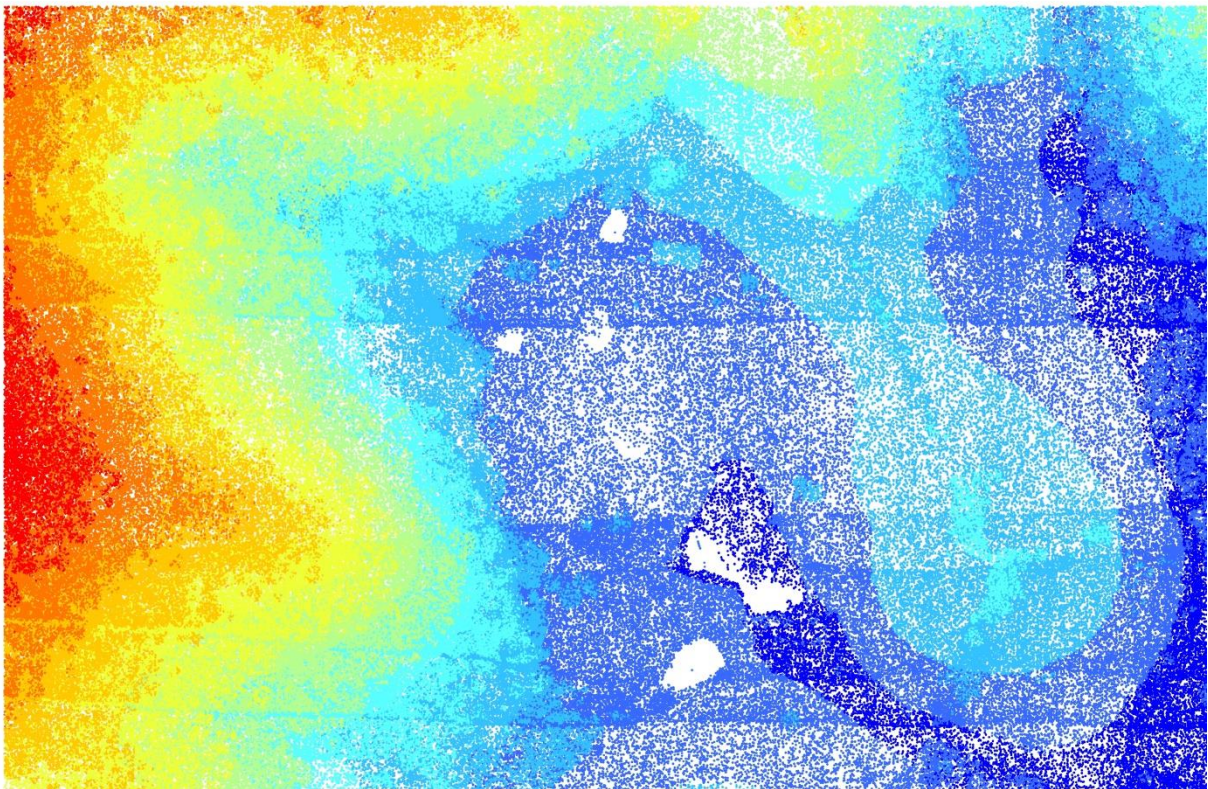


# **Estoque de carbono em paisagens tropicais fragmentadas**

Carbon stocks in the tropical fragmented  
landscapes



Isabella Romitelli

São Paulo  
2019

Isabella Romitelli

Estoque de carbono em paisagens tropicais  
fragmentadas

Carbon stocks in the tropical fragmented  
landscapes

Tese apresentada ao Instituto de  
Biotecnologia da Universidade de São Paulo,  
para a obtenção de Título de Mestre em  
Ciências, na Área de Ecologia.

Orientador: Jean Paul Walter Metzger

Coorientador: Jomar Magalhães Barbosa

São Paulo

2019

## Ficha Catalográfica

---

Romitelli, Isabella. Estoque de carbono  
em paisagens tropicais fragmentadas  
126 páginas

Tese (doutorado) - Instituto de  
Bióciências da Universidade de São Paulo.  
Departamento de Ecologia.

1. Florestas secundárias 2.  
Biomassa 3. Mata Atlântica I.  
Universidade de São Paulo. Instituto de  
Bióciências. Departamento de Ecologia.

## Comissão Julgadora

---

Profa. Dr. (a)

---

Profa. Dr. (a)

---

Profa. Dr. (a)

---

Prof. Dr. Jean Paul Walter Metzger

# Dedicatória

---

Dedico essa tese a toda jovem comunidade científica. Ser cientista num ambiente com muitas adversidades não é uma tarefa fácil, mas enxergar a resistência e resiliência em cada um de vocês, me fortalece!

## Epígrafe

---

*"Para nacer, sólo basta un empujón  
La vida viene y no depende de un millón  
Para aprender a pararse, hay que intentar  
Lanzarse al suelo y volverse a levantar*

*Y caminando no serás el más veloz  
Pero el paisaje suele ser mucho mejor  
El mundo entere rodará bajo tus pies  
De ti depende, lo que quieras recorrer"*

*"Monsieur Periné - No Hace Falta"*

## Agradecimentos

---

Conseguir agradecer em poucas palavras as pessoas que ajudaram a fazer desse tese, uma tese, é talvez o maior desafio que eu tive no doutorado. Foram quatro anos de muito aprendizado, superação de obstáculos, viagens, encontros e despedidas. Mas eu consegui, ao fazer uma análise do que foram esses 30 meses, traçar um paralelo do tema da minha tese.

Eu, assim como as florestas secundárias da Mata Atlântica, sofri a influência de fatores em escala local e numa escala mais ampla. Foram muitas adversidades que encontrei nessa zona, como por exemplo, reprovação no exame de qualificação, rejeições, pedidos de prorrogação realizados e conflitos acadêmicos e não acadêmicos. Nesses momentos, era difícil não sentir sob o padrão usual de resposta a esses fatores, com regeneração lenta e perda substancial de energia para se estar de pé. Mas eu fui entendendo que todas essas variáveis interagem negativamente com outras variáveis que também existem nessa zona da pós-graduação, de alta exposição e vulnerabilidade, como a conexão com outras florestas de pessoas, que estavam na borda como eu, ou que já estavam recuperadas e mais estruturadas ao ponto de poder contribuir com minha regeneração e crescimento. Essa conexão foi feita por muitos processos baseados em companheirismo, afeto e carinho. E aí nessa região exposta e vulnerável a todas essas variáveis, no fim, eu obtive um padrão inesperado, onde todas essas variáveis (positivas e negativas) me permitiram crescer, me recuperar e apresentar uma estruturação que fugia da resposta comum que se esperava a partir de toda trajetória apresentada. Eu espero, que esse padrão inesperado também seja mais comum do que se esperava e aconteça em diferentes contextos para outras florestas.

Gostaria de agradecer a todas as pessoas que estiveram nessa trajetória acompanhando todas as etapas do doutorado e que me ajudaram com fatores positivos na minha regeneração.

Agradeço ao Jean Paul, por me dar mais uma vez a oportunidade de realizar a pesquisa que eu queria e fomentar as ferramentas necessárias para isso. Muito obrigada por confiar em mim e me dar tanta autonomia, o que fomentou minha autoconfiança e segurança ao longo do projeto. Não posso deixar de agradecer também a todos os esforços que fez ao corrigir versões dos meus artigos tão rápido e de forma tão efetiva, inclusive em

alguns momentos difíceis e em férias. Obrigada por ser esse relevante driver na minha trajetória, Jean.

Agradeço ao Jomar, que me ajudou desde o primeiro exame de qualificação até os últimos dias da tese. Suas contribuições como avaliador foram tão construtivas, que você como uma variável que poderia atuar negativamente no meu progresso por ser meu examinador, me fortaleceu. Jomar, agradeço especialmente por contribuir na minha formação de forma tão horizontal e me fazer acreditar que você estava no meu time. Não posso deixar de agradecer por você ter me recebido na sua casa e no seu trabalho, quando eu estava no clímax da minha tese. Essa visita foi essencial para eu ter a paciência e perseverança necessária para concluir a tese. Obrigada pela coorientação e pelas indagações sobre o trabalho, o que me permitiu trabalhar minha segurança e independência.

Agradeço aos membros do meu comitê de acompanhamento, que ao longo desses quatro anos estiveram acompanhando meu processo de formação. Obrigada Simone, Leandro e Michael. A cada reunião reunida com vocês me senti como parte de uma comunidade científica, onde fui capaz de discutir meu projeto com outras cabeças de contextos distintos e complementares.

Agradeço a Roze, ao Alê Adalardo e novamente ao Jomar pelas contribuições ao primeiro capítulo e na minha formação durante o exame da qualificação. A rejeição naquele momento foi uma das maiores que já havia enfrentado, mas compreendo que esse evento só permitiu obter mais resiliência a futuros e talvez piores rejeições que poderiam vir.

Agradeço a comunidade lepaquiana, desde os primórdios e ao recém-chegados. Me orgulho muito de pertencer a esse laboratório. Não digo apenas pela incrível produção científica, o que de fato se destaca por onde passa, mas poder contar com tanta diversidade em áreas de pesquisa, abordagens, trabalhos de campo e cafés. Cada uma dessas situações forneceu elementos para construir um sentimento de pertencimento, pertencimento esse que levarei comigo pra onde eu for.

Agradeço às peças fundamentais do meu doutorado, que com certeza realizaram muito mais do que deveria para eu concluir minha tese. Obrigada Welington por dar todo o auxílio operacional de trabalho no laboratório, com tanta atenção e preocupação. Agradeço a Vera pela enorme empatia e dedicação em me ajudar em todas as fases do doutorado. Seu carinho dá o sopro de energia que muitas vezes

precisamos, além de nos dar a segurança de que tudo correrá bem. Por último agradeço ao Glauco e Paulo Inácio, que como coordenadores do programa de pós-graduação, permitiram a experiência de realizar uma pós-graduação num ambiente rico e auspicioso. Obrigada também pela atenção e preocupação ao longo do processo.

Aumentando a escala, agradeço aos amigos que encontrei na Eco, por meio de participação de comissão do EcoEncontros e EcoEscola, que me permitiram crescer pessoalmente e profissionalmente. Agradeço a oportunidade de conviver com vocês e conhecer a diversidade de temas e contextos e aprender com isso. Agradeço ao Instituto de Biociências e a Universidade de São Paulo, por promover todo o suporte necessário para eu realizar minha pesquisa e formação, desde de disciplinas até ao incrível CEPEUSP essencial para manutenção da minha saúde mental.

Agradeço a oportunidade de realizar um ano de trabalho de campo, por ele ser como planejei e por ele ter sido tão bem sucedido. Primeiramente agradeço a todos os proprietários, que me deram permissão para realizar minha pesquisa. Agradeço às pessoas que me ajudaram em campo, sem elas o campo com certeza não teria tão bom desempenho, certamente. Obrigada Renê, Cido, Rodrigo, Chico, Adrian, Monte e Thiago pelo trabalho em equipe e companhia.

Agradeço a CAPES pela concessão da minha bolsa de doutorado, obviamente sem ela não seria possível me formar. Agradeço a FAPESP por fomentar integralmente meu trabalho de campo e auxiliar todas as viagens que fiz, apresentando em congressos. Financeiramente também agradeço a Associação Latino-Americana e Internacional de Ecologia de Paisagens, que por meio de auxílios de viagens, tornaram a ida ao Chile e Itália mais possível. Poder ver investimento em ciência e na formação do cientista ainda é uma das coisas que me dá esperança de um mundo melhor. Espero e luto que isso perdure e não se perca por governantes ignorantes.

Agradeço mais uma vez aos meus amores do Hand Barango, que se trata de uma das maiores representações de trabalho de equipe que já vi até aqui. E não somente por tratar de um esporte coletivo, mas porque conta com muita amor, compreensão e companheirismo de mulheres incríveis! Obrigada por sempre me receberem de braços tão abertos.

Gostaria de agradecer a algumas pessoas que não são do meu laboratório, mas senti profunda conexão e sensação de pertencimento. Obrigada sopita, por cruzar o meu caminho e me fazer enxergar a mulher forte que sou,



com tanto cuidado e atenção. Me espelho muito em ti. Obrigada Solimary por ser um pote de ouro depois do arco-íris, você emana amor e a expressa de muitas formas, sou muito grata por captar teus raios de sol. Obrigada Dieguito de mi corazón por ser esse brigadeiro que és e por ter chegado nos últimos segundos da tese e ainda assim ser esse parceiro maravilhoso, sempre reforçando o que sou e onde cheguei.

Agradeço ao time do carbono e wannabe, que virou noix. Nati, Ka e Chico, foi muito contar com vocês como grupo e individualmente. Com vocês eu aprendi a me relacionar com diferentes níveis de distância e ainda assim de uma forma tão bela e profunda. Obrigada também a todos as companhias de viagem a congresso. Contar com a parceria em viagens que vão além dos próprios congressos é uma dádiva e permitiu compartilhar tantas experiências e lugares novos com pessoas tão incríveis.

Agradeço a minha família por todo apoio até aqui. Por acreditarem em mim e por demonstrar tanto apoio e admiração em cada conquista. Obrigada pai, por me toda paciência e segurança pra eu conseguir realizar meus sonhos e chegar aonde cheguei. Sei que você faz isso por mim e pela mãe. Agradeço também a parte da minha família baiana a qual me reconectei recentemente, mas me senti como desde sempre parte. A agregação de dados da Bahia não poderia ter sido tão rica a tese e a mim.

Agradeço às minhas amigas da infância, Ju e Rê e todos os bibolas da graduação que mesmo não estando tão próximos, ajudaram pontualmente e de forma tão intensa a fortalecer minhas raízes. Agradeço ao Renê por todo companheirismo na concepção desse projeto e no início dele. Essa parceria foi essencial para eu iniciar esse novo caminho e perseguir meus sonhos e planos. Obrigada por tamanha paciência e compreensão. Agradeço também a sua família por ter me recebido como integrante da família e me dar tanto afeto e amor, obrigada Zezinho, Ro e Belle. Levo esse amor comigo.

Agradeço a família que fiz compartilhando morada. Vocês transformaram uma casa num verdadeiro lar. Obrigada Eric, Maria e Adrian por todos momentos partilhados na nuestra casita em diferentes momentos! Obrigada por todo suporte, paciência e amor. As lindas plantinhas de casa só são reflexos dessa energia. Agradeço especialmente ao Adrian por ser meu companheiro de casa, laboratório, viagens - literais e figurativas. Aprender contigo, seja com os meus ou seus problemas, e poder partilhar as pequenas e grandes coisas da vida me enche a alma.

Agradeço ao Andrés pelo apoio e suporte à sua maneira. Obrigada por pelo companheirismo em tanto momentos lindos e felizes, como nos perrengues de tramar de madrugada no IB e deadlines tão próximos. Conhecer-te foi um dos grandes presentes da minha vida, obrigada por esse encontro e por permitir que perdure mesmo com as adversidades.

Agradeço a todas as paisagens que serviram de inspiração para tese. Elas vão desde vista da sacada da casa de campo na Cantareira e quintal de casa com o Kal em Caraíva até floresta Amazônica, Cordilheira dos Andes e Dolomitas. Foram muitos ecossistemas visitados durante essa tese e acredito que essa heterogeneidade foi essencial na exploração de tanta variação espacial dos dados.

Provavelmente devo ter esquecido o nome de alguém aqui, mas sabia que sou grata ainda assim. Penso que a gratidão é um exercício diário e sou grata a cada momento que vivi. Muito obrigada, gente!

# Índice

---

Resumo	10
Abstract	11
Introdução geral	12
<b>Capítulo 1: “Inverted edge effect pattern on carbon stocks in secondary tropical forests”</b>	
Abstract	21
1. Introduction	22
2. Methods	25
3. Results	37
4. Discussion	38
5. Conclusion	42
References	43
Tables and Figures	50
<b>Capítulo 2: “Landscape effects overtake abiotic and age influences on forest biomass in tropical fragmented landscapes”</b>	
Abstract	67
1. Introduction	68
2. Methods	72
3. Results	78
4. Discussion	81
5. Conclusion	84
References	85
<b>Capítulo 3: “Contrasting edge effects over successional stages in secondary tropical forests”</b>	
Abstract	91
1. Introduction	92
2. Methods	95
3. Results	103
4. Discussion	109
References	112
Conclusão geral	117
Referências bibliográficas da introdução e conclusão geral	121

## 1 **Resumo**

2

3 O desmatamento e a fragmentação nas paisagens florestais tropicais promovem  
4 mudanças na estrutura da paisagem, em geral com perda de florestas antigas para  
5 a agricultura ou pastagem, parcialmente compensada com a regeneração das  
6 florestas secundárias jovens. Tal processo gera paisagens heterogêneas, com  
7 florestas secundárias em diferentes estádios de sucessão e perturbação. O estoque  
8 de carbono nestas florestas pode, assim, variar muito e essa variação pode ocorrer  
9 em diferentes escalas espaciais. Esta tese explora essas variações no nível da  
10 paisagem, procurando entender como fatores da paisagem, como cobertura  
11 florestal e distância da borda modulam o estoque de carbono, considerando em  
12 particular seus efeitos em florestas maduras (em processo de fragmentação) e em  
13 florestas jovens. Trabalhamos com dados LiDAR (Light Detection And Ranging) de  
14 alta resolução espacial, obtidos em florestas secundárias da Mata Atlântica em  
15 duas regiões com condições contrastantes de paisagem: na serra da Cantareira, no  
16 sudeste do Brasil, onde a floresta foi intensamente perturbada e fragmentada, e no  
17 Parque da Serra do Conduru, no nordeste do Brasil, onde a cobertura florestal  
18 ainda predomina. Os resultados indicam que florestas secundárias tropicais de  
19 paisagens antropizadas possuem baixos valores de estoque de carbono em  
20 comparação com outras florestas tropicais. Além disso, existe uma alta  
21 heterogeneidade espacial, guiada principalmente por fatores da paisagem e locais,  
22 como perturbações antrópicas. De forma inédita, mostramos que florestas  
23 maduras e jovens respondem de forma oposta à proximidade da borda florestal:  
24 em florestas maduras, a biomassa é mais baixa na borda do que no interior,  
25 conforme relatado por outros autores anteriormente, porém nas florestas jovens,  
26 esse efeito é positivo, com maior biomassa na borda do que no interior do  
27 fragmento. Esse efeito invertido de borda em florestas jovens ainda não havia sido  
28 descrito para florestas tropicais. Tendo em vista as implicações globais da intensa  
29 fragmentação florestal para os processos ecossistêmicos, trabalhos como esse que  
30 quantificam os efeitos da paisagem, e em particular das bordas, sobre a biomassa  
31 são essenciais para projeções climáticas e de estoque de carbono. O presente  
32 trabalho contribui para o melhor planejamento de paisagens tropicais em relação  
33 ao estoque de carbono florestal. Ações que promovam o aumento da cobertura  
34 florestal através da regeneração ou restauração ao redor de florestas maduras  
35 podem evitar uma maior perda de biomassa em florestas nativas mais antigas,  
36 além de promover um rápido acúmulo de carbono nas florestas jovens.  
37 Concluindo, nossos resultados sugerem que os estoques de carbono em paisagens  
38 antropizadas e fragmentadas podem ser altamente afetadas pela estrutura da  
39 paisagem, e particularmente que as condições das bordas podem favorecer o  
40 sequestro de carbono nas fases iniciais de regeneração de florestas tropicais.

41

42 **Palavras-chave:** Florestas secundárias, Biomassa, Mata Atlântica

1 **Abstract**

2 Deforestation and fragmentation in tropical forests result in changes in landscape  
3 structure, with old forest losses for agriculture or pasture, partially offset with the  
4 regeneration of young secondary forests. This process generates heterogeneous  
5 landscapes, with secondary forests in different stages of succession and  
6 disturbance. The carbon stock in these forests can thus widely vary at different  
7 spatial scales. This thesis investigates these variations at the landscape level,  
8 seeking to understand how landscape factors, such as forest cover and edge  
9 distance, modulate the forest structure and carbon stock, considering both its  
10 effects on old-growth forests (in the process of fragmentation) and young forests.  
11 We worked with LiDAR (Light Detection And Ranging) data to obtain canopy  
12 height information and carbon stock of secondary forests of the Atlantic Forest in  
13 two regions with contrasting landscape conditions: in the Cantareira region,  
14 southeastern Brazil where the forest was intensely disturbed and fragmented, and;  
15 in the Parque do Serra do Conduru, in northeastern Brazil, where forest land cover  
16 still predominates. The results indicated that tropical secondary forests of  
17 anthropic landscapes have low values of carbon stock compared to other tropical  
18 forests. In addition, there was a high spatial heterogeneity, guided mainly by  
19 landscape and local factors, such as anthropic disturbances. Unexpectedly, we  
20 found opposite responses of old-growth and young forests to the proximity to the  
21 forest edge: in old-growth forests, biomass was lower at the edge than in the  
22 interior, as reported by previous studies, but in young forests, this effect is  
23 positive, with higher biomass at the edge than in the interior of the forest patch. To  
24 the best of our knowledge, this is the first time a scientific research describes this  
25 inverted edge effect on young forests. Given the global implications of intense  
26 forest fragmentation for ecosystem processes, studies that quantify the effects of  
27 the landscape structure, and particularly of the edges, on biomass are essential for  
28 climate and carbon stock projections. The present study contributes to a better  
29 planning of tropical landscape management in relation to its potential to stock  
30 forest carbon stock. Actions that promote increased forest cover through  
31 regeneration or restoration surrounding remnant forests may prevent further  
32 biomass loss in older native forests, as well as promote rapid carbon accumulation  
33 in young regenerating forests. In conclusion, our results suggest that carbon stocks  
34 in anthropogenic and fragmented landscapes can be highly affected by landscape  
35 structure, and particularly that edge conditions can favor carbon sequestration in  
36 tropical forest at initial stages of regeneration.

37 **Keywords:** Secondary forests, above-ground biomass, Atlantic forest

38

39

40

## 1 **Introdução geral**

2           As florestas tropicais, caracterizadas pela alta biodiversidade, são as  
3           formações que mais têm sofrido com essa intensa transformação ao longo  
4           dos últimos anos (Achard et al., 2002). Aproximadamente mais de 60% das  
5           florestas encontra-se ocupado por paisagens agrícolas ou urbanas do que  
6           por paisagens naturais (Reinmann & Hutyra, 2017) e mais da metade da  
7           cobertura restante é composta de florestas secundárias em diferentes  
8           estágios de regeneração natural (Poorter et al., 2016). O desmatamento para  
9           uso agrícola e de pastagens é o principal motivo dessa conversão.  
10          Conseqüentemente, as florestas remanescentes encontram-se altamente  
11          fragmentadas: 70% estão situadas a menos de 1 km da borda com uma área  
12          de uso antrópico (Remy et al., 2016) e 20% nos primeiros 100 m (Haddad et  
13          al., 2015). Os efeitos diretos e indiretos das condições microclimáticas  
14          nessas florestas fragmentadas e o intenso regime de perturbação antrópica  
15          nas bordas de florestas são apontadas como responsáveis por  
16          aproximadamente 10% da redução de estoque de carbono em florestas  
17          tropicais (Chaplin-Kramer et al., 2015), alcançando valores de redução de  
18          50% nos primeiros 100 metros na Floresta Amazônica (Laurance, 1997).

19                O histórico de perturbação humana, que afeta a estrutura da  
20          vegetação, também pode contribuir para explicar as mudanças nos estoques  
21          de carbono (Brown e Lugo, 1990). A interação entre fatores que agem na  
22          escala da paisagem (ou seja, que consideram o espaço no entorno das

1 bordas, como a cobertura florestal, tipo de matriz, e mesmo o efeito de  
2 borda) e o regime de perturbação humana também é assim esperada na  
3 regulação do carbono em paisagens secundárias antropizadas. A topografia,  
4 por exemplo, pode atuar como um fator limitante para o acesso humano,  
5 uma vez que florestas localizadas em encostas íngremes devem ser menos  
6 degradadas devido à dificuldade de acesso humano. Conseqüentemente,  
7 esses locais são mais desafiadores para a remoção de árvores, levando à  
8 persistência de grandes árvores e maior biomassa acima do solo na  
9 paisagem (Becknell et al., 2018). Esses padrões foram previamente  
10 observados na Mata Atlântica Brasileira (Silva et al. 2007; Vieira et al.,  
11 2011). Apesar da relevância desses fatores para uma melhor compreensão  
12 da dinâmica do estoque de carbono em paisagens dominadas pelo homem e,  
13 conseqüentemente, para um melhor gerenciamento de paisagens  
14 fragmentadas para enfrentar as mudanças climáticas, a importância relativa  
15 e a interação entre esses fatores ainda são pouco compreendidas.

16 Os processos que agem na regulação do estoque de carbono florestal  
17 se inserem no contexto dos processos descritos acima, podendo variar muito  
18 em paisagens antropizadas e essa variação pode ocorrer por efeitos em  
19 diferentes escalas espaciais e temporais. Fatores abióticos, como o clima e o  
20 relevo, atuam sobre o acúmulo de carbono em escala regional, enquanto  
21 perturbações humanas influenciam diretamente o estoque de carbono em  
22 escala local. Entre estas duas escalas espaciais, os efeitos da dinâmica da  
23 paisagem, por meio da fragmentação e desmatamento, também atuam sobre

1 o manutenção do carbono em paisagens antropizadas. No entanto, a  
2 importância relativa destes fatores atuando na estrutura florestal é mal  
3 compreendida, apesar da relevância deste conhecimento para uma melhor  
4 compreensão do estoque de carbono e, assim, para uma melhor gestão das  
5 nossas paisagens para enfrentar as mudanças climáticas.

6 As florestas tropicais são responsáveis por grande parte do estoque  
7 mundial de carbono terrestre (Falkowski et al., 2000; Vieira et al., 2008), por  
8 isso, as mudanças na composição e estrutura das florestas tropicais têm sido  
9 apontadas como uma das principais causas do aumento da concentração de  
10 gás carbônico na atmosfera, e por consequência, como um dos principais  
11 vetores das mudanças climáticas globais (IPCC, 2018). Por outro lado, mais  
12 da metade das florestas tropicais nativas são florestas jovens, grande parte  
13 em estágio inicial de sucessão florestal (FAO, 2017), e estas possuem altas  
14 taxas de captura de carbono atmosférico, com potenciais consequências  
15 para o ciclo global de carbono (Grace et al., 2014). Tendo em vista a contínua  
16 fragmentação em florestas tropicais, o efeito de borda atuará como um  
17 importante papel na limitação das estimativas de estoque de carbono  
18 terrestre e sua relação com a regulação climática (Reinmann & Hutyra et al.,  
19 2017). Embora as respostas estruturais das florestas à fragmentação, como  
20 crescimento, mortalidade e recrutamento à criação das bordas, possuem  
21 padrões espaço-temporalmente dinâmicos, a maioria das observações de  
22 biomassa e estoque de carbono em bordas florestais são baseadas em  
23 bordas estáticas, ou seja apoiada na resposta da floresta num único



1 momento no tempo (Smith et al., 2018). Além disso, essas abordagens não  
2 foram delineadas para capturar os efeitos das adjacências, como diferentes  
3 tipos de matriz e perturbações antrópicas, como corte seletivo, abertura de  
4 trilhas e entrada e gado (Smith et al., 2018).

5       Nesse contexto, precisamos urgentemente entender melhor os  
6 processos de recomposição e resiliência de biomassa em florestas altamente  
7 fragmentadas (Poorter et al., 2016). Taxas de regeneração florestal são  
8 altamente variáveis e os condutores dessa variação são escassamente  
9 compreendidos, o que torna muito difícil a modelagem de estoque de  
10 carbono com os dados disponíveis (Norden et al., 2015). Além disso,  
11 precisamos de fontes de dados e análises que nos forneçam informações em  
12 escala regional e com alta resolução espacial. Os estudos com crono-  
13 sequências, muitas vezes baseados em um pequeno número de parcelas, não  
14 conseguem capturar a variação de biomassa em relação às condições da  
15 paisagem (Becknell et al., 2018). Embora estes estudos sejam cruciais para  
16 mensurar muitas variáveis locais importantes como densidade de espécies e  
17 propriedades do solo, abordagens em extensões territoriais mais extensas,  
18 utilizando dados espaciais com alta resolução, como os dados LiDAR (do  
19 inglês, Light Detection and Ranging), possibilitam a quantificação da  
20 cobertura e regeneração em largas escalas espaciais e com alta definição  
21 (Leitold et al., 2015; Becknell et al., 2018). Os dados LiDAR fornecem  
22 métricas diretas de altura e densidade de dossel, as quais podem ser

1 utilizadas para gerar estimativas de biomassa florestal (Lefsky et al. 2002,  
2 Asner & Mascaro 2014; Becknell et al., 2018).

3 Os capítulos incluídos na presente tese representam estudos que  
4 estimam, por meio de uma base de dados LiDAR, o estoque de carbono em  
5 florestas secundárias da Mata Atlântica e procuram entender como a  
6 estrutura da vegetação dessas florestas secundárias é modulada pela  
7 regeneração e estrutura da paisagem. Em particular, os estudos estão  
8 focados em bordas florestais com uma abordagem multi-escalar,  
9 considerando fatores da paisagem, como cobertura florestal e distância da  
10 borda, além da relação com fatores temporais. A tese aborda esses aspectos  
11 em três capítulos:

- 12 1. O capítulo 1, sob o título: “Inverted edge effect pattern on carbon  
13 stocks in secondary tropical forests”, estima o estoque de carbono a  
14 partir de dados LiDAR e inventário florestal. A partir disso, realiza  
15 uma análise exploratória de como fatores na escala da paisagem  
16 (relevo, e características de composição e configuração da paisagem)  
17 e na escala local (idade, composição florística e perturbações  
18 antrópicas) afetam os estoque de carbono em uma paisagem na região  
19 de estudo da Cantareira;
- 20 2. O capítulo 2, sob o título: “Landscape effects overtake abiotic and age  
21 influences on forest biomass in tropical fragmented landscapes”, tem  
22 como objetivo principal quantificar os efeitos dos fatores da paisagem

1 (cobertura florestal e distância da borda na estrutura vegetacional -  
2 abordada como altura máxima do dossel - considerando também os já  
3 reconhecidos efeitos do relevo e idade em florestas jovens e maduras  
4 nas duas regiões de estudo (Cantareira e Conduru).

5 3. O capítulo 3, sob o título: “Contrasting edge effects over successional  
6 stages in secondary tropical forests”, usa a altura máxima de dossel,  
7 como indicador de estrutura vegetacional para entender como se dá o  
8 efeito de borda em florestas jovens e maduras, utilizando uma  
9 abordagem sistemática e pareada nas duas regiões de estudo  
10 (Cantareira e Conduru).

11 O sistema de estudo do presente trabalho é a Mata Atlântica  
12 brasileira. Trata-se de uma floresta tropical altamente diversa e ao mesmo  
13 tempo altamente ameaçada, visto que sofre níveis sem precedentes de perda  
14 de hábitat, além de outras perturbações antrópicas desde o século 16 (Joly et  
15 al., 2014). Este é um cenário ideal para aprimorar a compreensão sobre os  
16 fatores que modulam os estoques de carbono em florestas tropicais de  
17 paisagens antropizadas (Metzger, 2009; Tabarelli et al., 2010). Hoje em dia,  
18 esta floresta está reduzida a cerca de 28% de sua distribuição original  
19 (Rezende et al., 2018; Figura 1), com a maior parte dos fragmentos florestais  
20 remanescentes sendo reduzida a pequenos fragmentos, com menos de 50 ha  
21 (Ribeiro et al., 2009). Cerca de 46% dos remanescentes encontram-se a  
22 menos de 100 m da borda (Ribeiro et al., 2009). A Mata Atlântica ainda sofre  
23 com a expansão de diversos cultivos, como a cana-de-açúcar e o eucalipto,

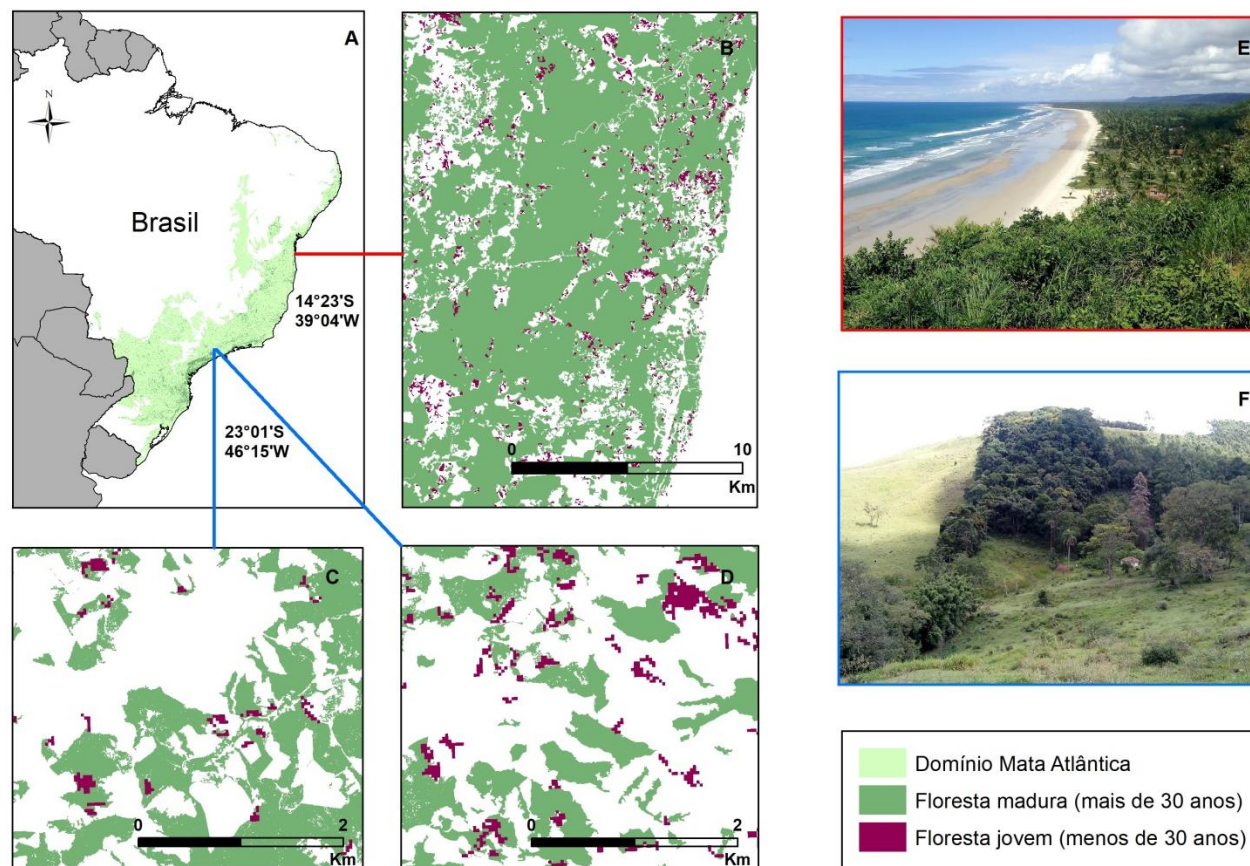
1 além da expansão das áreas urbanas e da ampla ocupação por áreas de  
2 pastagem (Ribeiro et al., 2011). Atualmente, cerca de 120 milhões de  
3 pessoas vivem na área original da Mata Atlântica, boa parte em áreas  
4 urbanas de grande porte (Tabarelli et al., 2010).

5           Dentro do domínio Mata Atlântica, duas regiões de estudos,  
6 Cantareira, no estado de São Paulo (Cantareira) e a Serra do Conduru na  
7 Bahia (Conduru), foram selecionadas. Essas duas regiões apresentam  
8 condições contrastantes de paisagem, as quais nos permitem explorar o  
9 efeito de distintos contextos em relação aos processos que modulam  
10 regeneração florestal. Cantareira é menos florestada, com apenas cerca de  
11 20% de vegetação nativa, e intensivamente perturbada, enquanto que  
12 Conduru possui aproximadamente 80% de cobertura de vegetação nativa,  
13 além de sofrer menos com perturbações antrópicas atualmente. Em relação  
14 a proporção de florestas em estágio inicial de sucessão (aqui definida como  
15 sendo com menos de 28 anos), a Cantareira é composta por cerca de 5% de  
16 florestas jovens, enquanto que esse valor não chega a 1% no Conduru.

17           A Cantareira (Figura 1C, 1D e 1F) é um importante corredor ecológico,  
18 considerado como de alta prioridade para conservação da biodiversidade no  
19 estado de São Paulo (Rodrigues et al., 2008; Joly et al., 2010). As paisagens  
20 nessa região são muito heterogêneas e compreendem diferentes tipos de  
21 uso de solo antrópico, sendo majoritariamente composto por campos  
22 antrópicos em pequenas propriedades e silvicultura, principalmente

1 Eucalyptus spp. Os remanescentes de vegetação nativa estão em  
2 propriedades particulares e estão submetidos a diferentes níveis de  
3 perturbação (Whately and Cunha 2006). O Conduru (Figura 1B e 1E) é uma  
4 área de Parque estadual, que está sobre proteção há mais de 20 anos. Essa  
5 região é uma das maiores áreas contínuas de Mata Atlântica do Nordeste  
6 brasileiro. Compreende manchas de florestas secundárias em diferentes  
7 estágios de regeneração, áreas com restauração ativa e áreas remanescentes  
8 que sofreram corte seletivo no passado. Além do corte seletivo, outras  
9 atividades como caça e exploração de fibra vegetal foram relativamente  
10 comuns no passado (Piotto et al., 2009). Além disso, existem áreas de  
11 pastagem, estradas de terra e algumas trilhas utilizadas pela comunidade  
12 local dentro do parque (Becknell et al., 2018).

13 Esperamos com essa tese expandir nosso conhecimento sobre como  
14 processos que operam no nível da paisagem afetam (em interação com  
15 outros fatores, ligados ao relevo e às perturbações humanas) a regulação de  
16 biomassa em paisagens florestais tropicais, considerando em particular o  
17 efeito de borda em florestas com diferentes idades.



1

2 **Figura 1.** Representação do domínio Mata Atlântica a distribuição de florestas maduras (mais de 30 anos) e florestas jovens  
 3 (menos de 30 anos). Os painéis B e E representam a área de estudo do Conduru e os painéis C, D e F representam a área de  
 4 estudo da Cantareira.

1 **Capítulo 1: “Inverted edge effect pattern on carbon stocks in secondary**  
2 **tropical forests”**

3 Isabella Romitelli, Jomar Magalhães Barbosa, Rodrigo Trassi Polisel, Michael  
4 Keller and Jean Paul Metzger

5 **ABSTRACT**

6 Although the importance of tropical forests to regulate greenhouse gases is well  
7 documented, little is known about what factors affect the ability of secondary  
8 forests to store carbon in human-dominated landscapes. Among those factors,  
9 landscape structure, forest disturbance, and topography aspects can have  
10 important roles. Here, we evaluated, using field and LiDAR (Light and Detection  
11 and Ranging) data, how landscape effects (composition, configuration, and  
12 terrain) affect directly forest carbon stock in human-dominated landscapes from  
13 the Brazilian Atlantic forest. At the site level, we also explored directly how  
14 landscape effects affect local vegetation composition and structure which in turn  
15 affect carbon stock, as well such factors affects carbon stock. The study area  
16 showed a relatively low carbon stock ( $45.49 \pm 9.34$  Mg ha<sup>-1</sup>) when compared with  
17 other Atlantic forest regions. At landscape level, the interaction between forest  
18 cover, edge effect and slope was the best combination of explanatory variables for  
19 carbon stock. Although carbon stocks were low both at the edges and interior of  
20 the studied secondary forest fragments, we found carbon stock to be higher close  
21 to the edges. This unexpected edge effect contradicts the usual pattern reported in  
22 the literature for old growth forests. We argue this pattern is related with a  
23 positive effect that microclimatic conditions in the edge can have stimulating  
24 forest regeneration, as we tested at plot level which edge distance and floristic  
25 composition, mainly shade-tolerant species proportion, affect carbon stock. These  
26 results suggest that carbon stocks in human-dominated and fragmented

1 landscapes can be highly affected by the landscape structure, and particularly that  
2 edges conditions can favor carbon sequestration in regenerating tropical forests.

3 **Keywords:** tropical forests, fragmented landscapes, above-ground biomass,  
4 Atlantic forest, lidar

## 5 **1. Introduction**

6 Tropical forests have undergone an intense transformation over the last few  
7 years (Achard et al., 2002) mainly due human activities, such as logging, hunting,  
8 agricultural expansion, and human settlement (Giam, 2017). Currently, about 50%  
9 of tropical and subtropical forests have already been fully converted to non-forest  
10 areas (Sanderson et al., 2002; Hoekstra et al., 2005), and more than half of the  
11 remaining cover is composed of secondary forests at different stages of natural  
12 regeneration (Poorter et al., 2016). The consequence of this transformation is not  
13 only the loss of biodiversity (Chapin et al., 2000) but also a reduction in the  
14 provision of different ecosystem services, including the capacity of those forests  
15 to capture and stock carbon and thus to mitigate climate change (Berenguer et al.,  
16 2014). Although the importance of tropical forests to regulate greenhouse gases is  
17 well documented (e.g. Bonan, 2008; Pan et al., 2011), there are some knowledge  
18 gaps of how local- and landscape-level factors interact to affect the ability of  
19 tropical forests to store carbon in human-dominated landscapes (Laurence et al.,  
20 1997; Melito et al., 2018; d'Albertas et al., 2018; Romitelli et al., in prep.). Local-  
21 and landscape-level factors, e.g. species composition, forest age, landscape  
22 composition, terrain and human impact, may have large implications on forest



1 degradation and regeneration, and thus can impact the temporal dynamic and  
2 spatial distribution of carbon stock.

3 Forest areas located near edges receive more insolation and are usually hotter  
4 and drier and are more exposed to wind effects when compared to the forest  
5 interior (Laurance et al., 2011). As consequence, tree species composition is  
6 strongly affected by microclimatic changes in forest edges, as well by floristic and  
7 functional simplification induced by edge creation (Lôbo et al., 2011; Michalski et  
8 al. 2007). In particular, forest edges with contrasting or open matrix, such as  
9 crops or pasturelands, have higher mortality of large trees (Laurance et al., 1997;  
10 D'Angelo et al., 2004) and higher dominance of initial successional species  
11 (Laurance et al., 2011), potentially resulting in lower carbon stock on forest edges  
12 as compared to non-fragmented primary forests (Laurance et al., 2001). In  
13 addition, landscapes with severe forest fragmentation and large forest-edge  
14 extension could tend to store less carbon than landscapes with the same amount  
15 of forest where fragments are bigger and more compact (Pütz et al., 2011). The  
16 combined influence of adjacent edges can also intensify ecological changes in  
17 comparison to what would be expected from a single forest edge (Ries et al.,  
18 2004). Overall, it is expected that landscapes with less amount of forest, more  
19 contrasting matrix and more abundant edges will have less carbon stock (Melito  
20 et al., 2018). Additionally, different abiotic conditions, generally related to terrain,  
21 soil, and climate, can alter forest regrowth as well as the distribution of big trees  
22 on the landscape (Castilho et al., 2006), and thus can modulate carbon

1 accumulation. Although the above-mentioned processes are expected for primary  
2 and old-growth forests, we know very little how the forest edges determine  
3 landscape-scale carbon stock in secondary forests with different stages of natural  
4 regeneration. The microclimate conditions near to the edge could promote the  
5 regeneration while increasing the light availability for photosynthesis.

6 The history of human disturbance, which affects the vegetation structure, can  
7 also contribute to explain changes in carbon stocks (Brown and Lugo, 1990). The  
8 interaction between landscape-level factors (i.e. forest cover, matrix type, edge  
9 effect) and human disturbance is also expected. Topography, for example, may act  
10 as a limiting factor for human access as forests located on steep slopes are  
11 expected to be less degraded due to the difficulty of human access and  
12 consequently those places are more challenging to remove trees, persisting large  
13 trees standing and more aboveground biomass in the landscape (Becknell et al.,  
14 2018). These patterns have been observed in the Brazilian Atlantic forest (Silva et  
15 al. 2007; Vieira et al., 2011). Despite the relevance of those factors for a better  
16 understanding of the dynamics of carbon stock in human-dominated landscapes,  
17 and consequently for better management of fragmented landscapes to face  
18 climate change, the relative importance and the interaction among those factors  
19 are poorly understood.

20 Using field and LiDAR (Light and Detection and Ranging) data, here, we  
21 evaluated how landscape and local conditions affect directly and indirectly carbon

1 stock from the Brazilian Atlantic forests in different growth stages and located at a  
2 human-dominated landscape. We expect that landscape composition,  
3 configuration and terrain interact with local-level factors (forest age, edge  
4 distance, human disturbance) to determine vegetation composition and structure  
5 (floristic composition, shade-tolerant species proportion and mean wood-  
6 density), which in turn affect carbon stock (Figure 1). This is one of the few  
7 studies that estimate carbon stock in Atlantic secondary tropical forest with high-  
8 resolution LiDAR data, allowing analyses at both site and landscape levels. Due to  
9 its large extent and long history of deforestation, fragmentation, and current  
10 regeneration process, the Brazilian Atlantic forest is an ideal system to improve  
11 our understanding on the landscape factors that modulate carbon stocks in  
12 tropical secondary forests (Metzger, 2009; Tabarelli et al., 2010).

## 13 **2. Methods**

14 To understand how landscape and local conditions affect directly and  
15 indirectly carbon stock, we performed two different carbon stock calculations: a  
16 site level estimation by allometric models of above-ground biomass and; a  
17 landscape level estimation using LiDAR models. As well, we performed the  
18 explaining carbon stock spatial distribution at two levels: landscape and local  
19 level. The influence of landscape and local conditions was performed by model  
20 selection with all possible combinations of two variables (with and without  
21 interaction), a null model (no effect) and all single models. The landscape

1 variables were forest and matrix cover, Euclidean and additive edge effect,  
2 elevation, slope and aspect. The local variables were forest age, edge distance,  
3 human disturbance and floristic composition, shade-tolerant species proportion  
4 and mean wood-density.

5 The landscape and plot-level explaining carbon stock spatial distribution  
6 (Figure 1) considered the direct effects of landscape conditions; and composition  
7 and structure vegetational conditions on carbon stock and the indirect effects of  
8 local conditions on composition and structure vegetational. Thus, we presumed  
9 that the carbons stock changes are effect of composition and structure  
10 vegetational changes promoted by local conditions.

11 At landscape level, composition and structure vegetational conditions were not  
12 considered due the data absence at this scale.

## 13 **2.1 Study region**

14 The Brazilian Atlantic forest is a highly diverse and endangered tropical forest  
15 that experienced unprecedented levels of habitat loss and other human  
16 disturbances since the 16th century (Joly et al., 2014). This forest was reduced to  
17 ca. 28% of its original distribution (Rezende et al., 2018) with most of the  
18 remaining forest patches being reduced to small fragments, with < 50 ha (Ribeiro  
19 et al., 2009), including 46% of this forest at less than 100 m distant from an edge  
20 (Ribeiro et al., 2009). Annual deforestation rates are around 0.5% for the whole

1 Atlantic Forest (220 km<sup>2</sup> year<sup>-1</sup>; Soares-Filho et al., 2014), but may reach up to  
2 2.9% in the São Paulo metropolitan area (Teixeira et al., 2009).

3 The study region, the Cantareira-Mantiqueira corridor, is located in Southeast  
4 Brazil encompassing a wide mountain ridge region responsible for more than  
5 50% of the water supply of the city of São Paulo (Cantareira System). This region  
6 is not only relevant for water supply but also for biodiversity conservation. It  
7 includes an important ecological corridor which was considered of high priority  
8 for biodiversity conservation at the state level (Rodrigues et al., 2008; Joly et al.,  
9 2010). Landscapes of the Cantareira-Mantiqueira corridor are very  
10 heterogeneous, comprising different land uses, mostly pasture areas in small  
11 properties and reforestation, especially with *Eucalyptus* spp. Native Atlantic  
12 Forest vegetation, in different successional stages and submitted to different  
13 levels of disturbance, represents 21% of the studied region (Whately and Cunha  
14 2006).

## 15 **2.2 LiDAR data**

16 Our study was performed within an area of 499 hectares, where LiDAR data  
17 was acquired in October 2014 for this entire extent by an airborne Optech sensor  
18 (Orion, 09SEN243) at an altitude of 853 meters, with a view angle of 10°. Laser-  
19 spot spacing was maintained at approximately 34.62 laser shots m<sup>-2</sup>.  
20 Approximately 43% of this LiDAR dataset (215 ha) is covered by native forest  
21 vegetation (i.e. canopy height above 6 m with at least 2 strata; Figure 2A).

## 2.3 Selection of areas for field survey

Within the 215 ha of forest remnants observed in the studied area (the LiDAR dataset), 16 plots of 25 x 25 meters in size were sampled, totaling 0.9 ha. This plot size is smaller than plots usually used in similar studies in the Amazonian forest (e.g. Espirito-Santo et al., 2014; Andersen et al., 2014; Hunter et al., 2015; Chen et al. 2016; Jucker et al., 2017), however, the plot size in our study was consistent with the high spatial resolution of the LiDAR data and it was defined in order to be the largest size without including an excessive vegetation composition and structural heterogeneity. Because the studied landscape presents a high spatial heterogeneity in forest regrowth stages (Romitelli et al., submitted), the number of plots was defined to sample different vegetation conditions.

The location of plots was defined following a random-stratified sampling protocol. First, we created two LiDAR images using two LiDAR metrics: (1) maximum canopy height within the 95% of the vertical LiDAR pulse return, disregarding outliers (5% of the higher pulses returns); and (2) relative laser pulses density returns in the 0 to 5 meters strata. The maximum height is a good predictor of tree growth (Wasser et al., 2013), while the relative density of returns is a good proxy of forest disturbance and occurrence of trails created by human or livestock (D'Oliveira et al., 2014). Consequently, we used both metrics in the sampling selection to represent the canopy structure heterogeneity and allowing the identification of clearings and trails. In total, we created four classes of

1 maximum height (0-14 m; 15-17 m; 18-20 m; 21-37 m) and other four class of  
2 relative density of returns (0-0.35; 0.36-0.46; 0.47-0.56; 0.57-1), resulting in 16  
3 classes. In each class, one field plot was located randomly but always depending  
4 on access permission from landowners.

## 5 **2.4 Field carbon stock**

6 In each plot, we conducted a field inventory between December 2016 and April  
7 2017. The plot location was defined using a geodesic GPS (TOPCON Hyper SR) and  
8 Station Total Geodetic NTS-325, which allowed spatially accurate measurements,  
9 with an estimated error of 2-5 centimeters.

10 We measured all individuals with a diameter at breast height (DBH) higher  
11 than 4.8 cm. The diameters were measured at 1.3 m height (DBH) of all live stems,  
12 including trees, palms, tree ferns, lianas, and standing dead trees and palms. The  
13 tree height was estimated using a Leica DISTO<sup>tm</sup> A5 laser distance meter. We  
14 identified around 90% of the individuals at the species level, while the remaining  
15 individuals were classified at the genus level (Table S1).

16 We applied different allometric equations to the field inventory measurements  
17 to calculate plot-level above-ground biomass (AGB) and carbon stock. For palms  
18 and lianas, we used allometric equations from Goodman et al. (2013) and  
19 Schnitzer et al. (2006), respectively. For living trees, we use the allometric  
20 equation for tropical forests of Chave et al. (2014) with DBH, height and wood  
21 density. To determine the wood density we utilized the database from Chave et al.





1 where, P95 is the height of the 95th percentile (m) of lidar returns over the 0.25  
2 ha plot. The final carbon map of the study area with a spatial resolution of 15 m is  
3 shown in Figure 3. This resolution was defined after testing pixels of 5, 10, 20 and  
4 25 m (Table S2). The size of 15 m allowed maintaining a high spatial resolution,  
5 avoiding significant spatial autocorrelation (Pearson's  $r < 0.70$ ; Zuur et al., 2009).

## 6 **2.6 Landscape explanatory variables**

### 7 Landscape structure

8 Forest and pasture covers are the predominant land use on this study  
9 region (Whately and Cunha, 2006). Pasture was the predominant land use (42%  
10 of the matrix), and thus represents the main inter-forest matrix composition.  
11 Therefore, we mapped and calculated the amount of forest and pasture within a  
12 buffer zone of 500 m, placed around each pixel of the carbon map (15 x 15 m). We  
13 tested other landscape extents (250 m, 1 km, and 2 km) and observed that forest  
14 cover was highly correlated across spatial scales. We created this moving window  
15 approach to evaluate how the surrounding landscape composition affects carbon  
16 stock at the pixel level.

17 In addition, we evaluated the edge effect on local level carbon stocks using  
18 two methods. The first method considers additive edge effects of all edge within a  
19 predetermined distance from a focal forest pixel ( $D_{max}$ ) (Malcolm, 1994). We  
20 called this index the edge effect index and it was already applied to the same study  
21 region (see d'Albertas et al., 2018). This index ranges from 0 to infinity, where

1 higher values mean more edge effect. We implemented the edge effect index  
2 model using the R package 'edgefx' (Goldberg and Ries, 2010) in the R statistical  
3 environment (R Core Team, 2017). We tested edge effect within 100 m from edges  
4 to the interior of the fragment (i.e.  $D_{max} = 100$  m) and, additionally, we tested  
5 other two  $D_{max}$  values, 25 and 50 m. We used our forest /non-forest classification  
6 map (from the year 2016) to generate raster images of edge effect index for each  
7 pixel classified as forest in our study landscape (Figure 2). The second method to  
8 evaluated edge effect is a simple measure of the nearest forest edge using the  
9 Euclidean distance (straight-line distance between two points), obtained by  
10 Spatial Analyst in ArcGIS 10.2 (Figure 2). Both edge effect index and Euclidean  
11 distance were calculated with a 15 meters pixel size (the same pixel size of the  
12 carbon stock map).

### 13 Terrain

14 The elevation, aspect and terrain slope were obtained through topographic  
15 maps with 1:50,000 of scale and contour curve of 20 meters provided by the  
16 Brazilian Institute of Geography and Statistics (IBGE). From this elevation map,  
17 we produced the Digital Elevation Model (DEM), aspect and terrain slope using 3D  
18 Spatial Analyst in ArcGIS 10.2. Those metrics were also extracted with a 15 m  
19 pixel resolution.

20

21

## 2.7 Analyses at the landscape level

The carbon stock estimated for each pixel was used as the response variable and landscape structure and topography were explanatory variables. We then used a generalized linear model with a Gaussian distribution to quantify and model the effects of landscape factors on carbon stock.

Before building the model, we conducted an exploratory data analysis to select only those explanatory variables with a relatively low correlation (Pearson's  $r < 0.70$ ; Zuur et al., 2009). Aspect was excluded because it was strongly correlated with the slope ( $r = 0.80$ ). Besides that, the edge effect additive index with  $D_{\text{Max}} = 50$  m was correlated with  $D_{\text{Max}} = 100$  m ( $r = 0.73$ ) and with  $D_{\text{Max}} = 25$  m ( $r = 0.82$ ). Therefore, we only used  $D_{\text{Max}} = 50$  m because this index represents the other two  $D_{\text{max}}$  (25 and 100 m).

To reduce the number of variables, we built simple models in order to evaluate the best variable to explain carbon stock variations considering three groups of explanatory variables separately: landscape composition (forest and pasture cover); landscape configuration (Euclidean distance and edge effect index); and terrain factors (elevation, aspect and slope). From this initial evaluation, forest cover, edge effect index, and slope variables were selected to perform further analyses.

To explain the carbon stock, we then considered all possible combinations of two variables (with and without interaction), a model with all variables (with

1 and without interaction), a null model (no effect) and all single models. We  
2 selected the most plausible model among the 12 models tested using the  
3 corrected Akaike information criterion (AIC). The AIC is a selection criterion that  
4 penalizes models with many parameters with a correction for small sample sizes  
5 (Burnham and Anderson, 2002). Small values of AIC represent the best fit, so the  
6 model with the lowest value AIC was considered the most plausible and models  
7 with  $\Delta AIC < 2$  were considered equivalent. The statistical analyzes were  
8 performed using the R environment (R Development Core Team, 2017).

## 9 **2.8 Analyses at the plot level**

10 In order to explore possible mechanisms that explain carbon stock  
11 variation, additional analyses were performed with the 16 field sampled plots.  
12 Here, we consider that landscape-level factors are affecting local-level factors,  
13 particularly patch shape (and thus edge distance), forest age and human  
14 disturbance, and those local factors can affect directly or indirectly the carbon  
15 stock. In the direct relationship, carbon stocks respond directly to forest age, edge  
16 distance and human disturbance. In the indirect relationship, forest age, edge  
17 distance, and human disturbance affect first the forest structure and composition  
18 (here represented by the floristic composition, shade-tolerant species proportion,  
19 and wood density mean), which then affect carbon stocks (Figure 1). A model  
20 selection analysis was performed for each above-mentioned relationship,

1 following the same method described in item 2.7 but with the adjustment for  
2 small samples (AICc).

3 Forest age was estimated based on aerial photographic surveys conducted  
4 by the Brazilian army in 1962 and satellite images captured by LANDSAT TM5  
5 during the years of 1985 and 2006. Considering texture pattern of the  
6 photographs and satellite images, we were able to distinguish young and mature  
7 forests. Hence, we considered six forest age classes: more than 80 years (mature  
8 forest in 1962 image); ~65 years (young forest in 1962 image); ~50 years  
9 (mature forest in 1985 image); ~40 years (young forest in 1962 image); and ~20  
10 years (presence only in 2006). We also measured the plot distance to the closest  
11 edge in 1962, 1985, 2006 and 2014 (Figure S1) with the intention to verify the  
12 temporal dynamics of this variable.

13 The degree of human disturbance was evaluated with field data at the plot  
14 level. We considered the main forest disturbance types (such as the presence of  
15 selective logging, human trails, livestock trails, and forest gaps), and combined the  
16 observed level of disturbance with its extent within the plots. Disturbance level  
17 and extent (i.e. spatial distribution) were combine in a unique index by  
18 multiplying these two values (Table S4). The human disturbances were ranked  
19 from 5, very high disturbance (e.g. selective logging), to 2, very low disturbance  
20 (e.g. human trails) with their spatial distribution, also ranked from 1, very low  
21 spatial distribution (10-20%), to 5, very spatial distribution (80-100%) (See table

1 S4 for a full range of values). We thus obtained a human disturbance index based  
2 on disturbance type and extent. If more than one type of disturbance were present  
3 within each plot, we summed the human disturbance index, creating a plot-level  
4 total disturbance. Although we expect the edge proximity could affect the human  
5 disturbance, it is worth mentioning that human disturbances were not correlated  
6 with edge distance gradient.

7         To compare floristic composition among plots, and at different edge  
8 distances and plot-level carbon stock, we used the first axis of a Principal  
9 component analysis (PCA), indicating level of similarity of species identity and  
10 abundance at the sampled plots. PCA values near to zero indicate proximity  
11 between PCA center or similarity between plots. The PCA ordination was  
12 performed using the R environment with the 'vegan' package (R Development  
13 Core Team, 2017). Each plot has a PCA value and this value was used as a variable  
14 in the model selection.

15         Wood density mean was considered as a predictor of the successional stage  
16 (West et al., 1999; Pooter et al., 2008; McCulloh et al., 2011). We used two related  
17 metrics to model the successional stage at the plot-level: i) mean wood density  
18 and; ii) proportion of shade-tolerant species. Mean wood density was the average  
19 wood density of all individual trees within a plot. Proportion of shade-tolerant  
20 species was the proportion of tree individuals with wood density over 0.69 g.cm-3  
21 in relation to the total number of individuals. The threshold of 0.69 g.cm-3 was

1 based on the wood density of shade-tolerant species inventoried in this study (e.g.  
2 *Machaerium villosum*, *Copaifera langsdorffii*, *Maclura tinctoria*, *Aspidosperma*  
3 *ramiflorum*).

### 4 **3. Results**

5 The LiDAR-based estimate of average forest carbon stock in the studied  
6 landscape was  $45.49 \pm 9.34$  Mg ha<sup>-1</sup>, which was very similar to the mean values  
7 observed at the plot level inventory ( $42.67 \pm 9.24$  Mg ha<sup>-1</sup>; Figure 3A). At the  
8 landscape level, edges and small fragments showed higher carbon stock values  
9 than forest interior (Figure 3B). The model with the interaction of all three  
10 variables (forest cover, edge effect index, and slope) was the best explanatory  
11 model (Table 1). The edge effect index and the forest cover presented a clear  
12 positive influence on carbon stock ( $R^2 = 0.368$  and  $R^2 = 0.276$ , respectively),  
13 while the effect of terrain slope was less evident, but also positive ( $R^2 = 0.355$ ;  
14 Figure 4).

15 At the plot level, edge Euclidean distance and forest age were the main factors  
16 affecting vegetation structure (i.e. floristic composition, shade-tolerant species  
17 proportion, and wood density mean; Figure 5 and Table S3). Additionally, forest  
18 age influence floristic composition and wood density (Table S3). Human  
19 disturbance was related to shade-tolerant species proportion. Edges distance  
20 (Figure S2A) and forest age (Figure S2B) have a positive effect on carbon stocks

1 (R<sup>2</sup> = 0.389 and R<sup>2</sup> = 0.184, respectively). Plots near to forest edges in old  
2 fragments showed high values of carbon stock.

3 The plots show relative high floristic composition similarity, regarding three  
4 plots (Figure S3A). The floristic composition, as well as carbon stock, varied  
5 according to the Euclidean distance to the edge, with a clear spatial segregation at  
6 40 meters from the edge (Figure S3B). Plots near to the edge are composed  
7 mainly by shade-tolerant species with high wood density (e.g. *Actinostemon*  
8 *concepcionis*, *Casearia sylvestris*, *Guapira opposita* and *Machaerium villosum* )  
9 while plots distant more than 40 meters apart from the forest edge are composed  
10 mostly by common pioneers species with low wood density (e.g. *Maytenus*  
11 *evonymoides* and *Trema micrantha*).

#### 12 **4. Discussion**

13 Carbon stocks in the studied human-dominated landscape are clearly affected  
14 by landscape-level conditions, such as forest cover, edge effect, and slope, and also  
15 by local-level factors or conditions, particularly edge Euclidean distance, forest  
16 age, and human disturbances. Edge effect processes at the local and landscape  
17 scales seem particularly important, once they can affect local structural vegetation  
18 variables (floristic composition, shade-tolerant species proportion and wood  
19 density mean), resulting on an impact on forest carbon stock (Figure 1).

20 Surprisingly, forest biomass and carbon stock presented an inverted spatial  
21 pattern of what is usually reported in the literature: carbon stocks were higher



1 close to the edges than in forest interior, suggesting an inverse pattern of edge  
2 effects. This is the first study showing this unexpected pattern (but see Costa  
3 2015). One possible explanation is that in human-dominated landscapes,  
4 composed essentially by secondary forests, carbon stocks are globally low, but the  
5 recovery of biomass is faster at edges, where there is greater availability of light  
6 for photosynthesis. Edge effects may benefit plant community regrowth, favoring  
7 a high density of regenerating individuals, including species with high wood  
8 density, which consequently result in a faster accumulation of carbon.

9 The edge effects influence on carbon stock is widely described in the literature  
10 (e.g. Laurance et al., 1997; Putz et al., 2014). Melito et al. (2018) performed a  
11 systematic literature review and created a conceptual model of landscape-level  
12 effects on forest above-ground biomass. According to this model, after  
13 stabilization of shade-tolerant species mortality, above-ground biomass may  
14 increase by the proliferation of pioneers and lianas, but this effect should be  
15 insufficient to compensate losses related to the period of sharp biomass decrease  
16 (Melito et al., 2018). However, such effects are predicted for remaining forest  
17 affected by surrounding deforestation and fragmentation processes, but not for  
18 forest patches regenerating in a fragmented and human-dominated context, which  
19 is mostly the case in our study area (a landscape with a long history of human  
20 disturbances).

1       At our studied sites, forest carbon stocks were explained by the interaction  
2 between forest cover, edge effect, and slope. Although terrain factors were already  
3 reported as important determinants of carbon stock (Vieira et al., 2011), here they  
4 showed quite low influence on carbon stock, compared to landscape  
5 compositional and configurational variables. The influence of terrain factors can  
6 be potentially masked in human-dominated landscapes, due to a stronger effect of  
7 factors directly related to human disturbances (e.g. seedlings suppression by  
8 livestock foraging patterns, trails and logging), which are usually supposed to  
9 occur more intensively near forest edges (Berenguer et al., 2014). More  
10 important, human activities are interacting with landscape structure to determine  
11 carbon stocks (Table 1). Terrain slope could be a regulatory variable in human-  
12 modified landscapes because most of the fragmented and secondary forests occur  
13 in steepest slope areas (Silva et al., 2007, 2008, Teixeira et al., 2009), which are  
14 areas difficult to use as agriculture land (Asner et al., 2009). But apparently in the  
15 studied landscapes, human disturbances occur in all areas, both near and distant  
16 from edges (Romitelli et al. in prep), and for this reason, disturbances were not a  
17 good variable to explain carbon stock variation.

18       The effect of forest cover on carbon stock was positive. The presence of forest  
19 surrounding the study sites positively affects carbon stocks. Beyond the positive  
20 contribution of nearby forest fragments to facilitate forest regeneration (Guevara  
21 et al., 1992; Guevara and Laborde, 1993; Galindo-Gonzalez et al., 2000),

1 landscapes with more forest cover are also less densely populated and thus less  
2 affected by human disturbances, supporting the accumulation of carbon.

3 The local-level analyses - using only the sampled plots - indicated that carbon  
4 stock accumulates faster near to the edge of the fragments (less than 40 meters  
5 from the edge), and this pattern was particularly clear for older forests (more  
6 than 60 years). The main aspects involved with carbon accumulation in these  
7 conditions were explained by a higher density of shade-tolerant species near  
8 edges in older forest fragments (> 40 years) because the shade-tolerant species  
9 (high wood density) contribute substantially to carbon stock (Figure S4). The  
10 large trees which greatly affect biomass have already been lost in our study  
11 landscapes and in different other Atlantic forest regions (Romitelli et al., 2014;  
12 Costa et al., 2015; ). Consequently, the biomass variation is affected by small  
13 variations in species composition (Figure S5), in particular, the proportion of  
14 shade-tolerant trees and species with higher wood density.

15 Our results suggest that carbon variation in landscapes with a long history of  
16 human disturbances, where large trees were already lost, cannot follow the  
17 general rules observed for remnant fragments in recently fragmented landscapes  
18 (Pullin and Stewart, 2006; Koricheva et al., 2013; Melito et al., 2018). Fragments  
19 regenerating in fragmented landscapes are accumulating carbon near the edges  
20 where photosynthetic activities are more intense. In our case, those areas showed  
21 a higher density of shade-tolerant species with higher wood density. However,

1 further studies are needed to understand how plant community composition  
2 change with forest regeneration in different edge conditions. In addition to the  
3 factors already mentioned in the conceptual model of Melito et al. (2018; e.g.,  
4 patch size, edge distance, matrix type, time since edge creation), we propose here  
5 that future studies on carbon stocks in human-dominated landscapes should  
6 consider whether the forest is regenerating or being degraded in a fragmented  
7 condition. In the first case, regenerating forests can present an inverse pattern of  
8 carbon accumulation near edges. If this unexpected pattern repeats world widely,  
9 fragmentation can have a surprisingly positive effect on carbon stock in  
10 worldwide secondary tropical forests located in human-dominated landscapes.

## 11 **5. Conclusion**

12 Secondary forests in human-dominated fragmented landscapes are  
13 characterized by low carbon stocks, high spatial heterogeneity and multiple  
14 interactions among landscape and local factors determining carbon stocks. As a  
15 consequence, the potential carbon services provided by fragmented tropical  
16 landscapes are complex (Melito et al., 2018). To understand the mechanisms  
17 affecting carbon stocks, we need to consider the wide variety of factors acting on  
18 carbon stock in tropical forests. Particularly, in human-dominated landscapes,  
19 biomass accumulation in regenerating forest can be different from those usually  
20 observed in existing forest fragments being submitted to degradation processes.  
21 In this regard, our findings support programs of ecosystem services payments,

1 such as REDD+, which financially reward developing countries that reduce  
2 emissions from deforestation and forest degradation. Additionally, our findings  
3 support differentiated carbon accumulation patterns in human-dominated  
4 landscapes, which must be considered in carbon trading agendas (e.g., REDD+)  
5 and carbon-conservation initiatives.

## 6 **Acknowledgments**

7 We thank all landowners for giving permission to conduct fieldwork on  
8 their lands and for the outstanding support. We pleased thank to Cristina Banks-  
9 Leite and Leandro Tambosi for all suggestions and comments which improved  
10 this article. This study was developed within the “Interface Project”, supported by  
11 São Paulo Research Foundation (FAPESP, 2013/23457-6). I.R. was supported by a  
12 doctorate’s fellowship from the Brazilian Ministry of Education (CAPES-DS, 2015-  
13 2019).

## 14 **References**

- 15 Achard, F., Eva, H.D., Stibig, H.J., Mayaux, P., Gallego, J., Richards, T., Malingreau, J.P.  
16 2002. Determination of deforestation rates of the world's humid tropical  
17 forests. *Science* 297, 999-1002.
- 18 Alves, L.F., Vieira, S.A., Scaranello, M.A., Camargo, P.B., Santos, F.A.M., Joly, C.A.,  
19 Martinelli, L.A., 2010. Forest structure and live aboveground biomass variation  
20 along an elevational gradient of tropical Atlantic moist forest (Brazil). *For. Ecol.*  
21 *Manag.* 260, 679–691.
- 22 Andersen, H.E., Reutebuch, S.E., McGaughey, R.J., d'Oliveira, M.V.N., Keller, M. 2014.  
23 Monitoring selective logging in western Amazonia with repeat lidar flights.  
24 *Rem. Sens. Env.* 151, 157-165.

- 1 Asner, G.P., Rudel, T.K., Aide, T.M., Defries, R., Emerson, R. 2009. A contemporary  
2 assessment of change in humid tropical forests. *Conserv. Biol.* 23, 1386-1395.
- 3 Becknell1, J.M., Keller, M., Piotto, D., Longo, M., dos-Santos, M.N., Scaranello, M.A.,  
4 Cavalcante, R.B.O., Porder, S. 2018. Landscape-scale lidar analysis of  
5 aboveground biomass distribution in secondary Brazilian Atlantic Forest.  
6 *Biotropica*, 50 (3), 520-530.
- 7 Berenguer, E., Ferreira, J., Gardner, T.A., Aragão, L.E.O.C., Camargo, P.B., Cerri, C.E.,  
8 Durigan, M., Oliveira Júnior, R.C., Vieira, I.C.G., Barlow, J. 2014. A large-scale field  
9 assessment of carbon stocks in human-modified tropical forests. *Glob. Change*  
10 *Biol.* 20 (12), 3713-3726.
- 11 Bonan, G.B. 2008. Forests and climate change: forcings, feedbacks, and the climate  
12 benefits of forests. *Science*, 320, 1444-1449.
- 13 Brown, S., Lugo, A.E. 1990. Tropical secondary forests. *J. Trop. Ecol.* 6, 1-31.
- 14 Castilho, C.V., Magnusson, W.E. R., de Araújo, N.O., Luizão, R.C.C., Luizão, F.J., Lima,  
15 A.P., Higuchi, N. 2006. Variation in aboveground tree live biomass in a central  
16 Amazonian Forest: Effects of soil and topography. *For. Ecol. Manag.* 234, 85-96.
- 17 Chambers, J. Q., N. Higuchi, J. P. Schimel, L. V. Ferreira, J. M. Melack.  
18 Decomposition and carbon cycling of dead trees in tropical forests in central  
19 Amazon. 2000. *Oecologia* 122(3), 380-388,
- 20 Chapin, F.S., Zavaleta, E.S., Eviner, V.T., Naylor, R.S., Vitousek, P.M., Reynolds, H.L.,  
21 Hooper, D.U., Lavorel, S., Sala, O.E., Hobbie, S.E., Mack, M.C., Díaz, S. 2000.  
22 Consequences of changing biodiversity. *Nature* 405, 234-242.
- 23 Chave, J., M. Rejou-Mechain, A. Burquez, et al. Improved allometric models to  
24 estimate the aboveground biomass of tropical trees. 2014. *Glob. Change Biol.*  
25 20(10), 3177-3190.
- 26 Chen, J.Q., Saunders, S.C., Crow, T.R., Naiman, R.J., Broszofsky, K.D., Mroz, G.D.,  
27 Brookshire, B.L., Franklin, J.F., 2006. Microclimate in forest ecosystem and  
28 landscape ecology - Variations in local climate can be used to monitor and  
29 compare the effects of different management regimes. *Bioscience* 49, 288-297.
- 30 Costa, K.M. 2015. O estoque de carbono na vegetação e no solo em fragmentos  
31 florestais de paisagens tropicais. São Paulo University, 66 pp.

- 1 d'Albertas, F., Costa, K., Romitelli, I., Barbosa, J.M., Vieira, S.A., Metzger, J.P. 2018.  
2 Lack of evidence of edge age and additive edge effects on carbon stocks in a  
3 tropical forest. *For. Ecol. Manage* 407(1), 57-65.
- 4 D'Angelo, S., Andrade, A., Laurance, S.G., Laurance, W.F., Mesquita, R., 2004.  
5 Inferred causes of tree mortality in fragmented and intact Amazonian forests. *J.*  
6 *Trop. Ecol.* 20, 243-246.
- 7 D'Oliveira, M.V.N., Figueiredo, E.O., Papa, D.A. 2014. *Uso do Lidar como ferramenta*  
8 *para o manejo de precisão em florestas tropicais.* Brasília, DF : Embrapa, 132  
9 pp.
- 10 Espirito-Santo, F.D.B, Keller, M.M., Linder, E., Oliveira Junior, R.C., Pereira, C.,  
11 Oliveira, C.G. 2014. Gap formation and carbon cycling in the Brazilian Amazon:  
12 measurement using high-resolution optical remote sensing and studies in large  
13 forest plots. *Plant Ecol. Divers.* 7, 305-318.
- 14 Galindo-Gonzalez, J., Guevara, S., Sosa, V. 2000. Bat- and bird-generated seed rains  
15 at isolated trees in pastures in a tropical rainforest. *Conserv. Biol.* 14, 1693-  
16 1703.
- 17 Giam, X. 2017. Global biodiversity loss from tropical deforestation. *PNAS*, 114  
18 (23), 5775-5777.
- 19 Goldberg, E.E. and Ries, L. 2010. edgefx: an R package for edge effects on an  
20 ecological landscape. In.
- 21 Goodman, R., O. L. Phillips, D. Castillo Torres, L. Freitas, S. T. Cortese, A.  
22 Monteagudo, T. R. Baker. 2013. Amazon palm biomass and allometry. *For. Ecol.*  
23 *Manage.*, 310, 994-1004.
- 24 Guevara, S., Meave, J., Moreno-Casasola, P., Laborde, J. 1992. Floristic composition  
25 and structure of vegetation under isolated trees in Neotropical pastures. *J. Veg.*  
26 *Sci.* 3, 655-664.
- 27 Guevara, S., Laborde, J. 1993. Monitoring seed dispersal at isolated standing trees  
28 in tropical pastures - consequences for local species availability. *Veget.* 108,  
29 319-338.
- 30 Guevara, S., Laborde, J., Sanchez-Rios, G. 2004. Rainforest regeneration beneath  
31 the canopy of fig trees isolated in pastures of Los Tuxtlas, Mexico. *Biotropica*  
32 36, 99-108.

- 1 Hoekstra, J.M., Boucher, T.M., Ricketts, T.H., Roberts, C. 2005. Confronting a biome  
2 crisis: global disparities of habitat loss and protection. *Ecol. Lett.* 8, 23-29.
- 3 Hunter, M.O., Keller, M., Morton, D., Cook, B., Lefsky, M., Ducey, M., Saleska, S., de  
4 Oliveira, R.C., Schiatti, J. 2015. Structural Dynamics of Tropical Moist Forest  
5 Gaps. *PLoS ONE* 10(7).
- 6 Joly, C.A., Rodrigues, R.R., Metzger, J.P., Haddad, C.F.B., Verdade, L.M., Oliveira, M.C.,  
7 Bolzani, V.S. 2010. Biodiversity Conservation Research, Training, and Policy in  
8 São Paulo. *Science* 328 1358-1359.
- 9 Joly, C.A., Metzger, J.P., Tabarelli, M. 2014. Experiences from the Brazilian Atlantic  
10 Forest: ecological findings and conservation initiatives. *New Phytol.* 204459-73.
- 11 Jucker, T., Caspersen, J., Chave, J., Antin, C., Barbier, N. et al. 2017. Allometric  
12 equations for integrating remote sensing imagery into forest monitoring  
13 programmes. *Glob. Change Biol.* 23 177–190.
- 14 Koricheva, J., Gurevitch, J., Mergensen, K. 2013. *Handbook of meta-analysis in  
15 ecology and evolution.* Princeton University Press, Princeton.
- 16 Laurance, W.F., Laurance, S.G., Ferreira, L.V., Rankin de Merona, J.M., Gascon, C.,  
17 Lovejoy, T.E. 1997. Biomass collapse in Amazonian forest fragments. *Science*  
18 278, 1117-1118.
- 19 Laurance, W.F., Perez-Salicrup, D., Delamonica, P., Fearnside, P.M., D'Angelo, S.,  
20 Jerozolinski, A., Pohl, L., Lovejoy, T.E. 2001. Rainforest fragmentation and the  
21 structure of Amazonian liana communities. *Ecology* 82, 105-116.
- 22 Laurance, W.F., Camargo, J.L.C., Luizão, R.C.C., Laurance, S.G., Pimm, S.L., Bruna,  
23 E.M., Stouffer, P.C., Williamson, G.B., Benítez-Malvido, J., Vasconcelos, H.L., Van  
24 Houtan, K.S., Zartman, S.E., Boyle, S.A., Didham, R.K., Andrade, A., Lovejoy, T.E.  
25 2011. The fate of Amazonian forest fragments: A 32-year investigation. *Biol.*  
26 *Conserv.* 144, 56-67.
- 27 Lôbo, D., Leão, T., Melo, F. P. L., Santos, A., Tabarelli, M. 2011. Forest fragmentation  
28 drives Atlantic forest of northeastern Brazil to biotic homogenization. *Diversity  
29 and Distributions*, 17, 287-296.
- 30 Malcolm, J.R., 1994. Edge Effects in Central Amazonian Forest Fragments. *Ecology*  
31 75, 2438–2445.



- 1 Martinelli, L.A., Almeida, S., Brown, I.F., Moreira, M.Z., Victoria, R.L., Filoso, S.,  
2 Ferreira, C.A.C., Thomas, W.W. 2000. Variation in Nutrient Distribution and  
3 Potential Nutrient Losses by Selective Logging in a Humid Tropical Forest of  
4 Rondonia, Brazil. *Biotropica* 32, 597-613.
- 5 McCulloh, K.A., Meinzer, F.C., Sperry, J.S., Lachenbruch, B., Voelker, S.L., Woodruff,  
6 D.R., Domec, J.C. 2011. Comparative hydraulic architecture of tropical tree  
7 species representing a range of successional stages and wood density.  
8 *Oecologia* 167 (1), 27-37.
- 9 Melito, M. O. 2016. Efeitos da fragmentação florestal na biomassa em florestas  
10 tropicais. São Paulo University, 126 pp.
- 11 Melito, M., Metzger, J.P., de Oliveira, A.A. 2018. Landscape-level effects on  
12 aboveground biomass of tropical forests: a conceptual framework. *Glob. Change*  
13 *Biol.* 24: 597-607.
- 14 Metzger, J. P. 2009. Conservation issues in the Brazilian Atlantic forest. *Biol.*  
15 *Conserv.* 142, 1138-1140.
- 16 Michalski, F., Nishi, I., Peres, C. A. 2007. Disturbance-mediated drift in tree  
17 functional groups in Amazonian forest fragments. *Biotropica*,39, 691-701.
- 18 Palace, M., M. Keller, G. P. Asner, J. N. M. Silva, C. Passos, 2007: Necromass in  
19 undisturbed and logged forests in the Brazilian Amazon. *Forest Ecol. Manage.*  
20 238, 309-318.
- 21 Pan, Y., Birdsey, R.A., Fang, J., Houghton, R., Kauppi, P.E., Kurz, W.A., Phillips, O.L.,  
22 Shvidenko, A., Lewis, S.L., Canadell, J.G., Ciais, P., Jackson, R.B., Pacala, S.W.,  
23 McGuire, A.D., Piao, S., Rautiainen, A., Sitch, S., Hayes, D. 2011. A large and  
24 persistent carbon sink in the world's forests. *Science* 333, 988-993.
- 25 Poorter, L., Wright, S.J., Paz, H., Ackerly, D.D., Condit, R., Ibarra-Manríquez, G.,  
26 Harms, K.E., Licona, J.C., Martínez-Ramos, M., Mazer, S.J., Muller-Landau, H.C.,  
27 Peña-Claros, M., Webb, C.O., Wright, I.J. 2008. Are functional traits good  
28 predictors of demographic rates? Evidence from five neotropical forests.  
29 *Ecology*, 89(7), 1908-1920.
- 30 Poorter, L., Bongers, F., Aide, T.M., et al. 2016. Biomass resilience of Neotropical  
31 secondary forests. *Nature* 530, 212-225.

- 1 Pullin, A.S., Stewart, G.B. 2006. Guidelines for systematic review in conservation  
2 and environmental management. *Conserv. Biol.*, 20, 1647–56.
- 3 Pütz, S., Groeneveld, J., Alves, L.F., Metzger, J.P., Huth, A. 2011. Fragmentation  
4 drives tropical forest fragments to early successional states: a modeling study  
5 for Brazilian Atlantic forests. *Ecol. Model.* 222, 1986-1997.
- 6 R Development Core Team. 2017. R: A language and environment for statistical  
7 computing. R Foundation for Statistical Computing, Vienna, Austria. ISBN 3-  
8 900051-07-0, Available in: URL <http://www.R-project.org>.
- 9 Rezende, C.L., Scarano, F.R., Assadd, E.D., Joly, C.A., Metzger, J.P., Strassburgg,  
10 B.B.N., Tabarelli, M., Fonseca, G.A., Mittermeier, R.A. 2018. From hotspot to  
11 hopespot: An opportunity for the Brazilian Atlantic Forest. *Perspectives in  
12 Ecology and Conservation*, 16 (4), pp. 208-214. Ribeiro, M.C., Metzger, J.P.,  
13 Martensen, A.C., Ponzoni, F.J., Hirota, M.M., 2009. The Brazilian Atlantic Forest:  
14 How much is left, and how is the remaining forest distributed? Implications for  
15 conservation. *Biol. Conserv.* 142, 1141–1153.
- 16 Ries, L., Fletcher, R.J., Battin, J., Sisk, T.D., 2004. Ecological Responses to Habitat  
17 Edges: Mechanisms, Models, and Variability Explained. *Annu. Rev. Ecol. Evol.  
18 Syst.* 35, 491–522.
- 19 Rodrigues, R.R., Joly, C.A., Brito, M.C.W., Paese, A., Metzger, J.P., Cassati, L., Nalon,  
20 M.A., Menezes, N., Ivanauskas, N.M., Bolzani, V., Bononi, V.L.R. 2008. Diretrizes  
21 para conservação e restauração da biodiversidade no Estado de São Paulo.  
22 Governo do Estado de São Paulo, São Paulo.
- 23 Romitelli, I., D'Albertas, F., Costa, K., Vieira, S.A., Metzger, J.P. Tropical forests in  
24 human-dominated landscapes present low biomass stocks and high spatial  
25 variability, in prep.
- 26 Sanderson, E.W., Jaiteh, M., Levy, M.A., Redford, K.H., Wannebo, A.V., Woolmer, G.  
27 2002. The human footprint and the last of the wild. *Bioscience* 52, 891-904.
- 28 Silva, WG.I, Metzger, JP., Simões, S., Simonetti, C. 2007. Terrain influence on the  
29 spatial distribution of the Atlantic Forest cover on the Ibiúna Plateau, SP. *Braz.  
30 J. Biol.*, 67 (3), 403-411.
- 31 Silva, WGS, Metzger, JP, Bernacci, LC, Catharino, ELM, Durigan, G, Simões, S. 2008.  
32 Relief influence on tree species richness in secondary forest fragments of  
33 Atlantic Forest, SE, Brazil. *Acta Botanica Brasilica* 22 (2): 589-598

- 1 Soares-Filho, B., Rajão, R., Macedo, M., Carneiro, A., Costa, W., Coe, M., Rodrigues,  
2 H., Alencar, A. 2014. Cracking Brazil's Forest Code. *Science* 344, 363-364.
- 3 Tabarelli, M., Aguiar, A.V., Ribeiro, M.C., Metzger, J.P., Peres, C.A. 2010. Prospects  
4 for biodiversity conservation in the Atlantic Forest: Lessons from aging human-  
5 modified landscapes. *Biol. Conserv.* 143, 2328–2340.
- 6 Teixeira, A.M.G., Soares-Filho, B.S., Freitas, S.R., Metzger, J.P., 2009. Modeling  
7 landscape dynamics in an Atlantic Rainforest region: Implications for  
8 conservation. *For. Ecol. Manag.* 257, 1219–1230.
- 9 Vieira, S.A., Alves, L.F., Duarte-Neto, P.J.M, Martins, S.C., Veiga, L.G., Scaranello,  
10 M.A., Picollo, M.C., Camargo, P.B., do Carmo, J.B., Neto, E.S., Santos, F.A.M., Joly,  
11 C.A., Martinelli, L.A. 2011. Stocks of carbon and nitrogen and partitioning  
12 between above- and belowground pools in the Brazilian coastal Atlantic Forest  
13 elevation range. *Ecol. Evol.* 1, 421-434.
- 14 Wasser, L., Day, R., Chasmer, L., Taylor, A. 2013. Influence of Vegetation Structure  
15 on Lidar-derived Canopy Height and Fractional Cover in Forested Riparian  
16 Buffers During Leaf-Off and Leaf-On Conditions. *PLoS One* 8(1).
- 17 West, G.B., Brown, J.H., Enquist, B.J. 1999. A general model for the structure and  
18 allometry of plant vascular systems. *Nature*, 400, 664-667.
- 19 Whately, M., Cunha, P. 2006. Um olhar sobre o maior manancial de água da Região  
20 Metropolitana de São Paulo. In. Instituto Socioambiental São Paulo.
- 21 Zald, H.S.J., Wulder, M.A., White, J.C., Hilker, T., Hermosilla, T., Hobart, G.W., Coops,  
22 N.C. 2016. Integrating Landsat pixel composites and change metrics with lidar  
23 plots to predictively map forest structure and aboveground biomass in  
24 Saskatchewan, Canada. *Remote Sens. Environ.* 176, 188-201.
- 25 Zuur, A.G., Ieno, E.N., Walker, N.J., Saveliev, A.A., Smith, G.M. 2009. Mixed effects  
26 models and extensions in ecology with R. Springer, New York.

27

28

29

30

1 **Table and Figures (citation order)**

2

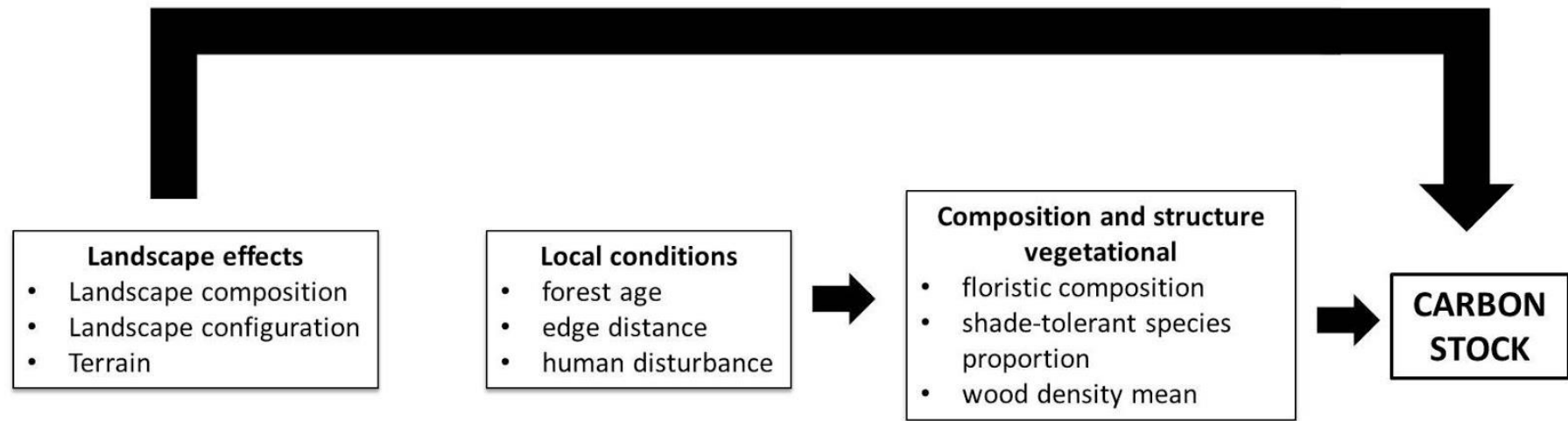
3 **Table 1** - Best supported models to explain variation in the carbon stock.

4 Explanatory variables considered: edge effect, forest cover and slope. Each model  
5 is described by  $\Delta AIC$ , the degrees of freedom (df) and weight. The tilde (~) means  
6 the function and the colon (: ) the interaction.

7

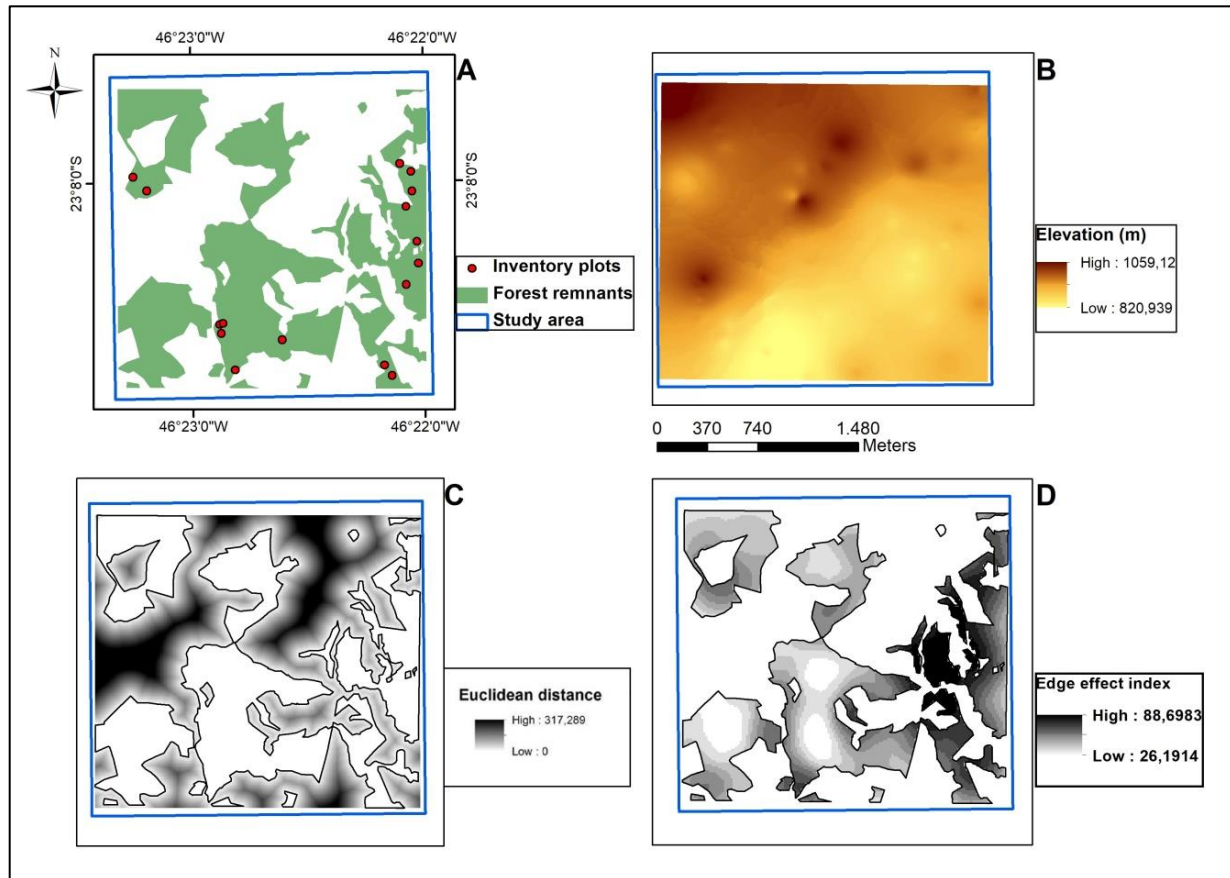
<b>Model</b>	<b><math>\Delta AIC</math></b>	<b>df</b>	<b>weight</b>
carbon ~ edge effect + forest cover + slope + edge effect : forest cover : slope	0	6	0.8268
carbon ~ edge effect + forest cover + edge effect : forest cover	3.7	5	0.1297
carbon ~ edge effect + forest cover + slope	5.9	5	0.0424
carbon ~ edge effect + forest cover	13.3	4	0.0011
carbon ~ forest cover + slope + forest cover : slope	285.7	5	< 0.001
carbon ~ forest cover + slope	299	4	< 0.001
carbon ~ forest cover	318.5	3	< 0.001
carbon ~ edge effect + slope	664.2	4	< 0.001
carbon ~ edge effect + slope+ edge effect : slope	664.9	5	< 0.001
carbon ~ edge effect	675.2	3	< 0.001
carbon ~ slope	1038.5	3	< 0.001
null	31352.6	1	< 0.001

1  
2



3

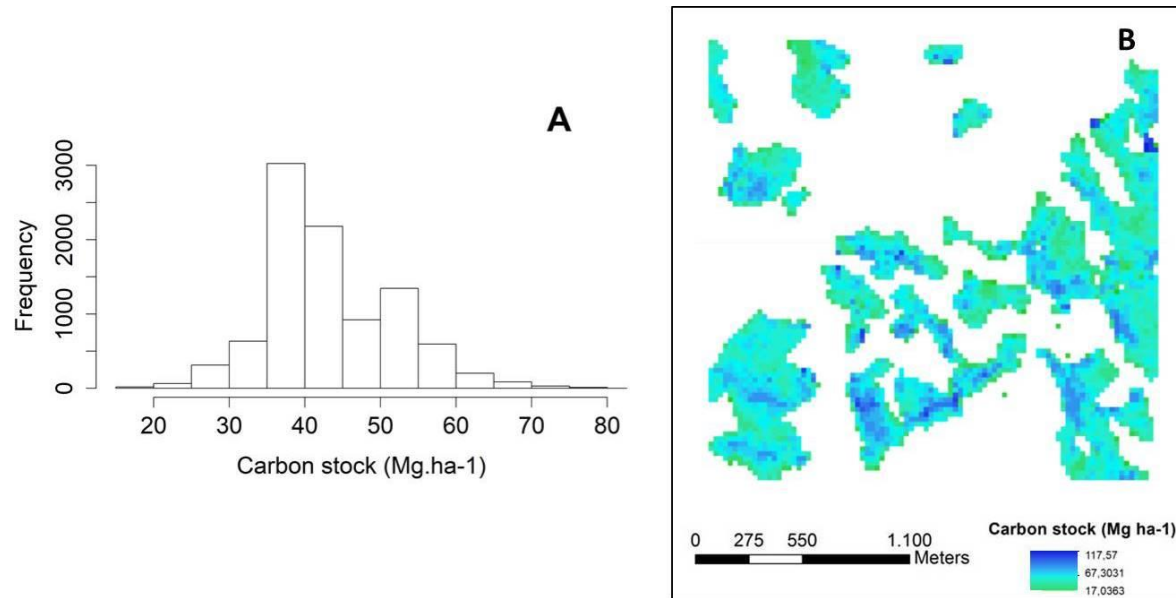
4 **Figure 1.** . Illustrative scheme of the landscape and plot-level explaining carbon  
5 stock spatial distribution.



1

2 **Figure 2.** Study area in Southeastern Brazil showing (A) the forest cover  
 3 (43.11%) and the 16 inventory plots (red points); (B) the elevation; (C) the  
 4 Euclidean distance of any forest area to an edge; and (D) the edge effect index. All  
 5 maps from A to D are under the same spatial scale.

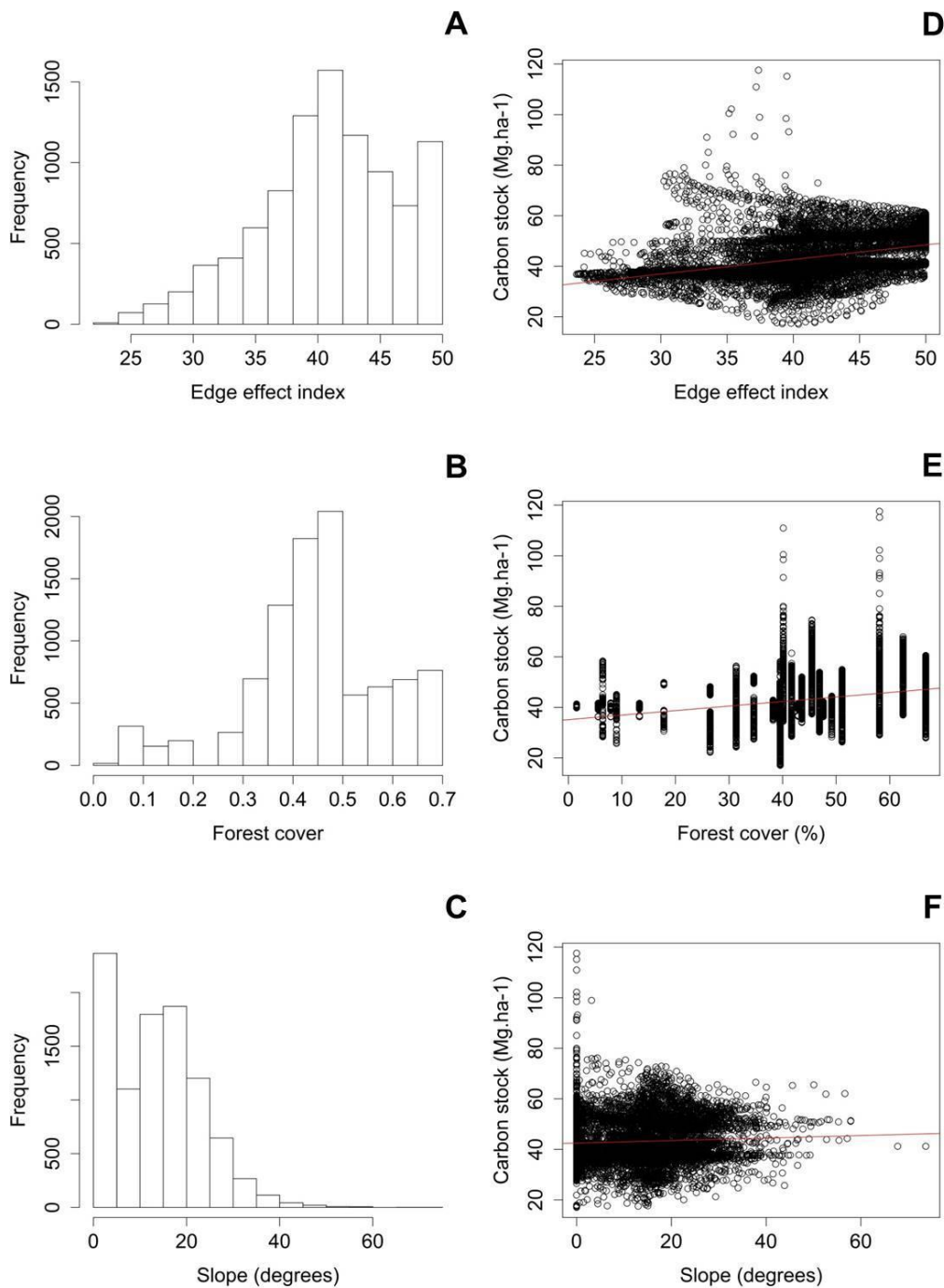
1  
2



3

4 **Figure 3.** Carbon stock data of the 215 ha of native vegetation observed in the  
5 Cantareira-Mantiqueira corridor. A) Histogram of carbon stock extrapolation  
6 for the whole area, with red points representing data from forest inventory (16  
7 plots); B) Forest carbon stock map

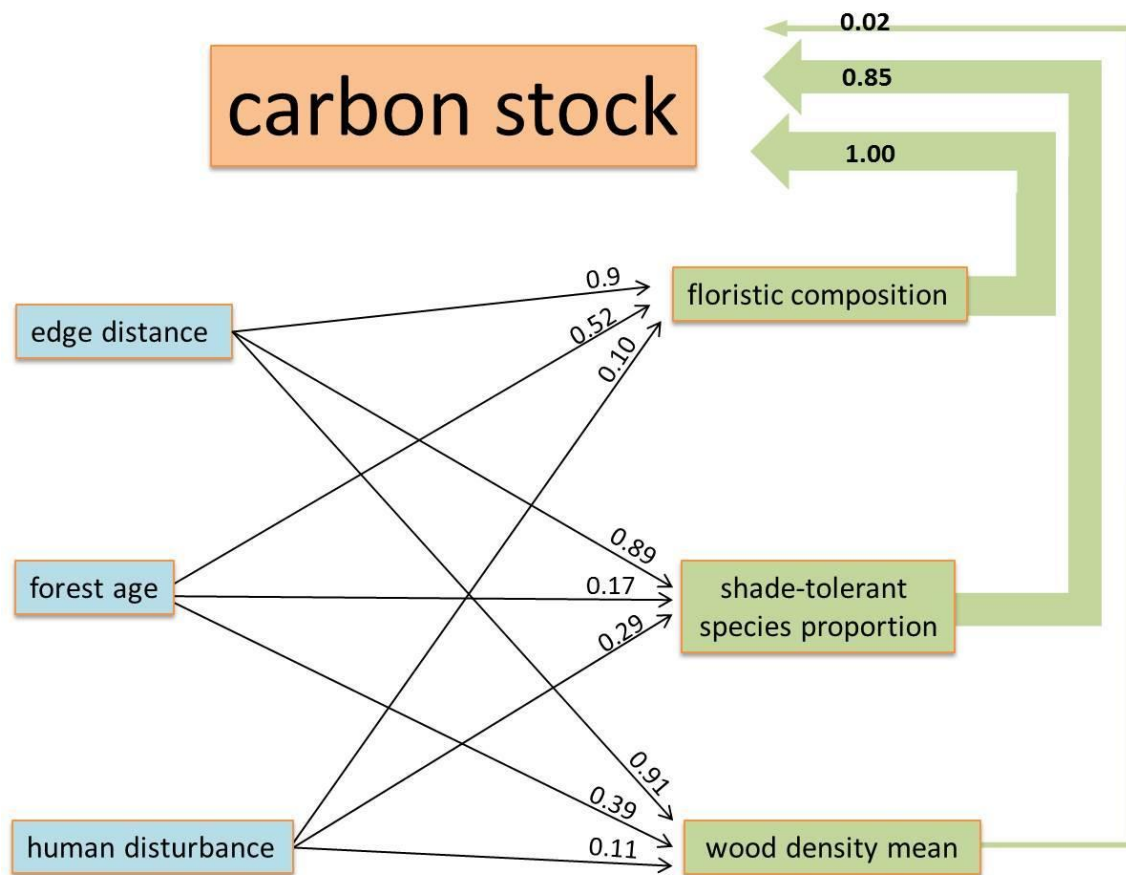
1



2

3 **Figure 4.** Histogram of explanatory variables: A) edge effect index; B) forest cover  
4 and; C) slope. Relationships of Carbon stock with the three selected explanatory  
5 variables: D) edge effect index ( $R^2 = 0.368$ ); E) forest cover ( $R^2 = 0.276$ ); and; F)  
6 slope ( $R^2 = 0.055$ ). The red line represents tendencies of those relations.





1

2 **Figure 5.** Illustrative scheme of the plot-level model explaining carbon stock  
 3 spatial distribution. Green arrows correspond to composition and structure  
 4 vegetational affecting carbon stock, in these the thickness of the arrows initiates  
 5 the magnitude of the explanatory power of the variables. The black arrows  
 6 correspond to local conditions affecting composition and structure vegetational.  
 7 The explanatory variable weight (The explanation power of each variable by AICc  
 8 model selection is presented for all arrows.

9

- 1 **Table S1** – List of species observed in the 16 studied plots from the Cantareira-
- 2 Mantiqueira corridor and their corresponding wood density and family.

<b>Specie</b>	<b>Wood density (g.cm-3)</b>	<b>Family</b>
<i>Schinus terebinthifolia</i>	0.62	Anacardiaceae
<i>Tapirira guianensis</i>	0.493	Anacardiaceae
<i>Annona cacans</i>	0.55	Annonaceae
<i>Annona sylvatica</i>	0.55	Annonaceae
<i>Guatteria australis</i>	0.55	Annonaceae
<i>Aspidosperma australis</i>	0.83	Apocynaceae
<i>Aspidosperma camporum</i>	0.83	Apocynaceae
<i>Aspidosperma olivaceum</i>	0.83	Apocynaceae
<i>Aspidosperma parviflorum</i>	0.743	Apocynaceae
<i>Aspidosperma ramiflorum</i>	0.71	Apocynaceae
<i>Tabernaemontana laeta</i>	0.49	Apocynaceae
<i>Schefflera calva</i>	0.436	Araliaceae
<i>Vernonanthura divaricata</i>	0.54	Asteraceae
<i>Handroanthus sp.</i>	0.4	Bignoniaceae
<i>Cordia sellowiana</i>	0.49	Boraginaceae
<i>Protium heptaphyllum</i>	0.55	Burseraceae
<i>Celtis iguanaea</i>	0.77	Cannabaceae
<i>Trema micrantha</i>	0.245	Cannabaceae
<i>Maytenus evonymoides</i>	0.4	Celastraceae
<i>Maytenus salicifolia</i>	0.4	Celastraceae
<i>Hirtella hebeclada</i>	0.72	Chrysobalanaceae
<i>Clethra scabra</i>	0.53	Clethraceae
<i>Tovomitopsis paniculata</i>	0.4	Clusiaceae
<i>Terminalia sp.</i>	0.58	Combretaceae
<i>Lamanonia ternata</i>	0.62	Cunoniaceae
<i>Diospyros inconstans</i>	0.83	Ebenaceae
<i>Sloanea guianensis</i>	0.861	Elaeocarpaceae
<i>Erythroxylum deciduum</i>	0.81	Erythroxylaceae
<i>Actinostemon conceptionis</i>	0.907	Euphorbiaceae
<i>Actinostemon concolor</i>	0.907	Euphorbiaceae
<i>Alchornea glandulosa</i>	0.4	Euphorbiaceae
<i>Croton floribundus</i>	0.6	Euphorbiaceae
<i>Sapium glandulosum</i>	0.27	Euphorbiaceae

- 1 **Table S1** – List of species observed in the 16 studied plots from the Cantareira-  
 2 Mantiqueira corridor and their corresponding wood density and family (cont.).

<b>Specie</b>	<b>Wood density (g.cm-3)</b>	<b>Family</b>
<i>Sebastiania brasiliensis</i>	0.72	Euphorbiaceae
<i>Copaifera langsdorffii</i>	0.7	Fabaceae-caesalpinoideae
<i>Hymenaea courbaril</i>	0.71	Fabaceae-caesalpinoideae
<i>Senna macrantera</i>	0.6	Fabaceae-caesalpinoideae
<i>Senna multijuga</i>	0.58	Fabaceae-caesalpinoideae
<i>Tachigali cf. denudata</i>	0.52	Fabaceae-caesalpinoideae
<i>Schenella cf. macrostachya</i>	0.4	Fabaceae-cerciideae
<i>Andira fraxinifolia</i>	0.92	Fabaceae-faboideae
<i>Dalbergia brasiliensis</i>	0.91	Fabaceae-faboideae
<i>Lonchocarpus cultratus</i>	0.76	Fabaceae-faboideae
<i>Machaerium aculeatum</i>	0.54	Fabaceae-faboideae
<i>Machaerium brasiliense</i>	0.66	Fabaceae-faboideae
<i>Machaerium hatschbachii</i>	0.6	Fabaceae-faboideae
<i>Machaerium nyctitans</i>	0.6	Fabaceae-faboideae
<i>Machaerium stipitatum</i>	0.6	Fabaceae-faboideae
<i>Machaerium villosum</i>	0.69	Fabaceae-faboideae
<i>Abarema jupunba</i>	0.5	Fabaceae-mimosoideae
<i>Anadenanthera colubrina</i>	0.86	Fabaceae-mimosoideae
<i>Balizia pedicellaris</i>	0.465	Fabaceae-mimosoideae
<i>Inga striata</i>	0.57	Fabaceae-mimosoideae
<i>Inga uruguensis</i>	0.58	Fabaceae-mimosoideae
<i>Leucochloron incuriale</i>	0.4	Fabaceae-mimosoideae
<i>Piptadenia gonoacantha</i>	0.75	Fabaceae-mimosoideae

- 1 **Table S1** – List of species observed in the 16 studied plots from the Cantareira-  
 2 Mantiqueira corridor and their corresponding wood density and family (cont.).

<b>Specie</b>	<b>Wood density (g.cm-3)</b>	<b>Family</b>
<i>Piptadenia paniculata</i>	0.75	Fabaceae-mimosoideae
<i>Aegiphila integrifolia</i>	0.806	Lamiaceae
<i>Vitex polygama</i>	0.4	Lamiaceae
<i>Cinnamomum triplinerve</i>	0.41	Lauraceae
<i>Cryptocarya aschersoniana</i>	0.57	Lauraceae
<i>Endlicheria paniculata</i>	0.58	Lauraceae
<i>Nectandra grandiflora</i>	0.61	Lauraceae
<i>Nectandra oppositifolia</i>	0.51	Lauraceae
<i>Ocotea elegans</i>	0.62	Lauraceae
<i>Ocotea glaziovii</i>	0.53	Lauraceae
<i>Ocotea lanata</i>	0.57	Lauraceae
<i>Ocotea pulchella</i>	0.65	Lauraceae
<i>Persea willdenovii</i>	0.4	Lauraceae
<i>Cariniana estrellensis</i>	0.57	Lecythidaceae
<i>Ceiba speciosa</i>	0.224	Malvaceae
<i>Eriotheca candolleana</i>	0.43	Malvaceae
<i>Luehea divaricata</i>	0.64	Malvaceae
<i>Pseudobombax grandiflorum</i>	0.39	Malvaceae
<i>Miconia cinnamomifolia</i>	0.73	Melastomataceae
<i>Miconia petropolitana</i>	0.68	Melastomataceae

- 1 **Table S1** – List of species observed in the 16 studied plots from the Cantareira-  
 2 Mantiqueira corridor and their corresponding wood density and family (cont.).

<b>Specie</b>	<b>Wood density (g.cm-3)</b>	<b>Family</b>
<i>Miconia rigidiuscula</i>	0.63	Melastomataceae
<i>Cabrlea canjerana</i>	0.41	Meliaceae
<i>Cedrela fissilis</i>	0.42	Meliaceae
<i>Trichilia pallida</i>	0.74	Meliaceae
<i>Mollinedia elegans</i>	0.52	Monimiaceae
<i>Mollinedia schottiana</i>	0.52	Monimiaceae
<i>Ficus enormis</i>	0.5	Moraceae
<i>Ficus insipida</i>	0.5	Moraceae
<i>Maclura tinctoria</i>	0.7	Moraceae
<i>Morus nigra</i>	0.517	Moraceae
<i>Sorocea bonplandii</i>	0.67	Moraceae
<i>Calyptranthes grandifolia</i>	0.78	Myrtaceae
<i>Campomanesia guazumifolia</i>	0.45	Myrtaceae
<i>Campomanesia xanthocarpa</i>	0.45	Myrtaceae
<i>Eugenia aff. florida</i>	0.722	Myrtaceae
<i>Eugenia cf. florida</i>	0.722	Myrtaceae
<i>Eugenia cf. uniflora</i>	0.722	Myrtaceae
<i>Myrceugenia sp.</i>	0.74	Myrtaceae
<i>Myrcia hebeptala</i>	0.74	Myrtaceae
<i>Myrcia splendens</i>	0.8	Myrtaceae
<i>Myrciaria ciliolata</i>	0.77	Myrtaceae
<i>Psidium guineense</i>	0.77	Myrtaceae
<i>Guapira opposita</i>	0.83	Nyctaginaceae
<i>Pisonia ambigua</i>	0.4	Nyctaginaceae
<i>Pera glabrata</i>	0.65	Peraceae
<i>Bredemeyera floribunda</i>	0.6	Polygalaceae
<i>Myrsine coriacea</i>	0.64	Primulaceae
<i>Myrsine gardneriana</i>	0.64	Primulaceae
<i>Myrsine umbellata</i>	0.86	Primulaceae
<i>Roupala montana var. brasiliensis</i>	0.77	Proteaceae

- 1 **Table S1** – List of species observed in the 16 studied plots from the Cantareira-
- 2 Mantiqueira corridor and their corresponding wood density and family (cont.).

Specie	Wood density (g.cm- 3)	Family
<i>Colubrina glandulosa</i>	0.646	Rhamnaceae
<i>Psychotria cf. sessilis</i>	0.5	Rubiaceae
<i>Psychotria vellosiana</i>	0.5	Rubiaceae
<i>Rudgea gardenioides</i>	0.57	Rubiaceae
<i>Zanthoxylum rhoifolium</i>	0.797	Rutaceae
<i>Casearia decandra</i>	0.563	Salicaceae
<i>Casearia lasiophylla</i>	0.681	Salicaceae
<i>Casearia obliqua</i>	0.681	Salicaceae
<i>Casearia sylvestris</i>	0.8	Salicaceae
<i>Allophylus edulis</i>	0.593	Sapindaceae
<i>Cupania vernalis</i>	0.65	Sapindaceae
<i>Matayba cf. cristae</i>	0.8	Sapindaceae
<i>Matayba elaeagnoides</i>	0.78	Sapindaceae
<i>Matayba intermedia</i>	0.8	Sapindaceae
<i>Cestrum sp.</i>	0.41	Solanaceae
<i>Solanum cf. erianthum</i>	0.41	Solanaceae
<i>Solanum cf. variabilis</i>	0.41	Solanaceae
<i>Solanum mauritianum</i>	0.41	Solanaceae
<i>Solanum pseudoquina</i>	0.41	Solanaceae
<i>Boehmeria caudata</i>	0.364	Urticaceae
<i>Cecropia glaziovii</i>	0.41	Urticaceae
<i>Cecropia hololeuca</i>	0.43	Urticaceae
<i>Cecropia pachystachya</i>	0.41	Urticaceae
<i>Aloysia virgata</i>	0.66	Verbenaceae

- 3 **Table S2** – Spatial correlation test results (Mantel test) between samples (9444
- 4 pixels)

Pixel size (m)	<i>r</i> value
5	0.983
10	0.825
15	0.634
20	0.612
25	0.578

- 1 **Table S3** - Best supported models to explain variation in the carbon stock,
- 2 floristic composition, shade-tolerant species proportion and wood density mean.
- 3 Each model is described by  $\Delta AIC$ , the degrees of freedom (df) and weight. The
- 4 tilde (~) means the function.

<b>Model</b>	<b><math>\Delta AIC</math></b>	<b>df</b>	<b>weight</b>
<b>Local conditions affecting floristic composition</b>			
floristic composition ~age + edge distance	0.0	4	0.440
floristic composition ~ edge distance	0.2	3	0.389
floristic composition ~age	3.6	3	0.071
floristic composition ~ human disturbance + edge distance	3.8	4	0.067
floristic composition ~ human disturbance	6.0	3	0.022
floristic composition ~age + human disturbance	7.3	4	0.011
null	39.4	1	<0.001
<b>Local conditions affecting shade-tolerant species</b>			
shade-tolerant species proportion ~ edge distance	0.0	3	0.556
shade-tolerant species proportion ~ human disturbance + edge distance	2.2	4	0.182
shade-tolerant species proportion ~age + edge distance	3.5	4	0.098
shade-tolerant species proportion ~ human disturbance	3.6	3	0.093
shade-tolerant species proportion ~age	4.5	3	0.058
shade-tolerant species proportion ~age + human disturbance	7.4	4	0.014
null	26.8	1	<0.001
<b>Local conditions affecting wood density</b>			
wood density ~ edge distance	0.0	3	0.4880
wood density ~age + edge distance	0.8	4	0.3346
wood density ~ human disturbance + edge distance	3.4	4	0.0883
wood density ~age	4.3	3	0.0562
wood density ~ human disturbance	6.0	3	0.0245
wood density ~age + human disturbance	8.1	4	0.0084
null	65.7	1	<0.001
<b>Composition and structure vegetational affecting carbon stock</b>			
carbon ~ floristic composition + wood density	0.0	4	0.843
carbon ~ floristic composition	3.6	3	0.136
carbon ~ floristic composition + shade-tolerant species proportion	7.3	4	0.021
null	39.4	1	<0.001
carbon ~ wood density	246.6	3	<0.001
carbon ~shade-tolerant species proportion + wood density	248.7	4	<0.001
carbon ~ shade-tolerant species proportion	259.4	3	<0.001

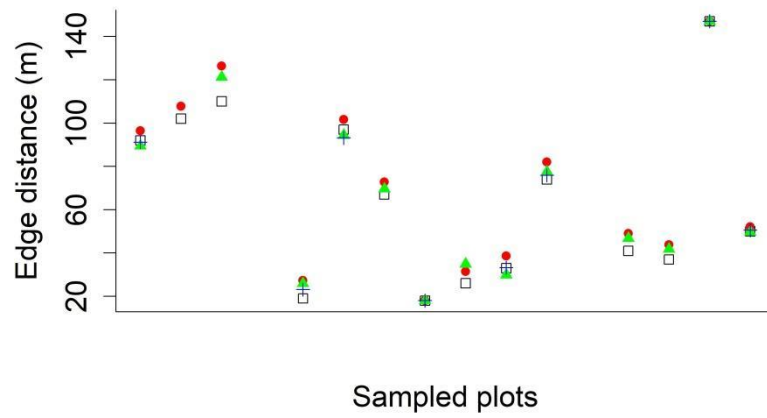
- 1 **Table S4** – Human Disturbance Value (HDV) and Human Disturbance Spatial
- 2 Range (HDSR). The product of those two factors is the human disturbance index.

<b>Human Disturbance Value</b>	
<b>Human disturbance type</b>	<b>Human Disturbance Value</b>
Selective logging	5
Livestock trail	4
Forest gap	3
Human trail	2
<b>Human Disturbance Spatial Range</b>	
<b>Spatial distribution (%)</b>	<b>Human Disturbance Spatial Range</b>
10-20	1
20-40	2
40-60	3
60-80	4
80-100	5

3

4

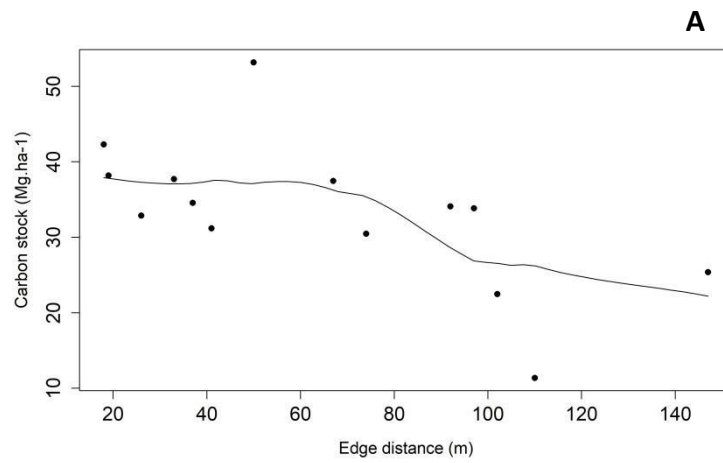




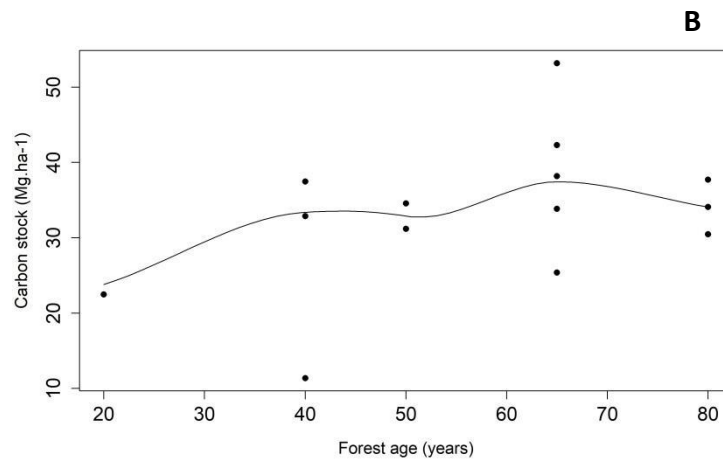
1

2 **Figure S1.** Historic edge distance of sampled plots which it is possible observes reduction,  
 3 increase and constancy of edge distance.

4



1

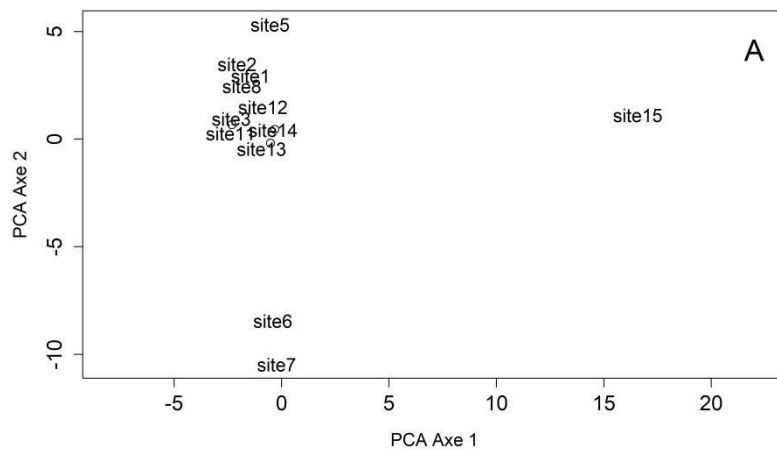


2

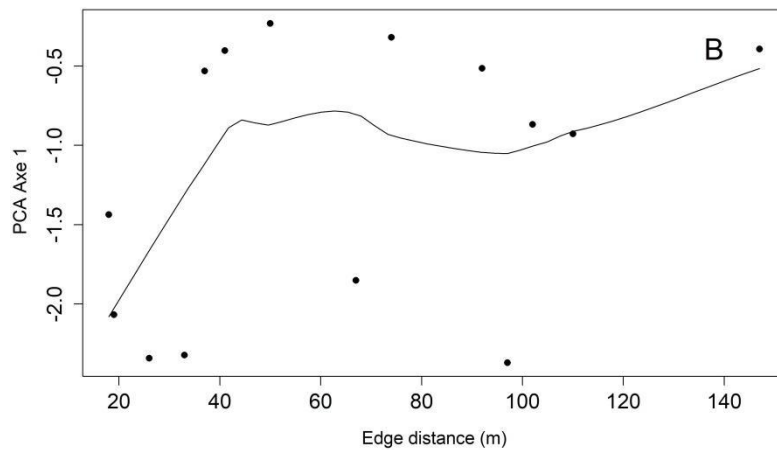
3

4 **Figure S2.** Relation separately between carbon stock and A) edge distance; B) forest age in

5 sampled plots.



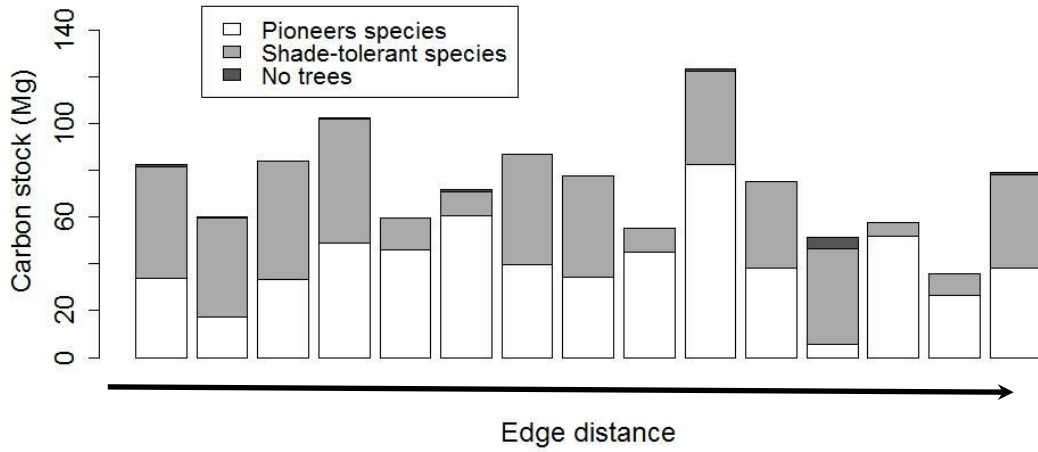
1



2

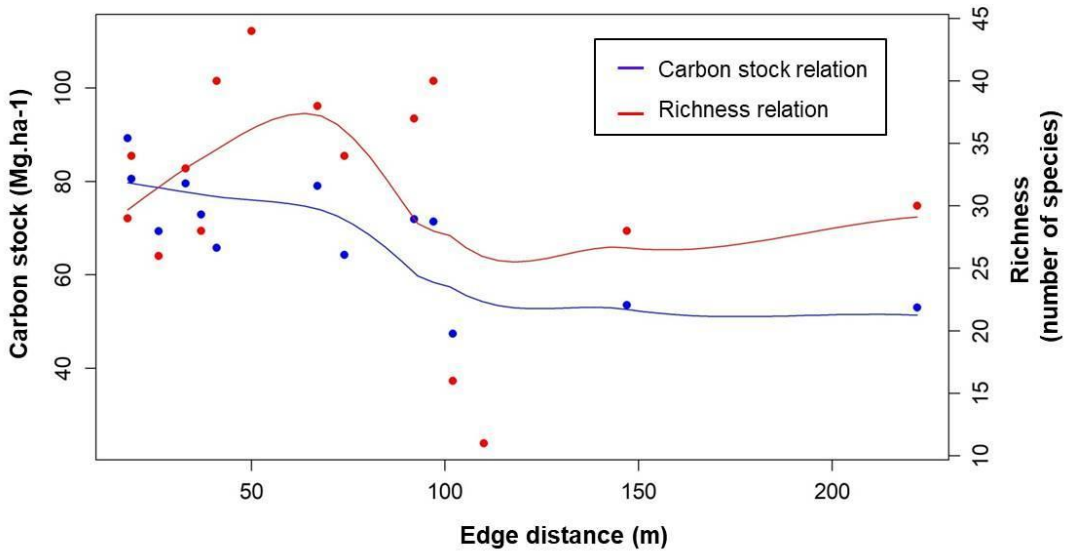
3 **Figure S3.** Ordination graphs of the PCA based on the sampling of tree community in the  
 4 sampled plots. A) Ordination with all sampled plots; B) Relation between PCA Axe 1 and  
 5 edge distance showing the segregation by edge distance (less and more than 40 m).

1



2

3 **Figure S4.** Contribution of pioneers and shade-tolerant species to carbon stock on sampled  
4 plots display according an edge distance gradient,



5

6 **Figure S5.** Relation between carbon stock and edge distance (blue) and; richness and edge  
7 distance (red).

8

1 **Capítulo 2: “Landscape effects overtake abiotic and age influences on**  
2 **forest biomass in tropical fragmented landscapes”**

3 Isabella Romitelli, Jomar Magalhães Barbosa and Jean Paul Metzger

4 **ABSTRACT**

5 The knowledge gap on what processes determine the ability of secondary  
6 forests to store carbon is still large. In human-dominated landscapes, landscape  
7 level processes should have important an important role. Particularly,  
8 landscape composition, habitat fragmentation, connectivity, patch size can  
9 affect how forests resist to degradation and how they recover. This study aims  
10 to evaluate the effects of landscape-level factors on secondary forest biomass in  
11 human-dominated landscapes beyond the known role of forest age and terrain  
12 variables (elevation, slope, and aspect). In particular, we evaluated how forest  
13 cover and edge distance interact to affect forest biomass – maximum canopy  
14 height (MCH) as a proxy for above-ground biomass - in young (recent  
15 regeneration, about 20-40 years) and old-growth forests (> 100 years of  
16 regeneration or not clear cut) of human-dominated landscapes of two Brazilian  
17 Atlantic forest region, one with low and another with high forest cover. Our  
18 results suggest that tree height (and thus above-ground biomass) in human-  
19 dominated and fragmented landscapes can be more affected by the landscape  
20 composition (forest cover) and structure (edge distance) than by forest age and  
21 the topography factors . This pattern occurs in both landscape contexts, i.e. with  
22 contrasting forest cover, and for young and old-growth forests. Our findings  
23 may contribute to develop new carbon modeling approaches and carbon  
24 conservation initiatives as we pointed out the important role of considering  
25 landscape context effects on young and old-growth forest structure and  
26 biomass.

27

1 **Keywords:** Disturbed landscapes, secondary forests, forest structure, Atlantic  
2 forest, carbon stock, above-ground biomass, LiDAR

### 3 **1. Introduction**

4 Secondary and degraded forests are one of the world's most rapidly  
5 expanding ecosystems (Chazdon, 2014) and nowadays more than half of its  
6 remaining cover is composed of secondary forests at different stages of natural  
7 regeneration (Poorter et al., 2016). The ecological consequences of this  
8 secundarization process are drastic, jeopardizing the maintenance of  
9 biodiversity (Tabarelli et al., 2012) and the provision a wide range of  
10 ecosystem services (Brown & Lugo, 1990; Guariguata & Ostertag, 2001;  
11 Naughton-Treves & Chapman, 2002). Those effects are related to both local and  
12 landscape drivers, but our understanding of landscape-level processes are still  
13 incomplete. Particularly, considering carbon sequestration process,  
14 microclimatic and anthropogenic disturbance drivers on forest structure are  
15 well documented at a local level (e.g. Haddad et al., 2015; Reinmann and  
16 Hutyra, 2017; Smith et al., 2018) but there are important knowledge gaps of  
17 how forest structure and carbon stock are affected by landscape-level  
18 processes, related to forest cover (Romitelli et al. in prep), matrix types (Costa,  
19 2015), and edge effects (Laurence et al., 1997; Laurance et al., 2001; Romitelli  
20 et al.,chapter 1 ; Costa, 2015; D'Albertas et al., 2018). Secondary forests in  
21 human-dominated landscapes are characterized by reduced connectivity  
22 between forest patches, low matrix permeability, high extension of areas under

1 edge effects, in addition to high local risk of extinction, resulting in  
2 impoverished communities, with few species and high number of young and  
3 small trees (Melito et al. 2018). As a consequence, we expect multiple  
4 interactions between local and landscape level factors to determine forest  
5 structure, and thus carbon sequestration process and stocks in secondary  
6 tropical forests (Romitelli et al., chapter 1).

7         Edge effects at the interface between forest and matrix areas are  
8 considered as one of the most important processes acting on forests at the  
9 landscape level (Melito et al. 2018). Forest areas located near edges receive  
10 more insolation and are usually hotter and drier and are more exposed to wind  
11 effects when compared to the forest interior (Laurance et al., 2011). As a  
12 consequence, tree species composition is strongly affected by microclimatic  
13 changes in forest edges, as well by floristic and functional simplification  
14 induced by edge creation (Lôbo et al., 2011; Michalski et al. 2007). In particular,  
15 forest edges nearby contrasting or open matrix, such as crops or pasturelands,  
16 have higher mortality of large trees (Laurance et al., 1997; D'Angelo et al.,  
17 2004) and higher dominance of initial successional species (Laurance et al.,  
18 2011). Additionally, highly fragmented landscapes, with large edge extension,  
19 will tend to store less carbon than landscapes with the same amount of forest  
20 where fragments are bigger and more compact (Pütz et al., 2011). The  
21 surrounding forest cover can also affect local biomass. Specifically, we already  
22 know that isolated trees in pastures, as well as the amount of forest coverage in

1 the neighboring landscape, may improve local regeneration by attracting seed-  
2 dispersing frugivores and facilitating recruitment conditions and seedling  
3 establishment (Guevara et al., 1992; Guevara & Laborde, 1993; Galindo-  
4 Gonzalez et al., 2000; Slocum & Horvitz, 2000; Slocum, 2001; Guevara &  
5 Laborde, 2004). However, we still need to better understand how the  
6 surrounding forest cover determine local level tree height and forest biomass.

7         Besides the landscape effects, the accumulation of biomass can also be  
8 influenced by local factors. Different abiotic conditions, generally related to  
9 geographical topography, soil and climate, can alter forest regrowth as well as  
10 the distribution of big trees (Castilho et al., 2006), and thus can modulate  
11 carbon accumulation. The history of human disturbance, which affects the  
12 vegetation structure, can also contribute to explain changes in above-ground  
13 biomass (Brown & Lugo, 1990). The interaction of all those factors is also  
14 expected. Elevation and slope, for example, may act as a limiting factor for  
15 human access as forests located on higher slopes are expected to be less  
16 degraded due to the difficulty of human access and consequently those places  
17 are more challenging from which to remove trees, leaving more large trees  
18 standing and more aboveground biomass (Becknell et al., 2018).. This pattern  
19 has been observed in the Brazilian Atlantic forest (Vieira et al., 2011).

20         Elevation and slope are the most commonly considered topographic  
21 variables in relation to forest structure (Salinas-Melgoza et al., 2018). Besides  
22 their interaction with human access, those factors are related to air



1 temperature and solar radiation, which affect forest biomass through  
2 evapotranspiration rates (Homeier et al. 2010, Sundqvist et al. 2013). The effect  
3 of aspect on forest structure has been well recognized, but in tropical areas, this  
4 does not usually play a key role in structuring vegetation (Gallardo-Cruz et al.  
5 2009; Salinas-Melgoza et al. 2018). Solar radiation, that is, the amount of  
6 radiant energy received at a certain location varies not only with the amount of  
7 sunshine but with slopes, aspect, and adjacent topography (Wilson and Gallant  
8 2000). However, terrain factors may not always be the most important drivers  
9 of forest structure because in these fragmented and human-dominated  
10 landscapes all the above variables are interacting and the influence of these  
11 abiotic factors can be potentially masked due to a stronger effect of factors  
12 directly related to human activities, particularly near forest edges (Berenguer  
13 et al., 2014). Besides, in the tropics, the depth of penetration of most  
14 microenvironmental conditions (e.g. solar radiation, wind) at newly created  
15 edges can be two to five times higher than on older and more densely vegetated  
16 edges (Didham and Lawton 1999), which could possibly lead to differences  
17 between young (regenerating) and old-growth forests.

18 In this context, the aim of this study is to evaluate the effects of  
19 landscape-level processes on secondary forest structure in human-dominated  
20 landscapes beyond the known role of forest age and terrain variables. We used  
21 LiDAR data obtained from two fragmented landscapes of the Brazilian Atlantic  
22 forest to answer the following questions: (1) Do landscape-level processes

1 related to forest cover and edge effects affect local forest biomass? (2) What is  
2 the relative importance of landscape-level factors to explain variation on forest  
3 biomass? (3) Are there differences in how landscape-level factors affect forest  
4 biomass between old growth (> 29 years) and young (between 4 and 28 years)  
5 forests?

## 6 **2. Methods**

### 7 **2.1. Study regions**

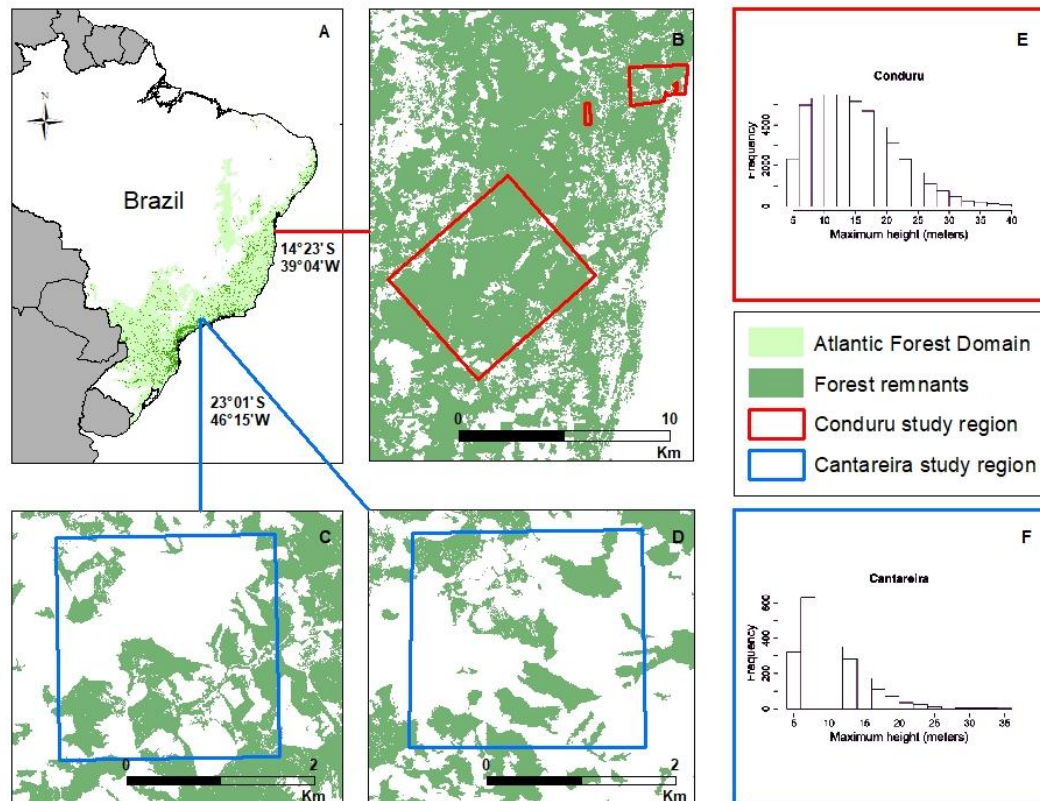
8 We obtained data from two regions (Cantareira and Conduru), both  
9 located in the Brazilian Atlantic forest (Figure 1A), a highly diverse and  
10 endangered tropical forest that experienced unprecedented levels of habitat  
11 loss and other human disturbances since the 16th century (Joly et al., 2014).  
12 The remaining forest cover (~28% of its original distribution; Rezende et al.,  
13 2018) consist of small fragments, with < 50 ha (Ribeiro et al., 2009), including  
14 46% of this forest at less than 100 m distant from an edge (Figure 1A; Ribeiro  
15 et al., 2009). The two studied regions present contrasting landscape conditions  
16 (Conduru is more forest and less disturbed, while Cantareira is more  
17 intensively disturbed and present a lower forest cover), allowing us to explore  
18 the effect of distinct regional contexts on processes that modulate forest  
19 biomass.

20 The Cantareira study region (Figure 1D-E) is located in Southeast Brazil.  
21 It includes an important ecological corridor that was considered of high

1 priority for biodiversity conservation at the state level (Rodrigues et al., 2008;  
2 Joly et al., 2010). Landscapes of the Cantareira-Mantiqueira corridor are very  
3 heterogeneous, comprising different land uses, mostly pasture areas in small  
4 properties and reforestation, especially with *Eucalyptus* spp. Native Atlantic  
5 Forest vegetation, in different successional stages and submitted to different  
6 levels of disturbance, represents 21% of the studied region (Whately and  
7 Cunha 2006).

8         The Conduru study region is a protected area (Serra do Conduru State  
9 Park ) in Southern Bahia, Brazil (Figure 1B). The Conduru area bounds one of  
10 the largest contiguous areas of Atlantic forest in the northeast of Brazil (almost  
11 10,000 ha) and includes patches of secondary forest at different stages of  
12 regeneration, active forest restoration sites, and areas of older forest that have  
13 been selectively logged in the past, totaling around 80% of native vegetation.  
14 Selective logging, hunting, and fiber harvesting are common throughout the  
15 Conduru. Some small patches within the park remain occupied or used for  
16 grazing. Several frequently used roads bisect the protected area, and there are  
17 trails used by local inhabitants throughout the area (Becknell et al., 2018).

18



1  
 2 **Figure 1.** Study areas situated in the Southeast and Northeast of Brazil (A). The  
 3 two study regions (Conduru - panel B and Cantareira – panels D and E) include  
 4 an area with large variation in forest cover. Panels E and F show the histogram  
 5 of the maximum canopy height of Conduru and Cantareira, respectively.

## 6 **2.2. LiDAR data and maximum canopy height variable calculation**

7       The LiDAR data of the Cantareira study region was acquired in October  
 8 2014 by an airplane flight with an Optech Orion M300 sensor at an altitude of  
 9 853 meters, with a field of view of 10°. Laser-spot spacing was maintained at  
 10 approximately 86 laser shots m<sup>-2</sup>. The LiDAR dataset covers two areas of 499  
 11 hectares each (35 km distant apart), which includes approximately 43% of  
 12 native forest vegetation. In Conduru region, the LiDAR data was acquired in  
 13 December 2015 by an airplane flight with an Optech Orion M300 sensor at an  
 14 altitude of 853 meters, with a field of view of 12°. Laser-spot spacing was

1 maintained at approximately 94 laser shots m<sup>-2</sup>. This LiDAR dataset covers  
2 three areas totaling 4,529 hectares, which includes approximately 82% of  
3 native forest vegetation.

4 Using the above-mentioned LiDAR data, we calculated the maximum  
5 canopy height (MCH). The MCH is a good proxy of above-ground biomass in  
6 Atlantic Forest (Becknell et al., 2018). In addition, MCH variable has been used  
7 to study forest structure and tree growth (Wasser et al., 2013). For both study  
8 regions, digital terrain models (DTM) were generated from the LiDAR data  
9 using a methodological process previously described in Leitold et al. (2015).  
10 Then, we calculated a 1-m-pixel-scale MCH model using the 95th percentile  
11 threshold of the LiDAR data points (P95 tree height map). To calculate the P95,  
12 we used the “Cloudmetrics” utility in FUSION software package, beta version  
13 derived from version 3.00 (<http://forsys.cfr.washington.edu/fusion.html>). We  
14 used a 1-m-pixel mean moving window smoothing function to remove  
15 anomalies.

#### 16 **2.4. Landscape factors (forest cover and edge distance)**

17 To estimate forest cover we used a cartographic data-based supervised  
18 classification and vectoring of RapidEye images (5 m). This mapping was  
19 carried out within the scope of the High-Resolution Mapping Project of the  
20 Brazilian Biomes, executed by the Brazilian Foundation for Sustainable  
21 Development  
22 ([http://mapbiomas.org/pages/database/mapbiomas\\_collection\\_download](http://mapbiomas.org/pages/database/mapbiomas_collection_download)).

1           We realized a moving window approach to evaluate how the  
2 surrounding amount of forest cover affects forest maximum height, biomass at  
3 the pixel level (25 m) in three landscape extents (buffer areas of 400 m, 800 m,  
4 and 1000 m). We observed that forest cover at 800 m landscape extent was  
5 highly correlated with forest cover in the two other considered extents ( $R^2 >$   
6 0.90), and thus we maintained only this extent for further analyses.

7           The forest edge distance is calculated using the Euclidean distance  
8 (straight-line distance between any forest pixel and the nearest forest edge),  
9 obtained by Spatial Analyst in ArcGIS 10.2 (Figure 2) with a 5 meters pixel size  
10 raster (the same pixel size of the forest cover mapping).

## 11 **2.5. Terrain explanatory variables (elevation, aspect and terrain slope)**

12           The elevation, aspect and terrain slope were obtained through the digital  
13 terrain model (DTM) generated from the LiDAR data by GEOID (see item 2.2).  
14 Those metrics were also extracted with a 5 m pixel resolution using 3D Spatial  
15 Analyst in ArcGIS 10.2.

## 16 **2.6. Forest age estimation**

17           We used a forest age estimation calculated by a chronological sequence  
18 mapping of Landsat images obtained annually from 1985 to 2017  
19 ([http://mapbiomas.org/pages/database/mapbiomas\\_collection\\_download/](http://mapbiomas.org/pages/database/mapbiomas_collection_download/)).  
20 The final map consists of forest age classification of 4 to 28 years, and equal or  
21 superior to 29 years. For the purpose of classifying between young and old-

1 growth forests, we grouped forest with less than 28 years as young forests and  
2 forests with more than 28 years as old-growth forests. We found that 5% of the  
3 forest cover in the Cantareira study areas and 0.06% of the forests in Conduru  
4 study area represent young forests.

## 5 **2.7. Relative variable importance calculation**

6 To test the relative importance of the landscape (forest cover and edge  
7 effect) and topographic variables, we performed model selection using Akaike  
8 Criterion Information (AICc). We used GLMM with a log-normal distribution,  
9 the "glmer" function in the "lme4" package in r version 3.3 (Development Core  
10 Team, 2018). Because we suppose that young and old-growth forests respond  
11 to local and landscape-level processes differently (question n. 3), and that  
12 landscape history and conservation status can influence those relationships, we  
13 performed model selections considering four distinct conditions (treatment  
14 groups): i) young forest of Cantareira; ii) old-growth forest of Cantareira; iii)  
15 young forest of Conduru; iv) old-growth forest of Conduru).

16 Since the sample points are 25 meters of distance each other, we  
17 calculated a covariable of spatial correlation to test the relative variable weight  
18 of the other explanatory variables, considering the spatial correlation. We used  
19 the "autocov\_dis" function from the spdep package in r. AC was computed from  
20 the weighted average distance of all neighboring samples, indicating the degree  
21 of spatial clustering among dependent variables. The spatial correlation

1 variable was calculated to all the sample points and incorporated to the full  
2 model.

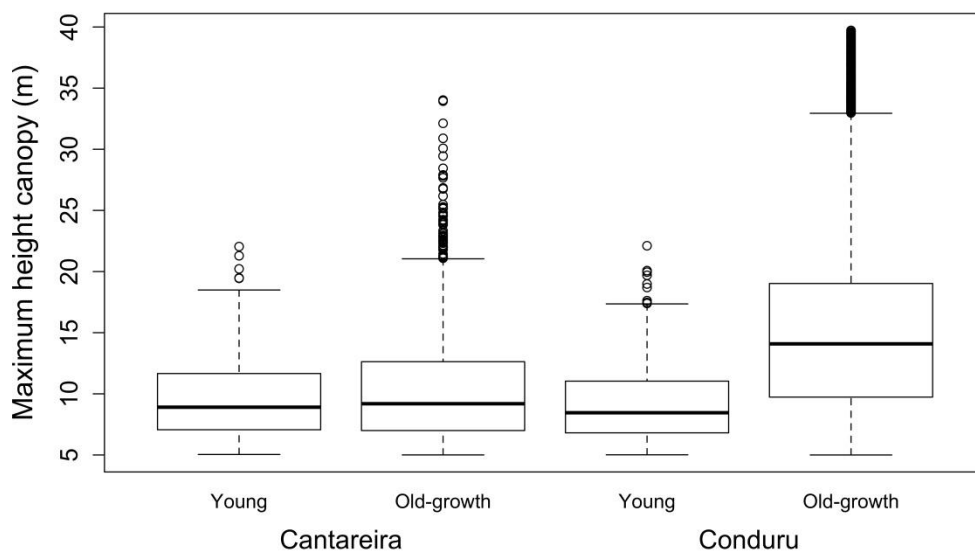
3 We used the "dredge" function from the MuMIn package in r, starting  
4 with a full model that included all explanatory variables: landscape variables  
5 (forest cover and edge distance), terrain variables (elevation, aspect, and slope)  
6 and forest age - only to young forests (4-28 years). We then calculated the  
7 relative variable importance for each variable between plausible models with  
8  $\Delta AICc < 2$ , by "model average" function from the MuMIn package. We summed  
9 the total weight of all variables and calculated the relative variable weight of  
10 each variable, in other words, the sum of these variable weights is equal to one.

### 11 **3. Results**

12 The Cantareira and Conduru regions showed distinct patterns in relation  
13 to the explanatory variables. Firstly, Cantareira has 7.7 ha of young forest and  
14 148.9 ha of old-growth forest, while Conduru has 18.7 ha of young forest and  
15 2935.9 ha of old-growth forest. Forest cover average of sample points (800-  
16 buffer ratio) from Cantareira is 38.2%, with a range of 12.9-63.4%, while the  
17 forest cover average of sample points from Conduru is 89.1%, with a range of  
18 27-100%. The maximum edge distance average of Cantareira reaches 157 m  
19 (average of 26.9 m), while in Conduru the maximum edge distance is 1192.7 m,  
20 (average of 297.3 m). Only forest age has the same range between both study  
21 regions (4-28 years), and similar averages: 19.24 years in Cantareira and 17.38  
22 years in Conduru.

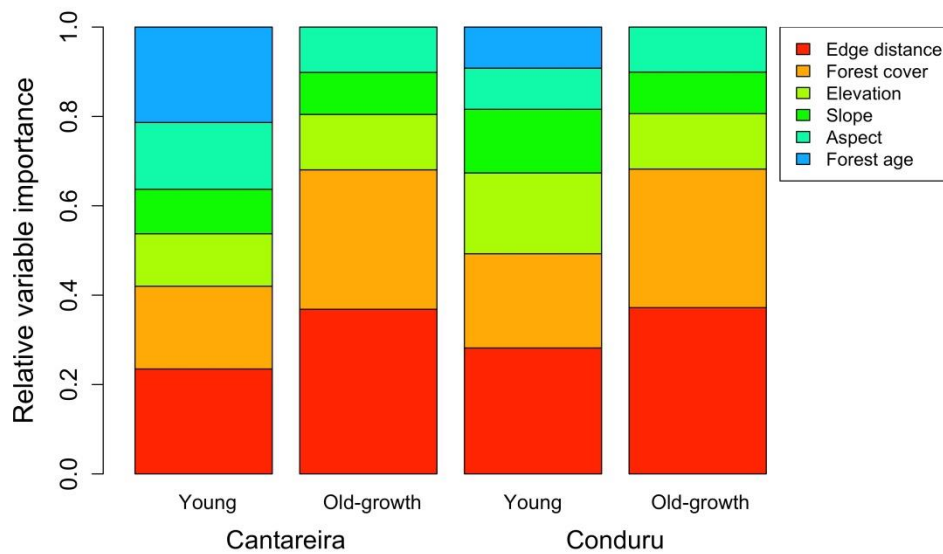


1 The MCH (height at which 95% of LiDAR returns are below) is fairly similar  
 2 between the treatments groups (9,8 m for the young forests, against 10,3 m for  
 3 old-growth forest) in the Cantareira region (Figure 2). Comparatively, in  
 4 Conduru region, the difference between young (mean = 9,3 m) and old-growth  
 5 (mean = 14,9 m) forests is relatively high (60%).



6  
 7 **Figure 2.** Boxplot of MCH by succession forest group (young and old-growth  
 8 forest) and study region (Cantareira and Conduru).

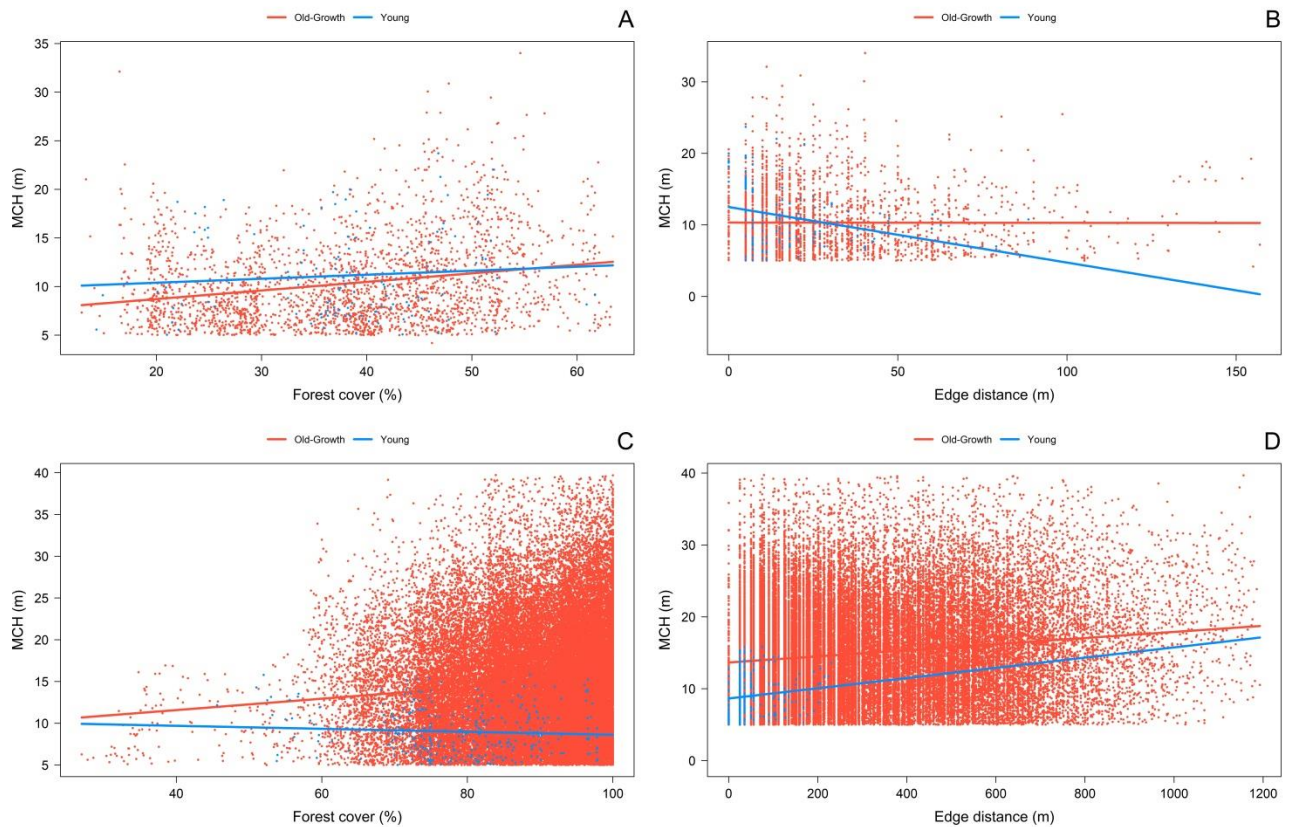
9 Edge distance and forest cover are the most relevant factors affecting  
 10 tree height and thus forest biomass for all treatments groups (Figure 3). As a  
 11 consequence, landscape factors are relatively more important than terrain  
 12 variables and age, especially in Cantareira and Conduru old-growth forests  
 13 (Figure 3). The relative importance of variables is quite similar among  
 14 treatment groups, expect for young forests in Cantareira, where forest age is  
 15 also an important variable (Figure 3).



1

2 **Figure 3.** Relative variable importance of all variables tested: edge distance,  
 3 forest cover, elevation, slope, aspect and forest age in young and old-growth  
 4 forests of Cantareira and Conduru region.

5        Regarding landscape variables, forest cover is positively related with  
 6 MCH increase for both young and old-growth forests of Conduru (Figure 4A),  
 7 but with a low effect in Cantareira (Figure 4C). In both regions, old-growth  
 8 forest tended to have higher values in areas distant from forest edges, while the  
 9 effect in regenerating forest is only positive in Conduru (Figure 4, B and D). In  
 10 Cantareira region, we can observe an inverted edge effect: in areas close to  
 11 forest edges (<40-50 m), regenerating forests present higher biomass (MCH)  
 12 when compared to areas far away (> 50 m) from forest edges. In Conduru  
 13 region, this inverted effect is not observed, and both regenerating and old-  
 14 growth forests present increases in biomass (MCH) as a forest is located more  
 15 distant from edges (Figure 4D).



1

2 **Figure 4.** Relationship of MCH (height at which 95% of LiDAR returns are  
 3 below) with forest cover and edge distance in Cantareira (panels A and B) and  
 4 Conduru (panels C and D) regions. The continuous line corresponds to old-  
 5 growth forests and the dashed line corresponds to young forests. The dark  
 6 points (blue and red ones) correspond to the young forest points (125 in  
 7 Cantareira and 300 in Conduru Region), while all other points correspond to  
 8 old-growth forests.

#### 9 **4. Discussion**

10 Landscape-level processes related to edge and forest cover effects were  
 11 the main factors explaining variation in forest biomass (here represented by  
 12 MCH) in the studied fragmented landscapes. Indeed, the importance of those  
 13 variables far exceeds those of more traditional and well-recognized variables,  
 14 such as forest age and topography variables. As highlighted in our results,

1 landscape-level factors acting on forests under regeneration, as the one tested  
2 here, may affect the speed of forest growth and, consequently, the ability of  
3 those forests to store carbon in human-dominated landscapes (Melito, 2016;  
4 Melito et al., 2018). Such landscape context processes may have large  
5 implications on ecosystem functions related to forest structure and carbon  
6 storage.

7         Although the relative importance of variables was similar among all  
8 treatment groups, landscape variables influences were distinct between study  
9 regions. Forests in a regional context of high forest cover (Conduru, > 80%)  
10 presented higher biomass, but were less affected by landscape-level forest  
11 cover increase (biomass were mostly maintained high), while forests in a low  
12 regional forest cover (Cantareira, 20-30%) had lower biomass and were more  
13 positively affected by forest increase. Biomass was positively affected by  
14 distance to edge, except for regenerating forest in Cantareira, suggesting that  
15 fragmentation can, in some situations, favor forest regeneration. Beyond the  
16 positive contribution of nearby forest fragments to facilitate forest  
17 regeneration (Guevara et al., 1992; Guevara and Laborde, 1993; Galindo-  
18 Gonzalez et al., 2000), landscapes with more forest cover are also less densely  
19 populated and thus less affected by human disturbances, supporting the  
20 accumulation of carbon. Human activities on the remaining forest include  
21 changes in the overall amount of standing stock (i.e. AGB) and changes in  
22 structure, through selective removal of certain species, while others find the

1 opportunity to regenerate in human-dominated landscapes. Where human  
2 pressure is greater as a result of accessibility to roads and human settlements  
3 (Salinas-Melgoza et al., 2018), there is obviously more likelihood of direct or  
4 indirect impact on natural vegetation (Cincotta et al. 2000, Luoga et al. 2002,  
5 Mon et al. 2012, Malhi et al. 2014, Morales-Barquero et al. 2015). Landscape  
6 level processes are thus interacting with human activities and terrain factors to  
7 regulate biomass in tropical fragmented landscapes.

8         Natural regeneration of forests is widely considered to be an effective  
9 low-cost mechanism for carbon sequestration, particularly in tropical regions  
10 (Canadell and Raupachet, 2008; Pan et al., 2011; Houghton et al., 2015).  
11 However, this contribution could be distinct in young and old-growth forests.  
12 At new forest edges, after the stabilization of shade-tolerant species mortality,  
13 above-ground biomass may increase by the proliferation of pioneers and lianas,  
14 but this effect should be insufficient to compensate losses related to the period  
15 of sharp biomass decrease (Melito et al., 2018). Such effects are predicted for  
16 remaining old growth forests affected by deforestation and fragmentation but  
17 not for young forest patches regenerating in a fragmented and human-  
18 dominated context, which seems to be the situation of landscapes with a long  
19 history of human disturbances. Young forests regenerating in fragmented  
20 landscapes are accumulating carbon near the edges where photosynthetic  
21 activities are more intense. Those areas can have higher tree height and density  
22 of shade-tolerant species with higher wood density (Romitelli et al., chapter 1).

1 Nevertheless, the different mechanisms related to edge effects which could  
2 affect biomass in young and old-growth forest must be explored by a systematic  
3 and paired design to test the consistency of the observed inverted edge effects  
4 in young and old-growth forests.

## 5 **5. Conclusion**

6 Here, we provide a comprehensive analysis of landscape factors effects  
7 on forest growth and above-ground biomass of tropical fragmented forests in  
8 contrasting landscape contexts beyond the topography and forest age influence  
9 fared well explored. The effect of forest cover on carbon stock was consistently  
10 positive, as expected. However, the edge distance influence on forest growth  
11 presented contrasting resulting, indicating that there is still a remaining  
12 knowledge gap concerning the edge effect mechanisms in young and old  
13 forests.

14 Given the continued tropical forest fragmentation, landscape level  
15 processes will play an important role in carbon sequestration and its feedback  
16 with climate (Reinmann and Hutya, 2017). New models of biomass estimation  
17 need to better incorporate those processes in addition to commonly used  
18 abiotic proxies, through spatially explicit approaches that consider the spatial  
19 arrangement of the remaining forest. Our findings contribute therefore to  
20 stimulate initiatives for carbon conservation and regeneration considering the  
21 distinct effects that landscape processes can have on young and old-growth  
22 forests.

## 1 **Acknowledgments**

2           We thank all landowners for giving permission to conduct fieldwork on  
3 their lands and for the outstanding support. This study was developed within  
4 the “Interface Project”, supported by São Paulo Research Foundation (FAPESP,  
5 2013/23457-6). I.R. was supported by a doctorate’s fellowship from the  
6 Brazilian Ministry of Education (CAPES-DS, 2015-2019).

## 7 **References**

- 8 Becknell, J.M., Keller, M., Piotto, D., Longo, M., dos-Santos, M.N., Scaranello, M.A.,  
9 Cavalcante, R.B.O., Porder, S. 2018. Landscape-scale lidar analysis of  
10 aboveground biomass distribution in secondary Brazilian Atlantic Forest.  
11 *Biotropica*, 50 (3), 520-530.
- 12 Berenguer, E., Ferreira, J., Gardner, T.A., Aragão, L.E.O.C., Camargo, P.B., Cerri,  
13 C.E., Durigan, M., Oliveira Júnior, R.C., Vieira, I.C.G., Barlow, J. 2014. A large-  
14 scale field assessment of carbon stocks in human-modified tropical forests.  
15 *Glob. Change Biol.* 20 (12), 3713-3726. Brown, S., Lugo, A.E. 1990. Tropical  
16 secondary forests. *J. Trop. Ecol.* 6, 1-31.
- 17 Canadell, J.G. and Raupach, M.R. 2008. Managing forests for climate change  
18 mitigation. *Science* 320, pp. 1456-1457.
- 19 Castilho, C.V., Magnusson, W.E. R., de Araújo, N.O., Luizão, R.C.C., Luizão, F.J.,  
20 Lima, A.P., Higuchi, N. 2006. Variation in aboveground tree live biomass in a  
21 central Amazonian Forest: Effects of soil and topography. *For. Ecol. Manag.*  
22 234, 85-96.
- 23 Chazdon, R. L. *Second Growth: The Promise Of Tropical Forest Regeneration In*  
24 *An Age Of Deforestation.* (University of Chicago Press, 2014).
- 25 Cincotta, R.P., Wisniewski, J., Engelman, R. 2000. Human population in the  
26 biodiversity hotspots. *Nature* 404, pp.:990-992.
- 27 Costa, K.M. 2015. *O estoque de carbono na vegetação e no solo em fragmentos*  
28 *florestais de paisagens tropicais.* São Paulo University, 66 pp.

- 1 d'Albertas, F., Costa, K., Romitelli, I., Barbosa, J.M., Vieira, S.A., Metzger, J.P. 2018.  
2 Lack of evidence of edge age and additive edge effects on carbon stocks in a  
3 tropical forest. *For. Ecol. Manage* 407(1), 57-65.
- 4 D'Angelo, S., Andrade, A., Laurance, S.G., Laurance, W.F., Mesquita, R., 2004.  
5 Inferred causes of tree mortality in fragmented and intact Amazonian forests.  
6 *J. Trop. Ecol.* 20, 243-246.
- 7 Didham, R.K., Lawton, J.H. 1999. Edge structure determines the magnitude of  
8 changes in microclimate and vegetation structure in tropical forest fragments.  
9 *Biotropica*, 31, pp.17-30.
- 10 Galindo-Gonzalez, J., Guevara, S., Sosa, V. 2000. Bat- and bird-generated seed  
11 rains at isolated trees in pastures in a tropical rainforest. *Conserv. Biol.* 14,  
12 1693-1703.
- 13 Gallardo-Cruz, J. A., Pérez-García, E.A., Meave, J.A. 2009. b-Diversity and  
14 vegetation structure as influenced by slope aspect and altitude in a seasonally  
15 dry tropical landscape. *Landscape Ecology* 24, pp. 473-482.
- 16 Guariguata, M., Ostertag, R. 2001. Neotropical secondary forest succession:  
17 Changes in structural and functional characteristics. *Forest Ecology and*  
18 *Management* 148:185-206.
- 19 Guevara, S., Laborde, J. 1993. Monitoring seed dispersal at isolated standing  
20 trees in tropical pastures - consequences for local species availability. *Veget.*  
21 108, 319-338.
- 22 Guevara, S., Laborde, J., Sanchez-Rios, G. 2004. Rainforest regeneration beneath  
23 the canopy of fig trees isolated in pastures of Los Tuxtlas, Mexico. *Biotropica*  
24 36, 99-108.
- 25 Haddad, N.M., Brudvig, L.A., Clobert, J., Davies, K.F., Gonzalez, A, Holt, R.D.,  
26 Lovejoy, T.E., Sexton, J.O., Austin, M.P., Collins, C.D., Cook, W.M., Damschen, E.I.,  
27 Ewers, R.M., Foster, B.L., Jenkins, C.N., King, A.J., Laurance, W.F., Levey, D.J.,  
28 Margules, C.R., Melbourne, B.A., Nichols, A.O., Orrock, J.L., Song, D, Townshend,  
29 J.R. 2015. Habitat fragmentation and its lasting impact on Earth's ecosystems.  
30 *Science Advances*, 1(2), e1500052.
- 31 Homeier, J., Breckle, W.S., Gunter, S., Rollenbeck, R.T., Leuschner, C. 2010. Tree  
32 diversity, forest structure and productivity along altitudinal and topographical  
33 gradients in a species-rich Ecuadorian montane rain forest. *Biotropica* 42,  
34 pp.140-148.



- 1 Houghton, R., Byers, B., Nassikas, A. 2015. A role for tropical forests in  
2 stabilizing atmospheric CO<sub>2</sub>. *Nature Climate Change*. 5. 1022-1023.
- 3 Joly, C.A., Metzger, J.P., Tabarelli, M. 2014. Experiences from the Brazilian  
4 Atlantic Forest: ecological findings and conservation initiatives. *New Phytol.*  
5 204459-73.
- 6 Laurance, W.F., Laurance, S.G., Ferreira, L.V., Rankin de Merona, J.M., Gascon, C.,  
7 Lovejoy, T.E. 1997. Biomass collapse in Amazonian forest fragments. *Science*  
8 278, 1117-1118.
- 9 Laurance, W.F., Perez-Salicrup, D., Delamonica, P., Fearnside, P.M., D'Angelo, S.,  
10 Jerzowski, A., Pohl, L., Lovejoy, T.E. 2001. Rainforest fragmentation and the  
11 structure of Amazonian liana communities. *Ecology* 82, 105-116.
- 12 Laurance, W.F., Camargo, J.L.C., Luizão, R.C.C., Laurance, S.G., Pimm, S.L., Bruna,  
13 E.M., Stouffer, P.C., Williamson, G.B., Benítez-Malvido, J., Vasconcelos, H.L., Van  
14 Houtan, K.S., Zartman, S.E., Boyle, S.A., Didham, R.K., Andrade, A., Lovejoy, T.E.  
15 2011. The fate of Amazonian forest fragments: A 32-year investigation. *Biol.*  
16 *Conserv.* 144, 56-67.
- 17 Leitold, V., Keller, M., Morton, D.C., Cook, B.D., Shimabukuro, Y.E. 2015. Airborne  
18 lidar-based estimates of tropical forest structure in complex terrain:  
19 opportunities and trade-offs for REDD+. *Carbon Balance and Management*  
20 10:3, pp.1-12.
- 21 Lôbo, D., Leão, T., Melo, F. P. L., Santos, A., Tabarelli, M. 2011. Forest  
22 fragmentation drives Atlantic forest of northeastern Brazil to biotic  
23 homogenization. *Diversity and Distributions*, 17, 287-296.
- 24 Luoga, E. J., E. Witkowski, and K. K. Balkwill. 2002. Harvested and standing  
25 wood stocks in protected and communal miombo woodlands of eastern  
26 Tanzania. *Forest Ecology and Management* 164:15–30.
- 27 Malhi, Y., T. A. Gardner, G. R. Goldsmith, M. R. Silman, and P. Zelazowski. 2014.  
28 Tropical forests in the Anthropocene. *Annual Review of Environment and*  
29 *Resources* 39:125–159.
- 30 MapBiomas Project. Collection 3.1 of the Annual Series of Coverage and Land  
31 Use.
- 32 Maps of Brazil, accessed on 12/20/2018 through the link:  
33 <http://mapbiomas.org>.

- 1 Melito, M., Metzger, J.P., de Oliveira, A.A. 2018. Landscape-level effects on  
2 aboveground biomass of tropical forests: a conceptual framework. *Glob.*  
3 *Change Biol.* 24: 597-607.
- 4 Michalski, F., Nishi, I., Peres, C. A. 2007. Disturbance-mediated drift in tree  
5 functional groups in Amazonian forest fragments. *Biotropica*,39, 691-701.
- 6 Mon, M.S., Mizoue, N., Htun, Z., Kajisa, T., Yoshida, S. 2012. Factors affecting  
7 deforestation and forest degradation in selectively logged production forest: a  
8 case study in Myanmar. *Forest Ecology and Management* 267, pp.190-198.
- 9 Morales-Barquero, L., Borrego, A.,Skutsch, M., Kleinn, C., Healey, J.R. 2015.  
10 Identification and quantification of drivers of forest degradation in tropical  
11 dry forests: a case study in Western Mexico. *Land Use Policy* 49, pp. 296-309.
- 12 Naughton-treves, L., Chapman, C. 2002. Fuelwood Resources and Forest  
13 Regeneration on Fallow Land in Uganda. *Journal of Sustainable Forestry.* 14.  
14 19-32.
- 15 Pan, Y., Birdsey, R.A., Fang, J., Houghton, R., Kauppi, P.E., Kurz, W.A., Phillips,  
16 O.L., Shvidenko, A., Lewis, S.L., Canadell, J.G., Ciais, P., Jackson, R.B., Pacala,  
17 S.W., McGuire, A.D., Piao, S., Rautiainen, A., Sitch, S., Hayes, D. 2011. A large and  
18 persistent carbon sink in the world's forests. *Science* 333, 988-993.
- 19 R Development Core Team. 2018. R: A language and environment for statistical  
20 computing. R Foundation for Statistical Computing, Vienna, Austria. ISBN 3-  
21 900051-07-0, Available in: URL <http://www.R-project.org>.
- 22 Poorter, L., Bongers, F., Aide, T.M., et al. 2016. Biomass resilience of Neotropical  
23 secondary forests. *Nature* 530, 212-225.
- 24 Pütz, S., Groeneveld, J., Alves, L.F., Metzger, J.P., Huth, A. 2011. Fragmentation  
25 drives tropical forest fragments to early successional states: a modeling study  
26 for Brazilian Atlantic forests. *Ecol. Model.* 222, 1986-1997.
- 27 Reinmann, A.B., Hutyra, L.B. 2017. Edge effects enhance carbon uptake and its  
28 vulnerability to climate change in temperate broadleaf forests. *PNAS* 114 (1),  
29 pp. 107-112.
- 30 Rezende, C.L., Scarano, F.R., Assadd, E.D., Joly, C.A., Metzger, J.P., Strassburgg,  
31 B.B.N., Tabarelli, M., Fonseca, G.A., Mittermeier, R.A. 2018. From hotspot to  
32 hopespot: An opportunity for the Brazilian Atlantic Forest. *Perspectives in*  
33 *Ecology and Conservation*, 16 (4), pp. 208-214.Ribeiro, M.C., Metzger, J.P.,

- 1 Martensen, A.C., Ponzoni, F.J., Hirota, M.M., 2009. The Brazilian Atlantic Forest:  
2 How much is left, and how is the remaining forest distributed? Implications  
3 for conservation. *Biol. Conserv.* 142, 1141–1153.
- 4 Ribeiro, M.C., Metzger, J.P., Martensen, A.C., Ponzoni, F.J., Hirota, M.M., 2009. The  
5 Brazilian Atlantic Forest: How much is left, and how is the remaining forest  
6 distributed? Implications for conservation. *Biol. Conserv.* 142, 1141–1153.
- 7 Rodrigues, R.R., Joly, C.A., Brito, M.C.W., Paese, A., Metzger, J.P., Cassati, L., Nalon,  
8 M.A., Menezes, N., Ivanauskas, N.M., Bolzani, V., Bononi, V.L.R. 2008. Diretrizes  
9 para conservação e restauração da biodiversidade no Estado de São Paulo.  
10 Governo do Estado de São Paulo, São Paulo.
- 11 Romitelli, I., D'Albertas, F., Costa, K., Vieira, S.A., Metzger, J.P. Tropical forests in  
12 human-dominated landscapes present low biomass stocks and high spatial  
13 variability (in prep.)
- 14 Romitelli, I., Barbosa, J.M., Polisel, R.T., Keller, M., Metzger, J.P. Inverted edge  
15 effect pattern on carbon stocks in secondary tropical forests (chapter 1)
- 16 Salinas-Melgoza, M. A., Skutsch, M., Lovett, J.C. 2018. Predicting aboveground  
17 forest biomass with topographic variables in human-impacted tropical dry  
18 forest landscapes. *Ecosphere* 9(1), PP. 1-20.
- 19 Slocum, M. G. 2001. How tree species differ as recruitment foci in a tropical  
20 pasture. *Ecology* 82:2547–2559.
- 21 Slocum, M. G., and C. C. Horvitz. 2000. Seed arrival under different genera of  
22 trees in a neotropical pasture. *Plant Ecology* 149:51–62.
- 23 Smith, I.A., Hutyra, L.R., Reinmann, A.B., Marrs, J.K., Thompson, J.R. 2018.  
24 Piecing together the fragments: elucidating edge effects on forest carbon  
25 dynamics. *Frontiers in Ecology Environmental*, 16(4), pp. 213–221.
- 26 Sundqvist, M. K., Sanders, N.J., Wardle, D.A. 2013. Community and ecosystem  
27 responses to elevational gradients: processes, mechanisms, and insights for  
28 global change. *Annual Review of Ecology, Evolution and Systematics* 44, pp.  
29 261-280.
- 30 Tabarelli, M., Peres, C. A., Melo, F. P. L. 2012. The “few winners and many losers”  
31 paradigm revisited: Emerging prospects for tropical forest biodiversity.  
32 *Biological Conservation*, 155, 136-140.

- 1 Wasser, L., Day, R., Chasmer, L., Taylor, A. 2013. Influence of Vegetation  
2 Structure on Lidar-derived Canopy Height and Fractional Cover in Forested  
3 Riparian Buffers During Leaf-Off and Leaf-On Conditions. PLoS One 8(1).
- 4 Whately, M., Cunha, P. 2006. Um olhar sobre o maior manancial de água da  
5 Região Metropolitana de São Paulo. In. Instituto Socioambiental São Paulo.
- 6 Wilson, J. P., Gallant, J.C. 2000. Terrain analysis: principles and applications.  
7 John Wiley & Sons, New York, New York, USA.

8

1 **Capítulo 3: “Contrasting edge effects over successional stages in**  
2 **secondary tropical forests”**

3 Isabella Romitelli, Jomar Magalhães Barbosa and Jean Paul Metzger

4 **ABSTRACT**

5 Deforestation and fragmentation are the most important drivers of  
6 environmental changes in tropical forest regions, resulting in landscapes with  
7 extensive edges between anthropic and forest areas. The biotic and abiotic  
8 characteristics of those edge areas can affect forest structure and productivity,  
9 but the distinct effects that edges can have on old-growth and initial  
10 regenerating forests are still poorly explored. This study is the first to test,  
11 systematically and with a paired design, the edge effect on forest structure of  
12 old-growth and regenerating forests. We hypothesize that biomass are  
13 negatively affected by edges in old-growth forests (through disturbance effects  
14 and loss of old trees), while positively affected in regenerating forests (through  
15 favorable photosynthetic conditions and faster growth of trees). To test this  
16 hypothesis, we used LiDAR data of maximum canopy height (MCH), as a  
17 biomass proxy, from two fragmented landscapes of the Brazilian Atlantic  
18 Forest. Using maps of MCH values, we built "virtual transects" of 100 m from  
19 edges to the interior of the forest, and compared, with generalized linear mixed  
20 models, the effects of edge on old-growth and regenerating forests with a  
21 paired design. As expected, edges affected negatively tree height in old-growth  
22 forests (i.e. lower biomass near edges) and positively in regenerating areas (i.e.  
23 higher biomass near edges). The slope of the relationship between MCH and  
24 edge distance is mostly affected by forest age, but forest cover surrounding a  
25 particular area also plays a positive significant role on tree height. Given the  
26 continued trend of forest fragmentation and the important role of edge effects  
27 on modulating terrestrial carbon sink, further landscape planning projects  
28 should be delineated considering the aspects abovementioned to provide better

1 climate regulation. In this sense, our results suggest that forest restoration  
2 projects around existing forest old-growths can both reduce edge effects in old-  
3 growth patches and favor biomass regrowth in regenerating or restored  
4 forests, being thus doubly beneficial to increase carbon stock in human-  
5 dominated landscapes.

6 **Keywords:** human-dominated landscapes, Atlantic forest, carbon stock, above-  
7 ground biomass

## 8 **1. Introduction**

9 Deforestation and fragmentation are the most relevant drivers of  
10 ecological changes in human-dominated landscapes. More than 60% of  
11 terrestrial forestlands are already replaced by agriculture and infrastructure  
12 (Reinmann and Hutyra, 2017). In addition to this forest reduction, the  
13 remaining forest cover is also very fragmented: 70% is within 1 km of the edge  
14 of anthropic land uses (Remy et al., 2016), and 20% within 100 meters of the  
15 edge (Haddad et al., 2015). The direct and indirect effects of microclimate  
16 conditions and higher disturbance regimes along forest edges could be  
17 responsible for approximately 10% of carbon stock reduction in tropical forests  
18 (Chaplin-Kramer et al., 2015), reaching to 50% in the first 100 meters in the  
19 Amazonian region (Laurance, 1997).

20 Forest edges, when compared to interior conditions, are abiotically  
21 characterized by high wind turbulence (Laurance, 1997), high temperature and  
22 low humidity (Briant et al., 2010), which affects forest community structure  
23 and composition (Peters, 2001), and indirectly phenology and plant

1 recruitment (Curran et al.,1999). All those abiotic characteristics may induce  
2 increases, decreases, or no change in the factors controlling forest productivity  
3 and biomass in comparison to forest interior (Ries et al., 2004). The extent and  
4 the intensity of edge effects associate to the abiotic conditions may vary with  
5 vegetation type, successional stage, landscape-context and regional-scale  
6 climate conditions (Crouzeilles et al., 2017; Schmidt et al., 2017).

7         Edge conditions can induce changes in forest structure and consequently  
8 in carbon stocks (Melito et al. 2018). Theoretically, those effects could be  
9 negative or positive. Negative effects have been widely described in the  
10 literature (e.g. Laurance et al., 1997; Putz et al., 2014) and are mostly related to  
11 higher rates of mortality of large shade-tolerant trees near the edges due to  
12 microclimatic and disturbance regime changes. After the stabilization of shade-  
13 tolerant species mortality, above-ground biomass may increase by the  
14 proliferation of pioneers and lianas, indicating that edge condition may benefit  
15 the growth of some species. Although this positive effect is insufficient to  
16 compensate for losses related to the previous period of sharp biomass decrease  
17 (Melito et al., 2018).

18         Contrastingly, changes at the forest edge can create favorable conditions  
19 for plant growth, depending on the local limiting factors to growth and  
20 vulnerabilities to stress (Smith et al., 2018). For example, nitrogen deposition  
21 and availability can be elevated at the forest edge due to a combination of  
22 inputs from nearby fertilizer applications and altered canopy roughness

1 characteristics (Weathers et al., 2001; Remy et al., 2016). Overall, increased  
2 incident solar radiation is perhaps the key factor differentiating the edge's  
3 microenvironment from that of the forest interior (Matlack, 1993); the tree  
4 seedling recruitment and growth may also increase within 10 m of a newly  
5 created edge (Sizer and Tanner, 1999). All those factors can lead to a positive  
6 edge effect on biomass, which is also called "inverted edge effect pattern"  
7 (Costa, 2015; Romitelli et al., chapter 1), given that the negative effect is the  
8 best-known and widely accepted pattern.

9         Negative edge effects on biomass were predicted for old-growth forests  
10 affected by surrounding deforestation and fragmentation processes, but not for  
11 young forest patches regenerating in a fragmented and human-dominated  
12 context. Actually, in new regenerating forests an inverted pattern (i.e. positive  
13 edge effect on biomass) should be expected because in this condition of forest  
14 regrowth tendency biomass is mostly increasing with regeneration (and not  
15 decreasing due to mortality). In addition, the extent of most  
16 microenvironmental changes can be two to five times higher in young forest  
17 edges than at older and densely vegetated edges (Didham and Lawton, 1999).  
18 Considering that over half of the world's tropical forests are not old-growth, but  
19 naturally regenerating forests in initial successional stages (FAO, 2017), we  
20 should differentiate both the edge effects on old-growth and regenerating  
21 forests to fully account for edge effects in fragmented landscapes.



1           Although the inverted edge effect pattern in tropical forests has been  
2 documented in other recent studies (Reinmann & Hutyra, 2017; Romitelli et al.,  
3 chapter 1 and 2), here we propose a new systematic and paired design to test  
4 the edge effect in old-growth and young forests. We hypothesized that young  
5 and old-growth forests respond distinctly to edge effects: old-growth will  
6 respond negatively to edges (lower biomass near the edge) and young forests  
7 will respond positively (higher biomass near the edge). To test this hypothesis,  
8 we used Maximum Canopy Height (MCH) obtained with LiDAR data as a proxy  
9 of above-ground biomass and explored edge effects in young and old-growth  
10 forests of two fragmented landscapes from the Brazilian Atlantic Forest.

## 11           **2. Methods**

### 12           **2.1. LiDAR data and MCH variable calculation**

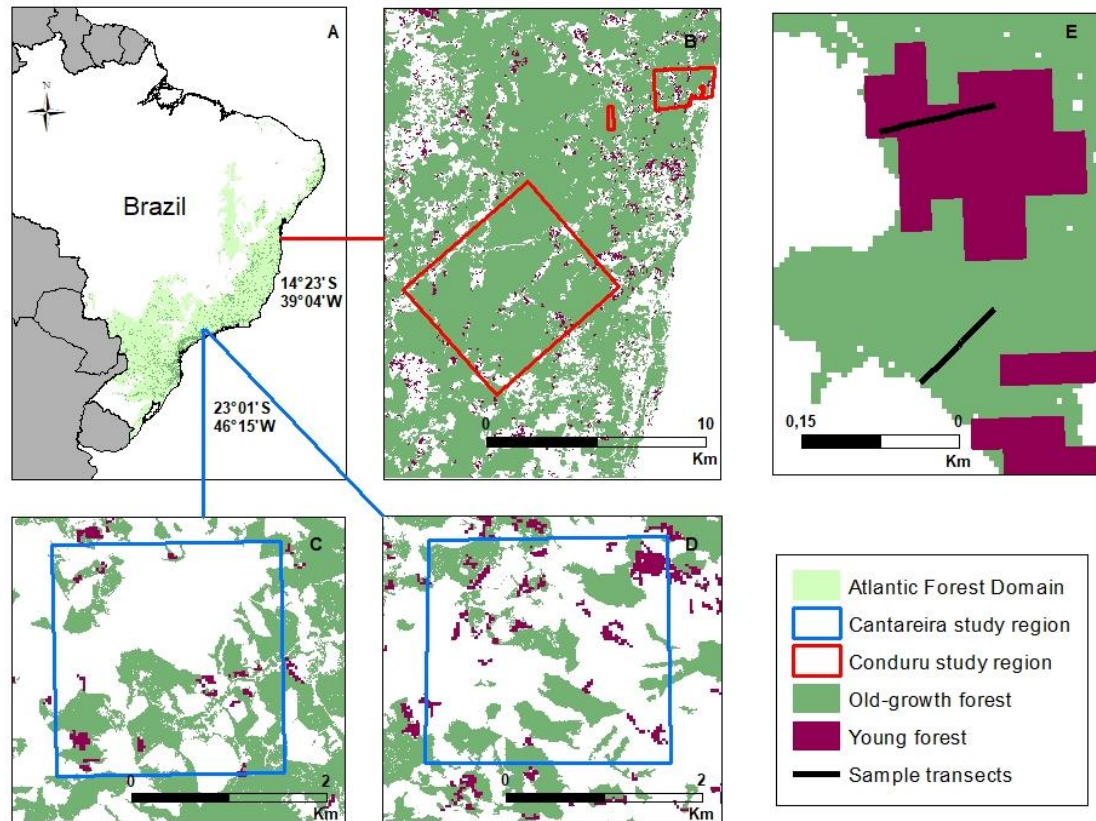
13           We obtained data from two regions (Cantareira and Conduru), both  
14 located in the Brazilian Atlantic forest (Figure 1A), a highly diverse and  
15 endangered tropical forest that experienced unprecedented levels of habitat  
16 loss and other human disturbances since the 16th century (Joly et al., 2014).  
17 The remaining forest cover in the Brazilian Atlantic forest (~28% of its original  
18 distribution; Rezende et al., 2018) consist of small fragments, with < 50 ha  
19 (Ribeiro et al., 2009), including 46% of this forest at less than 100 m distant  
20 from an edge (Figure 1A; Ribeiro et al., 2009). The two studied regions present  
21 contrasting landscape conditions which allowed us to explore the effect of  
22 distinct regional contexts on processes that modulate forest regeneration:

1 Conduru is more forested (approximately 80% of forest cover) and less  
2 disturbed, while Cantareira is less forested (approximately 20% of forest  
3 cover) and more intensively disturbed. Regenerating forests (with less than 28  
4 years) correspond to approximately 1% of all forests in Conduru and 5% in  
5 Cantareira.

6 The Cantareira study region (Figure 1C-D) is located in Southeast Brazil.  
7 It includes an important ecological corridor, which was considered of high  
8 priority for biodiversity conservation at the state level (Rodrigues et al., 2008;  
9 Joly et al., 2010). Landscapes of the Cantareira-Mantiqueira corridor are very  
10 heterogeneous, comprising different land uses, mostly pasture areas in small  
11 properties and forestry plantations, especially with *Eucalyptus* spp. Native  
12 Atlantic Forest vegetation, in different successional stages and submitted to  
13 different levels of disturbance, represents 21% of the Cantareira study region  
14 (Whately and Cunha, 2006).

15 The Conduru study region is a protected area (Serra do Conduru State  
16 Park) in Southern Bahia, Brazil (Figure 1B). The Conduru area bounds one of  
17 the largest contiguous areas of Atlantic forest in the northeast of Brazil (almost  
18 10,000 ha) and includes patches of secondary forest at different stages of  
19 regeneration, active forest restoration sites and areas of older forest that have  
20 been selectively logged in the past. Selective logging, hunting and fiber  
21 harvesting are common throughout the Conduru. Some small patches within  
22 the park remain occupied with pastures. Several frequently used roads bisect

1 the protected area and there are trails used by local inhabitants throughout the  
2 area (Becknell et al., 2018).



3  
4 **Figure 1.** Study areas situated in the Southeast and Northeast of Brazil (A). The  
5 two study regions (Conduru - panel B and Cantareira – panels C and D)  
6 embrace large variation in forest cover. Panel E shows an example of a virtual  
7 sample transect in old-growth and young forest.

## 8 **2.2. LiDAR data and MCH variable calculation**

9 Although small plot-scale studies are crucial for measuring many  
10 important variables including species density and soil properties (Becknell et  
11 al., 2018), airborne LiDAR offers an alternative for quantification of forest  
12 structure at larger spatial scales (Leitold et al., 2015). LiDAR data provide

1 direct measurements of height, which can be used to generate estimates of  
2 forest biomass (e.g. Lefsky et al., 2002; Asner and Mascaro, 2014).

3         The LiDAR data of the Cantareira study region was acquired in October  
4 2014 by an airplane flight with an Optech Orion M300 sensor at an altitude of  
5 853 meters, with a field of view of 10°. Laser-spot spacing was maintained at  
6 approximately 86 laser shots m<sup>-2</sup>. The LiDAR dataset covers two areas of 499  
7 hectares each (35 km distant apart), which includes approximately 43% of  
8 native forest vegetation. In Conduru region, the LiDAR data was acquired in  
9 December 2015 by an airplane flight with an Optech Orion M300 sensor at an  
10 altitude of 853 meters, with a field of view of 12°. Laser-spot spacing was  
11 maintained at approximately 94 laser shots m<sup>-2</sup>. This LiDAR dataset covers  
12 three areas totaling 4,529 hectares, which includes approximately 82% of  
13 native forest vegetation.

14         Using the above-mentioned LiDAR data, we calculated the maximum  
15 canopy height. The MCH is a good proxy of above-ground biomass in Atlantic  
16 Forest (Becknell et al., 2018). In addition, MCH variable has been used to study  
17 forest structure and tree growth (Wasser et al., 2013). For both study regions,  
18 digital terrain models (DTM) were generated from the LiDAR data using a  
19 methodological process previously described in Leitold et al. (2015). Then, we  
20 calculated a 1-m-pixel-scale MCH model using the 95th percentile threshold of  
21 the LiDAR data points (P95 tree height map). To calculate the P95, we used the  
22 “Cloudmetrics” utility in FUSION software package, beta version derived from

1 version 3.00 (<http://forsys.cfr.washington.edu/fusion.html>). We used a 1-m-  
2 pixel mean moving window smoothing function to remove anomalies.

### 3 **2.3. Virtual transect sampling**

4 To systematically test the edge effect with a paired design in old-growth  
5 and young forests, we plotted over the MCH raster maps 20 orthogonal virtual  
6 transects on each study region (Cantareira and Conduru), totaling 40 transects  
7 (Figure 1E). These transects - with 100 meters of extension - were orthogonally  
8 positioned from the forest edge to the interior and constructed by the polyline  
9 creation function in ArcGIS 10.2 software. We paired nearby transects in young  
10 and old-growth forests to control for possible biotic and abiotic factors, which  
11 could influence the edge effect in biomass (MCH). The paired transects were  
12 linked to a same identification number in order to proceed with further  
13 statistical analysis. In young forests, we positioned the transects in areas where  
14 forest age was the more homogeneous as possible all long the transects.

15 We obtained MCH and explanatory variables (forest age and edge  
16 distance) in sample points in an interval of 5 meters, totalizing 20 sample  
17 points per transect ( $100/5$  meters = 20 sample points).

### 18 **2.4. Explanatory variables (forest age and edge distance)**

19 To determine the spatial position of any MCH pixel within the virtual  
20 transect, we calculated the Euclidean distance (straight-line distance) between  
21 any forest pixel and the nearest forest edge. In this procedure, we applied the

1 Spatial Analyst package of ArcGIS 10.2 (Figure 2) to a 5 meters pixel size raster  
2 (the same pixel size of the forest cover mapping).

3 We used a chronological sequence mapping of forest stands with Landsat  
4 images obtained annually from 1985 to 2017 (MapBiomass Project; available at  
5 <http://mapbiomas.org>) to determine forest age of all MCH pixels. This forest  
6 age map consists of a classification that ranges from 4 to 28 years and equal or  
7 superior to 29 years. For the purpose of classifying both young and old-growth  
8 forest, we grouped forest with equal and less than 28 years as young forests  
9 and forests with more than 28 years as old-growth forests. We obtained low  
10 young forest points in comparison to old-growth forests: 4.91% (7.68 ha) in  
11 Cantareira region and 0.06% (18.68 ha) in Conduru region.

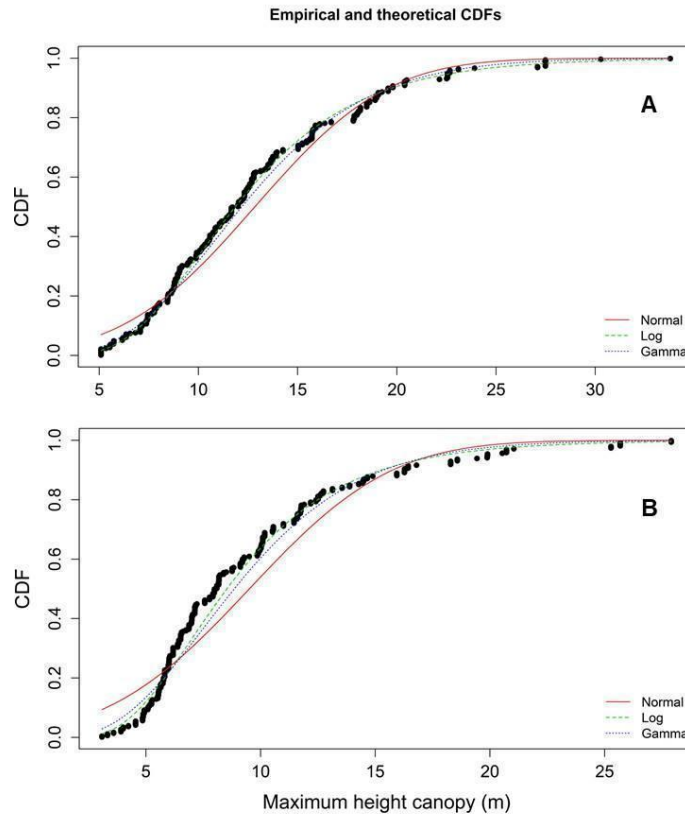
## 12 **2.5. Data analyses**

### 13 **2.5.1. Mixed linear model**

14 The MCH value of each forest pixel located at the virtual transects was  
15 used as the response variable. To quantify and model the relationships between  
16 MCH and the explanatory variables (forest age and edge distance), we used  
17 generalized linear mixed models. The identity of the paired transects was used  
18 as random effect in all models. We used the mixed models approach to test edge  
19 effect distance since it allows considering the gradual influence of distance, as  
20 used by Reinmann and Hutryra (2017). To select the best distribution to fit the  
21 MCH data, we performed maximum likelihood estimation with Log-Normal,

1 Normal and Gamma distributions. Then MCH was normalized to an exponential  
2 distribution because this distribution showed the best fit to our data in both  
3 study regions (Figure S1). We built simple models in order to evaluate the  
4 individual effects of each explanatory variable (successional stage and edge  
5 distance. The pair of virtual transects (i.e. young and old-growth forests) was  
6 considered as a fixed effect in all models. Therefore, the model selection  
7 procedure considered firstly the young-old growth relationship in each pair  
8 and then this relation to all other explanatory variables.

9         We selected the most plausible models among the six models tested by  
10 the corrected Akaike information criterion (AICc). The AICc is a selection  
11 criterion that penalizes models with many parameters and utilizes a correction  
12 for small sample sizes (Burnham and Anderson, 2002). Small values of AIC  
13 represent the best fit, so models with  $\Delta AICc < 2$  were considered equally  
14 plausible. The statistical analyses were performed using R software (R  
15 Development Core Team, 2019).



1

2 **Figure S1.** Graphical comparison of multiple fitted distributions of MCH in (A)  
 3 Cantareira and (B) Conduru study regions.

#### 4 **2.5.2. Young - old growth MCH ratio**

5 To better understand spatial patterns related to positive and negative  
 6 edge effects, we performed a paired comparison between MCH of young and  
 7 old-growth forests. We used the edge distance gradient in intervals of 5 m to  
 8 compare MCH from paired young and old-growth transects, i.e. the MCH ratio.  
 9 The aim of this MCH ratio calculation was observed the difference between  
 10 young and old-growth forest along the gradient of edge distance and to identify  
 11 if the spatial pattern is uniform between the virtual transect pairs and along the  
 12 same transect pair.



### 3. Results

As we expected from our hypothesis, edge effect was different to young and old-growth forests in both study regions, since the best explanatory model of MCH was the model with interaction between edge distance and successional stage (Table 1). The MCH ratio highlights that young and old growth forest have contrasting edge effects (Figure 2A; 3A): this ratio is higher than one in areas near the edges (higher biomass in young than in old-growth forests), and then tended progressively to be lower than one in areas far from the edges.

The highest values of MCH were observed far from the edge in old-growth forests and near to the edge in young forests (Figure 2B and 3B). In the Cantareira region, MCH of old-growth forest was mostly constant as edge distance increased. Contrary, the inverted edge effect (or positive edge effect) in the young forest was pronounced (Figure 2B). In the Conduru region, we found a strong negative edge effect in old-growth forest, i.e. the higher the proximity to the forest edge the smaller is the MCH, as well as an inverted edge effect for young forests, however less evident than the one observed for Cantareira (Figure 3B). Although the MCH is quite similar between young and old-growth forests in the first meters of the edge distance, the difference is more visible in the next meters where the MCH decrease in young forests and increase in old-growth forests.

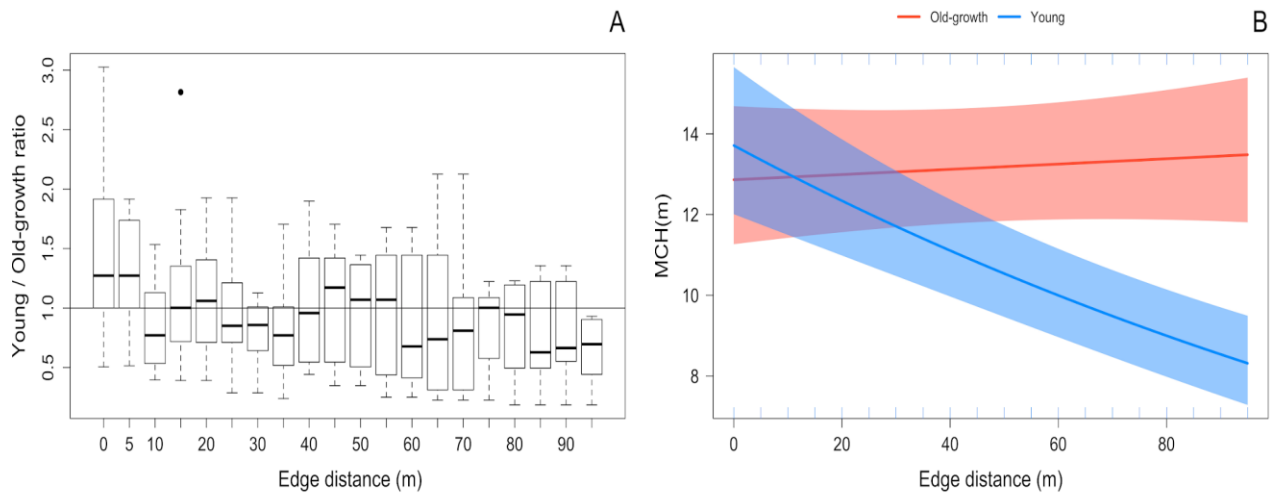
1 **Table 1 - Best supported models**

2 Best supported models to explain variation in the Maximum Canopy Height  
 3 (MCH) in both study regions (Cantareira and Conduru). Explanatory variables  
 4 are edge distance and successional stage. The pair of young and old-growth  
 5 forests virtual sample transect was considered as a fixed effect in all models.  
 6 Each model is described by the degrees of freedom (df),  $\Delta AICc$  and weight. The  
 7 tilde (~) means the function and the asterisk (\*) the interaction. The virtual  
 8 sample transect was considered as a fixed effect in all models.

Models	AICc	dAICc	df	weight
<b>Cantareira</b>				
MCH ~ edge distance * successional stage	336.8	0	6	0.9833
MCH ~ edge distance + successional stage	346.3	9.5	5	0.0086
MCH~ successional stage	346.4	9.6	4	0.0080
null	368.6	31.8	3	<0.001
MCH ~ edge distance	373.7	37	4	<0.001
<b>Conduru</b>				
MCH~ edge distance * successional stage	318.7	0	6	0.971
MCH~ successional stage	325.7	7	4	0.029
MCH~ edge distance + successional stage	340.3	21.6	5	<0.001
null	426.2	107.5	3	<0.001
MCH ~ edge distance	444.0	125.3	4	<0.001

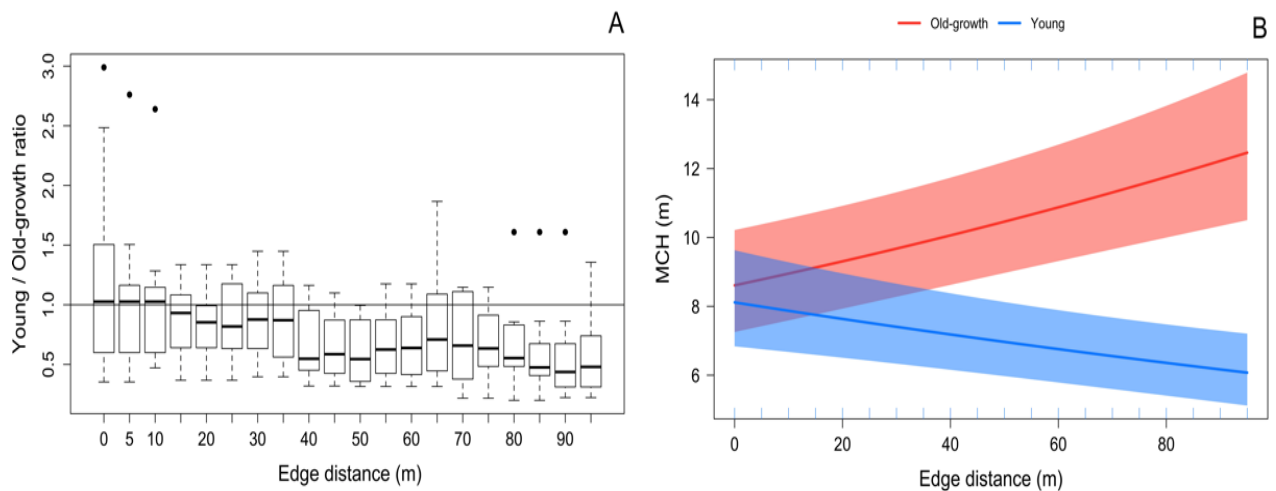
9

10



1

2 **Figure 2.** Relationship between (Maximum Height Canopy) MCH and edge  
 3 distance in Cantareira. A) Boxplot of MCH height ratio between young and old-  
 4 growth forests with a paired design. The line represents where these difference  
 5 does not exist. B) Relationship between MCH and edge distance in young and  
 6 old-growth forest separately (without considering a paired design).



7

8 **Figure 3.** Relationship between (Maximum Height Canopy) MCH and edge  
 9 distance in Conduru. A) Boxplot of MCH height ratio between young and old-  
 10 growth forests with a paired design. The line represents where these difference  
 11 does not exist. B) Relationship between MCH and edge distance in young and  
 12 old-growth forest separately (without considering a paired design).

13

The MCH ratio from each virtual transect showed high spatial

14

heterogeneity in Cantareira (Figure S2) and in Conduru (Figure S3), mainly in

15

an intermediary edge distance (40-80 m). Some pairs of transects showed MCH

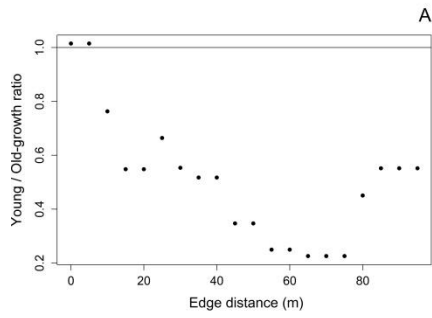
1 ratio lower than one along all the edge distance range (Figure S2A; S3A). In  
 2 contrast, we also found transects with MCH ratio higher than one all along the  
 3 edge distance range (Figure S2H; S3I). Nonetheless, MCH ratio near to the edge  
 4 was usually higher than one, while being lower than one far from the edges,  
 5 which means that the inverted edge effect in the young forest is observed in  
 6 most pairs of transects (Table S1).

7 **Table S1 - MCH Young-Old growth forest slope, slope *p* value and *r*<sup>2</sup>**

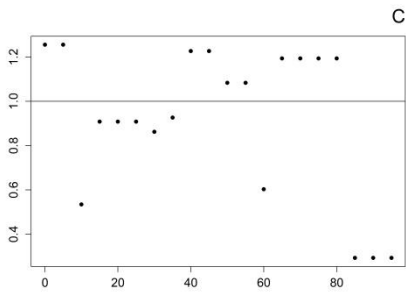
8 MCH Young-Old growth forest slope, slope *p* values and *r*<sup>2</sup> in relation de the  
 9 edge distance in in Cantareira and Conduru.

<b>Cantareira</b>			
<b>Pair</b>	<b>Slope</b>	<b>Slope <i>p</i> value</b>	<b><i>r</i><sup>2</sup></b>
<b>A</b>	-0.005	0.003	0.394
<b>B</b>	0.003	0.179	0.098
<b>C</b>	-0.004	0.103	0.140
<b>D</b>	-0.007	0.012	0.3029
<b>E</b>	-0.004	0.353	0.0481
<b>F</b>	-0.006	0.132	0.4591
<b>G</b>	-0.017	0.002	0.644
<b>H</b>	-0.014	0.009	0.320
<b>I</b>	-0.003	0.173	0.101
<b>J</b>	0.007	0.015	0.289
<b>Conduru</b>			
<b>Pair</b>	<b>slope</b>	<b>Slope <i>p</i> value</b>	<b><i>r</i><sup>2</sup></b>
<b>A</b>	-0.003	0.039	0.215
<b>B</b>	-0.007	0.000	0.566
<b>C</b>	0.001	0.604	0.015
<b>D</b>	-0.003	0.001	0.494
<b>E</b>	0.000	0.593	0.016
<b>F</b>	-0.009	0.000	0.527
<b>G</b>	-0.002	0.026	0.246
<b>H</b>	0.001	0.822	0.003
<b>I</b>	-0.021	0.002	0.634
<b>J</b>	-0.009	0.004	0.613

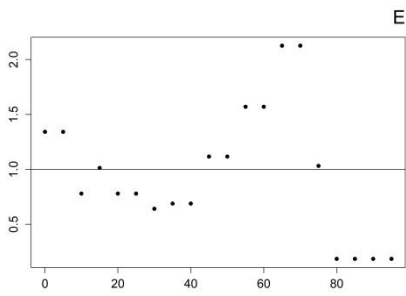
1



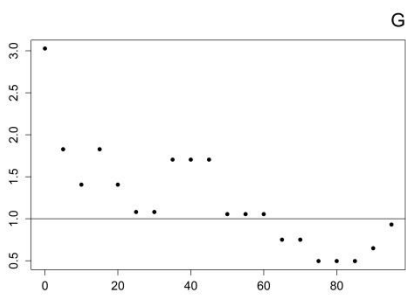
2



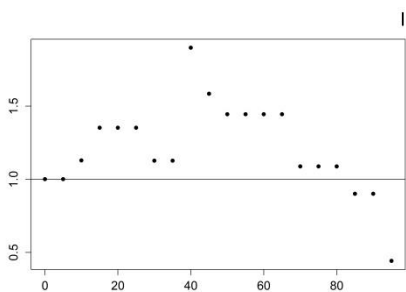
3



4



5



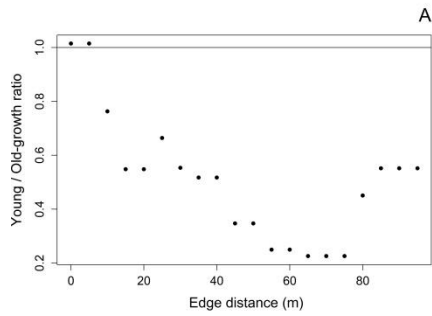
6

7

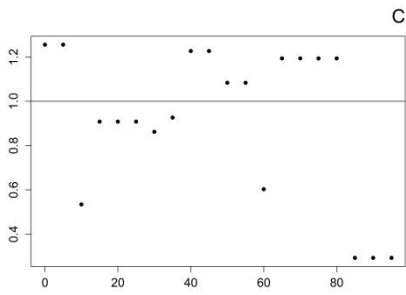
8

**Figure S2.** Representation of Maximum Height Canopy (MCH) height ratio between young and old-growth forests for each virtual sampled transect in Cantareira. The line represents where these difference does not exist.

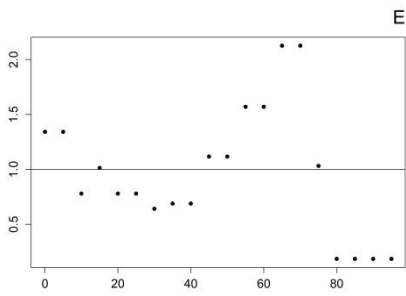
1



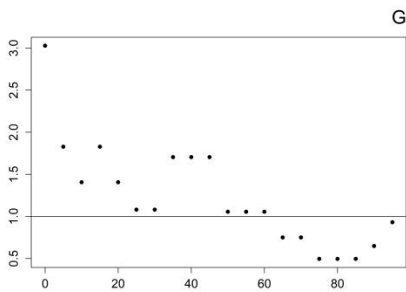
2



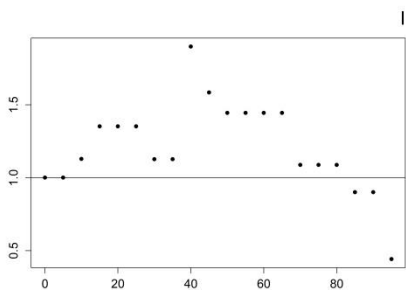
3



4



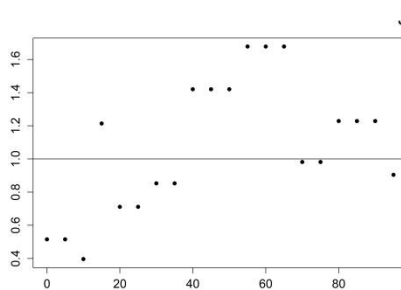
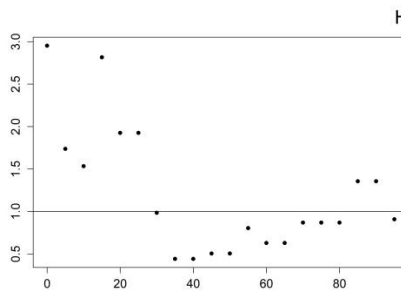
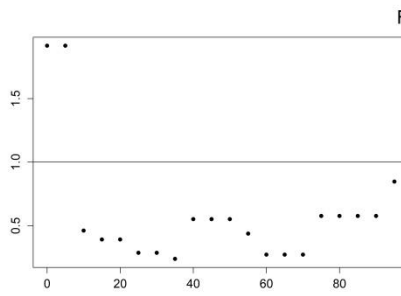
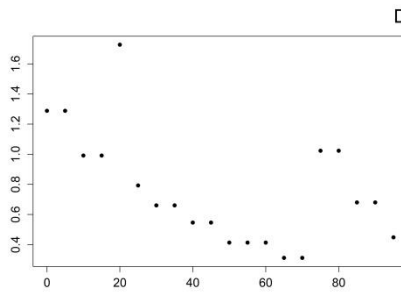
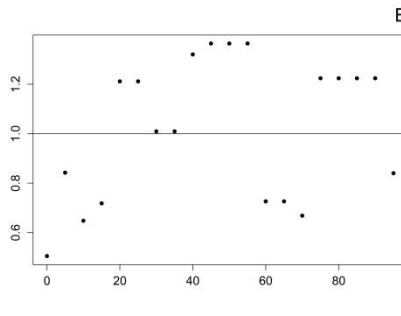
5



6

**Figure S3.** Representation of (Maximum Height Canopy) MCH height ratio between young and old-growth forests for each virtual sampled transect in Conduru. The line represents where these difference does not exist.

8



## 1        **4. Discussion**

2            We found contrasting spatial patterns of edge effects on vegetation  
3 structure from fragmented and degraded Atlantic tropical forests. Edge  
4 effect influenced both young and old-growth forests, although the increase  
5 in distance from the forest edge resulted in positive and negative  
6 consequences to canopy height in young and old-growth forests,  
7 respectively. These results indicate that changes in canopy height due to  
8 edge effect depend on the interaction between edge distance and  
9 successional stage. Consequently, forest age should affect large-scale spatial  
10 patterns of forest biomass and carbon stock. Additionally, the inverted edge  
11 effect pattern was found in different landscape contexts, with distinct level  
12 of forest cover and abiotic and biotic characteristics, which suggest this  
13 pattern should be quite common in secondary tropical landscapes.

14           In light of the global tendency of increasing forest fragmentation in  
15 different forest ecosystems, quantitative characterization of the effects of  
16 fragmentation on forest growth and its interaction with climate is essential  
17 for forest carbon balance and climate projections (Reinmann and Hutyra,  
18 2017). The young and old-growth forest distinction approach in carbon  
19 stocks estimations and restoration strategies are essential to consider, since  
20 the ecological mechanisms are completely different. The inverted edge effect  
21 pattern was revealed here with a systematic and robust approach in distinct

1 landscapes contexts. It cannot be any more ignored in future estimation and  
2 mapping of above-ground biomass (carbon stock).

3         Our findings contribute to the understanding about the landscape-  
4 scale drivers of forest regrowth and carbon stock accumulation, which is  
5 very important in planning forest regeneration projects. This type of  
6 information is poorly understood in secondary tropical forests and difficult  
7 to model based on the limited data available (Norden et al., 2015).  
8 Furthermore, secondary tropical forests have rapid rates of carbon  
9 sequestration, with potentially large consequences for the global carbon  
10 cycle (Pan et al., 2011). Forests with more than 30 years of regeneration  
11 accumulate 50% more carbon than a forest with less than 30 years old  
12 (Romitelli et al., in prep). Most of above-ground biomass and carbon stock  
13 approaches were not designed to capture the effects of edges and  
14 fragmentation on forest growth and biomass and do not capture this  
15 important determinant of forest carbon balance and its sensitivity to  
16 climate. Given the continued forest fragmentation, edge effects will play an  
17 important role in constraining estimates of the terrestrial carbon sink and  
18 its feedback with climate (Reinmann and Hutyra, 2017). We show here that  
19 this carbon accumulation process is spatially heterogeneous within the edge  
20 distance gradient. So the arrangement of the remaining forest in which best  
21 improves the carbon stock accumulation should be considered in landscape  
22 restoration.



1           Even though the actual young forest proportion of Atlantic forest is  
2 relatively low (6,78%; MapBiomass Project, 2019), some Payment for  
3 Ecosystem Services programs and other initiatives to restore forest cover of  
4 Atlantic forest aim to recover more than 15 million hectares until 2050  
5 (Pacto, 2019). This value represents almost 50% of current forest cover of  
6 Atlantic forest with less than 30 years old which increased 70% of the  
7 proportion of young forests. Further, in the face of optimizing restoration  
8 efforts, in this restoration projects of Atlantic forest for example, our results  
9 suggest that forest restoration projects around existing forest old-growths  
10 can both reduce edge effects in old-growth patches and favor biomass  
11 regrowth in regenerating or restored forests, being thus doubly beneficial to  
12 increase carbon stock in human-dominated landscapes.

### 13 **Acknowledgments**

14 We thank all landowners for giving permission to conduct fieldwork on their  
15 lands and for the outstanding support. This study was developed within the  
16 “Interface Project”, supported by São Paulo Research Foundation (FAPESP,  
17 2013/23457-6). I.R. was supported by a doctorate’s fellowship from the  
18 Brazilian Ministry of Education (CAPES-DS, 2015-2019).

19

20

21

22

## 1 **References**

- 2 Asner, G. P., Mascaro, J. 2014. Mapping tropical forest carbon: Calibrating  
3 plot estimates to a simple LiDAR metric. *Remote Sensing of Environment*,  
4 140, pp. 614–624.
- 5 Becknell, J.M., Keller, M., Piotto, D., Longo, M., dos-Santos, M.N., Scaranello,  
6 M.A., Cavalcante, R.B.O., Porder, S. 2018. Landscape-scale lidar analysis of  
7 aboveground biomass distribution in secondary Brazilian Atlantic Forest.  
8 *Biotropica*, 50 (3), 520-530.
- 9 Briant, G., Gond, V., Laurance, S. G. W. 2010. Habitat fragmentation and the  
10 desiccation of forest canopies: a case study from eastern Amazonia.  
11 *Biological Conservation*, 143, pp. 2763–2769.
- 12 Burnham, K.P., Anderson, D.R. 2002. Model selection and multimodel  
13 inference. A practical information-theoretic approach. Springer, New York.
- 14 Chaplin-Kramer, R., Ramler, I., Sharp, R., Haddad, N.M., Gerber, J.S., West, P.C.,  
15 Mandle, L., Engstrom, P., Baccini, A., Sim, S., Mueller, C., King, H. 2015.  
16 Degradation in carbon stocks near tropical forest edges. *Nature*  
17 *Communication*, 6, 10158.
- 18 Costa, K.M. 2015. O estoque de carbono na vegetação e no solo em  
19 fragmentos florestais de paisagens tropicais. São Paulo University, 66 pp.
- 20 Crouzeilles, R., Ferreira, M., Chazdon, R., Lindenmayer, D., Sansevero, JLB.B.,  
21 Monteiro, L., Iribarrem, A., Agnieszka, A., Strassburg, B. 2017. Ecological  
22 restoration success is higher for natural regeneration than for active  
23 restoration in tropical forests. *Science Advances*. 3. e1701345.  
24 10.1126/sciadv.1701345.
- 25 Curran, L.M., Caniago, I., Paoli, G.D., Astianti, D., Kusneti, M., Leighton, M.,  
26 Nirarita, C.E., Haeruman, H. 1999. Impact of El Nino and logging on canopy  
27 tree recruitment in Borneo. *Science*, 286, pp. 2184–2188.
- 28 Didham, R.K., Lawton, J.H. 1999. Edge structure determines the magnitude of  
29 changes in microclimate and vegetation structure in tropical forest  
30 fragments. *Biotropica*, 31, pp.17–30.
- 31 Food and Agriculture Organization of the United Nations. Global Forest  
32 Resources Assessment. 2017. FAO Forestry Paper.

- 1 Haddad, N.M., Brudvig, L.A., Clobert, J., Davies, K.F., Gonzalez, A, Holt, R.D.,  
2 Lovejoy, T.E., Sexton, J.O., Austin, M.P., Collins, C.D., Cook, W.M., Damschen,  
3 E.I., Ewers, R.M., Foster, B.L., Jenkins, C.N., King, A.J., Laurance, W.F., Levey,  
4 D.J., Margules, C.R., Melbourne, B.A., Nichols, A.O., Orrock, J.L., Song, D,  
5 Townshend, J.R. 2015. Habitat fragmentation and its lasting impact on  
6 Earth's ecosystems. *Science Advances*, 1(2), e1500052.
- 7 Joly, C.A., Rodrigues, R.R., Metzger, J.P., Haddad, C.F.B., Verdade, L.M.,  
8 Oliveira, M.C., Bolzani, V.S. 2010. Biodiversity Conservation Research,  
9 Training, and Policy in São Paulo. *Science* 328 1358-1359.
- 10 Joly, C.A., Metzger, J.P., Tabarelli, M. 2014. Experiences from the Brazilian  
11 Atlantic Forest: ecological findings and conservation initiatives. *New*  
12 *Phytol.* 204459-73.
- 13 Laurance, W. F. 1997. Biomass collapse in Amazonian forest fragments.  
14 *Science*, 278, pp. 1117-1118.
- 15 Laurance, W.F., Camargo, J.L.C., Luizão, R.C.C., Laurance, S.G., Pimm, S.L.,  
16 Bruna, E.M., Stouffer, P.C., Williamson, G.B., Benítez-Malvido, J.,  
17 Vasconcelos, H.L., Van Houtan, K.S., Zartman, S.E., Boyle, S.A., Didham, R.K.,  
18 Andrade, A., Lovejoy, T.E. 2011. The fate of Amazonian forest fragments: A  
19 32-year investigation. *Biol. Conserv.* 144, 56-67.
- 20 Lefsky, M.A., Cohen, W.B., Harding, D.J., Parker, G.G., Acker, S.A, Gower, S.T.  
21 2002. Lidar remote sensing of above-ground biomass in three biomes.  
22 *Glob. Ecol. Biogeogr.*, 11, pp. 393-399.
- 23
- 24 Leitold, V., Keller, M., Morton, D.C., Cook, B.D., Shimabukuro, Y.E. 2015.  
25 Airborne lidar-based estimates of tropical forest structure in complex  
26 terrain: opportunities and trade-offs for REDD. *Carbon Balance*  
27 *Management*, 10 (3).
- 28 MapBiomas Project. Collection 3.1 of Land use and mapping annual series,  
29 accessed on 15/02/2019 by the link: <http://mapbiomas.org>.
- 30 Matlack, G.R. 1993. Microenvironment variation within and among  
31 deciduous forest edge sites in the eastern United States. *Biology*  
32 *Conservation*, 66, pp. 185–94.

- 1 Melito, M., Metzger, J.P., de Oliveira, A.A. 2018. Landscape-level effects on  
2 aboveground biomass of tropical forests: a conceptual framework. *Glob.*  
3 *Change Biol.* 24: 597-607.
- 4 Norden, N., Angarita, H.A., Bongers, F., Martinez-Ramos, M., Granzon de la  
5 Cerda, I., van Breugel, M., Lebrija-Trejos, J., Meave, J.A., Vandermeer, G. B.,  
6 Williamson, B., Finegan, B., Mesquita, R, Chazdon, R.L. 2015. Successional  
7 dynamics in Neotropical forests are as uncertain as they are predictable.  
8 *Proceedings of the National Academy of Sciences*, 112, pp. 8013– 8018.
- 9 Pacto pela restauração da mata atlântica : referencial dos conceitos e ações  
10 de restauração florestal [organização e edição de texto: Ricardo Ribeiro  
11 Rodrigues, Pedro Henrique Santin Brancalion, Ingo Isernhagen]. – São  
12 Paulo : LERF/ESALQ : Instituto BioAtlântica, 2009.
- 13 Pan, Y., Birdsey, R.A., Fang, J., Houghton, R., Kauppi, P.E., Kurz, W.A., Phillips,  
14 O.L., Shvidenko, A., Lewis, S.L., Canadell, J.G., Ciais, P., Jackson, R.B., Pacala,  
15 S.W., McGuire, A.D., Piao, S., Rautiainen, A., Sitch, S., Hayes, D. 2011. A large  
16 and persistent carbon sink in the world's forests. *Science* 333, 988-993.
- 17 Peters, H. A. 2001. *Clidemia hirta* invasion at the Pasoh forest reserve: an  
18 unexpected plant invasion in an undisturbed tropical forest. *Biotropica*, 33,  
19 pp. 60-68.
- 20 Pütz, S., Groeneveld, J., Alves, L.F., Metzger, J.P., Huth, A. 2011. Fragmentation  
21 drives tropical forest fragments to early successional states: a modelling  
22 study for Brazilian Atlantic forests. *Ecol. Model.* 222, 1986-1997.
- 23 R Development Core Team. 2019. R: A language and environment for  
24 statistical computing. R Foundation for Statistical Computing, Vienna,  
25 Austria. ISBN 3-900051-07-0, Available in: URL <http://www.R-project.org>.
- 26 Reinmann, A.B., Hutyra, L.B. 2017. Edge effects enhance carbon uptake and  
27 its vulnerability to climate change in temperate broadleaf forests. *PNAS*  
28 114 (1), pp. 107-112.
- 29 Remy, E., Wuyts, K., Boeckx, P., Ginzburg, S., Gundersen, P., Demey, A., den  
30 Bulcke, J.V., Van Acker, J., Verheyen, K. 2016 .Strong gradients in nitrogen  
31 and carbon stocks at temperate forest edges. *Forest Ecology Management*,  
32 376, pp. 45–58.

- 1 Rezende, C.L., Scarano, F.R., Assadd, E.D., Joly, C.A., Metzger, J.P., Strassburgg,  
2 B.B.N., Tabarelli, M., Fonseca, G.A., Mittermeier, R.A. 2018. From hotspot to  
3 hopespot: An opportunity for the Brazilian Atlantic Forest. *Perspectives in*  
4 *Ecology and Conservation*, 16 (4), pp. 208-214.
- 5 Ribeiro, M.C., Metzger, J.P., Martensen, A.C., Ponzoni, F.J., Hirota, M.M., 2009.  
6 The Brazilian Atlantic Forest: How much is left and how is the remaining  
7 forest distributed? Implications for conservation. *Biol. Conserv.* 142, 1141–  
8 1153.
- 9 Ries, L., Fletcher Jr, R.J., Battin, J., Sisk, T.D. 2004. Ecological responses to  
10 habitat edges: mechanisms, models and variability explained. *Annual*  
11 *Review Ecology Systematic*, 35, pp. 491–522.
- 12 Rodrigues, R.R., Joly, C.A., Brito, M.C.W., Paese, A., Metzger, J.P., Cassati, L.,  
13 Nalon, M.A., Menezes, N., Ivanauskas, N.M., Bolzani, V., Bononi, V.L.R. 2008.  
14 Diretrizes para conservação e restauração da biodiversidade no Estado de  
15 São Paulo. Governo do Estado de São Paulo, São Paulo.
- 16 Romitelli, I., Barbosa, J.M., Polisel, R.T., Keller, M., Metzger, J.P. (1) Inverted  
17 edge effect pattern on carbon stocks in secondary tropical forests (chapter  
18 1).
- 19 Romitelli, I., Barbosa, J.M., Metzger, J.P. (2) Landscape effects overtake  
20 abiotic and age influences on forest biomass in tropical fragmented  
21 landscapes (chapter 2).
- 22 Romitelli, I., D'Albertas, F., Costa, K., Vieira, S.A., Metzger, J.P. Tropical forests  
23 in human-dominated landscapes present low biomass stocks and high  
24 spatial variability (in prep.).
- 25 Schmidt, M., Jochheim, H., Kersebaum, K.C., Gunnar, L., Nendela, C. 2017.  
26 Gradients of microclimate, carbon and nitrogen in transition zones of  
27 fragmented landscapes: a review. *Agricultural and Forest Meteorology*,  
28 232, pp. 659–71.
- 29 Sizer, N., Tanner, E.V.J. 1999. Responses of woody plant seedlings to edge  
30 formation in a lowland tropical rainforest, Amazonia. *Biological*  
31 *Conservation*, 91, pp. 135-142.

1 Smith, I.A., Hutya, L.R., Reinmann, A.B., Marrs, J.K., Thompson, J.R. 2018.  
2 Piecing together the fragments: elucidating edge effects on forest carbon  
3 dynamics. *Frontiers in Ecology Environmental*, 16(4), pp. 213–221.

4 Wasser, L., Day,R., Chasmer, L.,Taylor,A. 2013. Influence of Vegetation  
5 Structure on Lidar-derived Canopy Height and Fractional Cover in Forested  
6 Riparian Buffers During Leaf-Off and Leaf-On Conditions. *PLoS One* 8(1).

7 Weathers, K.C., Cadenasso, M.L., Pickett, S.T.A. 2001. Forest edges as nutrient  
8 and pollutant concentrators: potential synergisms between fragmentation,  
9 forest canopies, and the atmosphere. *Conservation Biology*, 15, pp. 1506–  
10 14.

11 Whately, M., Cunha, P. 2006. Um olhar sobre o maior manancial de água da  
12 Região Metropolitana de São Paulo. In. Instituto Socioambiental São Paulo.

13

14

15

16

17

18

19

20

21

22

23

## 1 **Conclusão geral**

2           As florestas tropicais em paisagens antropizadas estudadas aqui  
3 apresentaram um valor relativamente baixo de estoque de carbono relativo  
4 a outras florestas secundárias tropicais (Romitelli et al., in prep) tanto em  
5 áreas próximas, quanto mais distantes da borda. Os resultados do capítulo 1  
6 permitiram indicar, pela primeira vez na literatura de florestas tropicais,  
7 que há mais estoque de carbono próximo a borda do que no interior em  
8 florestas jovens localizadas em paisagens fragmentadas. Esse inesperado  
9 padrão invertido de biomassa em bordas florestais contradiz o padrão  
10 usualmente reportado em inúmeras publicações. Esse padrão invertido pode  
11 estar ligado a um efeito positivo das condições microclimáticas nas bordas,  
12 que podem estimular a propagação e o crescimento de árvores. O estoque de  
13 carbono das paisagens estudadas foi diretamente afetado pela distância à  
14 borda, quantidade de floresta remanescente na paisagem e composição  
15 florística. Nesse, sentido a configuração da paisagem desempenha um  
16 importante papel na modulação de estoque de carbono, algo muito pouco  
17 descrito na literatura até o momento.

18           Após a identificação do padrão invertido de efeito de borda e a  
19 importância do contexto da paisagem na regulação do estoque de carbono  
20 de florestas secundárias, no capítulo 2, testamos se esse padrão se repete em  
21 diferentes paisagens, que diferem em cobertura florestal e grau de  
22 perturbação. Além da paisagem da região da Cantareira, consideramos

1 outras três paisagens no Conduru. Concluimos que os fatores da paisagem  
2 (cobertura florestal e distância da borda) possuem maior importância  
3 relativa para a estrutura da vegetação (altura do dossel), do que os fatores  
4 de relevo e idade, estes dois últimos sendo já bastante explorados e  
5 conhecidos. Esse padrão foi verificado para florestas jovens e maduras e  
6 para as duas regiões, mesmo sendo elas com contextos bastante distintos.  
7 Embora os fatores da paisagem tenham se mostrado bastante importantes  
8 para a regeneração da estrutura da vegetação e acúmulo de biomassa, os  
9 modelos de estimativa de biomassa em larga escala (por exemplo: Baccini et  
10 al., 2012; Mitchard et al., 2014; Urbazaev et al., 2018) não utilizam esses  
11 fatores como variáveis preditoras. Dessa forma, este capítulo aponta para a  
12 necessidade de considerar tais fatores para melhores estimativas de  
13 biomassa em florestas secundárias tropicais de paisagens antrópicas.

14 Por fim, no terceiro e último capítulo, conseguimos avaliar de forma  
15 sistemática e pareada diferenças no efeito de borda sobre o dossel de  
16 florestas jovens e maduras. Como esperado, o padrão usual de efeito de  
17 borda negativo para florestas maduras foi observado para as duas regiões,  
18 embora de forma mais intensa no Conduru, onde a proporção de vegetação  
19 nativa é muito superior e possivelmente os processos ecológicos de florestas  
20 maduras ainda estão mantidos próximo aos 100 m da borda. Por outro lado,  
21 observamos um efeito consistente de borda invertido para as florestas mais  
22 jovens, tanto na Cantareira quanto no Conduru, o que sugere que esse



1 padrão deve ocorrer em outras paisagens tropicais degradadas e  
2 fragmentadas, onde a regeneração está ocorrendo.

3 De forma conjunta, os três capítulos indicam que em florestas  
4 secundárias tropicais em paisagens antropizadas, o estoque de carbono é  
5 muito abaixo do que em outras florestas tropicais menos perturbadas. Além  
6 disso, existe uma alta heterogeneidade espacial, guiada por fatores de  
7 paisagem e locais, como por exemplo perturbações antrópicas. Tendo em  
8 vista as implicações globais da intensa fragmentação florestal para os  
9 processos ecossistêmicos, trabalhos como esse que quantificam os efeitos da  
10 fragmentação e do efeito de borda em florestas jovens e maduras, são  
11 essenciais para projeções climáticas e de estoque de carbono (Reinmann &  
12 Hutyra, 2017).

13 Os dados e análises apresentados na tese fornecem importantes  
14 informações de suporte para o manejo de carbono florestal de vegetação  
15 nativa em nível de paisagem. Por exemplo, vimos que a configuração dos  
16 fragmentos florestais pode influir em maiores estoques de carbono, e desta  
17 forma, sugerimos incrementar a cobertura florestal ao redor de florestas  
18 remanescentes por meio de projetos de restauração. Com esse tipo de ação  
19 seria possível amenizar o efeito de borda negativo para florestas maduras e  
20 ao mesmo tempo promover a regeneração em florestas jovens que  
21 apresentaram maiores valores de estoque de carbono próximo à borda.

1           Nossos dados indicam que a maior efetividade de programas de  
2   mitigação de mudanças climáticas, como o REDD+ (Redução das Emissões  
3   por Desmatamento e Degradação Florestal), depende de um bom  
4   planejamento de ações a nível de paisagem, considerando os efeitos  
5   distintos de borda em florestas jovens e mais maduras. Neste sentido, é  
6   importante ressaltar a importância de evitar a perda de áreas de florestas  
7   nativas mais antigas, e estimular a regeneração florestal ao longo das bordas  
8   de florestas remanescentes. Esse simples planejamento espacial permitirá  
9   não apenas otimizar os ganhos de carbono por meio da restauração, mas  
10  também minimizar as perdas que ocorrem por meio de efeito de borda em  
11  florestas remanescentes.

12

## 1 **Referências bibliográficas da introdução e conclusão geral**

- 2 Achard, F., Eva, H.D., Stibig, H.J., Mayaux, P., Gallego, J., Richards, T.,  
3 Malingreau, J.P. 2002. Determination of deforestation rates of the world's  
4 humid tropical forests. *Science* 297, 999-1002.
- 5 Asner, G. P., Mascaro, J. 2014. Mapping tropical forest carbon: Calibrating  
6 plot estimates to a simple LiDAR metric. *Remote Sensing of Environment*,  
7 140, pp. 614–624.
- 8 Baccini, A., Goetz, S. J., Walker, W. S., Laporte, N. T., Sun, M., Sulla-Menashe,  
9 D., Hackler, J., Beck, P.S.A., Dubayah, R., Friedl, M.A., Samanta, S., Houghton,  
10 R.A. 2012. Estimated carbon dioxide emissions from tropical deforestation  
11 improved by carbon-density maps. *Nature Climate Change*, 2, 182.
- 12 Becknell, J.M., Keller, M., Piotto, D., Longo, M., dos-Santos, M.N., Scaranello,  
13 M.A., Cavalcante, R.B.O., Porder, S. 2018. Landscape-scale lidar analysis of  
14 aboveground biomass distribution in secondary Brazilian Atlantic Forest.  
15 *Biotropica*, 50 (3), 520-530.
- 16 Brown, S., Lugo, A.E. 1990. Tropical secondary forests. *J. Trop. Ecol.* 6, 1-31.
- 17 Chaplin-Kramer, R., Ramler, I., Sharp, R., Haddad, N.M., Gerber, J.S., West, P.C.,  
18 Mandle, L., Engstrom, P., Baccini, A., Sim, S., Mueller, C., King, H. 2015.  
19 Degradation in carbon stocks near tropical forest edges. *Nature*  
20 *Communication*, 6, 10158.
- 21 Falkowski P., Scholes, R.J., Boyle, E., Canadell, J., Canfield, D., Elser, J.,  
22 Gruber, N., Hibbard, K., Högberg, P., Linder, S., Mackenzie, F.T., Moore, B.,  
23 Pedersen, T., Rosenthal, Y., Seitzinger, S., Smetacek, V., Steffen, W. 2000.  
24 The Global Carbon Cycle: A Test of Our Knowledge of Earth as a System.  
25 *Science*, 290, pp. 291-296.
- 26 Food and Agriculture Organization of the United Nations. Global Forest  
27 Resources Assessment. 2017. FAO Forestry Paper.
- 28 Grace, J., San José, J., Meir, Miranda, H.S., Ruben, A.M. 2006. Productivity and  
29 carbon fluxes of tropical savannas. *Journal of Biogeography*, 33
- 30 Haddad, N.M., Brudvig, L.A., Clobert, J., Davies, K.F., Gonzalez, A, Holt, R.D.,  
31 Lovejoy, T.E., Sexton, J.O., Austin, M.P., Collins, C.D., Cook, W.M., Damschen,  
32 E.I., Ewers, R.M., Foster, B.L., Jenkins, C.N., King, A.J., Laurance, W.F., Levey,  
33 D.J., Margules, C.R., Melbourne, B.A., Nichols, A.O., Orrock, J.L., Song, D,

- 1 Townshend, J.R. 2015. Habitat fragmentation and its lasting impact on  
2 Earth's ecosystems. *Science Advances*, 1(2), e1500052.
- 3 IPCC. 2018. Contribution of Working Groups I, II and III to the Fourth  
4 Assessment Report of the Intergovernmental Panel on Climate Change. In  
5 Climate Change, Synthesis Report, Core Writing Team, Pachauri, R.K. and  
6 Reisinger, A. (eds), Geneva, Switzerland.
- 7 Joly, C.A., Metzger, J.P., Tabarelli, M. 2014. Experiences from the Brazilian  
8 Atlantic Forest: ecological findings and conservation initiatives. *New  
9 Phytol.* 204459-73.
- 10 Joly, C.A., Rodrigues, R.R., Metzger, J.P., Haddad, C.F.B., Verdade, L.M.,  
11 Oliveira, M.C., Bolzani, V.S. 2010. Biodiversity Conservation Research,  
12 Training, and Policy in São Paulo. *Science* 328 1358-1359.
- 13 Laurance, W. F. 1997. Biomass collapse in Amazonian forest fragments.  
14 *Science*, 278, pp. 1117-1118.
- 15 Lefsky, M.A., Cohen, W.B., Harding, D.J., Parker, G.G., Acker, S.A., Gower, S.T.  
16 2002. Lidar remote sensing of above-ground biomass in three biomes.  
17 *Glob. Ecol. Biogeogr.*, 11, pp. 393-399.
- 18 Metzger, J. P. 2009. Conservation issues in the Brazilian Atlantic forest. *Biol.  
19 Conserv.* 142, 1138-1140.
- 20 Mitchard, E. T. A., Feldpausch, T. R., Brien, R. J. W., Lopez-Gonzalez, G.,  
21 Monteagudo, A., Baker, T. R., Lewis, S. L., Lloyd, J., Quesada, C. A., Gloor, M.,  
22 ter Steege, H., Meir, P., Alvarez, E., Araujo-Murakami, A., Aragão, L. E. O. C.,  
23 Arroyo, L., Aymard, G., Banki, O., Bonal, D., Brown, S., Brown, F. I., Cerón, C.  
24 E., Chama Moscoso, V., Chave, J., Comiskey, J. A., Cornejo, F., Corrales  
25 Medina, M., Da Costa, L., Costa, F. R. C., Di Fiore, A., Domingues, T. F., Erwin,  
26 T. L., Frederickson, T., Higuchi, N., Honorio Coronado, E. N., Killeen, T. J.,  
27 Laurance, W. F., Levis, C., Magnusson, W. E., Marimon, B. S., Marimon Junior,  
28 B. H., Mendoza Polo, I., Mishra, P., Nascimento, M. T., Neill, D., Núñez Vargas,  
29 M. P., Palacios, W. A., Parada, A., Pardo Molina, G., Peña-Claros, M., Pitman,  
30 N., Peres, C. A., Poorter, L., Prieto, A., Ramirez-Angulo, H., Restrepo Correa,  
31 Z., Roopsind, A., Roucoux, K. H., Rudas, A., Salomão, R. P., Schiatti, J., Silveira,  
32 M., de Souza, P. F., Steininger, M. K., Stropp, J., Terborgh, J., Thomas, R.,  
33 Toledo, M., Torres-Lezama, A., van Andel, T. R., van der Heijden, G. M. F.,  
34 Vieira, I. C. G., Vieira, S., Vilanova-Torre, E., Vos, V. A., Wang, O., Zartman, C.  
35 E., Malhi, Y. and Phillips, O. L. 2014. Markedly divergent estimates of

- 1 Amazon forest carbon density from ground plots and satellites. *Global*  
2 *Ecology and Biogeography*, 23, pp. 935-946.
- 3 Norden, N., Angarita, H.A., Bongers, F., Martinez-Ramos, M., Granzon de la  
4 Cerda, I., van Breugel, M., Lebrija-Trejos, J., Meave, J.A., Vandermeer, G. B.,  
5 Williamson, B., Finegan, B., Mesquita, R, Chazdon, R.L. 2015. Successional  
6 dynamics in Neotropical forests are as uncertain as they are predictable.  
7 *Proceedings of the National Academy of Sciences*, 112, pp. 8013– 8018.
- 8 Daniel, P., Montagnini, F. Thomas, W., Mark, A., Chadwick, O. 2009. Forest  
9 recovery after swidden cultivation across a 40-year chronosequence in the  
10 Atlantic forest of southern Bahia, Brazil. *Plant Ecology*, 205.
- 11 Poorter, L., Bongers, F., Aide, T.M., et al. 2016. Biomass resilience of  
12 Neotropical secondary forests. *Nature* 530, 212-225.
- 13 Reinmann, A.B., Hutyra, L.B. 2017. Edge effects enhance carbon uptake and  
14 its vulnerability to climate change in temperate broadleaf forests. *PNAS*  
15 114 (1), pp. 107-112.
- 16 Remy, E., Wuyts, K., Boeckx, P., Ginzburg, S., Gundersen, P., Demey, A., den  
17 Bulcke, J.V., Van Acker, J., Verheyen, K. 2016 .Strong gradients in nitrogen  
18 and carbon stocks at temperate forest edges. *Forest Ecology Management*,  
19 376, pp. 45–58.
- 20 Rezende, C.L., Scarano, F.R., Assadd, E.D., Joly, C.A., Metzger, J.P., Strassburgg,  
21 B.B.N., Tabarelli, M., Fonseca, G.A., Mittermeier, R.A. 2018. From hotspot to  
22 hopespot: An opportunity for the Brazilian Atlantic Forest. *Perspectives in*  
23 *Ecology and Conservation*, 16 (4), pp. 208-214.
- 24 Ribeiro, M.C., Metzger, J.P., Martensen, A.C., Ponzoni, F.J., Hirota, M.M., 2009.  
25 The Brazilian Atlantic Forest: How much is left and how is the remaining  
26 forest distributed? Implications for conservation. *Biol. Conserv.* 142, 1141–  
27 1153.
- 28 Ribeiro, M.C., Martensen, A.C., Metzger, J.P., Tabarelli, M., Scarano, F., Fortin,  
29 M.J. 2011. The Brazilian Atlantic Forest: a shrinking biodiversity hotspot.  
30 Pp.405-434. In: Zachos, F.E. & Habel, J.C. (Eds.). *Biodiversity hotspots:*  
31 *distribution and protection of conservation priority areas.* New York:  
32 Springer.
- 33 Rodrigues, R.R., Joly, C.A., Brito, M.C.W., Paese, A., Metzger, J.P., Cassati, L.,  
34 Nalon, M.A., Menezes, N., Ivanauskas, N.M., Bolzani, V., Bononi, V.L.R. 2008.

- 1 Diretrizes para conservação e restauração da biodiversidade no Estado de  
2 São Paulo. Governo do Estado de São Paulo, São Paulo.
- 3 Romitelli, I., D'Albertas, F., Costa, K., Vieira, S.A., Metzger, J.P. Tropical forests  
4 in human-dominated landscapes present low biomass stocks and high  
5 spatial variability, in prep.
- 6 Silva, WG., Metzger, JP., Simões, S., Simonetti, C. 2007. Relief influence on the  
7 spatial distribution of the Atlantic Forest cover on the Ibiúna Plateau,  
8 SP. *Braz. J. Biol.*, 67 (3), pp.403-411.
- 9 Smith, I.A., Hutya, L.R., Reinmann, A.B., Marrs, J.K., Thompson, J.R. 2018.  
10 Piecing together the fragments: elucidating edge effects on forest carbon  
11 dynamics. *Frontiers in Ecology Environmental*, 16(4), pp. 213–221.
- 12 Tabarelli, M., Aguiar, A.V., Ribeiro, M.C., Metzger, J.P., Peres, C.A. 2010.  
13 Prospects for biodiversity conservation in the Atlantic Forest: Lessons from  
14 aging human-modified landscapes. *Biol. Conserv.* 143, 2328–2340.
- 15 Urbazaev, M., Christian, T., Cremer, F., Dubayah, R., Markus, R., Christiane,  
16 S. 2018. Estimation of forest aboveground biomass and uncertainties by  
17 integration of field measurements, airborne LiDAR, and SAR and optical  
18 satellite data in Mexico. *Carbon Balance and Management*, 13 (5).
- 19 Vieira, S.A., Alves, L.F., Duarte-Neto, P.J.M, Martins, S.C., Veiga, L.G.,  
20 Scaranello, M.A., Picollo, M.C., Camargo, P.B., do Carmo, J.B., Neto, E.S.,  
21 Santos, F.A.M., Joly, C.A., Martinelli, L.A. 2011. Stocks of carbon and nitrogen  
22 and partitioning between above- and belowground pools in the Brazilian  
23 coastal Atlantic Forest elevation range. *Ecol. Evol.* 1, 421-434.