

FILIPPE PEREIRA DOS SANTOS

Physical-biological interactions in the Brazil Current meanders

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Corrected version

Doctoral dissertation presented to the Oceanographic Institute of the University of São Paulo and the School for Marine Science & Technology of the University of Massachusetts Dartmouth in fulfillment of the requirements for receiving the degree of Doctor of Science, Dual Degree Ph.D. Program in Oceanography, concentration in Physical Oceanography — Marine and Atmospheric System Modeling and Analysis.

USP Advisor: Prof. Ilson C. A. da Silveira

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*Ao sonho de liberdade e dignidade dos meus ancestrais.
Às Vovós Dudu e Chica, e Tia Santa.*

To my ancestors' dream of freedom and dignity.
To Grandmas Dudu and Chica, and Aunt Santa.

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¹A term of endearment used in Bahia, NE Brazil, to refer to one's mother in an affectionate way.

²Same as *Note 1* but to refer to one's father.

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The MITgcm (Massachusetts Institute of Technology general circulation model) simulations were performed on the MGHPC (Massachusetts Green High-Performance Computing Cluster) and analyzed on CARNiE (Collaborative Advanced Research Numerical Environment) funded by ONR/DURIP (Office of Naval Research – Defense University Research Instrumentation Program) [N00014181255], resources provided by the CSCVR (Center for Scientific Computing & Visualization Research) at UMassD.

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“A vós homens pretos, por honra de nossa raça, por glória de nossos avós que morreram no árduo trabalho de fazerem a fortuna pública e particular da nossa pátria, pedimos por tudo quanto mais caro que vos possa ser, educai-vos, educai vossos filhos, ensina-lhes o caminho da honra, o caminho do dever que tem por ponto de partida o trabalho e a instrução.”

“To you black men, for the honor of our race, for the glory of our grandparents who died in the toil of making the public and private fortune of our homeland, we ask for everything that might be most dear to you, educate yourselves, educate your children, and teach them the path to honor, the path to duty, which has work and instruction as its starting point.”^a

(O Progresso – Orgam dos Homens de Côr, 24 August 1899, n. 1, p. 3)^b

^aOwn translation.

^b11 years after the abolition of slavery in Brazil.

RESUMO

PEREIRA, Filipe. **Interações físico-biológicas nos meandros da Corrente do Brasil**. 2023. 140 f. Tese (Doutorado) – Instituto Oceanográfico, Universidade de São Paulo, São Paulo, SP, Brasil; e Escola para Ciência do Mar & Tecnologia, Universidade de Massachusetts Dartmouth, Nova Bedfordia, MA, Estados Unidos.

Esta tese versa sobre a dinâmica básica dos meandros ciclônicos quase-estacionários de mesoescala da Corrente do Brasil e seu impacto na distribuição do plâncton no SE do Brasil. Os sistemas de ressurgência costeira na região promovem intrusões de águas ricas em nutrientes sobre a plataforma continental, facilitadas pela divergência costeira gerada pelos meandros. Realizamos experimentos num modelo quase-geostrófico (QG) de $1^{1/2}$ camada baseado em dinâmica de contornos acoplado a um modelo Nutrientes-Fitoplâncton-Zooplâncton (NFZ). Os resultados sugerem que a atividade de mesoescala impacta as populações planctônica no SE do Brasil. Observações *in situ* desses fenômenos são escassas. Nós apresentamos um esforço amostral inédito que revelou processos de submesoescala nas bordas de um meandro. Mostramos que a biota responde a diferentes regimes dinâmicos em partes distintas da feição. Inspirados pelas observações, escolhemos construir uma hierarquia de modelos idealizados acoplados a um modelo NFZ para investigar interações físico-biológicas em mesoescala e submesoescala. Utilizamos um modelo QG de 2 camadas também baseado em dinâmica de contornos, mostrando que a estacionariedade dos meandros se deve à natureza quase puramente baroclínica desse sistema. A advecção lateral transporta águas enriquecidas para o oceano aberto, impactando os campos NFZ juntamente com a ressurgência de mesoescala. Em seguida, um modelo continuamente estratificado é utilizado para investigar a dinâmica de submesoescala. Os resultados sugerem que processos de submesoescala aumentam a heterogeneidade na distribuição do plâncton, com as áreas preferenciais de elevada atividade biológica mudando do centro do vórtice num experimento com 10 km de resolução para as bordas do vórtice num experimento de 1 km de resolução. Por fim, investigamos brevemente fortes vieses na temperatura da superfície do mar (TSM) durante eventos de ressurgência costeira.

Palavras-chave: Ciclones de mesoescala. Sudeste do Brasil. Instabilidade baroclínica. Correntes de contorno oeste. Corrente do Brasil. Interações físico-biológicas. Clorofila. Bombeamento vortical. Modelos idealizados. Dinâmica de contornos. MITgcm. Submesoescala. Modelo NFZ. TSM. Ressurgência costeira. Viés. Cabo de São Tomé. Cabo Frio.

ABSTRACT

PEREIRA, Filipe. **Physical-biological interactions in the Brazil Current meanders.** 2023. 140 pp. Doctoral dissertation – Oceanographic Institute, University of São Paulo, São Paulo, SP, Brazil; and School for Marine Science & Technology, University of Massachusetts Dartmouth, New Bedford, MA, United States.

This doctoral dissertation discusses the basic dynamics of the mesoscale cyclonic quasi-stationary meanders of the Brazil Current and their impact on plankton distribution off SE Brazil. The wind-driven coastal upwelling systems in the region promote intrusions of nutrient-rich waters onto the continental shelf, supported by coastal divergence induced by the meanders. We perform experiments in a $1^{1/2}$ -layer quasi-geostrophic (QG) model based on contour dynamics coupled to a Nutrients-Phytoplankton-Zooplankton (NPZ) model. The results suggest that mesoscale activity impacts the planktonic populations off SE Brazil. *In situ* observations of these phenomena are scarce. We present an unprecedented sampling effort that revealed sub-mesoscale processes in a meander's rim. We show the biota responds to different dynamic regimes in distinct parts of the feature. Inspired by the observations, we choose to build a hierarchy of idealized models coupled to an NPZ model to investigate physical-biological interactions at mesoscale and submesoscale. We use a 2-layer QG model also based on contour dynamics, showing the meanders' stationarity is due to the almost pure baroclinic nature of this system. The lateral stirring transports enriched waters offshore, impacting the NPZ fields in addition to the eddy-induced upwelling. Then, a continuously stratified model is used to investigate submesoscale dynamics. The results suggest that submesoscale processes increase the heterogeneity in the NPZ distribution, with preferred areas for enhanced biological activity shifting from the eddy center in a 10 km resolution experiment to the eddy rim in a 1 km resolution experiment. Lastly, we briefly investigate strong biases in sea surface temperature (SST) during coastal upwelling events.

Keywords: Mesoscale cyclones. Southeastern Brazil. Baroclinic instability. Western boundary currents. Brazil Current. Physical-biological interactions. Chlorophyll. Eddy pumping. Idealized models. Contour dynamics. MITgcm. Submesoscale. NPZ model. SST. Coastal upwelling. Bias. Cape São Tomé. Cape Frio.

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Chapter 1

General Context

"I've known rivers:

Ancient, dusky rivers."

(The Negro speaks of rivers. Hughes, 1971)

1 Lateral buoyancy gradients—fronts—are ubiquitous in the upper ocean on several scales
2 (*Mahadevan, 2016*), and present intense biological activity with a high abundance of marine
3 organisms (*Olson et al., 1994; Bakun, 2006*). The biota is strongly influenced by intense eddy
4 fields associated with fronts (*e.g., Olson et al., 1994; Lévy et al., 2012; Mahadevan, 2016;*
5 *McGillicuddy, 2016; Freilich et al., 2022*). Such areas can be understood as sites where the
6 physical system increases the biological system's trophic energy by driving each trophic level's
7 productivity from the "minimal net gain" state present in the pelagic zone on a larger scale
8 (*Bakun, 2006*).

9 The oceanic mesoscale, where motions are basically under the geostrophic balance,
10 is typically characterized by Rossby numbers of $\mathcal{O}(0.1)$ and length scales of $\mathcal{O}(10\text{--}100\text{ km})$
11 (*Cushman-Roisin & Beckers, 2011, Chapter 1.7*). *McGillicuddy (2016)* describes several mech-
12 anisms by which mesoscale eddies can influence the chlorophyll distribution in the upper ocean.
13 Besides trapping and lateral transport of the planktonic communities within and by the ed-
14 dies, some phenomena such as eddy pumping, eddy-wind interaction, and eddy impacts on
15 the mixed layer depths, can modulate the planktonic growth rates, particularly due to nutrient
16 and/or light availability. Additionally, the mesoscale strain and convergence lead to frontogen-
17 esis, generating submesoscale processes (*e.g., Mahadevan & Tandon, 2006; Mahadevan et al.,*
18 *2008; Mahadevan, 2016; Siegelman et al., 2020; McWilliams, 2021*). At the submesoscale, we
19 escape the geostrophic balance and hydrostatics weakens due to the characteristic Rossby and
20 Richardson numbers of $\mathcal{O}(1)$, and length scales of $\mathcal{O}(1\text{--}10\text{ km})$ in mid-latitudes (*Lévy et al.,*
21 *2012*). This allows for the development of much higher vertical velocities (*Mahadevan et al.,*
22 *2008; Ramachandran et al., 2014*), whose timescales are expected to maximize phytoplankton
23 responses (*Freilich et al., 2022*).

24 Unlike its northern counterpart that bathes the wealthiest nations of the Global North,
25 the South Atlantic has historically received much less scientific interest (*Commun. Earth*

26 *Environ.*, 2022). Still, two areas in this ocean basin have garnered more attention from the
27 international scientific community: the Brazil-Malvinas Confluence ($\sim 50^\circ\text{W}$) and the Agulhas
28 Retroflexion ($\sim 20^\circ\text{E}$). As highlighted by *Rocha & Simoes-Sousa* (2022), these two regions
29 stand out as major hot spots of high eddy kinetic energy, i.e., enhanced mesoscale activity,
30 in the South Atlantic. These authors, however, draw attention to a less studied region where
31 a secondary maximum of eddy kinetic energy appears in the western boundary, between 20°S
32 and 30°S .

33 The Atlantic continental margin of South America is characterized by an intricate sys-
34 tem of western boundary currents, resulting from the multi-banded flow of the southern branch
35 of the South Equatorial Current (*Luko et al.*, 2021) arriving at different latitudes and depths
36 (*Piffer-Braga*, 2019; *Luko et al.*, 2021). Along the continental slope off southeastern Brazil, the
37 Brazil Current serves as the western boundary current of the South Atlantic Subtropical Gyre,
38 transporting warmer and saltier waters poleward at surface and pycnoclinic levels (*Stramma*
39 *& England*, 1999). Beneath the Brazil Current, the Intermediate Western Boundary Current
40 flows equatorward, carrying fresher and colder waters at depths up to 1000 m (*Böebel et al.*,
41 1999; *Silveira et al.*, 2004).

42 The presence of the São Paulo Plateau (22°S – 28°S), a topographic feature that re-
43 places the continental rise off Southeast Brazil and reduces the steepness of the continental
44 slope at depths below 2000 m (*Souza & Sgarbi*, 2019), deflects the Deep Western Boundary
45 Current—that transports the North Atlantic Deep Water poleward—further offshore (*cf. Luko*
46 *et al.*, 2021, Figure 15). This results in a single velocity inversion on the continental slope,
47 thereby forming a first-baroclinic-mode jet by the Brazil Current and the Intermediate Western
48 Boundary Current, known as the BC-IWBC jet, extending from the surface to intermediate
49 depths (*Silveira et al.*, 2008; *Biló et al.*, 2014; *Rocha et al.*, 2014).

50 The BC-IWBC jet presents strong mesoscale activity due to the change of the con-
51 tinental margin orientation in this region (*Silveira et al.*, 2004; *Calado et al.*, 2006, 2008,
52 2010). Large frontal meanders are frequently observed off Cape São Tomé (22°S), and Cape
53 Frio (23°S). These features exhibit a quasi-stationary nature (*Garfield*, 1990; *Silveira et al.*,
54 2008) and are formed roughly 2 – 4 year $^{-1}$ (*Silveira* et al.*, 2023), eventually pinching off as
55 cyclonic rings. Approximately 20% of the rings translate southwestward following the Brazil
56 Current flow, but 2% may propagate northward (*Silveira* et al.*, 2023), occasionally reaching
57 the latitude of 20°S (*Mill et al.*, 2015; *Silveira* et al.*, 2023). These meanders can evolve for

58 40–70 days reaching 40–80 km radii, on average (*Silveira* et al.*, 2023; *Uchoa et al.*, 2023).
59 Given their geographical extension and temporal persistence, we expect these Brazil Current
60 mesoscale features to condition the oligotrophic pelagic ecosystem off southeastern Brazil.

61 The region is also characterized by wind-driven coastal upwelling systems, active pri-
62 marily during spring and summer, particularly off the two capes (e.g., *Castro & Miranda*,
63 1998; *Castelao & Barth*, 2006; *Franchito et al.*, 2008; *Dereczynski & Menezes*, 2015; *Chen*
64 *et al.*, 2019; *Calil et al.*, 2021), and the southern flank of the Abrolhos Bank (19°S) (*Arruda*
65 *& Silveira*, 2019). Archaeological records suggest that the biological productivity associated
66 with these upwelling systems sustained indigenous populations in the area at least between
67 1600 and 1200 cal BP (*Macario et al.*, 2016). Although the geonym “Cabo Frio” (Cold Cape
68 in English) first appeared in the nautical charts of the Portuguese invaders at the beginning
69 of the 16th century (*Souza & Menezes*, 2011), the earliest scientific reports on the regionally
70 low temperatures off Cape Frio date from the mid-1950s (*Allard*, 1955; *Emilsson*, 1956; *Silva*,
71 1957). Since then, several studies have investigated the physical mechanisms involved in the
72 coastal upwelling phenomenon and its biological effects (e.g., *Emilsson*, 1961; *Ikeda et al.*,
73 1974; *Valentin et al.*, 1987; *Matsuura*, 1996; *Carbonel*, 1998; *Franchito et al.*, 2008; *Castelao*,
74 2012; *Carvalho-Batista et al.*, 2019).

75 A strong front with a temperature gradient of $O(10^{\circ}\text{C})$ over 10 km emerges in the
76 inner shelf during upwelling events in the vicinity of Cape Frio. A coastal jet then develops
77 due to geostrophic adjustment (*Calil et al.*, 2021). The continental shelf narrows at 23°S, and
78 because the Brazil Current can occupy the middle and external portions of the shelf (*Castro*
79 *& Miranda*, 1998), interactions between the Brazil Current and the shelf waters may occur
80 (*Calado et al.*, 2010; *Palóczy et al.*, 2014) (Figure 1.1).

81 Fronts are prone to the generation of submesoscale processes (*Mahadevan & Tandon*,
82 2006; *Mahadevan*, 2016). Such features can modulate exchanges between the continental shelf
83 and the open ocean in the region. They can function as transport corridors (*García-Muñoz*
84 *et al.*, 2004; *Zhang et al.*, 2023) of the newly-upwelled waters offshore, perhaps reaching the
85 Brazil Current meanders (*Calado et al.*, 2010; *Calil et al.*, 2021). We expect submesoscale
86 processes to play a crucial role in the ecology of the upper ocean off southeastern Brazil in
87 addition to the mesoscale dynamics of the BC-IWBC jet. Also, pelagic particle exports in this
88 region show drastic intra-seasonal fluctuations which have been linked to periodic instabilities
89 of the Brazil Current (*Tura et al.*, 2021). Such instabilities might be the recurrent quasi-

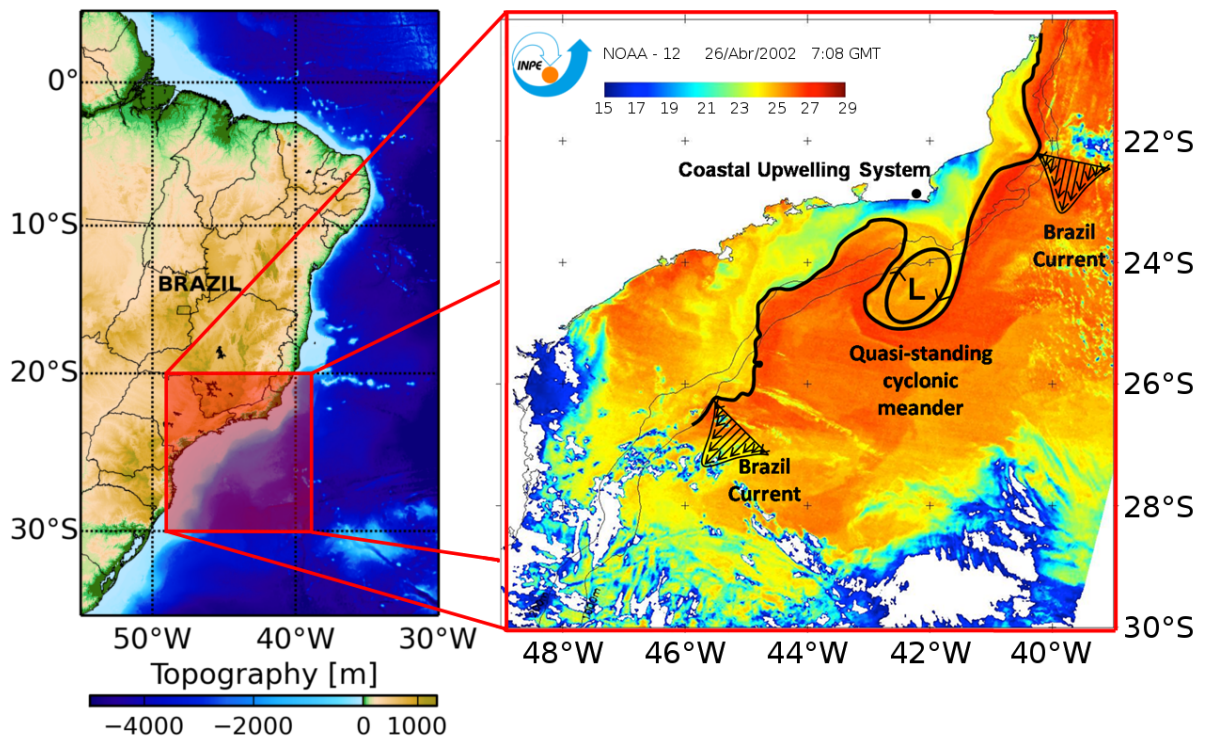


Figure 1.1: Dynamical scenario off southeastern Brazil. The location of its coastal upwelling zones, the Brazil Current, and its meanders. *Courtesy of Gilberto A. O. Watanabe.*

90 stationary meanders that can impact particle fluxes from the sunlit surface to depth (*Wang*
 91 *et al.*, 2022).

92 It is worth mentioning that the territorial sea between Cape Frio (23°S) and Vitória
 93 (20°S), known as the Campos Basin, is vital for the Brazilian economy. It holds an estimated
 94 89% of the country's oil and gas reserves (*Bruhn et al.*, 2003) and is responsible for about one-
 95 third of the national production (*Petrobras*, 2014; *Rodrigues*, 2021). Unfortunately, offshore
 96 oil exploration in the Campos Basin caused a significant oil spill in 2011, releasing 3700 m³ of oil
 97 (*Maianti et al.*, 2014; *Zacharias & Fornaro*, 2020; *Gouveia et al.*, 2021) whose environmental
 98 impacts were unclear (*Darlington*, 2011). Moreover, it comprises the occurrence range of
 99 the Brazilian sardines—*Sardinella brasiliensis* and *Opisthonema oglinum*—whose life cycles
 100 are intrinsically connected to the local oceanography (*Matsuura*, 1996; *Gouveia et al.*, 2017).
 101 Despite the collapse in the fishing industry (*Jablonski*, 2007), the sardines remain the most
 102 landed species (*DEPOP*, 2021; *FIPERJ*, 2021) and are of critical importance to local fishing
 103 communities (*Dallagnolo et al.*, 2010; *FIPERJ*, 2021).

104 Although the occurrence of meanders in the Brazil Current has been reported since
 105 the 1970s by *Mascarenhas Jr. et al.* (1971), *in situ* observations during such events are

106 scarce. Additionally, only a handful of studies have investigated physical-biological interac-
107 tions in this region (e.g., *Yoshinaga et al.*, 2010; *Namiki et al.*, 2017; *Bonecker et al.*, 2019).
108 Its strategic importance, in both societal and scientific aspects, highlights the need to improve
109 our understanding of the circulation in the Campos Basin and, consequently, the associated
110 physical-biological interactions. The primary objective of this doctoral dissertation is to in-
111 vestigate the basic mechanisms underlying the dynamics of the Brazil Current meanders off
112 southeastern Brazil, including how mesoscale and submesoscale motions can affect the dis-
113 tribution of plankton. We will also propose mechanisms that link the Brazil Current and the
114 continental shelf and briefly address problems related to the use of remote-sensing to monitor
115 the Cape Frio upwelling system. The dissertation is structured as follows:

- 116 • In Chapter 2, we initially show that satellite observations of chlorophyll-*a* in the Brazil
117 Current eddies drastically differ from summer to winter. We then build a quasi-geostrophic
118 (QG) equivalent-barotropic model to study the eddy pinch-off from the Brazil Current
119 coupled to a Nutrients-Phytoplankton-Zooplankton (NPZ) model and study scenarios
120 where we mimic summer and winter conditions;
- 121 • In Chapter 3, we go to the sea and explore insights from an unprecedented sampling
122 effort of a Brazil Current meander at the end of the spring of 2019 with one single line
123 of measurements. We describe how the mesoscale and submesoscale motions affect the
124 chlorophyll-*a* distribution across the transect;
- 125 • In Chapter 4, inspired by the observations and based on the literature, we systematically
126 develop a suite of idealized models with increasing complexity coupled to an NPZ model
127 to understand better the physical-biological interactions that may occur in mesoscale
128 and submesoscale in the Brazil Current meanders;
- 129 • In Chapter 5, we show an attempt to investigate the Cape Frio upwelling system using
130 Level 4 and Level 2 Sea Surface Temperature products. We demonstrate why this
131 attempt was a failure by relating it to the intrinsic nature of the upwelling process;
- 132 • In Chapter 6, we wrap up with a summary and concluding remarks;
- 133 • Finally, in Chapter 7, we present abstracts of other scientific projects in which the
134 candidate participated during their Ph.D., which are not necessarily related to the kernel
135 of this dissertation.

Chapter 6

Summary and Closing Remarks

1 This doctoral dissertation aimed to investigate physical-biological interactions that may
2 occur during meandering events of the Brazil Current as it past capes off southeastern Brazil.
3 The drastic change of the continental margin direction between Cape São Tomé (22°S) and
4 Cape Frio (23°S) leads to the formation of these quasi-stationary perturbations primarily
5 driven by baroclinic instability. Coastal upwelling systems can be active over the continental
6 shelf whose enriched waters can be entrained by the meanders. Therefore, these conspicu-
7 ous mesoscale features can contribute to fertilizing the western boundary of the oligotrophic
8 subtropical gyre.

9 We first sought a theoretical approach as a starting point for our studies based on
10 surface chlorophyll-*a* satellite images of the Brazil Current eddies in winter and summer. We
11 used an idealized Brazil Current structure in a 1^{1/2}-layer contour dynamics model coupled to a
12 simple Nutrients-Phytoplankton-Zooplankton (NPZ) model and imposed different mixed layer
13 depths for winter (deeper) and summer (shallower) to mimic the seasonality. Our goal was
14 to study the eddy necking-off process with the simplest dynamics possible. Our experiments
15 evolved into a special case, already reported in the literature, where the rings pinch off and
16 propagate upstream. The biological model results showed that deeper mixed layers, hence
17 higher nutrient availability, induce stronger responses in the NPZ fields which correspond to
18 the satellite images during winter where we find stronger signals of surface chlorophyll-*a* when
19 compared to summertime images.

20 The conceptual ideas brought from this initial theoretical study could be broadened by
21 the unique opportunity of the ILHAS 3 cruise, where we could survey an eddy off Cape São
22 Tomé at the end of the spring of 2019. The satellite images showed a belt of high surface
23 chlorophyll-*a* along the meander's rim. We designed an experiment that unveiled new perspec-
24 tives on the physical processes acting in the plankton distribution. Despite the technological
25 limitations, we presented the more detailed and complete survey on record, showing the first
26 direct measurements of velocities in a Brazil Current quasi-stationary meander. Our results
27 suggest that the meander was compressed against the continental slope and indeed entrained

28 shelf water.

29 The relatively high horizontal resolution of the transect revealed convex-shaped isopyc-
30 nal distortions in the meander's edges that deviate from the expected cyclonic signature. We
31 interpreted such features as the signature of submesoscale filaments enveloping the meander.
32 Although downstream responses could not be assessed, the filament clearly impacted phy-
33 toplankton distribution across the transect as cross-isopycnal upward ejections of chlorophyll
34 were captured. Still, we unequivocally identify that eddy pumping drove the chlorophyll re-
35 sponse in the eddy center with a prominent deep chlorophyll maximum identified below the
36 mixed layer, a distinct scenario from the one anticipated by the satellite image.

37 Our findings suggest that chlorophyll distribution across the meander can be related to
38 different dynamic regimes in different parts of the meander, namely: eddy stirring within the
39 mixed layer, eddy pumping at subsurface below the mixed layer, and intense vertical velocities
40 agitating the meander's periphery due to submesoscale processes. We also hypothesized that
41 less stratified water columns and deeper mixed layers in winter could facilitate the eddy pumping
42 signature in chlorophyll to cross the mixed layer base and reach the surface, which could
43 explain why satellite-derived surface chlorophyll-*a* is higher in wintertime compared to summer
44 conditions.

45 Later, we took advantage of the known first baroclinic mode structure of the west-
46 ern boundary current system off southeastern Brazil to develop a two-layer quasi-geostrophic
47 model, also based on the contour dynamics technique and coupled to a modified NPZ model.
48 Here we sought to take a step back and investigate the formation of the quasi-stationary
49 meanders and the associated lateral stirring effect on the NPZ fields. Our simple model was
50 able to induce baroclinic instability and could represent the quasi-stationary behavior during
51 the meander's growth, which we attributed to the almost pure first baroclinic mode structure
52 of the jet composed by the Brazil Current and the Intermediate Western Boundary Current in
53 mean terms. The NPZ model results showed that an enriched western boundary acted as a
54 source of tracers to the model's domain due to lateral advection shown by a tongue of higher
55 concentration along the northern edge of the simulated meander, in addition to the plankton
56 growth due to the mixed layer shallowing due to eddy-induced upwelling within the meander.

57 Of course, the QG model had severe limitations and was unable to represent sub-
58 mesoscale processes that the observations showed to be relevant. We then built upon the
59 two-layer structure using the equivalence between a two-layer system and one continuously

60 stratified truncated to the barotropic and first baroclinic modes. This system was solved using
61 a primitive equation model used to force the same modified NPZ model. Two experiments
62 were performed: one with a 10 km horizontal resolution, which corresponds to roughly a third
63 of the first baroclinic deformation radius off southeastern Brazil and is coarse enough to re-
64 solve basically the mesoscale dynamics; and one with a 1 km horizontal resolution expected to
65 resolve the upper limit of the submesoscale dynamics.

66 The 10 km resolution experiment had an overall behavior similar to the two-layer model.
67 The Rossby number, Ro , was generally small so we reproduced mesoscale processes. The 1 km
68 resolution experiment evolved into a profusion of smaller-scale eddies and filaments along the
69 meander's rim with submesoscale cyclones and anticyclones identified by spots of high Ro in
70 the mixed layer. The meander dynamics strongly influenced the NPZ tracers' distribution. Our
71 results showed a shift in the areas of the meander where we found higher biological activity:
72 the eddy center in 10 km resolution experiment, whereas, in the 1 km resolution experiment,
73 the meander's periphery showed higher activity.

74 Lastly, we attempted to investigate the frontal dynamics of the Cape Frio Upwelling
75 System using Level 4 and Level 2 Sea Surface Temperature (SST) satellite products since
76 the Brazil Current can takeover the middle and inner shelf and then interact with the coastal
77 upwelling systems, particularly during meandering events. However, the satellite-derived SST
78 had discrepancies in both the magnitude and temporal variability when compared to *in situ*
79 observations. We found strong biases, of $\sim 4^\circ\text{C}$, during upwelling events which hinder the use
80 of L2, and especially L4 products, to study the coastal upwelling.

81 This dissertation presents a comprehensive set of studies aimed at investigating the
82 physical-biological processes in the Brazil Current quasi-stationary meanders. Our research
83 demonstrates that despite simple, idealized models can prove to be remarkably beneficial in
84 gaining insight into oceanographic processes and in inspiring ideas for the design of field exper-
85 iments. Specifically, the continuously stratified model of the quasi-stationary meanders holds
86 promise as a tool for examining both physical and biological processes in this system, provided
87 appropriate modifications are incorporated in the future. We hope our findings have high-
88 lighted the vast array of interdisciplinary problems that remain to be explored off southeastern
89 Brazil.

"Simplicity is the ultimate sophistication"
(Gaddis, 1955, p. 457)

Chapter 7

Collaborations

7.1 Publications

1 **Effects of the seasonality of mesoscale eddies on the planktonic**
2 **dynamics off eastern Brazil**

3 Published in **Dynamics of Atmospheres and Oceans** (2022). Co-authored by
4 Caique D. Luko, **Filipe Pereira**, Ilson C. A. da Silveira, Amit Tandon, and Glenn R. Flierl.
5 DOI: 10.1016/j.dynatmoce.2022.101299.

6 **Abstract:**

7 The South Equatorial Current's southern branch (SSEC) reaches the Brazilian conti-
8 nental margin through several bands between 30°S and 10°S. As the SSEC 14°S band reaches
9 the Brazilian continental margin, it bifurcates into the poleward-flowing Brazil Current (BC),
10 and the surface portion of the equatorward-flowing North Brazil Undercurrent (NBUC). Some
11 semi-permanent eddies are formed in association with the bifurcation, and their variabil-
12 ity is controlled by the seasonality of the SSEC bands. This work aims to investigate the
13 role of the seasonality of these mesoscale eddies on the regional phytoplanktonic dynamics.
14 We analyze chlorophyll-a satellite observations and perform two experiments using a Nu-
15 trients–Phytoplankton–Zooplankton (NPZ) model coupled to a 1^{1/2}-layer quasi-geostrophic
16 model. The results show that the phytoplankton annual cycle off eastern Brazil is mainly con-
17 trolled by the seasonally varying advection of material offshore caused by the mesoscale eddies.
18 Such a mechanism may represent an important source of material to the tropical oligotrophic
19 ocean.

20 **Keywords:** Physical-biological interactions. Eastern Brazilian coast. Mesoscale eddies. Eddy
21 stirring. Surface chlorophyll. Upper ocean.

22 **Mixed layer eddies supply nutrients to enhance the spring phyto-**
23 **plankton bloom**

24 Published in **Frontiers in Marine Science** (2022). Co-authored by Iury T. Simoes-
25 Sousa, Amit Tandon, **Filipe Pereira**, Cauê Z. Lazaneo, and Amala Mahadevan.
26 DOI: 10.3389/fmars.2022.825027

27 **Abstract:**

28 Mixed layer eddies resulting from baroclinic instability of fronts convert horizontal buoy-
29 ancy gradients into vertical stratification, shoaling the mixed layer. In light-limited regimes–
30 high-latitudes– this process can initiate phytoplankton blooms prior to the springtime warming.
31 The question is whether mixed layer eddies can enhance the spring bloom by delivering nutri-
32 ents from beneath the mixed layer. We couple a submesoscale-resolving model (SUB) with a
33 simple ecosystem model and examine the role of mixed layer eddies on the development of the
34 spring bloom. We compare the SUB simulation to two coarser resolution (10km) simulations,
35 one that includes a mixed layer eddy parameterization (MLE) and another that prescribes the
36 restratification from SUB and advects the biogeochemical tracers using geostrophic velocities
37 (NVF). The MLE simulates restratification of the mixed layer and bloom onset, but the spring
38 bloom has a deficit of 10–13% in the new production compared to SUB. The NVF has the
39 same restratification as SUB, and with no vertical flux of nutrients, leads to a spring bloom
40 with a 32–40% new production deficit compared to SUB. Submesoscale processes lead to
41 exchange across the mixed layer base, which is not represented in coarse resolution model
42 simulations, even with mixed layer eddy parameterizations. Our results show that nutrients
43 supplied by mixed layer eddies are important to enhance the spring bloom.

44 **Keywords:** Submesoscale. Nutrient fluxes. Phytoplankton. Vertical transport. Upper ocean.
45 Mixed layer. Eddy parameterization. Restratification.

46 **The Brazil Current quasi-stationary unstable meanders at 22°S–**
47 **23°S**

48 Published in **Progress in Oceanography** (2023). Co-authored by: Ilson C. A. da
49 Silveira¹, **Filipe Pereira**¹, Glenn R. Flierl, Iury T. Simoes-Sousa, André Palóczy, Milton
50 Borges-Silva, and César B. Rocha.

51 DOI: 10.1016/j.pocean.2022.102925

52 **Abstract:**

53 The most conspicuous oceanographic features off Southeastern Brazil are the quasi-
54 stationary eddies formed off Capes São Tomé (CSTE) and Frio (CFE) associated with the
55 Brazil Current (BC). We use SST and SSH products to infer eddy growth rates. These two
56 independent data sets reveal an average growth rate of 0.06 day^{-1} , and propagation speeds
57 are virtually null. CFE tends to be more unstable and frequent than CSTE (3.5 vs. 2.3
58 events per year). CSTE can propagate toward the north or south while CFE only propagates
59 southward. We perform potential vorticity (PV) inversion calculation using hydrographic data
60 to understand how the meander growth occurs in the baroclinic jet formed by the BC and
61 the Intermediate Western Boundary Current (IWBC). PV anomalies in one layer enhanced the
62 anomalies on the other. This result offered in situ evidence of baroclinic conversion occurring
63 during the eddy event captured in the observations. We then build a theoretical two-layer
64 model calibrated with the observations seeking to explain why the eddies grow in place, and
65 no accompanying unstable anticyclones are formed. The experiments lead us to conclude that
66 the eddies' quasi-stationarity is due to the almost pure baroclinic nature of the BC-IWBC jet.
67 We also find that the western boundary inhibits the formation of anticyclones. As observed in
68 satellite imagery, the meanders develop as isolated disturbances that may grow simultaneously
69 or not.

70 **Keywords:** Brazil Current. Baroclinic instability. Mesoscale eddies. Western boundary
71 currents. Conservation of potential vorticity. Contour dynamics. South Atlantic. Cape Frio.
72 Cape São Tomé.

¹Contributed equally to this work and share the first authorship.

73 **Topographically-generated submesoscale shear instabilities associ-**
74 **ated with Brazil Current meanders**

75 Published in *Journal of Physical Oceanography* (2023). Co-authored by: Caique
76 D. Luko, Cauê Z. Lazaneo, Ilson C. A. da Silveira, **Filipe Pereira** and Amit Tandon.
77 DOI: 10.1175/JPO-D-22-0122.1

78 **Abstract:**

79 The western boundary current system off southeastern Brazil is composed of the pole-
80 ward flowing Brazil Current (BC) in the upper 300 m and the equatorward flowing Intermediate
81 Western Boundary Current (IWBC) underneath it, forming a first-baroclinic mode structure
82 in the mean. Between 22°S and 23°S, the BC-IWBC jet develops recurrent cyclonic meanders
83 that grow quasi-stationarily via baroclinic instability, though their triggering mechanisms are
84 not yet well understood. Our study thus aims to propose a mechanism that could initiate the
85 formation of these mesoscale eddies by adding the submesoscale component to the hydrody-
86 namic scenario. To address this, we perform a regional 2-km resolution numerical simulation
87 using CROCO (Coastal and Regional Ocean COmmunity model). Our results indicate that
88 incoming anticyclones reach the slope upstream of separation regions and generate subme-
89 soscale barotropic shear instability that triggers the meanders' formation. Subsequently, this
90 process generates submesoscale cyclones that contribute, along with baroclinic instability, to
91 the meanders' growth resulting in a submesoscale-to-mesoscale inverse cascade. Lastly, as the
92 mesoscale cyclones grow, they interact with the slope generating inertially and symmetrically
93 unstable anticyclonic submesoscale vortices and filaments.

94 **Keywords:** Brazil Current. Mesoscale cyclones. Cape São Tomé. Cape Frio. Barotropic
95 instability. Baroclinic instability. Symmetric instability. Inertial instability. Submesoscale
96 coherent vortices. Inverse cascade.

7.2 Mentorship

97 **B.Sc. Thesis: Physical-biological interactions in the mesoscale**
98 **features of the northern branch of the South Equatorial Current**
99 **Bifurcation (2019)**

100 Student: Caique D. Luko; Advisors: Prof. Ilson C. A. da Silveira, Prof. Amit Tandon, Prof.
101 Glenn R. Flierl, **Filipe Pereira**.

102 **Abstract:**

103 The large-scale circulation in the South Atlantic Ocean is characterized by a large anti-
104 cyclonic gyre, and the current that limits this gyre to the north is the South Equatorial Current
105 (SEC). When the southern branch of the SEC reaches the Brazilian continental margin, this
106 current bifurcates. The most known patterns regarding the South Equatorial Current Bifur-
107 cation (SECBi) are of large scale. In the upper ocean, the mesoscale patterns are best known
108 in the southern branch of the SECBi, which is associated with the formation of the Brazil
109 Current. There are no studies on the mesoscale activity associated with the northern branch
110 of the surface layer SECBi or on the physical-biological interactions within these mesoscale
111 structures. This work aims to characterize the mesoscale structures associated with the sur-
112 face layer SECBi northern branch as well as the effect of these structures on the dynamics of
113 the phytoplankton and the zooplankton. To accomplish that, we analyze: i) the mesoscale
114 structures climatological patterns and annual cycle using data from altimetric satellites; and
115 ii) the results of a Nutrients-Phytoplankton-Zooplankton (NPZ) forced by a 1 1 / 2 layer
116 hydrodynamical model under quasi-geostrophic approximation. We show that the mesoscale
117 activity of the SECBi northern branch occurs as an elliptical cyclone with a climatological
118 signature in autumn and winter (Aracaju Cyclone), and an anticyclone with an annual clima-
119 tological signature that is formed by the SEC meandering over the Stocks Seamounts (Stocks
120 Anticyclone). These mesoscale structures cause an increase in chlorophyll-a concentration in
121 the region during autumn and winter by combined effects of the advection of material from
122 the coast and the variations in the mixed layer thickness on the NPZ dynamics.

123 **B.Sc. Thesis: Comparison of chemosynthetic primary production**
124 **around the Vitória-Trindade Ridge, Cape São Tomé and Cape Frio,**
125 **Southwest Atlantic Ocean (2022)**

126 Student: Beatriz R. Pinheiro; Advisors: Prof. Camila N. Signori, **Filipe Pereira.**

127 **Abstract:**

128 The Brazilian coast is dominated by several oceanographic phenomena that have the
129 potential to cause upwelling. The interaction of the southern branch of the South Equatorial
130 Current with the seamounts of the Vitória-Trindade Seamount Ridge, the Cape São Tomé eddy,
131 and the coastal upwelling in Cape Frio can increase the chemosynthetic primary productivity of
132 these regions due to the elevation of the isopycnal and the supply of nutrients to the euphotic
133 zone. The main objectives of this study were to compare chemosynthesis in the epipelagic zone
134 (0–200 m) of the three areas and, to evaluate the distribution of chemosynthesis throughout
135 the water column in the Vitória-Trindade Seamount Chain (0–2900 m) and the Cape São
136 Tomé eddy (60–500 m). The samples were collected in February 2017 and December 2019
137 onboard the R/V *Alpha Crucis*. Water samples were collected using Niskin bottles coupled
138 to the CTD-Rosette system. The chemosynthesis was measured with the radioisotope ^{14}C -
139 bicarbonate and temperature, salinity, dissolved oxygen, fluorescence, and inorganic nutrients
140 were also collected. Throughout the water column, chemosynthesis rates varied between 0.001
141 and $0.57 \mu\text{g C L}^{-1} \text{h}^{-1}$. In the euphotic zone, the highest rate of $0.34 \mu\text{g C L}^{-1} \text{h}^{-1}$ was found
142 at 5 m in Cape Frio. Considering the vertical profile, the chemosynthesis rates in the VTR
143 and CSTE were higher in the meso- and bathypelagic, with values reaching $0.57 \mu\text{g C L}^{-1} \text{h}^{-1}$
144 at 120 m and $0.48 \mu\text{g C L}^{-1} \text{h}^{-1}$ 1500 m. Chemosynthesis showed no significant correlation with
145 temperature, salinity, dissolved oxygen, and inorganic nutrients, which may be an indication
146 that there was a mixing of isopycnal. With this, it can be concluded that the chemosynthesis
147 rates of the three regions respond in different ways to the three oceanographic processes that
148 depend on the vertical velocities. Although the chemosynthetic rates found in this work are
149 relatively low when compared to other oceanic regions, such as the North Atlantic, and typical
150 environments, it is recommended to include this process in quantitative studies of total primary
151 production and for a better understanding of the marine Carbon cycle.

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