed and as natural smile as possible with their teeth in MI (Maximum intercuspation). Several photos were taken of each subject at posed smile^{18,19} and the one which appeared more natural was chosen^{2,20,21}. The posed smile is voluntary and not elicited by emotion, can be a learned greeting or a signal of appeasement and can be sustained, and is reliably repeatable. It is not spontaneous and is unstrained and posed⁸. Patients were trained before the photographs.

Frontal posed smiling photographs were taken of each patient by the same investigator with a Canon T7 digital camera (Canon Corporation), assembled with a Canon 100 mm macro lens and circular macro flash (Shenzhen Yongnuo Photography Equipment). The macro lens was adjusted to focus at a constant object-to-lens distance obtaining an image of the lower facial height.

Photograph equipment's standardization

All photographs were obtained in manual mode, color, fine quality, ISO (International Organization of Standardization) 800, aperture of at least 16, and a shutter speed of 60. The macro lens will be adjusted to give the focus on the patient's

lips², at a distance of 60 cm from the soft tissue, obtaining an image of the lower third of the face, which goes approximately from the tip of the nose to the middle of the chin¹⁹. The standardized flash is multi ¼.

Confounding factors reduction

The photographs were imported into Photoshop (Adobe Systems CS6, San Jose, California, USA) to crop the nose, cheeks and chin to reduce the number of confusing variables. Facial blemishes and facial hair were removed from the smiling photographs. Subsequently, the photographs were converted to black and white^{1,16,19,22,23}(Fig. 1). All images were in TIF format with 300 dpi of resolution.

The photographs were viewed under the same magnification on the computer screen and the smile photographs were cropped at a proportional standardized size of 21 × 12.4 cm.

Smile attractiveness evaluation

A website with the smile attractiveness evaluation instructions was created for the raters. Through e-mail, each possible evaluator received a link to the evaluations form. The smiles were automatically randomized, each time the user accessed the webpage²⁴.

The attractiveness of each smile was judged and scored according to a 10-point numerical scale. The scores were shown under each photograph and varied from 1 to 10, representing the most unattractive and the most attractive smile, respectively²⁵. During the evaluation, one photograph was shown each time (Fig. 2).

The groups of evaluators consisted of 3 groups: group 1 - 59 orthodontists, group 2 - 62 dentists and group 3- 57 laypeople with a mean age of 39.83 (± 10.48), 48.73 (± 14.07) and 42.68 (± 14.03) respectively.

Buccal corridor evaluation

The buccal corridor (BC) was evaluated. Initially, the following attributes of the smile were measured in millimeters through Adobe Photoshop software by using vertical lines as limits (Fig. 3):

A. Smile width (SW): the distance from commissure to outer commissure^{18,19}.

B. Maxillary intercanine width (MICW): the distance from the distal aspect of the right canine to the distal aspect of the left canine.

By using these measurements, the buccal corridor was calculated as percentages of the commissure width.

$$BC = SW - MICW / SW \times 100.$$

Smile area evaluation

To visualize and quantify the frontal smile area was used the smile index^{7,8}(SI), which describes the area framed by the vermilion borders of the lips during the posed smile. The smile index is determined by dividing the inter-commissure width or smile width (SW) by the interlabial distance (ILD) during smile (Fig. 4):

A. Inter-commissure width or smile width (SW).

C. Interlabial distance (ILD).

$$SI = SW / ILD$$

Error Study

The intraexaminer reliability of the smile photographs measurements was assessed by the intraclass correlation coefficient (ICC)²⁶. After a month interval, 24 smile photographs were re-measured, and these measurements were compared.

To evaluate the precision of the evaluators in rating the smile attractiveness of the silhouettes of the questionnaire, two silhouettes were randomly repeated throughout the questions, and the Intraclass correlation coefficient (ICC) was used²⁶.

STATISTICAL ANALYSIS

The normality of data was checked with Shapiro-wilk test.

Intergroup comparability of initial and final ages, treatment time and Little irregularity index was performed with independent t tests and sex distribution was performed with chi-square test.

Intragroup comparison of the initial and final stages of each group was performed with dependent t test. Intergroup comparison of the smile dimensions and attractiveness was performed with independent t test.

The comparability of the age and sex distribution of the three groups of evaluators was performed with one-way ANOVA and Tukey test and chi-square test,

respectively. The score of the smile attractiveness between the three groups of evaluators was compared with one-way ANOVA and Tukey test.

Statistical analysis was performed with Statistica software (Statistica for Windows, version 12.0, Statsoft, Tulsa, Okla) and the results were considered significant for $p < 0.05$.

RESULTS

Intraclass correlation coefficients (ICCs) of the smile photographs measurements varied from 0.89 to 0.96, and the ICCs of the precision of the evaluators in rating the smile attractiveness varied from 0.82 to 0.91. These ICCs indicate an excellent intra-rater agreement²⁷.

Chi-square test showed that the two groups were not significantly different in terms of sex distribution ($P = 0.113$). There was no significant distinction in the mean initial age and mean final age between the groups ($P = 0.098$ and $P = 0.144$, respectively). The intergroup comparability of the treatment time, independent t-test showed no statistically significant differences ($P = 0.107$).

Regarding to the intergroup comparability of the mandible Little irregularity index and maxilla Little irregularity index analysis, independent t-test showed no statistically significant differences ($P = 0.149$ and $P = 0.792$, respectively) (Table I).

In the intragroup comparison of smile dimensions, in relation to the initial (T1) and final (T2) stages, dependent t test showed that in the smile arc group, there was an increase in the Smile Width (SW), Maxillary Inter canine Width (MICW), and Buccal Corridor (BC), and in the Interlabial Distance (ILD) there was a decrease, but all these changes were not statistically significant. However, there was a statistically significant increase in the Smile Index (SI).

In the conventional group, there was a decrease in SW, MICW, BC, and ILD. However, only ILD presented a statistically significant difference. As in the smile arc group, there was an increase in the smile index, therefore it was not statistically significant (Table II).

In the intergroup comparison of smile dimensions, independent t test showed, at the initial stage (T1), in all 5 variables analyzed, the smile arc group showed greater than the conventional group, however, only the SW and MICW presented statistically significant differences.

At the final stage (T2), a greater increase was also observed in all variables in the smile arc group than the conventional group, since the SW, MICW and BC showed statistically significant differences.

Regarding the treatment changes (T2-T1) between the groups, none of the 5 analyzed variables presented statistically significant differences (Table III).

The results of comparability of the groups of evaluators, one-way ANOVA and Tukey test showed a statistically significant difference between the age of groups, the Dentists group presented an older age. Regarding gender, the chi-square test also showed the presence of a statistically significant difference between the groups (Table IV).

The intergroup comparison of the smile attractiveness at the end of the treatment (T2), obtained from the results of the evaluations carried out through the website, by the groups of evaluators, independent t test showed that the Smile Arc group had a statistically significantly higher smile attractiveness than the Conventional group (Table V).

When comparing the three groups of evaluators in relation to their evaluations of the attractiveness of the smile, the one-way ANOVA and Tukey test showed that in all three groups the ratings were better for the smile arc group, whereas in the Dentists group there was a statistically significant higher smile attractiveness rating for both, Conventional and Smile Arc groups, when compared to the other groups of evaluators (Table VI).

DISCUSSION

The main purpose of this study was to assess the effects of the use Damon® system passive self-ligating appliance, comparing two different bracket bonding protocols, in a no-extractions approach, on the smile attractiveness. Both groups used the same bracket, Damon 3MX, with the same wire sequence: .014" cuniti, .014X.025" cuniti, .018X.025 cuniti and .019X.025" steel.

The conventional protocol for bracket positioning is the bracket positions method with its center close to the center of the clinical crown, as recommended by Andrews.¹¹

The "Smile Arc" protocol is the method for bracket positioning that follows an exacting bracket placement to protect or enhance the smile and align buccal segment

cuspid tips and marginal ridges. The upper incisor brackets are generally placed more gingivally than the canine brackets. The lower posterior brackets are placed somewhat gingivally to avoid occlusion, while the lower anterior brackets are placed somewhat incisally to optimize overbite.¹⁵

Our study was retrospective since the two self-ligating samples were previously treated. Thus, in a retrospectively designed study, intergroup compatibility is very important to avoid the influence of other factors on the results.

There was concern in selecting comparable groups according to the amount of initial crowding, sex distribution, to reduce the factors that could influence judgment of smile attractiveness (Table I). This fact is very important because aged smiles undergo several changes, such as a decrease in exposure of the maxillary incisors, greater exposure of the mandibular incisor, the smile gets narrower vertically and there is a decrease in the upper lip thickness.²⁸

The results of this study showed that the smile arc bonding group had a wider smile, with a statistically significant greater increase in the smile index, in contrast to the conventional bonding group showed a lower smile exposure with a statistically significant decrease in the interlabial distance (Table II).

Despite this finding, in the intergroup comparison of the changes that occurred between the phases (T2-T1), no significant differences were observed in the smile width, maxillary intercanine width, buccal corridor, interlabial distance and smile index (Table III). Some studies corroborate our findings, where variables such as buccal corridor and smile width do not seem to present significant differences between the different types of orthodontic treatment protocols.^{24,29}

Regarding the smile attractiveness judgment, this study showed that there is a significant difference in the intergroup comparison, with the Smile Arc bonding group being statistically significantly better qualified than the conventional bonding group (Table V). And when comparing the 3 groups of evaluators: orthodontists, dentists and laypeople, there was a better assessment of the smile attractiveness for the Smile Arc group, being that, the dentist group gave higher overall ratings statistically significantly for the Smile Arc group. In contrast to these findings, some previous studies that assessed smile attractiveness in different groups of raters concluded that there was no difference in the perceptions of dental professionals and laypeople.^{16,18,22,23,30-32} In the present study, laypeople gave lower scores to the smile attractiveness than the

orthodontists and dentists, which is in agreement with the finding described in the literature (Table VI).^{24,33,34}

This could probably be because the group of dentists is older (Table IV), so the greater number of years in the clinical practice, it could significantly affect the visualization and judgment of the attractiveness of smiles.³³

The fact that there are many individual variables in both groups such as muscle factors, tooth inclination and different amounts of crowding is not a surprise. The findings of this study indicate that the buccal corridor and the smile display, represented by the smile index, are probably not affected by the two types of orthodontic bonding analyzed. On the other hand, in the evaluations of real clinical photographs, there were significant differences between laypersons, orthodontists and dentists in their preferences for the attractiveness of the smile achieved with the “Smile Arc” orthodontic bonding.^{24,33}

CONCLUSIONS

There was no interference of the two types of orthodontic bonding analyzed in the buccal corridor and smile index.

There was a statistically significant difference in the perception of smile attractiveness by orthodontists, dentists and laypeople, which considered the highest rating for the “Smile Arc” bonding group.

ACKNOWLEDGMENT

The authors thank _____ and disclosed to have received part of the funding to carry out this research. -Finance Code _____.

REFERENCES

1. Hulsey CM. An esthetic evaluation of lip-teeth relationships present in the smile. *Am J Orthod Dentofacial Orthop* 1970;57:132-144.
 2. Işıksal E, Hazar S, Akyağın S. Smile esthetics: perception and comparison of treated and untreated smiles. *Am J Orthod Dentofacial Orthop* 2006;129:8-16.
 3. Pinho S, Ciriaco C, Faber J, Lenza MA. Impact of dental asymmetries on the perception of smile esthetics. *Am J Orthod Dentofacial Orthop* 2007;132:748-753.
-

4. Sarver DM. Enameloplasty and Esthetic Finishing in Orthodontics—Identification and Treatment of Microesthetic Features in Orthodontics Part 1. *Journal of esthetic and restorative dentistry* 2011;23:296-302.
 5. Parekh S, Fields H, Beck F, Rosenstiel S. The acceptability of variations in smile arc and buccal corridor space. *Orthod Craniofac Res* 2007;10:15-21.
 6. Sarver DM, Ackerman MB. Dynamic smile visualization and quantification: part 1. Evolution of the concept and dynamic records for smile capture. *Am J Orthod Dentofacial Orthop* 2003;124:4-12.
 7. Sarver DM, Ackerman MB. Dynamic smile visualization and quantification: Part 2. Smile analysis and treatment strategies. *Am J Orthod Dentofacial Orthop* 2003;124:116-127.
 8. Ackerman J, Ackerman M, Brensinger C, Landis J. A morphometric analysis of the posed smile. *Clinical orthodontics and research* 1998;1:2-11.
 9. Sarver DM. The importance of incisor positioning in the esthetic smile: the smile arc. *American Journal of Orthodontics and Dentofacial Orthopedics* 2001;120:98-111.
 10. Damon DH. The Damon low-friction bracket: a biologically compatible straight-wire system. *J Clin Orthod* 1998;32:670-680.
 11. Andrews LF. Straight-wire: the concept and appliance LA Wells Company 1989;13:137-221.
 12. Birnie D. The Damon passive self-ligating appliance system *Seminars in Orthodontics*. Elsevier 2008: p. 19-35.
 13. Pitts T. Begin with the end in mind: Bracket placement and early elastics protocols for smile arc protection. *Clin Impressions* 2009;17:1-11.
 14. Andrews LF. The six keys to normal occlusion. *Am J Orthod* 1972;62:296-309.
 15. Pitts T. Bracket Positioning for Smile Arc Protection. *Journal of clinical orthodontics: JCO* 2017;51:142-156.
 16. Janson G, Branco NC, Morais JF, Freitas MR. Smile attractiveness in patients with Class II division 1 subdivision malocclusions treated with different tooth extraction protocols. *Eur J Orthod* 2014;36:1-8.
 17. Moorrees CF. Natural head position—a revival. *American journal of orthodontics and dentofacial orthopedics* 1994;105:512-513.
 18. McNamara L, McNamara Jr JA, Ackerman MB, Baccetti T. Hard-and soft-tissue contributions to the esthetics of the posed smile in growing patients seeking orthodontic treatment. *American Journal of Orthodontics and Dentofacial Orthopedics* 2008;133:491-499.
 19. Ritter DE, Gandini Jr LG, Pinto AS, Locks A. Esthetic influence of negative space in the buccal corridor during smiling. *The Angle Orthodontist* 2006;76:198-203.
-
-

20. Johnson DK, Smith RJ. Smile esthetics after orthodontic treatment with and without extraction of four first premolars. *American Journal of Orthodontics and Dentofacial Orthopedics* 1995;108:162-167.
 21. Kerns LL, Silveira AM, Kerns DC, Recennitter FJ. Esthetic preference of the frontal and profile views of the same smile. *Journal of Esthetic and Restorative Dentistry* 1997;9:76-85.
 22. Roden-Johnson D, Gallerano R, English J. The effects of buccal corridor spaces and arch form on smile esthetics. *American Journal of Orthodontics and Dentofacial Orthopedics* 2005;127:343-350.
 23. Işıksal E, Hazar S, Akyalçın S. Smile esthetics: perception and comparison of treated and untreated smiles. *American Journal of Orthodontics and Dentofacial Orthopedics* 2006;129:8-16.
 24. Negreiros PO, Freitas KM, Pinzan-Vercelino CR, Janson G, Freitas MR. Smile attractiveness in cases treated with self-ligating and conventional appliances with and without rapid maxillary expansion. *Orthodontics & craniofacial research* 2020;23:413-418.
 25. Johnston CD, Burden DJ, Stevenson MR. The influence of dental to facial midline discrepancies on dental attractiveness ratings. *Eur J Orthod* 1999;21:517-522.
 26. Shrout PE, Fleiss JL. Intraclass correlations: uses in assessing rater reliability. *Psychological bulletin* 1979;86:420.
 27. Fleiss J. *The design and analysis of clinical experiments*. New York: John Willey and Sons. *Acta Physiol Scand* 1986.
 28. Drummond S, Capelli Jr J. Incisor display during speech and smile: Age and gender correlations. *The Angle Orthodontist* 2016;86:631-637.
 29. Shook C, Kim S, Burnheimer J. Maxillary arch width and buccal corridor changes with Damon and conventional brackets: A retrospective analysis. *The Angle Orthodontist* 2016;86:655-660.
 30. Kokich Jr VO, Asuman Kiyak H, Shapiro PA. Comparing the perception of dentists and lay people to altered dental esthetics. *Journal of Esthetic and Restorative Dentistry* 1999;11:311-324.
 31. Parekh SM, Fields HW, Beck M, Rosenstiel S. Attractiveness of variations in the smile arc and buccal corridor space as judged by orthodontists and laymen. *The Angle Orthodontist* 2006;76:557-563.
 32. Krishnan V, Daniel ST, Lazar D, Asok A. Characterization of posed smile by using visual analog scale, smile arc, buccal corridor measures, and modified smile index. *American journal of orthodontics and dentofacial orthopedics* 2008;133:515-523.
 33. Meyer AH, Woods MG, Manton DJ. Maxillary arch width and buccal corridor changes with orthodontic treatment. Part 2: attractiveness of the frontal facial smile in extraction and
-
-

nonextraction outcomes. American journal of orthodontics and dentofacial orthopedics 2014;145:296-304.

34. Zange SE, Ramos AL, Cuoghi OA, de Mendonça MR, Suguino R. Perceptions of laypersons and orthodontists regarding the buccal corridor in long-and short-face individuals. The Angle Orthodontist 2011;81:86-90.

FIGURE LEGENDS

Fig. 1 – Reduction of confounding variables: (A) original image, (B) image cropped at a standardized proportion of 21 × 12.4 cm, (C) elimination of facial blemishes and facial hair, (D) image conversion to black and white.

Fig. 2 – Example of smile photograph for evaluation.

Fig. 3 – Measurement of the following attributes of the smile by using the vertical lines as limits: (A) smile width, (B) maxillary intercanine width.

Fig. 4 – Smile index: (A) Smile width, (C) Interlabial distance.



Fig. 1



- 1 2 3 4 5 6 7 8 9 10
-

Fig.2

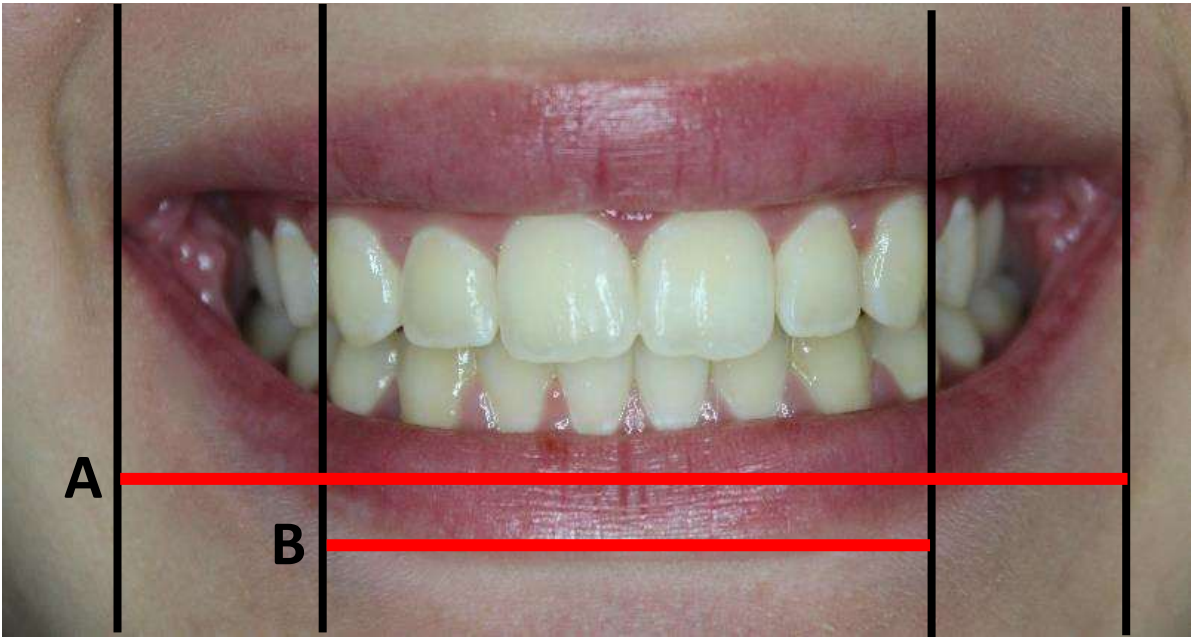


Fig. 3

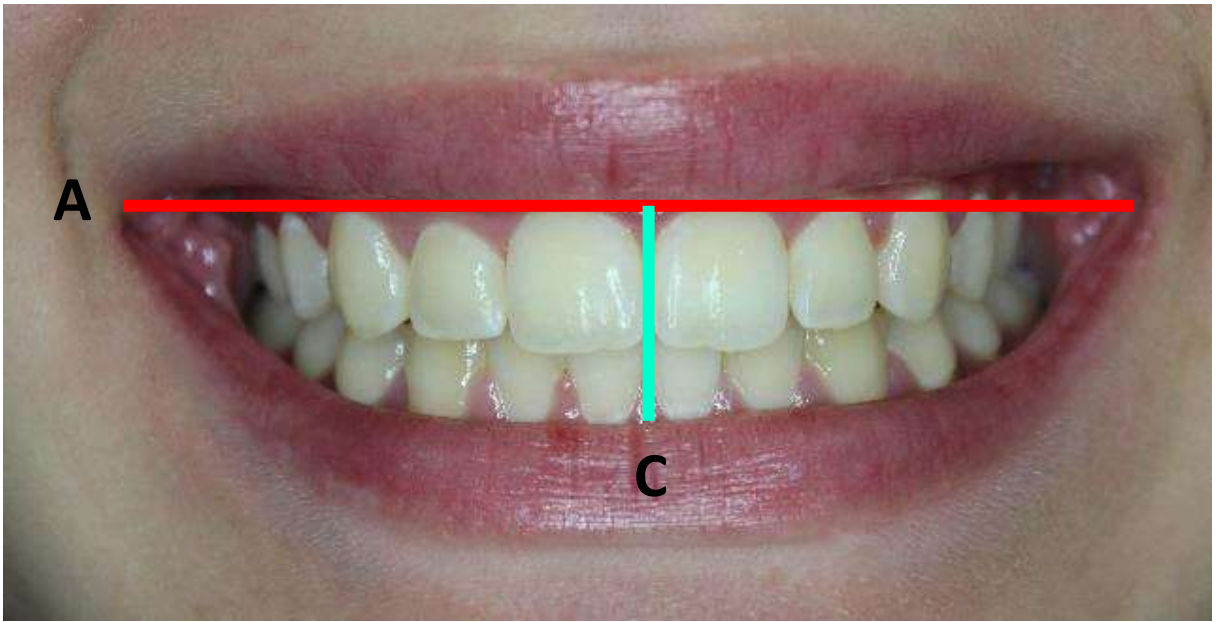


Fig. 4

Table I. Intergroup comparability of initial and final ages, treatment time, Little irregularity index and sex distribution.

Variables	SMILE ARC (n=20)	CONVENTIONAL (n=20)	P
	Mean (SD)	Mean (SD)	
Initial Age (years)	28.11 (9.66)	23.75 (4.03)	0.098 ^T
Final Age (years)	30.62 (10.46)	26.87 (4.09)	0.144 ^T
Treatment time (years)	2.51 (1.09)	3.12 (1.24)	0.107 ^T
Mx Little irregularity index (mm)	6.92 (5.26)	7.27 (2.66)	0.792 ^T
Md Little irregularity index (mm)	3.95 (2.98)	5.13 (1.99)	0.149 ^T
Sex			X ² =2.51
Male	8	13	DF=1
Female	12	7	p=0.113 ^α

^T independent t-test; ^α chi-square test

Table II. Intragroup comparison of the initial and final stages of the smile dimensions (dependent t test).

Variables (mm)	INITIAL STAGE (T1) (n=20)		FINAL STAGE (T2) (n=20)		P
	Mean	SD	Mean	SD	
SMILE ARC GROUP					
SW	17.44	1.74	17.55	2.02	0.799
MICW	10.82	1.10	10.89	1.40	0.801
BC	37.75	5.18	37.89	4.21	0.902
ILD	3.23	0.87	2.93	0.74	0.119
SI	5.79	1.80	6.41	2.17	0.020*
CONVENTIONAL GROUP					
SW	14.97	2.18	14.57	2.36	0.456
MICW	9.69	1.29	9.64	1.58	0.887
BC	34.96	4.24	33.68	3.87	0.179
ILD	3.12	0.75	2.68	0.60	0.027*
SI	5.03	1.22	5.62	1.24	0.094

* Statistically significant at $p < 0.05$

Table III. Intergroup comparison of the smile dimensions at the initial stage (T1), final stage (T2) and treatment changes (T2-T1) (independent t test).

Variables (mm)	SMILE ARC (n=20)		CONVENTIONAL (n=20)		P
	Mean	SD	Mean	SD	
INITIAL STAGE (T1)					
SW	17.44	1.74	14.97	2.18	0.000*
MICW	10.82	1.10	9.69	1.29	0.005*
BC	37.75	5.18	34.96	4.24	0.071
ILD	3.23	0.87	3.12	0.75	0.671
SI	5.79	1.80	5.03	1.22	0.127
FINAL STAGE (T2)					
SW	17.55	2.02	14.57	2.36	0.000*
MICW	10.89	1.40	9.64	1.58	0.012*
BC	37.89	4.21	33.68	3.87	0.002*
ILD	2.93	0.74	2.68	0.60	0.247
SI	6.41	2.17	5.62	1.24	0.163
TREATMENT CHANGES (T2-T1)					
SW	0.11	1.91	-0.39	2.30	0.457
MICW	0.07	1.27	-0.05	1.57	0.787
BC	0.14	5.17	-1.28	4.10	0.340
ILD	-0.30	0.81	-0.44	0.81	0.588
SI	0.62	1.10	0.59	1.49	0.930

* Statistically significant at $p < 0.05$

Table IV. Results of comparability of the groups of evaluators.

Variables	Orthodontists	Dentists	Laypeople	P
	N=59	N=62	N=57	
	Mean (SD)	Mean (SD)	Mean (SD)	
Age (years)	39.83 (10.48) ^A	48.73 (14.07) ^B	42.68 (14.03) ^A	0.000 ^{* ◊}
Sex				X ² =14.95
Female	29	46	46	DF=2
Male	30	16	11	p= 0.000 ^{* ◊}

* Statistically significant for p<0.05

◊ One-way ANOVA and Tukey test

◊ chi-square test

Different letters in a row indicate the presence of a statistically significant difference between the groups.

Table V. Results of intergroup comparison of the smile attractiveness (independent t test).

Smile attractiveness	SMILE ARC		CONVENTIONAL		p
	Mean	SD	Mean	SD	
Final (T2)	6.99	2.13	6.08	2.32	0.000*

* Statistically significant for $p < 0.05$

Table VI. Comparison of the three groups of evaluators (one-way ANOVA and Tukey test).

Smile attractiveness	Orthodontists	Dentists	Laypeople	P
	N=59	N=62	N=57	
	Mean (SD)	Mean (SD)	Mean (SD)	
CONVENTIONAL	5.92 (2.18) ^A	6.30 (2.37) ^B	6.02 (2.41) ^A	0.000*
SMILE ARC	6.88 (1.96) ^A	7.23 (2.19) ^B	6.84 (2.20) ^A	0.000*

* Statistically significant for $p < 0.05$

Different letters in a row indicate the presence of a statistically significant difference between the groups.

2.2 ARTICLE 2

COMPARISON OF DENTAL INCLINATION AND DENTAL ARCH WIDTHS IN PATIENTS TREATED WITH DAMON SYSTEM SELF-LIGATING APPLIANCE, USING TWO DIFFERENT BONDINGS: CONVENTIONAL AND “SMILE ARC”.

ABSTRACT

Introduction: In this retrospective study, the purpose was to evaluate whether there is a difference in dental inclinations and dental arches dimensions in patients treated by the Damon® System, using two different orthodontic bonding: conventional and “Smile Arc Protection”. **Material and methods:** The sample consisted of 40 patients (19 women, 21 men) with completed orthodontic treatment who already have all the initial and final documentation, including cone beam computed tomography (CBCT). The sample was divided into two groups: group 1 - 20 patients, 7 women and 13 men, with an initial mean age of 23.75 ± 4.03 and final mean age 26.87 ± 4.09 , treated with Damon system using conventional bonding; group 2 - 20 patients, 12 women and 8 men, with an initial mean age of 28.11 ± 9.66 and final age mean 30.62 ± 10.46 , treated with Damon system using “Smile Arc” bonding. Eighty CBCT images, 40 pretreatment and 40 posttreatment were evaluated. Initial and final dental inclinations, and dental arches dimensions were evaluated, and compared between the groups. **Results:** In the intergroup comparison of the dental inclination at the initial stage (T1), the conventional group was presenting statistically significantly greater dental inclination than the smile arc group, and the smile arc group showed a smaller arch width than the conventional group. In the final stage (T2), after the end of orthodontic treatment and after removal of the orthodontic appliance, the dental buccal inclinations of both groups increased in relation to the initial stage, except for the mandibular molars in the smile arc group, and the intergroup comparison the conventional group presented a statistically significant greater increase of dental buccal inclinations than the smile arc group and the smile arc group showed statistically significantly smaller arch dimensions than the conventional group. In the intergroup comparison of the changes that occurred during treatment (T2-T1), the smile arc group presented higher buccal dental inclinations statistically significant than the conventional group in 3 of 24 evaluated dental inclinations. On the other hand, the smile arc group presented smaller buccal dental inclinations statistically significant than the conventional group in 6 of the 24 dental inclinations. Regarding arch dimensions, the conventional group presented a greater increase in all the measures analyzed than smile arc group, and in 5 of the 8 analyzed arch widths, there were statistically significant differences. **Conclusion:** The conventional bonding group showed, in general, a greater dental buccal inclination and a larger transversal increase in the arch's dimensions.

Keywords: Damon System, Self-ligating Appliance, Dental inclination, Dental Arch Widths, Cone Beam Computed Tomography.

INTRODUCTION

The technological developments in orthodontic materials have grown exponentially and provided the professional with tools for more efficient orthodontic treatment and comfort for the patient, thus improving its quality of life. Self-ligating orthodontic appliances are currently popular among orthodontists. They allow to perform teeth alignment and leveling more effectively, with a relatively reduced chair time and less need for dental extractions, in cases of significant crowding compared with conventional edgewise brackets.¹

The Damon system (Ormco Corp., Glendora, CA, USA) is a passive self-ligating (PSL) bracket system that was originally introduced in 1994. Damon's philosophy is based on the use of light forces only sufficient to initiate tooth movement.¹

The fundamental principle of this force is that it should be light enough to prevent obstruction of the periodontal membrane blood vessels and allow biochemical cells and messengers to be transported to the site where the bone is being resorbed and where bone apposition will occur and then allow dental movement.¹

The positioning of brackets used in the Damon system follows the principles suggested by Andrews², where brackets are positioned at a midpoint of the facial axis of the clinical crown of teeth with the vertical positioners of these brackets parallel to that axis.³

Recently, another way of bracket positioning, called "Bracket positioning for Smile Arc Protection", was considered an innovation that combined the art of contemporary aesthetics with the science behind three-dimensional control of dental positioning, achieving superior and more predictable aesthetic results at the orthodontic treatment.⁴

Positioning the upper brackets for protection or enhancement of the smile arc has been called "Smile Arc Protection". Although the positioning of brackets in this technique is individualized to meet the aesthetic needs of each patient, usually the upper incisor brackets are bonded more gingivally than the canine brackets. The lower posterior brackets are also placed more gingivally to allow occlusion, while the occlusal-gingivally positioning of the mandibular incisors depends on the vertical relationship of the bite, and the lower anterior brackets are placed more incisal to improve overbite or more gingivally to correct the open bite.⁴

The advantages defended by the authors of the Damon system include the possibility of increasing the size of the dental arches, without periodontal compromise, with alveolar bone accompanying tooth movement³, and reduction of tooth extractions, due to this increase in dental arch size, would be possible the crowded teeth to be aligned without the need for dental extractions. The increase in arch length and transverse dimensions without performing orthopedic procedures, such as maxillary disjunction, results from the distal movement of the posterior teeth, advancement of the anterior teeth, and expanding the arch transversely.⁵

Dental inclinations with displacement of the tooth from the center of its bone base may lead to an increased risk of bone defect onset or worsening^{6,7}, and gingival recessions^{8,9}.

The most appropriate exam for the study of maxillary and mandibular alveolar bone changes is cone-beam computed tomography (CBCT), because it allows axial, sagittal and frontal cuts with good accuracy and precision.^{10,11}

Therefore, this study will assess by CBCT whether there is a significant difference in dental inclination, bone thickness and dental arch widths in patients with orthodontic treatment already completed by the Damon system with two types of bracket bonding, conventional and "Smile Arc Protection".

OBJECTIVES

To evaluate whether there is a difference in dental inclinations and dental arches dimensions in patients treated by the Damon® System, using two different orthodontic bonding: conventional and "Smile Arc Protection".

MATERIAL AND METHODS

This retrospective study was approved by the Ethics Research Committee of Bauru Dental School, University of São Paulo, Brazil (protocol number: 24540619.8.0000.5417; decision number: 3.959.613).

Sample Size Calculation

The sample size calculation was based on an alpha error of 5% and a beta error of 20%, with 80% test power to detect a minimum difference of 1.1 points in the

evaluation of smile attractiveness, considering the standard deviation of 1.2¹². Thus, the sample size calculation resulted in the need for 20 patients in each group.

Material

In this retrospective study, the sample consisted of 40 patients, 19 women (47.5%) and 21 men (52.5%), with completed orthodontic treatment who already have all the initial and final documentation, including cone beam computed tomography (CBCT). The sample was divided into two groups: group 1 - 20 patients, 7 women and 13 men, with an initial mean age of 23.75 ± 4.03 and final age mean 26.87 ± 4.09 , treated with Damon system using conventional bonding; group 2 - 20 patients, 12 women and 8 men, with an initial mean age of 28.11 ± 9.66 and final age mean 30.62 ± 10.46 , treated with Damon system using "Smile Arc" bonding.

Eighty CBCT images, 40 pretreatment and 40 posttreatment were evaluated. Initial and final dental inclinations and dental arches dimensions were evaluated, and compared between these two groups.

Inclusion criteria

- Patients with Angle Class I or Class II malocclusions
- Presence of all permanent teeth up to the first molar
- Absence of craniofacial anomalies
- Presence of initial and final orthodontic documentation
- Individuals treated with Damon system self-ligating fixed appliance
- Patients with absence of periodontal disease, agenesis or tooth loss.

Methods

Dental inclination analysis

The buccal and lingual inclinations of the teeth were evaluated using cone beam computed tomography (CBCT) images, in the initial phase (T1) and final phase (T2), through the measurement formed by the angle formed between the long axis (Line that passes through the tip of the cusp vestibular (midpoint) and apical point (Ap) of each upper or lower tooth) and their respective occlusal planes (Fig. 1).

Arches dimensions analysis

The dimensions of the arches, that is, Intercanine widths, Interpremolar 1 (distance between the first homologous premolars), Interpremolar 2 (distance between the homologous second premolars) and Intermolar 1 (distance between the first molars) (homologous) were evaluated by measuring the transverse distances between contralateral teeth, considering the tips of single, buccal or mesiobuccal cusps, for canines, premolars and molars, respectively, using cone beam computed tomography images, in the initial (T1) and final (T2) phases (Fig. 2).

Image evaluation method

The measurements of the images obtained by cone beam computed tomography images, in the initial (T1) and final (T2) phases, will be performed in the Dolphin 3D software (Dolphin Imaging and Management Solutions, Chatsworth, CA, USA). The position of the head image was standardized, and then the cuts were measured and selected. In the median sagittal plane, the patient's occlusal plane must coincide with the horizontal reference line. In the frontal view, the front-maxillary intersuture line must coincide with the horizontal reference line and in the axial plane, the line that passes through the incisor foramen and posterior nasal spine must coincide with the vertical reference line.

Error Study

The intraexaminer reliability was assessed by the intraclass correlation coefficient (ICC)¹³. After a month interval, 24 CBCT scans were re-measured, and the 2 measurements were compared.

STATISTICAL ANALYSIS

The normality of data was checked with Shapiro-wilk test.

Intergroup comparability of initial and final ages, treatment time and Little irregularity index was performed with independent t tests and sex distribution was performed with chi-square test.

Intergroup comparison of the dental inclination and arch dimensions was performed with independent t test.

Statistical analysis was performed with Statistica software (Statistica for Windows, version 12.0, Statsoft, Tulsa, Okla, USA) and the results were considered significant for $p < 0.05$.

RESULTS

Intraclass correlation coefficients (ICCs) varied from 0.85 to 0.94, indicating excellent intra-rater agreement¹⁴.

Chi square test showed that the two groups were not significantly different in terms of sex distribution ($P = 0.113$). There was no significant distinction in the mean initial age and mean final age between the groups ($P = 0.098$ and $P = 0.144$, respectively). The intergroup comparability of the treatment time, independent t-test showed no statistically significant differences ($P = 0.107$).

Regarding the intergroup comparability of the mandibular Little irregularity index and maxillary Little irregularity index analysis, the independent t-test showed no statistically significant differences ($P = 0.149$ and $P = 0.792$, respectively) (Table I).

The intergroup comparison of the dental inclination at the initial stage (T1), the conventional group was presenting statistically significantly greater dental inclination than the smile arc group, at the maxilla in the canines and incisors, at the mandible in the canines and left first premolar, and all other dental inclinations were greater in the conventional group but statistically non-significant (Table II).

Already the intergroup comparison of the initial arch dimensions, the smile arc group showed a smaller arch width than the conventional group, at the mandible, in the first intermolar, second and first interpremolar and intercanine width and at the maxilla in the intercanine width, however statistically non-significant. In the maxillary first and second interpremolar and first intermolar width, the smile arc group showed a greater than the conventional group, although statistically non-significant (Table III).

In the final stage (T2), after the end of orthodontic treatment and after removal of the orthodontic appliance, the dental buccal inclinations of both groups increased in relation to the initial stage, except for the mandibular molars in the smile arc group, and the intergroup comparison the conventional group presented a statistical significantly greater increase of dental buccal inclinations than the smile arc group, at the maxilla in the bilateral first and second premolars, canines and incisors, at the mandible in the bilateral molars, second premolars and lateral and central incisors, left

canine and left first premolar. The remaining dental inclinations were also greater in the conventional group, although statistically non-significant (Table IV).

There was an increase in arch dimensions in both groups, although the smile arc group showed statistically significantly smaller arch dimensions than the conventional group, in the intergroup comparison, at the mandible, in the first intermolar, first and second interpremolar and intercanine width and at the maxilla in the first interpremolar and intercanine width. The maxillary first intermolar and second interpremolar width were also smaller in the smile arc group, however statistically non-significant (Table V).

In the intergroup comparison of the changes that occurred during treatment (T2-T1), dental inclinations were analyzed and it was noticed that the smile arc group presented buccal inclinations of the right maxillary canine, right and left mandibular canines statistical significantly higher than the conventional group. On the other hand, another 17 of the 24 evaluated dental inclinations, the smile arc group presented smaller dental inclination than the conventional group. Although, only in 6 of the 17 dental inclinations, including the maxillary lateral incisors, there were statistical differences (Table VI).

The treatment changes occurred in relationship of the arch dimensions in the intergroup comparison, the conventional group presented a greater increase in all the measures analyzed than smile arc group, and in 5 of the 8 analyzed arch widths there were statistically significant differences, in the maxillary first intermolar, second and first interpremolar, in the mandibular second and first interpremolar. Only 3 arch widths measures, showed no statistically significant difference: the upper and lower intercanine and lower intermolar distances (Table VII).

DISCUSSION

The main purpose of this study was to assess the effects, on the maxillary and mandibular dental inclination and arch dimensions, with the use of Damon passive self-ligating appliance, comparing two different bracket bonding protocols, in a no-extractions approach. Both groups used the same bracket, Damon 3MX, with the same wire sequence: .014" cuniti, .014X.025" cuniti, .018X.025 cuniti and .019X.025" steel.

Our study was retrospective since the two self-ligating samples was previously treated. Thus, in a retrospectively designed study, intergroup compatibility is very important to avoid the influence of other factors on the results.

The conventional protocol for bracket positioning is the method positions each bracket with its center close to the center of the clinical crown, as recommended by Andrews.²

The “Smile Arc” protocol is the method for bracket positioning that follow an exacting bracket placement to protect or enhance the smile and align buccal segment cusp tips and marginal ridges. The upper incisor brackets are generally placed more gingivally than the canine brackets. The lower posterior brackets are placed somewhat gingivally to avoid occlusion, while the lower anterior brackets are placed somewhat incisally to optimize overbite.¹⁵

In this study, in relation to dental inclinations, there was an increase in buccal inclinations in both groups, which is in agreement with the authors of studies that evaluated the incisor's position after the relief of crowding using the Damon System.¹⁶⁻

18

The intergroup comparison of the treatment changes that occurred between phases (T2-T1), the conventional group showed a greater buccal inclination, especially in the upper laterals incisor region, which is statistically larger than in the Smile Arc group (Table VI), probably due to the smile arc protocol using a more gingivally bonding than in traditional technique, which provides an uprighting of the anterior teeth.¹⁵

Regarding to the mean of the buccal inclinations of the maxillary right incisor and the maxillary left canines have been greater, even not statistically significant, in the Smile Arc group (Table VI), and the buccal inclinations of the maxillary right canine and mandible right and left canines, significantly greater than the conventional group, it probably may have occurred due to an initial buccal inclination of this teeth, exaggeratedly higher in the conventional group than the smile arc group (Table II).

Regarding the arch dimensions, in both groups, there was an increase in all analyzed inter-distances (Tables III and V), although the conventional group recorded the greatest increases in arch dimensions, with these greatest increases in maxillary and mandibular first and second interpremolar distances (Table VII). This probably must have occurred due to a greater buccal dental inclination registered in the conventional group at the end of the treatment.

These results are in agreement with studies that evaluated arch changes, comparing the Damon system with another type of treatment, stating that the largest transverse changes were observed in the premolars regions and part due to the increase in dental inclinations.^{16,17,19-22}

CONCLUSIONS

This study showed that in the Damon system, using two different types of bracket bonding protocols, there are differences between them, in dental inclination and arch dimensions. Whereas the conventional bonding group showed, in general, a greater buccal dental inclination and a larger transversal increase in the arch dimensions.

ACKNOWLEDGMENT

The authors thank _____ and disclosed to have received part of the funding to carry out this research. -Finance Code _____.

REFERENCES

1. Damon DH. The Damon low-friction bracket: a biologically compatible straight-wire system. *J Clin Orthod* 1998;32:670-680.
 2. Andrews LF. Straight-wire: the concept and appliance LA Wells Company 1989;13:137-221.
 3. Birnie D. The Damon passive self-ligating appliance system Seminars in Orthodontics. Elsevier 2008: p. 19-35.
 4. Pitts T. Begin with the end in mind: Bracket placement and early elastics protocols for smile arc protection. *Clin Impressions* 2009;17:1-11.
 5. Weinberg M, Sadowsky C. Resolution of mandibular arch crowding in growing patients with Class I malocclusions treated nonextraction. *Am J Orthod Dentofacial Orthop* 1996;110:359-364.
 6. Fuhrmann R. Three-dimensional interpretation of periodontal lesions and remodeling during orthodontic treatment. Part III. *J Orofac Orthop* 1996;57:224-237.
-
-

7. Wehrbein H, Bauer W, Diedrich P. Mandibular incisors, alveolar bone, and symphysis after orthodontic treatment. A retrospective study. *Am J Orthod Dentofacial Orthop* 1996;110:239-246.
 8. Artun J, Krogstad O. Periodontal status of mandibular incisors following excessive proclination. A study in adults with surgically treated mandibular prognathism. *Am J Orthod Dentofacial Orthop* 1987;91:225-232.
 9. Yared KF, Zenobio EG, Pacheco W. Periodontal status of mandibular central incisors after orthodontic proclination in adults. *Am J Orthod Dentofacial Orthop* 2006;130:6 e1-8.
 10. Yamada C, Kitai N, Kakimoto N, Murakami S, Furukawa S, Takada K. Spatial relationships between the mandibular central incisor and associated alveolar bone in adults with mandibular prognathism. *Angle Orthod* 2007;77:766-772.
 11. Misch KA, Yi ES, Sarment DP. Accuracy of cone beam computed tomography for periodontal defect measurements. *J Periodontol* 2006;77:1261-1266.
 12. Janson G, Branco NC, Morais JF, Freitas MR. Smile attractiveness in patients with Class II division 1 subdivision malocclusions treated with different tooth extraction protocols. *Eur J Orthod* 2014;36:1-8.
 13. Shrout PE, Fleiss JL. Intraclass correlations: uses in assessing rater reliability. *Psychological bulletin* 1979;86:420.
 14. Fleiss J. *The design and analysis of clinical experiments*. New York: John Willey and Sons. *Acta Physiol Scand* 1986.
 15. Pitts T. Bracket Positioning for Smile Arc Protection. *Journal of clinical orthodontics: JCO* 2017;51:142-156.
 16. Sayed YM, Gaballah SM, El Shourbagy EM. Multislice computed tomography evaluation of the expansion achieved by the nonextraction treatment of orthodontic cases using Damon system. *Tanta Dental Journal* 2020;17:29.
 17. Vajaria R, BeGole E, Kusnoto B, Galang MT, Obrez A. Evaluation of incisor position and dental transverse dimensional changes using the Damon system. *The Angle Orthodontist* 2011;81:647-652.
 18. Eslami N, Sharifi F, Nasser A, Jahanbin A. Comparison of Changes in Incisors Inclination and Dental Arch Dimensions in Damon and MBT Systems Using Dolphin Software. *Iranian Journal of Orthodontics* 2020;15.
 19. Lima NCJ, Campos Freitas Falcão ICdM, de Freitas KMS, Vaz de Lima D, Valarelli FP, Cançado RH et al. Comparison of changes in dental arch dimensions in cases treated with conventional appliances and self-ligating Damon system. *The Open Dentistry Journal* 2018;12.
 20. Cattaneo P, Treccani M, Carlsson K, Thorgeirsson T, Myrda A, Cevitanes L et al. Transversal maxillary dento-alveolar changes in patients treated with active and passive
-

self-ligating brackets: a randomized clinical trial using CBCT-scans and digital models. *Orthodontics & craniofacial research* 2011;14:222-233.

21. Nam HJ, Flores-Mir C, Major PW, Heo G, Kim J, Lagravère MO. Dental and skeletal changes associated with the Damon system philosophical approach. *International orthodontics* 2019;17:621-633.
 22. Nam HJ, Gianoni-Capenakas S, Major PW, Heo G, Lagravère MO. Comparison of Skeletal and Dental Changes Obtained from a Tooth-Borne Maxillary Expansion Appliance Compared to the Damon System Assessed through a Digital Volumetric Imaging: A Randomized Clinical Trial. *Journal of Clinical Medicine* 2020;9:3167.
 23. Franchi L, Baccetti T, Camporesi M, Lupoli M. Maxillary arch changes during leveling and aligning with fixed appliances and low-friction ligatures. *Am J Orthod Dentofacial Orthop* 2006;130:88-91.
-
-

FIGURE LEGENDS

Fig.1 – Schematic representation of the measurement of dental inclination, in the selected sagittal section.

Fig. 2 – Schematic drawing showing the method of measuring the widths of the arches used in the analysis of the 3D model. Redesigned by Franchiet al., 2006.²³



Fig.1

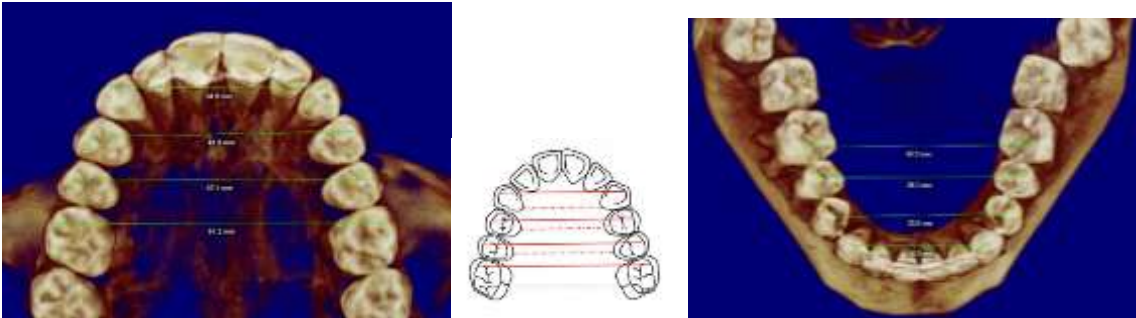


Fig. 2

Table I. Intergroup comparability of initial and final ages, treatment time, Little irregularity index and sex distribution.

Variables	SMILE ARC (n=20)	CONVENTIONAL (n=20)	P
	Mean (SD)	Mean (SD)	
Initial Age (years)	28.11 (9.66)	23.75 (4.03)	0.098 ^T
Final Age (years)	30.62 (10.46)	26.87 (4.09)	0.144 ^T
Treatment time (years)	2.51 (1.09)	3.12 (1.24)	0.107 ^T
Mx Little irregularity index (mm)	6.92 (5.26)	7.27 (2.66)	0.792 ^T
Md Little irregularity index (mm)	3.95 (2.98)	5.13 (1.99)	0.149 ^T
Sex			X ² =2.51
Male	8	13	DF=1
Female	12	7	p=0.113 ^α

^T independent t-test; ^α chi-square test

Table II. Intergroup comparison of the dental inclination at the initial stage (T1) (independent t test).

Variables (°)	SMILE ARC (n=20)		CONVENTIONAL (n=20)		P
	Mean	SD	Mean	SD	
16	2.27	7.03	3.21	5.31	0.640
15	8.35	7.43	10.15	6.29	0.412
14	6.24	8.09	9.89	4.56	0.097
13	14.59	5.86	26.63	7.33	0.000*
12	22.58	6.79	28.18	6.91	0.014*
11	20.24	9.35	29.49	7.07	0.001*
21	21.67	9.53	28.85	6.59	0.009*
22	24.52	6.45	29.78	6.01	0.011*
23	16.37	5.58	26.04	7.48	0.000*
24	7.80	7.15	10.65	5.29	0.173
25	9.94	6.11	9.63	9.19	0.900
26	2.79	5.05	5.70	4.97	0.075
36	-4.95	5.40	-1.48	5.33	0.051
35	4.84	5.10	7.66	4.77	0.083
34	7.01	6.46	12.02	4.84	0.010*
33	16.79	6.51	25.98	7.16	0.000*
32	22.55	7.87	25.10	5.88	0.254
31	25.49	10.45	28.14	5.77	0.327
41	24.55	11.08	26.16	5.86	0.570
42	22.14	8.55	24.50	8.21	0.379
43	15.08	7.40	25.01	5.02	0.000*
44	10.04	5.23	11.19	5.23	0.512
45	5.64	4.76	3.93	6.43	0.353
46	-4.82	4.73	-3.96	5.72	0.618

* Statistically significant at $p < 0.05$

Table III. Intergroup comparison of the arch dimensions at the initial stage (T1) (independent t test).

Variables (mm)	SMILE ARC (n=20)		CONVENTIONAL (n=20)		P
	Mean	SD	Mean	SD	
U6-6	49.63	4.70	49.31	3.03	0.808
U5-5	45.21	4.02	44.58	3.84	0.631
U4-4	40.57	3.13	40.41	2.05	0.848
U3-3	33.10	2.52	34.25	2.26	0.148
L6-6	43.18	3.75	44.31	3.93	0.371
L5-5	37.85	3.08	38.47	3.75	0.579
L4-4	32.22	2.00	32.83	2.92	0.474
L3-3	24.93	1.43	25.75	2.11	0.159

* Statistically significant at $p < 0.05$. U – Upper, L – Lower.

Table IV. Intergroup comparison of the dental inclination at the final stage (T2) (independent t test).

Variables (°)	SMILE ARC (n=20)		CONVENTIONAL (n=20)		P
	Mean	SD	Mean	SD	
16	4.32	5.06	6.08	4.02	0.234
15	10.05	5.39	13.87	4.57	0.020*
14	10.79	4.28	14.30	4.08	0.017*
13	18.30	2.70	24.61	4.44	0.000*
12	24.33	4.92	35.02	4.30	0.000*
11	25.56	5.46	34.57	2.65	0.000*
21	26.21	5.67	35.62	3.28	0.000*
22	25.56	5.28	36.02	4.28	0.000*
23	18.02	3.94	26.01	4.51	0.000*
24	10.93	5.70	17.16	4.69	0.001*
25	11.57	4.75	16.89	3.64	0.000*
26	4.82	4.66	6.83	3.48	0.130
36	-6.90	4.77	-0.56	4.77	0.000*
35	6.69	3.76	10.11	4.60	0.016*
34	13.80	4.33	17.13	3.86	0.017*
33	21.06	6.02	24.61	4.39	0.040*
32	27.10	6.45	32.63	4.38	0.003*
31	28.32	7.01	33.87	4.57	0.005*
41	26.73	7.48	32.28	4.14	0.006*
42	24.81	7.56	31.69	4.13	0.001*
43	21.16	7.87	23.46	4.12	0.253
44	13.55	5.76	15.71	3.84	0.182
45	5.49	5.61	8.74	4.16	0.047*
46	-5.84	4.78	-2.44	5.05	0.040*

* Statistically significant at $p < 0.05$

Table V. Intergroup comparison of the arch dimensions at the final stage (T2) (independent t test).

Variables (mm)	SMILE ARC (n=20)		CONVENTIONAL (n=20)		P
	Mean	SD	Mean	SD	
U6-6	51.63	4.25	52.49	2.54	0.459
U5-5	47.43	3.36	48.76	2.56	0.183
U4-4	42.22	2.43	43.74	1.77	0.035*
U3-3	34.09	2.11	36.02	1.39	0.002*
L6-6	44.77	2.90	46.69	2.59	0.038*
L5-5	39.56	2.76	42.24	2.02	0.001*
L4-4	34.08	1.84	36.04	1.83	0.003*
L3-3	25.95	1.84	27.78	1.55	0.002*

* Statistically significant at $p < 0.05$. U – Upper, L – Lower.

Table VI. Intergroup comparison of treatment changes (T2-T1) of the dental inclination (independent t test).

Variables (°)	SMILE ARC (n=20)		CONVENTIONAL (n=20)		P
	Mean	SD	Mean	SD	
16	1.95	5.82	2.88	3.91	0.556
15	1.70	6.21	3.72	6.05	0.304
14	3.64	6.56	4.41	4.52	0.666
13	4.26	6.07	-2.02	6.28	0.003*
12	1.75	8.54	6.84	6.88	0.045*
11	5.32	8.32	5.08	6.22	0.917
21	4.55	8.37	6.77	5.86	0.337
22	1.04	6.97	6.24	5.64	0.013*
23	1.66	5.64	-0.03	7.30	0.418
24	2.66	5.54	6.51	5.37	0.032*
25	1.63	5.13	6.90	9.27	0.032*
26	2.03	5.37	1.14	4.18	0.562
36	-1.86	4.55	0.92	4.04	0.048*
35	1.76	5.78	2.45	4.33	0.674
34	6.11	7.68	5.11	4.52	0.617
33	4.27	4.39	-1.38	8.16	0.010*
32	4.55	6.18	7.54	6.74	0.152
31	2.83	6.81	5.74	6.31	0.170
41	2.18	6.94	6.12	6.13	0.065
42	2.68	7.11	7.20	8.20	0.070
43	6.08	4.40	-1.55	6.27	0.000*
44	2.98	5.31	4.53	4.27	0.317
45	-0.14	5.09	4.81	6.39	0.010*
46	-0.92	4.12	1.52	5.92	0.139

* Statistically significant at $p < 0.05$

Table VII. Intergroup comparison of treatment changes (T2-T1) of the arch dimensions (independent t test).

Variables (mm)	SMILE ARC (n=20)		CONVENTIONAL (n=20)		P
	Mean	SD	Mean	SD	
U6-6	1.81	1.52	3.02	1.50	0.015*
U5-5	2.00	1.91	3.97	2.64	0.010*
U4-4	1.40	2.14	3.33	1.74	0.003*
U3-3	0.89	1.44	1.77	1.87	0.104
L6-6	1.43	1.99	2.38	2.94	0.239
L5-5	1.63	2.56	3.77	2.79	0.015*
L4-4	1.58	2.27	3.21	2.50	0.036*
L3-3	1.02	2.21	2.03	1.83	0.122

* Statistically significant at $p < 0.05$. U – Upper, L – Lower.

3 DISCUSSION

3 DISCUSSION

The main purpose of this study was to assess the effects of the use Damon® system passive self-ligating appliance, comparing two different bracket bonding protocols, in a no-extractions approach, on the smile attractiveness, dental inclination and arch dimensions. Both groups used the same bracket, Damon 3MX, with the same wire sequence: .014" cuniti, .014X.025" cuniti, .018X.025 cuniti and .019X.025" steel.

The conventional protocol for bracket positioning is the bracket positions method with its center close to the center of the clinical crown, as recommended by Andrews.¹⁰

The "Smile Arc" protocol is the method for bracket positioning that follows an exacting bracket placement to protect or enhance the smile and align buccal segment cusp tips and marginal ridges. The upper incisor brackets are generally placed more gingivally than the canine brackets. The lower posterior brackets are placed somewhat gingivally to avoid occlusion, while the lower anterior brackets are placed somewhat incisally to optimize overbite.²¹

Our study was retrospective since the two self-ligating samples were previously treated. Thus, in a retrospectively designed study, intergroup compatibility is very important to avoid the influence of other factors on the results.

There was concern in selecting comparable groups according to the amount of initial crowding, sex distribution, to reduce the factors that could influence judgment of smile attractiveness (Table I). This fact is very important because aged smiles undergo several changes, such as a decrease in exposure of the maxillary incisors, greater exposure of the mandibular incisor, the smile gets narrower vertically and there is a decrease in the upper lip thickness.²²

The results of this study showed that the smile arc bonding group had a wider smile, with a statistically significant greater increase in the smile index, in contrast to the conventional bonding group showed a lower smile exposure with a statistically significant decrease in the interlabial distance (Table II).

Despite this finding, in the intergroup comparison of the changes that occurred between the phases (T2-T1), no significant differences were observed in the smile width, maxillary intercanine width, buccal corridor, interlabial distance and smile index (Table III). Some studies corroborate our findings, where variables such as buccal corridor and smile width do not seem to present significant differences between the different types of orthodontic treatment protocols.^{23,24}

Regarding the smile attractiveness judgment, this study showed that there is a significant difference in the intergroup comparison, with the Smile Arc bonding group being statistically significantly better qualified than the conventional bonding group (Table V). And when comparing the 3 groups of evaluators: orthodontists, dentists and laypeople, there was a better assessment of the smile attractiveness for the Smile Arc group, being that, the dentist group gave higher overall ratings statistically significantly for the Smile Arc group. In contrast to these findings, some previous studies that assessed smile attractiveness in different groups of raters concluded that there was no difference in the perceptions of dental professionals and laypeople.²⁵⁻³¹ In the present study, laypeople gave lower scores to the smile attractiveness than the orthodontists and dentists, which is in agreement with the finding described in the literature (Table VI).^{24,32,33}

This could probably be because the group of dentists is older (Table IV), so the greater number of years in the clinical practice, it could significantly affect the visualization and judgment of the attractiveness of smiles.³²

The fact that there are many individual variables in both groups such as muscle factors, tooth inclination and different amounts of crowding is not a surprise. The findings of this study indicate that the buccal corridor and the smile display, represented by the smile index, are probably not affected by the two types of orthodontic bonding analyzed. On the other hand, in the evaluations of real clinical photographs, there were significant differences between laypersons, orthodontists and dentists in their preferences for the attractiveness of the smile achieved with the “Smile Arc” orthodontic bonding.^{24,32}

In this study, in relation to dental inclinations, there was an increase in buccal inclinations in both groups, which is in agreement with the authors of studies that

evaluated the incisor's position after the relief of crowding using the Damon System.³⁴⁻³⁶

The intergroup comparison of the treatment changes that occurred between phases (T2-T1), the conventional group showed a greater buccal inclination, especially in the upper laterals incisor region, which is statistically larger than in the Smile Arc group (Table VI), probably due to the smile arc protocol using a more gingivally bonding than in traditional technique, which provides an uprighting of the anterior teeth.²¹

Regarding to the mean of the buccal inclinations of the maxillary right incisor and the maxillary left canines have been greater, even not statistically significant, in the Smile Arc group (Table VI), and the buccal inclinations of the maxillary right canine and mandible right and left canines, significantly greater than the conventional group, it probably may have occurred due to an initial buccal inclination of this teeth, exaggeratedly higher in the conventional group than the smile arc group (Table II).

Regarding the arch dimensions, in both groups, there was an increase in all analyzed inter-distances (Tables III and V), although the conventional group recorded the greatest increases in arch dimensions, with these greatest increases in maxillary and mandibular first and second interpremolar distances (Table VII). This probably must have occurred due to a greater buccal dental inclination registered in the conventional group at the end of the treatment.

These results are in agreement with studies that evaluated arch changes, comparing the Damon system with another type of treatment, stating that the largest transverse changes were observed in the premolars regions and part due to the increase in dental inclinations.^{34,35,37-40}

4 FINAL CONSIDERATIONS

4 FINAL CONSIDERATIONS

There was no interference of the two types of orthodontic bonding analyzed in the buccal corridor and smile index.

There was a statistically significant difference in the perception of smile attractiveness by orthodontists, dentists and laypeople, which considered the highest rating for the “Smile Arc” bonding group.

This study showed that in the Damon system, using two different types of bracket bonding protocols, there are differences between them, in dental inclination and arch dimensions. Whereas the conventional bonding group showed, in general, a greater buccal dental inclination and a larger transversal increase in the arch dimensions.

REFERENCES

REFERENCES

1. Hulseley CM. An esthetic evaluation of lip-teeth relationships present in the smile. *Am J Orthod Dentofacial Orthop* 1970;57:132-144.
 2. Pinho S, Ciriaco C, Faber J, Lenza MA. Impact of dental asymmetries on the perception of smile esthetics. *Am J Orthod Dentofacial Orthop* 2007;132:748-753.
 3. Sarver DM. Enameloplasty and Esthetic Finishing in Orthodontics—Identification and Treatment of Microesthetic Features in Orthodontics Part 1. *Journal of esthetic and restorative dentistry* 2011;23:296-302.
 4. Parekh S, Fields H, Beck F, Rosenstiel S. The acceptability of variations in smile arc and buccal corridor space. *Orthod Craniofac Res* 2007;10:15-21.
 5. Sarver DM, Ackerman MB. Dynamic smile visualization and quantification: part 1. Evolution of the concept and dynamic records for smile capture. *Am J Orthod Dentofacial Orthop* 2003;124:4-12.
 6. Ackerman J, Ackerman M, Brensinger C, Landis J. A morphometric analysis of the posed smile. *Clinical orthodontics and research* 1998;1:2-11.
 7. Sarver DM. The importance of incisor positioning in the esthetic smile: the smile arc. *American Journal of Orthodontics and Dentofacial Orthopedics* 2001;120:98-111.
 8. Damon D. The rationale, evolution and clinical application of the self-ligating bracket. *Clinical orthodontics and research* 1998;1:52-61.
 9. Damon DH. The Damon low-friction bracket: a biologically compatible straight-wire system. *J Clin Orthod* 1998;32:670-680.
 10. Andrews LF. *Straight-wire: the concept and appliance* LA Wells Company 1989;13:137-221.
 11. Birnie D. The Damon passive self-ligating appliance system *Seminars in Orthodontics*. Elsevier 2008: p. 19-35.
-

12. Pitts T. Begin with the end in mind: Bracket placement and early elastics protocols for smile arc protection. *Clin Impressions* 2009;17:1-11.
 13. Weinberg M, Sadowsky C. Resolution of mandibular arch crowding in growing patients with Class I malocclusions treated nonextraction. *Am J Orthod Dentofacial Orthop* 1996;110:359-364.
 14. Fuhrmann R. Three-dimensional interpretation of periodontal lesions and remodeling during orthodontic treatment. Part III. *J Orofac Orthop* 1996;57:224-237.
 15. Wehrbein H, Bauer W, Diedrich P. Mandibular incisors, alveolar bone, and symphysis after orthodontic treatment. A retrospective study. *Am J Orthod Dentofacial Orthop* 1996;110:239-246.
 16. Artun J, Krogstad O. Periodontal status of mandibular incisors following excessive proclination. A study in adults with surgically treated mandibular prognathism. *Am J Orthod Dentofacial Orthop* 1987;91:225-232.
 17. Yared KF, Zenobio EG, Pacheco W. Periodontal status of mandibular central incisors after orthodontic proclination in adults. *Am J Orthod Dentofacial Orthop* 2006;130:6 e1-8.
 18. Yamada C, Kitai N, Kakimoto N, Murakami S, Furukawa S, Takada K. Spatial relationships between the mandibular central incisor and associated alveolar bone in adults with mandibular prognathism. *Angle Orthod* 2007;77:766-772.
 19. Misch KA, Yi ES, Sarment DP. Accuracy of cone beam computed tomography for periodontal defect measurements. *J Periodontol* 2006;77:1261-1266.
 20. Andrews LF. The six keys to normal occlusion. *Am J Orthod* 1972;62:296-309.
 21. Pitts T. Bracket Positioning for Smile Arc Protection. *Journal of clinical orthodontics: JCO* 2017;51:142-156.
 22. Drummond S, Capelli Jr J. Incisor display during speech and smile: Age and gender correlations. *The Angle Orthodontist* 2016;86:631-637.
 23. Shook C, Kim S, Burnheimer J. Maxillary arch width and buccal corridor changes with Damon and conventional brackets: A retrospective analysis. *The Angle Orthodontist* 2016;86:655-660.
-
-

24. Negreiros PO, Freitas KM, Pinzan-Vercelino CR, Janson G, Freitas MR. Smile attractiveness in cases treated with self-ligating and conventional appliances with and without rapid maxillary expansion. *Orthodontics & craniofacial research* 2020;23:413-418.
 25. Janson G, Branco NC, Morais JF, Freitas MR. Smile attractiveness in patients with Class II division 1 subdivision malocclusions treated with different tooth extraction protocols. *Eur J Orthod* 2014;36:1-8.
 26. Işıksal E, Hazar S, Akyalçın S. Smile esthetics: perception and comparison of treated and untreated smiles. *American Journal of Orthodontics and Dentofacial Orthopedics* 2006;129:8-16.
 27. Kokich Jr VO, Asuman Kiyak H, Shapiro PA. Comparing the perception of dentists and lay people to altered dental esthetics. *Journal of Esthetic and Restorative Dentistry* 1999;11:311-324.
 28. McNamara L, McNamara Jr JA, Ackerman MB, Baccetti T. Hard-and soft-tissue contributions to the esthetics of the posed smile in growing patients seeking orthodontic treatment. *American Journal of Orthodontics and Dentofacial Orthopedics* 2008;133:491-499.
 29. Roden-Johnson D, Gallerano R, English J. The effects of buccal corridor spaces and arch form on smile esthetics. *American Journal of Orthodontics and Dentofacial Orthopedics* 2005;127:343-350.
 30. Parekh SM, Fields HW, Beck M, Rosenstiel S. Attractiveness of variations in the smile arc and buccal corridor space as judged by orthodontists and laymen. *The Angle Orthodontist* 2006;76:557-563.
 31. Krishnan V, Daniel ST, Lazar D, Asok A. Characterization of posed smile by using visual analog scale, smile arc, buccal corridor measures, and modified smile index. *American journal of orthodontics and dentofacial orthopedics* 2008;133:515-523.
 32. Meyer AH, Woods MG, Manton DJ. Maxillary arch width and buccal corridor changes with orthodontic treatment. Part 2: attractiveness of the frontal facial smile in extraction and nonextraction outcomes. *American journal of orthodontics and dentofacial orthopedics* 2014;145:296-304.
 33. Zange SE, Ramos AL, Cuoghi OA, de Mendonça MR, Suguino R. Perceptions of laypersons and orthodontists regarding the buccal corridor in long-and short-face individuals. *The Angle Orthodontist* 2011;81:86-90.
-

34. Sayed YM, Gaballah SM, El Shourbagy EM. Multislice computed tomography evaluation of the expansion achieved by the nonextraction treatment of orthodontic cases using Damon system. *Tanta Dental Journal* 2020;17:29.
 35. Vajaria R, BeGole E, Kusnoto B, Galang MT, Obrez A. Evaluation of incisor position and dental transverse dimensional changes using the Damon system. *The Angle Orthodontist* 2011;81:647-652.
 36. Eslami N, Sharifi F, Nasser A, Jahanbin A. Comparison of Changes in Incisors Inclination and Dental Arch Dimensions in Damon and MBT Systems Using Dolphin Software. *Iranian Journal of Orthodontics* 2020;15.
 37. Lima NCJ, Campos Freitas Falcão ICdM, de Freitas KMS, Vaz de Lima D, Valarelli FP, Cançado RH et al. Comparison of changes in dental arch dimensions in cases treated with conventional appliances and self-ligating Damon system. *The Open Dentistry Journal* 2018;12.
 38. Cattaneo P, Treccani M, Carlsson K, Thorgeirsson T, Myrda A, Cevidanes L et al. Transversal maxillary dento-alveolar changes in patients treated with active and passive self-ligating brackets: a randomized clinical trial using CBCT-scans and digital models. *Orthodontics & craniofacial research* 2011;14:222-233.
 39. Nam HJ, Flores-Mir C, Major PW, Heo G, Kim J, Lagravère MO. Dental and skeletal changes associated with the Damon system philosophical approach. *International orthodontics* 2019;17:621-633.
 40. Nam HJ, Gianoni-Capenakas S, Major PW, Heo G, Lagravère MO. Comparison of Skeletal and Dental Changes Obtained from a Tooth-Borne Maxillary Expansion Appliance Compared to the Damon System Assessed through a Digital Volumetric Imaging: A Randomized Clinical Trial. *Journal of Clinical Medicine* 2020;9:3167.
-
-

ANNEXES

ANNEXES

ANNEX A – Research Institutional Board approval, protocol number 24540619.8.0000.5417

USP - FACULDADE DE
ODONTOLOGIA DE BAURU DA
USP



PARECER CONSUBSTANCIADO DO CEP

DADOS DO PROJETO DE PESQUISA

Título da Pesquisa: Comparação da atratividade do sorriso, inclinações dentárias, espessuras ósseas e dimensões dos arcos dentários em pacientes tratados com aparelho autoligável, no sistema Damon, com colagem convencional e Smile Arc.

Pesquisador: Marcelo Soares Correa

Área Temática:

Versão: 2

CAAE: 24540619.8.0000.5417

Instituição Proponente: Universidade de Sao Paulo

Patrocinador Principal: Financiamento Próprio

DADOS DO PARECER

Número do Parecer: 3.959.613

Apresentação do Projeto:

Esse projeto retorna após ser solicitado aos pesquisadores que identificassem a data em que os pacientes teriam sido atendidos para o tratamento ortodôntico.

Objetivo da Pesquisa:

O objetivo principal deste trabalho será avaliar a atratividade do sorriso, as inclinações dentárias, espessuras ósseas e dimensões dos arcos dentários de pacientes tratados pelo Sistema Damon, utilizando duas diferentes colagens ortodônticas: convencional e "Smile Arc Protection". Secundariamente serão avaliadas a influência das variáveis: corredor bucal, arco do sorriso, exposição gengival, e suas influências na atratividade do sorriso. A amostra de caráter retrospectivo será composta de 40 pacientes com tratamento ortodôntico finalizado que já possuem toda documentação inicial e final, incluindo as tomografias computadorizadas e fotografias do sorriso, coletada entre os anos de 2010 e 2016. A amostra será dividida em dois grupos: grupo 1 - 20 pacientes tratados com sistema Damon utilizando colagem convencional, advindos do arquivo da disciplina de Ortodontia da Faculdade de Odontologia de Bauru-USP e grupo 2 - 20 pacientes tratados com sistema Damon utilizando colagem "Smile Arc", pelo mesmo profissional (M.S.C.), em

Endereço: DOUTOR OCTAVIO PINHEIRO BRISOLLA 75 QUADRA 9
Bairro: VILA NOVA CIDADE UNIVERSITARIA **CEP:** 17.012-901
UF: SP **Município:** BAURU
Telefone: (14)3235-8356 **Fax:** (14)3235-8356 **E-mail:** cep@fob.usp.br

**USP - FACULDADE DE
ODONTOLOGIA DE BAURU DA
USP**



Continuação do Parecer: 3.959.613

consultório particular na cidade de Campo Belo-MG.

Serão avaliadas 80 fotografias frontais do sorriso posado (Figura 1), 40 fotografias iniciais e 40 finais, destes pacientes para comparar a atratividade do sorriso entre os dois grupos e serão avaliados também as inclinações dentárias, as espessuras ósseas e as dimensões dos arcos dentários por meio de tomografia computadorizada de feixe cônico, e comparadas entre esses dois grupos.

Avaliação dos Riscos e Benefícios:

O risco que existe é uma possível danificação do acervo fotográfico.

Comentários e Considerações sobre a Pesquisa:

NÃO SE APLICA.

Considerações sobre os Termos de apresentação obrigatória:

TCLE apresentado de forma clara e concisa.

Recomendações:

Sem recomendações.

Conclusões ou Pendências e Lista de Inadequações:

Os pesquisadores providenciaram a documentação em pendência assim como atualizaram o cronograma. Recomendo a APROVAÇÃO do projeto por esse CEP.

Considerações Finais a critério do CEP:

Esse projeto foi considerado APROVADO na reunião ordinária do CEP de 01/04/2020, por e-mail, devido à pandemia da COVID-19 e por orientações da CONEP, com base nas normas éticas da Resolução CNS 466/12. Ao término da pesquisa o CEP-FOB/USP exige a apresentação de relatório final. Os relatórios parciais deverão estar de acordo com o cronograma e/ou parecer emitido pelo CEP. Alterações na metodologia, título, inclusão ou exclusão de autores, cronograma e quaisquer outras mudanças que sejam significativas deverão ser previamente comunicadas a este CEP sob risco de não aprovação do relatório final. Quando da apresentação deste, deverão ser incluídos todos os TCLEs e/ou termos de doação assinados e rubricados, se pertinentes.

Este parecer foi elaborado baseado nos documentos abaixo relacionados:

Tipo Documento	Arquivo	Postagem	Autor	Situação
Informações	PB_INFORMAÇÕES_BÁSICAS_DO_P	11/03/2020		Aceito

Endereço: DOUTOR OCTAVIO PINHEIRO BRISOLLA 75 QUADRA 9
 Bairro: VILA NOVA CIDADE UNIVERSITARIA CEP: 17.012-901
 UF: SP Município: BAURU
 Telefone: (14)3235-8356 Fax: (14)3235-8356 E-mail: cep@fob.usp.br

USP - FACULDADE DE
ODONTOLOGIA DE BAURU DA
USP



Continuação do Parecer: 3.959.613

Básicas do Projeto	ETO_1395252.pdf	18:19:13		Aceito
Projeto Detalhado / Brochura Investigador	Projeto_MSC_USP_4_Formatado_CEP_MSC_2.pdf	11/03/2020 16:18:03	Marcelo Soares Correa	Aceito
Recurso Anexado pelo Pesquisador	CARTA_RESPOSTA_AO_CEP_Ass.pdf	11/03/2020 15:20:55	Marcelo Soares Correa	Aceito
TCLE / Termos de Assentimento / Justificativa de Ausência	TCLE_Projeto_CEP_Marcelo.pdf	03/12/2019 11:53:31	Marcelo Soares Correa	Aceito
Outros	Oficio_Projeto_CEP_Marcelo_Ass.pdf	03/12/2019 11:52:40	Marcelo Soares Correa	Aceito
Outros	Projeto_MSC_USP_4_Formatado_CEP_MSC_1.pdf	03/12/2019 11:49:58	Marcelo Soares Correa	Aceito
Outros	Check_List_Ass.pdf	02/10/2019 18:08:32	Marcelo Soares Correa	Aceito
Folha de Rosto	FOLHA_DE_ROSTO_Assinada.pdf	23/09/2019 10:19:55	Marcelo Soares Correa	Aceito
Declaração de Instituição e Infraestrutura	Informacao_Sobre_Infraestrutura_MSC.pdf	15/09/2019 13:05:36	Marcelo Soares Correa	Aceito
Outros	Termo_uso_do_arquivo_CB_MSC.pdf	15/09/2019 12:29:12	Marcelo Soares Correa	Aceito
Outros	Termo_uso_do_arquivo_FOB_MSC.pdf	15/09/2019 12:28:26	Marcelo Soares Correa	Aceito
Outros	Termo_de_Confidencialidade_MSC.pdf	15/09/2019 12:26:12	Marcelo Soares Correa	Aceito
Outros	Carta_encaminhamento_termo_de_aqui_escencia_MSC.pdf	15/09/2019 12:24:11	Marcelo Soares Correa	Aceito
Declaração de Pesquisadores	DeclaracaoCompromissoPesquisadorResultadosPesquisa_MSC.pdf	15/09/2019 12:17:29	Marcelo Soares Correa	Aceito
TCLE / Termos de Assentimento / Justificativa de Ausência	DispensaTCLE_e_TermoAssentimento_MSC.pdf	15/09/2019 12:15:12	Marcelo Soares Correa	Aceito

Situação do Parecer:

Aprovado

Necessita Apreciação da CONEP:

Não

Endereço: DOUTOR OCTAVIO PINHEIRO BRISOLLA 75 QUADRA 9
Bairro: VILA NOVA CIDADE UNIVERSITARIA CEP: 17.012-901
UF: SP Município: BAURU
Telefone: (14)3235-8356 Fax: (14)3235-8356 E-mail: cep@fob.usp.br

USP - FACULDADE DE
ODONTOLOGIA DE BAURU DA
USP



Continuação do Parecer: 3.959.613

BAURU, 07 de Abril de 2020

Assinado por:
Juliana Fraga Soares Bombonatti
(Coordenador(a))

Endereço: DOUTOR OCTAVIO PINHEIRO BRISOLLA 75 QUADRA 9
Bairro: VILA NOVA CIDADE UNIVERSITARIA CEP: 17.012-901
UF: SP Município: BAURU
Telefone: (14)3235-8356 Fax: (14)3235-8356 E-mail: cep@fob.usp.br

ANNEX B – Guidelines for AJO-DO submissions: Original Article



AMERICAN JOURNAL OF ORTHODONTICS AND DENTOFACIAL ORTHOPEDICS

Official Journal of the *American Association of Orthodontists*, its constituent societies, the American Board of Orthodontics, and the College of Diplomates of the American Board of Orthodontics

AUTHOR INFORMATION PACK

TABLE OF CONTENTS

•	Description	p.1
•	Impact Factor	p.1
•	Abstracting and Indexing	p.1
•	Editorial Board	p.2
•	Guide for Authors	p.3



DESCRIPTION

Published for more than 100 years, the *American Journal of Orthodontics and Dentofacial Orthopedics* remains the leading **orthodontic** resource. It is the official publication of the *American Association of Orthodontists*, its constituent societies, the American Board of Orthodontics, and the College of Diplomates of the American Board of Orthodontics. Each month its readers have access to original peer-reviewed articles that examine all phases of **orthodontic treatment**. Illustrated throughout, the publication includes tables, color photographs, and statistical data. Coverage includes successful diagnostic procedures, imaging techniques, bracket and archwire materials, extraction and impaction concerns, orthognathic surgery, TMJ disorders, removable appliances, and adult therapy.

According to the 2017 Journal Citation Reports®, published by Thomson Reuters, *AJO-DO* is the highest ranked orthodontic title by number of citations. *AJO-DO* ranks 30th of 91 journals for total citations in the Dentistry, Oral Surgery and Medicine category, and has a five year impact factor of 2.201.

Benefits to authors

The *AJO-DO* provides many author benefits, such as free PDFs, a liberal copyright policy, special discounts on Elsevier publications, and much more. Please click here for more information on our [author services](#).

Please see our [Guide for Authors](#) for information on article submission. If you require any further information or help, please visit our [Support Center](#)

IMPACT FACTOR

2020: 2.650 © Clarivate Analytics Journal Citation Reports 2021

ABSTRACTING AND INDEXING

Scopus
PubMed/Medline
CINAHL

EDITORIAL BOARD

Editor-In-Chief**Rolf G. Behrents**, Saint Louis, Missouri***Editor Emeritus*****David L. Turpin**, Seattle, Washington**Wayne G. Watson*****Managing Editor*****Chris Burke*****Deputy Editor*****Jae H. Park**, Arizona School of Dentistry and Oral Health, Mesa, Arizona, United States of America***Associate Editors******Biology*****David D. Covell Jr.**, Buffalo, New York***Dental Materials*****Theodore T Eliades**, Zurich, Switzerland***Evidence-Based Dentistry*****Padhraig Fleming*****Resident's Journal Review*****Dan D. Grauer**, Private Practice, Los Angeles, CA***Ethics in Orthodontics*****Peter M. Greco**, Philadelphia, PA***Imaging*****Demetrios J. D.J. Halazonetis**, Kifissia, Greece***Biology*****Zongyang Sun**, Columbus, Ohio***Craniofacial Anomalies/Cleft Lip and Palate*****Christos C. Katsaros**, Bern, Switzerland***Continuing Education*****Allen H. Moffitt, DMD**, Nashville, United States of America***Techno Bytes*****J. Martin Palomo, DDS, MSD**, Cleveland, United States of America***Litigation and Legislation*****Laurance L. Jerrold**, Private Practice, Woodbury, NY, United States of America***Orthodontic Treatment*****Mario Polo**, University of Puerto Rico School of Medicine, San Juan, Puerto Rico***Growth and Development*****Leslie A. Will, DMD, MSD**, Boston, Massachusetts***Sleep and Breathing*****Mark G. Hans**, Cleveland, Ohio, United States of America***Statistics and Research Design*****Nikolaos Pandis, DDS, MS, Dr med dent, MSc, PhD**, Bern, Switzerland***TMD, Function*****Sanjivan Kandasamy**, Perth, Australia***Elsevier Staff*****Jane Ryley, Senior Publisher**, Elsevier Inc. 314-447-9226 j.ryley@elsevier.com**Stacey Kauffman, Journal Manager**, Elsevier INC 215-239-3819, s.kauffman@elsevier.com

GUIDE FOR AUTHORS

General Information

The *American Journal of Orthodontics and Dentofacial Orthopedics* publishes original research, reviews, case reports, clinical material, and other material related to orthodontics and dentofacial orthopedics.

Submitted manuscripts must be original, written in English, and not published or under consideration elsewhere. Manuscripts will be reviewed by the editor and consultants and are subject to editorial revision. Authors should follow the guidelines below.

Statements and opinions expressed in the articles and communications herein are those of the author(s) and not necessarily those of the editor(s) or publisher, and the editor(s) and publisher disclaim any responsibility or liability for such material. Neither the editor(s) nor the publisher guarantees, warrants, or endorses any product or service advertised in this publication; neither do they guarantee any claim made by the manufacturer of any product or service. Each reader must determine whether to act on the information in this publication, and neither the Journal nor its sponsoring organizations shall be liable for any injury due to the publication of erroneous information.

Electronic manuscript submission and review

The *American Journal of Orthodontics and Dentofacial Orthopedics* uses Editorial Manager (EM), an online manuscript submission and review system.

To submit or review an article, please go to the AJO-DO EM website: <https://www.editorialmanager.com/ajodo/>.

Rolf G. Behrents, Editor-in-Chief
E-mail: behrents@gmail.com

Send other correspondence to:
Chris Burke, Managing Editor
American Journal of Orthodontics and Dentofacial Orthopedics
University of Washington
Department of Orthodontics, D-569
HSC Box 357446
Seattle, WA 98195-7446
Telephone (206) 221-5413
E-mail: ckburke@aol.com

On the cover

To submit a smiling patient photo for use on the cover of the Journal, please send an e-mail to: ckburke@aol.com.

BEFORE YOU BEGIN

Ethics in publishing

Please see our information on [Ethics in publishing](#).

Studies in humans and animals

If the work involves the use of human subjects, the author should ensure that the work described has been carried out in accordance with [The Code of Ethics of the World Medical Association \(Declaration of Helsinki\)](#) for experiments involving humans. The manuscript should be in line with the [Recommendations for the Conduct, Reporting, Editing and Publication of Scholarly Work in Medical Journals](#) and aim for the inclusion of representative human populations (sex, age and ethnicity) as per those recommendations. The terms *sex* and *gender* should be used correctly.

Authors should include a statement in the manuscript that informed consent was obtained for experimentation with human subjects. The privacy rights of human subjects must always be observed.

All animal experiments should comply with the [ARRIVE guidelines](#) and should be carried out in accordance with the U.K. [Animals \(Scientific Procedures\) Act, 1986](#) and associated guidelines, [EU Directive 2010/63/EU for animal experiments](#), or the [National Research Council's Guide for the Care](#)

and Use of Laboratory Animals and the authors should clearly indicate in the manuscript that such guidelines have been followed. The sex of animals must be indicated, and where appropriate, the influence (or association) of sex on the results of the study.

Informed consent and patient details

Studies on patients or volunteers require ethics committee approval and informed consent, which should be documented in the paper. Appropriate consents, permissions and releases must be obtained where an author wishes to include case details or other personal information or images of patients and any other individuals in an Elsevier publication. Written consents must be retained by the author but copies should not be provided to the journal. Only if specifically requested by the journal in exceptional circumstances (for example if a legal issue arises) the author must provide copies of the consents or evidence that such consents have been obtained. For more information, please review the [Elsevier Policy on the Use of Images or Personal Information of Patients or other Individuals](#). Unless you have written permission from the patient (or, where applicable, the next of kin), the personal details of any patient included in any part of the article and in any supplementary materials (including all illustrations and videos) must be removed before submission.

Conflict of interest

Each author should complete and submit a copy of the International Committee of Medical Journal Editors Form for the Disclosure of Conflicts of Interest, available at <http://www.icmje.org/conflicts-of-interest/>.

Submission declaration and verification

Submission of an article implies that the work described has not been published previously (except in the form of an abstract, a published lecture or academic thesis, see ['Multiple, redundant or concurrent publication'](#) for more information), that it is not under consideration for publication elsewhere, that its publication is approved by all authors and tacitly or explicitly by the responsible authorities where the work was carried out, and that, if accepted, it will not be published elsewhere in the same form, in English or in any other language, including electronically without the written consent of the copyright-holder. To verify originality, your article may be checked by the originality detection service [Crossref Similarity Check](#).

Use of inclusive language

Inclusive language acknowledges diversity, conveys respect to all people, is sensitive to differences, and promotes equal opportunities. Content should make no assumptions about the beliefs or commitments of any reader; contain nothing which might imply that one individual is superior to another on the grounds of age, gender, race, ethnicity, culture, sexual orientation, disability or health condition; and use inclusive language throughout. Authors should ensure that writing is free from bias, stereotypes, slang, reference to dominant culture and/or cultural assumptions. We advise to seek gender neutrality by using plural nouns ("clinicians, patients/clients") as default/wherever possible to avoid using "he, she," or "he/she." We recommend avoiding the use of descriptors that refer to personal attributes such as age, gender, race, ethnicity, culture, sexual orientation, disability or health condition unless they are relevant and valid. When coding terminology is used, we recommend to avoid offensive or exclusionary terms such as "master", "slave", "blacklist" and "whitelist". We suggest using alternatives that are more appropriate and (self-) explanatory such as "primary", "secondary", "blocklist" and "allowlist". These guidelines are meant as a point of reference to help identify appropriate language but are by no means exhaustive or definitive.

Author contributions

For transparency, we encourage authors to submit an author statement file outlining their individual contributions to the paper using the relevant CRediT roles: Conceptualization; Data curation; Formal analysis; Funding acquisition; Investigation; Methodology; Project administration; Resources; Software; Supervision; Validation; Visualization; Roles/Writing - original draft; Writing - review & editing. Authorship statements should be formatted with the names of authors first and CRediT role(s) following. [More details and an example](#).

Changes to authorship

Authors are expected to consider carefully the list and order of authors **before** submitting their manuscript and provide the definitive list of authors at the time of the original submission. Any addition, deletion or rearrangement of author names in the authorship list should be made only **before** the manuscript has been accepted and only if approved by the journal Editor. To request such a change, the Editor must receive the following from the **corresponding author**: (a) the reason

for the change in author list and (b) written confirmation (e-mail, letter) from all authors that they agree with the addition, removal or rearrangement. In the case of addition or removal of authors, this includes confirmation from the author being added or removed.

Only in exceptional circumstances will the Editor consider the addition, deletion or rearrangement of authors **after** the manuscript has been accepted. While the Editor considers the request, publication of the manuscript will be suspended. If the manuscript has already been published in an online issue, any requests approved by the Editor will result in a corrigendum.

Copyright

Upon acceptance of an article, authors will be asked to complete a 'Journal Publishing Agreement' (see [more information](#) on this). An e-mail will be sent to the corresponding author confirming receipt of the manuscript together with a 'Journal Publishing Agreement' form or a link to the online version of this agreement.

Subscribers may reproduce tables of contents or prepare lists of articles including abstracts for internal circulation within their institutions. [Permission](#) of the Publisher is required for resale or distribution outside the institution and for all other derivative works, including compilations and translations. If excerpts from other copyrighted works are included, the author(s) must obtain written permission from the copyright owners and credit the source(s) in the article. Elsevier has [preprinted forms](#) for use by authors in these cases.

For gold open access articles: Upon acceptance of an article, authors will be asked to complete a 'License Agreement' ([more information](#)). Permitted third party reuse of gold open access articles is determined by the author's choice of [user license](#).

Author rights

As an author you (or your employer or institution) have certain rights to reuse your work. [More information](#).

Elsevier supports responsible sharing

Find out how you can [share your research](#) published in Elsevier journals.

Role of the funding source

You are requested to identify who provided financial support for the conduct of the research and/or preparation of the article and to briefly describe the role of the sponsor(s), if any, in study design; in the collection, analysis and interpretation of data; in the writing of the report; and in the decision to submit the article for publication. If the funding source(s) had no such involvement then this should be stated.

Open access

Please visit our [Open Access page](#) for more information.

Language (usage and editing services)

Please write your text in good English (American or British usage is accepted, but not a mixture of these). Authors who feel their English language manuscript may require editing to eliminate possible grammatical or spelling errors and to conform to correct scientific English may wish to use the [English Language Editing service](#) available from Elsevier's Author Services.

Submission

Our online submission system guides you stepwise through the process of entering your article details and uploading your files. The system converts your article files to a single PDF file used in the peer-review process. Editable files (e.g., Word, LaTeX) are required to typeset your article for final publication. All correspondence, including notification of the Editor's decision and requests for revision, is sent by e-mail.

Blinding

The *AJO-DO* uses a blind review process; the identity of the author and the location of the research are concealed from the reviewers, and the identities of the reviewers are concealed from the author. The following submission items are sent to reviewers during the review process and should not contain any identifying information.

Highlights * Manuscript * Figures * Tables * Other Material

The title page, which should contain complete author information, is not sent to reviewers. In the manuscript, please pay special attention to Material and Methods and Acknowledgments sections; wherever author or the author's institution is mentioned, use the "hidden" format in Word to conceal it, or move it to the title page.

Guidelines for Original Articles

guidelines Submit Original Articles via EM: <https://www.editorialmanager.com/ajodo/>.

Before you begin, please review the guidelines below. To view a 7-minute video explaining how to prepare your article for submission, go to [Video on Manuscript Preparation](#).

1. **Title Page.** Put all information pertaining to the authors in a separate document. Include the title of the article, full name(s) of the author(s), academic degrees, and institutional affiliations and positions; identify the corresponding author and include an address, telephone and fax numbers, and an e-mail address. This information will not be available to the reviewers.

2. **Abstract.** Structured abstracts of 250 words or less are preferred. A structured abstract contains the following sections: Introduction, describing the problem; Methods, describing how the study was performed; Results, describing the primary results; and Conclusions, reporting what the authors conclude from the findings and any clinical implications.

3. **Manuscript.** The manuscript proper should be organized in the following sections: Introduction and literature review, Material and Methods, Results, Discussion, Conclusions, References, and figure captions. Express measurements in metric units, whenever practical. Refer to teeth by their full names. For style questions, refer to the *AMA Manual of Style, 10th edition*. Cite references selectively, and number them in the order cited. Make sure that all references have been mentioned in the text. Follow the format for references in "Uniform Requirements for Manuscripts Submitted to Biomedical Journals" (Ann Intern Med 1997;126:36-47); <http://www.icmje.org>. Include the list of references with the manuscript proper. Submit figures and tables separately (see below); do not embed figures in the word processing document.

4. **Figures.** Digital images should be in TIF or EPS format, CMYK or grayscale, at least 5 inches wide and at least 300 pixels per inch (118 pixels per cm). Do not embed images in a word processing program. If published, images could be reduced to 1 column width (about 3 inches), so authors should ensure that figures will remain legible at that scale. For best results, avoid screening, shading, and colored backgrounds; use the simplest patterns available to indicate differences in charts. If a figure has been previously published, the legend (included in the manuscript proper) must give full credit to the original source, and written permission from the original publisher must be included. Be sure you have mentioned each figure, in order, in the text.

5. **Tables.** Tables should be self-explanatory and should supplement, not duplicate, the text. Number them with Roman numerals, in the order they are mentioned in the text. Provide a brief title for each. If a table has been previously published, include a footnote in the table giving full credit to the original source and include written permission for its use from the copyright holder. Submit tables as text-based files (Word is preferred, Excel is accepted) and not as graphic elements. Do not use colors, shading, boldface, or italic in tables. Do not submit tables as parts A and B; instead, divide into 2 separate tables. Do not "protect" tables by making them "read-only." The table title should be put above the table and not as a cell in the table. Similarly, table footnotes should be under the table, not table cells.

6. **Model release and permission forms.** Photographs of identifiable persons must be accompanied by a release signed by the person or both living parents or the guardian of minors. Illustrations or tables that have appeared in copyrighted material must be accompanied by written permission for their use from the copyright owner and original author, and the legend must properly credit the source. Permission also must be obtained to use modified tables or figures.

7. **Copyright release.** All authors will be asked to e-sign a copyright release before the article is published. In accordance with the Copyright Act of 1976, which became effective February 1, 1978, all manuscripts must be accompanied by the following written statement, signed by all authors: *"The undersigned author(s) transfers all copyright ownership of the manuscript [insert title of article here] to the American Association of Orthodontists in the event the work is published. The undersigned*

author(s) warrants that the article is original, does not infringe upon any copyright or other proprietary right of any third party, is not under consideration by another journal, has not been previously published, and includes any product that may derive from the published journal, whether print or electronic media. I (we) sign for and accept responsibility for releasing this material." Scan the printed copyright release and submit it via EM.

8. Use the International Committee of Medical Journal Editors Form for the Disclosure of Conflict of Interest (ICMJE Conflict of Interest Form). If the manuscript is accepted, the disclosed information will be published with the article. The usual and customary listing of sources of support and institutional affiliations on the title page is proper and does not imply a conflict of interest. Guest editorials, Letters, and Review articles may be rejected if a conflict of interest exists.

9. Institutional Review Board approval. For those articles that report on the results of experiments of treatments where patients or animals have been used as the sample, Institutional Review Board (IRB) approval is mandatory. No experimental studies will be sent out for review without an IRB approval accompanying the manuscript submission.

Guidelines for Systematic Reviews

Systematic Reviews and Meta-Analyses must be prepared according to contemporary PRISMA (Preferred Reporting for Systematic Reviews and Meta-Analyses) standards. The AJO-DO will screen submissions for compliance before beginning the review process. To help authors understand and apply the standards, we have prepared a separate [Guidelines for AJO-DO Systematic Reviews and Meta-Analyses](#). This guide includes links to a [Model Orthodontic Systematic Review](#) and an accompanying [Explanation and Elaboration](#) document. These documents have been prepared in accordance with PRISMA guidelines and the "PRISMA Statement for Reporting Systematic Reviews and Meta-Analyses of Studies that Evaluate Health Care Interventions: Explanations and Elaboration" (<http://www.plosmedicine.org/article/info:doi/10.1371/journal.pmed.1000100>).

These guidelines are supplemental to the [Guidelines for Original Articles](#), which describe how to meet general submission requirements, such as figure formats, reference style, required releases, and blinding. However, we have made these guidelines more relevant to orthodontics and have adapted the reporting template to encourage transparent and pertinent reporting by introducing subheadings corresponding to established PRISMA items.

Further information on reporting of systematic reviews can also be obtained in the Cochrane Handbook for Systematic Reviews of Interventions (<http://www.cochrane-handbook.org>).

Guidelines for Randomized Clinical Trials

Randomized Clinical Trials must meet current CONSORT (Consolidated Standards of Reporting Trials) requirements. The AJO-DO will screen submissions for compliance before beginning the review process. To help authors understand and apply the standards, we have prepared a separate document, [Guidelines for AJO-DO Submissions: Randomized Clinical Trials](#). This document contains links to an [Annotated RCT Sample Article](#) and [The CONSORT Statement: Application within and adaptations for orthodontic trials](#).

These guidelines are supplemental to the [Guidelines for Original Articles](#), which describe how to meet general submission requirements, such as figure formats, reference style, required releases, and blinding.

Guidelines for Case Reports

Effective April 1, 2021, please submit new Case Reports to the *AJO-DO Clinical Companion*, <https://www.editorialmanager.com/xaor/>. Author Guidelines are available at the *Clinical Companion* website.

Clinician's Corner

Effective April 1, 2021, please submit new Clinician's Corner articles to the *AJO-DO Clinical Companion*, <https://www.editorialmanager.com/xaor/>. Author Guidelines are available at the *Clinical Companion* website.

Digital Orthodontics

Articles published in the Digital Orthodontics section will rely on or feature an emerging technology.

Guidelines for Miscellaneous Submissions

Letters to the Editor and their responses appear in the Readers' Forum section and are encouraged to stimulate healthy discourse between authors and our readers. Letters to the Editor must refer to an article that was published within the previous six (6) months and must be less than 500 words including references. Submit Letters via the Editorial Manager Web site. Submit a signed copyright release with the letter.

Brief, substantiated commentary on subjects of interest to the orthodontic profession is published occasionally as a Special Article. Submit Guest Editorials and Special Articles via the Web site.

Books and monographs (domestic and foreign) will be reviewed, depending upon their interest and value to subscribers. Send books to Chris Burke, Department of Orthodontics, University of Washington D-569, HSC Box 357446, Seattle, WA 98195-7446. They will not be returned.

Checklist for Authors

___ Title page, including full name, academic degrees, and institutional affiliation and position of each author; and full mailing address and contact information for the corresponding author; brief description of each author's contribution to the submission; and author to whom correspondence and reprint requests are to be sent, including address, business and home phone numbers, fax numbers, and e-mail address

___ CRediT Author Statement, formatted with the names of authors first and CRediT role(s) following. [More details and an example](#)

___ Highlights (up to 5 Highlights, written in complete sentences, 85 characters each)

___ Abstract (structured, 250 words; a graphical abstract is optional)

___ Manuscript, including references and figure legends

___ Figures, in TIF or EPS format

___ Tables

___ Copyright release statement, signed by all authors

___ Photographic consent statement(s)

___ ICMJE Conflict of interest statement for each author

___ Permissions to reproduce previously published material

___ Permission to reproduce proprietary images (including screenshots that include a company logo)

PREPARATION

Double anonymized review

This journal uses double anonymized review, which means the identities of the authors are concealed from the reviewers, and vice versa. [More information](#) is available on our website. To facilitate this, please include the following separately:

Title page (with author details): This should include the title, authors' names, affiliations, acknowledgements and any Declaration of Interest statement, and a complete address for the corresponding author including an e-mail address.

Anonymized manuscript (no author details): The main body of the paper (including the references, figures, tables and any acknowledgements) should not include any identifying information, such as the authors' names or affiliations.

Article structure

Introduction

Provide an adequate background so readers can understand the nature of the problem and its significance. State the objectives of the work. Cite literature selectively, avoiding a detailed literature survey or a summary of the results.

Material and Methods

Provide sufficient detail to allow the work to be reproduced. If methods have already been published, indicate by a reference citation and describe only the relevant modifications. Include manufacturer information (company name and location) for any commercial product mentioned. Report your power analysis and ethics approval, as appropriate.

Results

Results should be clear and concise.

Discussion

Explain your findings and explore their significance. Compare and contrast your results with other relevant studies. Mention the limitations of your study, and discuss the implications of the findings for future research and for clinical practice. Do not repeat information given in other parts of the manuscript.

Conclusions

Write a short Conclusions section that can stand alone. If possible, refer back to the goals or objectives of the research.

Essential title page information

- **Title.** Concise and informative. Titles are often used in information-retrieval systems. Avoid abbreviations and formulae where possible.
- **Author names and affiliations.** Please clearly indicate the given name(s) and family name(s) of each author and check that all names are accurately spelled. You can add your name between parentheses in your own script behind the English transliteration. Present the authors' affiliation addresses (where the actual work was done) below the names. Indicate all affiliations with a lower-case superscript letter immediately after the author's name and in front of the appropriate address. Provide the full postal address of each affiliation, including the country name and, if available, the e-mail address of each author.
- **Corresponding author.** Clearly indicate who will handle correspondence at all stages of refereeing and publication, also post-publication. This responsibility includes answering any future queries about Methodology and Materials. **Ensure that the e-mail address is given and that contact details are kept up to date by the corresponding author.**
- **Present/permanent address.** If an author has moved since the work described in the article was done, or was visiting at the time, a 'Present address' (or 'Permanent address') may be indicated as a footnote to that author's name. The address at which the author actually did the work must be retained as the main, affiliation address. Superscript Arabic numerals are used for such footnotes.

Highlights

Highlights are optional yet highly encouraged for this journal, as they increase the discoverability of your article via search engines. They consist of a short collection of bullet points that capture the novel results of your research as well as new methods that were used during the study (if any). Please have a look at the examples here: [example Highlights](#).

Highlights should be submitted in a separate editable file in the online submission system. Please use 'Highlights' in the file name and include 3 to 5 bullet points (maximum 85 characters, including spaces, per bullet point).

Abstract

A structured abstract using the headings Introduction, Methods, Results, and Conclusions is required for Original Article, Systematic Review, Randomized Controlled Trial, and Techno Bytes. An unstructured abstract is acceptable for Case Report and Clinician's Corner.

Graphical abstract

Although a graphical abstract is optional, its use is encouraged as it draws more attention to the online article. The graphical abstract should summarize the contents of the article in a concise, pictorial form designed to capture the attention of a wide readership. Graphical abstracts should be submitted as a separate file in the online submission system. Image size: Please provide an image with a minimum

of 531 × 1328 pixels (h × w) or proportionally more. The image should be readable at a size of 5 × 13 cm using a regular screen resolution of 96 dpi. Preferred file types: TIFF, EPS, PDF or MS Office files. You can view [Example Graphical Abstracts](#) on our information site.

Authors can make use of Elsevier's [Illustration Services](#) to ensure the best presentation of their images and in accordance with all technical requirements.

Acknowledgments

Collate acknowledgments in a separate section at the end of the article before the references; do not include them on the title page, as a footnote to the title page, or otherwise. List here those individuals who provided help during the research (eg, providing help with language or writing assistance, or proofreading the article).

Formatting of funding sources

List funding sources in this standard way to facilitate compliance to funder's requirements:

Funding: This work was supported by the National Institutes of Health [grant numbers xxxx, yyyy]; the Bill & Melinda Gates Foundation, Seattle, WA [grant number zzzz]; and the United States Institutes of Peace [grant number aaaa].

It is not necessary to include detailed descriptions on the program or type of grants and awards. When funding is from a block grant or other resources available to a university, college, or other research institution, submit the name of the institute or organization that provided the funding.

If no funding has been provided for the research, please include the following sentence:

This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

Artwork

Image manipulation

Whilst it is accepted that authors sometimes need to manipulate images for clarity, manipulation for purposes of deception or fraud will be seen as scientific ethical abuse and will be dealt with accordingly. For graphical images, this journal is applying the following policy: no specific feature within an image may be enhanced, obscured, moved, removed, or introduced. Adjustments of brightness, contrast, or color balance are acceptable if and as long as they do not obscure or eliminate any information present in the original. Nonlinear adjustments (e.g. changes to gamma settings) must be disclosed in the figure legend.

Electronic artwork

General points

- Make sure you use uniform lettering and sizing of your original artwork.
- Embed the used fonts if the application provides that option.
- Aim to use the following fonts in your illustrations: Arial, Courier, Times New Roman, Symbol, or use fonts that look similar.
- Number the illustrations according to their sequence in the text.
- Use a logical naming convention for your artwork files.
- Provide captions to illustrations separately.
- Size the illustrations close to the desired dimensions of the published version.
- Submit each illustration as a separate file.
- Ensure that color images are accessible to all, including those with impaired color vision.

A detailed [guide on electronic artwork](#) is available.

You are urged to visit this site; some excerpts from the detailed information are given here.

Formats

If your electronic artwork is created in a Microsoft Office application (Word, PowerPoint, Excel) then please supply 'as is' in the native document format.

Regardless of the application used other than Microsoft Office, when your electronic artwork is finalized, please 'Save as' or convert the images to one of the following formats (note the resolution requirements for line drawings, halftones, and line/halftone combinations given below):

EPS (or PDF): Vector drawings, embed all used fonts.

TIFF (or JPEG): Color or grayscale photographs (halftones), keep to a minimum of 300 dpi.

TIFF (or JPEG): Bitmapped (pure black & white pixels) line drawings, keep to a minimum of 1000 dpi.

TIFF (or JPEG): Combinations bitmapped line/half-tone (color or grayscale), keep to a minimum of 500 dpi.

Please do not:

- Supply files that are optimized for screen use (e.g., GIF, BMP, PICT, WPG); these typically have a low number of pixels and limited set of colors;
- Supply files that are too low in resolution;
- Submit graphics that are disproportionately large for the content.
- Embed your images in the Word document.

Color artwork

Please make sure that artwork files are in an acceptable format (TIFF (or JPEG), EPS (or PDF) or MS Office files) and with the correct resolution. If, together with your accepted article, you submit usable color figures then Elsevier will ensure, at no additional charge, that these figures will appear in color online (e.g., ScienceDirect and other sites) in addition to color reproduction in print. [Further information on the preparation of electronic artwork.](#)

Figure captions

Ensure that each illustration has a caption. Supply captions separately, not attached to the figure. A caption should comprise a brief title (**not** on the figure itself) and a description of the illustration. Keep text in the illustrations themselves to a minimum but explain all symbols and abbreviations used.

Tables

Please submit tables as editable text (Word) and not as images. Upload tables separately, together in one file if the tables are small, or as individual files; do not embed tables in the manuscript. Number tables consecutively in accordance with their appearance in the text and place any table notes below the table body. Be sparing in the use of tables and ensure that the data presented in them do not duplicate results described elsewhere in the article. Please avoid using vertical rules and shading in table cells.

References

Citation in text

Please ensure that every reference cited in the text is also present in the reference list (and vice versa). Any references cited in the abstract must be given in full. Unpublished results and personal communications are not recommended in the reference list, but may be mentioned in the text. If these references are included in the reference list they should follow the standard reference style of the journal and should include a substitution of the publication date with either 'Unpublished results' or 'Personal communication'. Citation of a reference as 'in press' implies that the item has been accepted for publication.

Reference links

Increased discoverability of research and high quality peer review are ensured by online links to the sources cited. In order to allow us to create links to abstracting and indexing services, such as Scopus, CrossRef and PubMed, please ensure that data provided in the references are correct. Please note that incorrect surnames, journal/book titles, publication year and pagination may prevent link creation. When copying references, please be careful as they may already contain errors. Use of the DOI is highly encouraged.

A DOI is guaranteed never to change, so you can use it as a permanent link to any electronic article. An example of a citation using DOI for an article not yet in an issue is: VanDecar J.C., Russo R.M., James D.E., Ambeh W.B., Franke M. (2003). Aseismic continuation of the Lesser Antilles slab beneath northeastern Venezuela. *Journal of Geophysical Research*, <https://doi.org/10.1029/2001JB000884>. Please note the format of such citations should be in the same style as all other references in the paper.

Web references

As a minimum, the full URL should be given and the date when the reference was last accessed. Any further information, if known (DOI, author names, dates, reference to a source publication, etc.), should also be given. Web references can be listed separately (e.g., after the reference list) under a different heading if desired, or can be included in the reference list.

Data references

This journal encourages you to cite underlying or relevant datasets in your manuscript by citing them in your text and including a data reference in your Reference List. Data references should include the following elements: author name(s), dataset title, data repository, version (where available), year, and global persistent identifier. Add [dataset] immediately before the reference so we can properly identify it as a data reference. The [dataset] identifier will not appear in your published article.

References in a special issue

Please ensure that the words 'this issue' are added to any references in the list (and any citations in the text) to other articles in the same Special Issue.

Reference management software

Most Elsevier journals have their reference template available in many of the most popular reference management software products. These include all products that support *Citation Style Language styles*, such as *Mendeley*. Using citation plug-ins from these products, authors only need to select the appropriate journal template when preparing their article, after which citations and bibliographies will be automatically formatted in the journal's style. If no template is yet available for this journal, please follow the format of the sample references and citations as shown in this Guide. If you use reference management software, please ensure that you remove all field codes before submitting the electronic manuscript. [More information on how to remove field codes from different reference management software.](#)

Reference style

Text: Indicate references by superscript numbers in the text. The actual authors can be referred to, but the reference number(s) must always be given.

List: Number the references in the list in the order in which they appear in the text.

Examples:

Reference to a journal publication:

1. Van der Geer J, Hanraads JAJ, Lupton RA. The art of writing a scientific article. *Sci Commun* 2010;16351-9.

Reference to a book:

2. Strunk Jr W, White EB. *The elements of style*. 4th ed. New York: Longman; 2000.

Reference to a chapter in an edited book:

3. Mettam GR, Adams LB. How to prepare an electronic version of your article. In: Jones BS, Smith RZ, editors. *Introduction to the electronic age*. New York: E-Publishing Inc; 2009. p. 281-304.

Note shortened form for last page number. e.g., 51-9, and that for more than 6 authors the first 6 should be listed followed by 'et al.' For further details you are referred to 'Uniform Requirements for Manuscripts Submitted to Biomedical Journals' (*J Am Med Assoc* 1997;277:927-34) (see also http://www.nlm.nih.gov/bsd/uniform_requirements.html).

Video

Elsevier accepts video material and animation sequences to support and enhance your scientific research. Authors who have video or animation files that they wish to submit with their article are strongly encouraged to include links to these within the body of the article. This can be done in the same way as a figure or table by referring to the video or animation content and noting in the body text where it should be placed. All submitted files should be properly labeled so that they directly relate to the video file's content. In order to ensure that your video or animation material is directly usable, please provide the file in one of our recommended file formats with a preferred maximum size of 150 MB per file, 1 GB in total. Video and animation files supplied will be published online in the electronic version of your article in Elsevier Web products, including *ScienceDirect*. Please supply 'stills' with your files: you can choose any frame from the video or animation or make a separate image. These will be used instead of standard icons and will personalize the link to your video data. For more detailed instructions please visit our [video instruction pages](#). Note: since video and animation cannot be embedded in the print version of the journal, please provide text for both the electronic and the print version for the portions of the article that refer to this content.

Data visualization

Include interactive data visualizations in your publication and let your readers interact and engage more closely with your research. Follow the instructions [here](#) to find out about available data visualization options and how to include them with your article.

Research data

This journal encourages and enables you to share data that supports your research publication where appropriate, and enables you to interlink the data with your published articles. Research data refers to the results of observations or experimentation that validate research findings. To facilitate reproducibility and data reuse, this journal also encourages you to share your software, code, models, algorithms, protocols, methods and other useful materials related to the project.

Below are a number of ways in which you can associate data with your article or make a statement about the availability of your data when submitting your manuscript. If you are sharing data in one of these ways, you are encouraged to cite the data in your manuscript and reference list. Please refer to the "References" section for more information about data citation. For more information on depositing, sharing and using research data and other relevant research materials, visit the [research data](#) page.

Data linking

If you have made your research data available in a data repository, you can link your article directly to the dataset. Elsevier collaborates with a number of repositories to link articles on ScienceDirect with relevant repositories, giving readers access to underlying data that gives them a better understanding of the research described.

There are different ways to link your datasets to your article. When available, you can directly link your dataset to your article by providing the relevant information in the submission system. For more information, visit the [database linking](#) page.

For [supported data repositories](#) a repository banner will automatically appear next to your published article on ScienceDirect.

In addition, you can link to relevant data or entities through identifiers within the text of your manuscript, using the following format: Database: xxxx (e.g., TAIR: AT1G01020; CCDC: 734053; PDB: 1XFN).

Mendeley Data

This journal supports Mendeley Data, enabling you to deposit any research data (including raw and processed data, video, code, software, algorithms, protocols, and methods) associated with your manuscript in a free-to-use, open access repository. During the submission process, after uploading your manuscript, you will have the opportunity to upload your relevant datasets directly to *Mendeley Data*. The datasets will be listed and directly accessible to readers next to your published article online.

For more information, visit the [Mendeley Data for journals](#) page.

Data statement

To foster transparency, we encourage you to state the availability of your data in your submission. This may be a requirement of your funding body or institution. If your data is unavailable to access or unsuitable to post, you will have the opportunity to indicate why during the submission process, for example by stating that the research data is confidential. The statement will appear with your published article on ScienceDirect. For more information, visit the [Data Statement](#) page.

Submission Checklist

The following list will be useful during the final checking of an article prior to sending it to the journal for review. Please consult this Guide for Authors for further details of any item.

Ensure that the following items are present:

One author has been designated as the corresponding author with contact details:

- E-mail address
- Full postal address
- Phone numbers

All necessary files have been uploaded, and contain:

- All figure captions
- All tables (including title, description, footnotes)

Further considerations

- Manuscript has been 'spell-checked' and 'grammar-checked'
- References are in the correct format for this journal
- All references mentioned in the Reference list are cited in the text, and vice versa
- Permission has been obtained for use of copyrighted material from other sources (including the Web)

For any further information please visit our customer support site at <https://service.elsevier.com>.

Permissions

To use information borrowed or adapted from another source, authors must obtain permission from the copyright holder (usually the publisher). This is necessary even if you are the author of the borrowed material. It is essential to begin the process of obtaining permissions early; a delay may require removing the copyrighted material from the article. Give the source of a borrowed table in a footnote to the table; give the source of a borrowed figure in the legend of the figure. The source must also appear in the list of references. Use exact wording required by the copyright holder. For more information about permission issues, contact permissionshelpdesk@elsevier.com or visit <https://www.elsevier.com/about/policies/copyright/permissions>.

Permission is also required for the following images:

- Photos of a product if the product is identified or can reasonably be identified from the photo
- Logos
- Screenshots that involve copyrighted third-party material, whether a reasonably identifiable user interface or any nonincidental material appearing in the screenshot

AFTER ACCEPTANCE

Proofs

One set of page proofs (as PDF files) will be sent by e-mail to the corresponding author (if we do not have an e-mail address then paper proofs will be sent by post) or a link will be provided in the e-mail so that authors can download the files themselves. To ensure a fast publication process of the article, we kindly ask authors to provide us with their proof corrections within two days. Elsevier now provides authors with PDF proofs which can be annotated; for this you will need to [download the free Adobe Reader](#), version 9 (or higher). Instructions on how to annotate PDF files will accompany the proofs (also given online). The exact system requirements are given at the [Adobe site](#).

If you do not wish to use the PDF annotations function, you may list the corrections (including replies to the Query Form) and return them to Elsevier in an e-mail. Please list your corrections quoting line number. If, for any reason, this is not possible, then mark the corrections and any other comments (including replies to the Query Form) on a printout of your proof and scan the pages and return via e-mail. Please use this proof only for checking the typesetting, editing, completeness and correctness of the text, tables and figures. Significant changes to the article as accepted for publication will only be considered at this stage with permission from the Editor. We will do everything possible to get your article published quickly and accurately. It is important to ensure that all corrections are sent back to us in one communication: please check carefully before replying, as inclusion of any subsequent corrections cannot be guaranteed. Proofreading is solely your responsibility.

Offprints

The corresponding author will, at no cost, receive a customized [Share Link](#) providing 50 days free access to the final published version of the article on [ScienceDirect](#). The Share Link can be used for sharing the article via any communication channel, including email and social media. For an extra charge, paper offprints can be ordered via the offprint order form which is sent once the article is accepted for publication. Both corresponding and co-authors may order offprints at any time via Elsevier's [Webshop](#).

AUTHOR INQUIRIES

Visit the [Elsevier Support Center](#) to find the answers you need. Here you will find everything from Frequently Asked Questions to ways to get in touch.

You can also [check the status of your submitted article](#) or [find out when your accepted article will be published](#).

© Copyright 2018 Elsevier | <https://www.elsevier.com>