

**University of São Paulo  
“Luiz de Queiroz” College of Agriculture**

**Genomics of insecticide-metabolizing bacteria associated with *Spodoptera frugiperda* (Lepidoptera: Noctuidae) and their potential role in host detoxification**

**Ana Flávia Freitas Gomes**

Thesis presented to obtain the degree of Doctor in Science.  
Area: Entomology

**Piracicaba  
2023**

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**2023**

**Dados Internacionais de Catalogação na Publicação  
DIVISÃO DE BIBLIOTECA – DIBD/ESALQ/USP**

Gomes, Ana Flávia Freitas

Genomics of insecticide-metabolizing bacteria associated with *Spodoptera frugiperda* (Lepidoptera: Noctuidae) and their potential role in host detoxification / Ana Flávia Freitas Gomes. - - Piracicaba, 2023.

166 p.

Tese (Doutorado) - - USP / Escola Superior de Agricultura “Luiz de Queiroz”.

1. Simbiose 2. Bactérias metabolizadoras de inseticida 3. Taxonomia baseada em genoma 4. Holobionte I. Título

*To my parents, Dorinha and Didi  
To my brother, Arthur  
To my fiancé, Diego*

**I DEDICATE**

## ACKNOWLEDGMENTS

I would like to express my gratitude to:

The University of São Paulo (USP), Luiz de Queiroz College of Agriculture (Esalq), and the Department of Entomology and Acarology (LEA - Esalq) for providing the support and all of the necessary facilities for my research.

To the Conselho Nacional para o Desenvolvimento Científico e Tecnológico (CNPq) for providing my Ph.D. scholarship (Grant 140835/2019-9).

To my advisor, Prof. Dr. Fernando Luis Cônsoli, for believing in my potential, encouraging me, teaching me, and mentoring me. Seeing you happy with my victories and progress every step of the way made me more confident and helped me to build who I am today. Thank you for all your trust, support and teachings along the way.

To Dr. Thaís Cataldi, for her technical support and guidance during the metabolomics analysis.

To Dr. Bruna Lais Merlin, for all her support, sharing her knowledge, providing answers to my innumerous questions and for helping with my analyses.

To everyone in the Insect Interactions Laboratory for the companionship, the daily work, and our coffees. You have taught me a lot during these almost 7 years in the lab. To Aline Pereira, Beatriz Nascimento, Bruna Merlin, Caroline Kudlawiec, Diandra Achre, Ewerthon Lira, Hevellyn Talissa, Julia Ferla, Lilian Dallagnol, Mariane Gomes, Mariana Joly, Marcele Coelho, Nathalia Oliveira and Stefane Andrade. Thank you for all the shared experiences, friendship and help. For being my team.

To Mariana Finotti, for being the sister that Piracicaba gave to me. To Roberto, Theo, Diego, Natali and Bruna for all the time we shared in the "Ap 62".

To my parents, Dorinha and Didi. Since I was a child, you always told me how important it was to study in order to shape my future and have opportunities. Thank you for always supporting me, even when I was miles away. Thank you for being my base, my refuge, my place of peace.

To my brother Arthur, for always cheering me up! For being my "little piece of Minas Gerais in São Paulo" for a while. Your visits gave me strength at times when I just needed to reconnect. Thank you too, Karine, for being part of it all.

To Maria, Dário, Bruno, Thiago and Ana Paula, for embracing me as part of their family and encouraging me even from afar.

To my fiancé Diego, for every day, every smile, every moment. Having you by my side makes my days lighter. Thank you for listening, advising and supporting me. For helping me through the hardest times, for cheering me on at every victory, for dreaming with me about our future. For making wherever we are a home. You are an essential part of this accomplishment.

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## RESUMO

### **Genômica de bactérias metabolizadoras de inseticidas associadas a *Spodoptera frugiperda* (Lepidoptera: Noctuidae) e sua potencial contribuição funcional na desintoxicação do hospedeiro**

O sucesso evolutivo da classe Insecta pode ser parcialmente atribuído à sua associação com bactérias. A associação com microrganismos não patogênicos garante a manutenção de fenótipos complexos, em que hospedeiro e microbiota respondem juntos a condições ambientais adversas. Em estudos anteriores, demonstramos que linhagens resistentes a inseticidas e populações naturais de *Spodoptera frugiperda* carregam em seu intestino bactérias metabolizadoras de inseticidas (BMI), algumas delas fixadas e com capacidade distinta de metabolizar diferentes inseticidas. Com a hipótese de que *S. frugiperda* e sua microbiota se comportam como um organismo único (holobionte), este trabalho tem como objetivo melhor caracterizar as BMI e verificar seu potencial na desintoxicação e na resposta desse lepidóptero a inseticidas. A caracterização das BMI foi realizada por meio de análises genômicas, metatranscritômicas e metabolômicas. Genes e vias associadas à possível metabolização de compostos tóxicos por bactérias foram identificados, bem como aspectos de sua interação e evolução com o hospedeiro. Estudos taxonômicos baseados no genoma permitiram a reavaliação da identidade taxonômica das BMI, destacando a necessidade de reconsiderar identificações bacterianas baseadas apenas no sequenciamento do gene 16S rRNA. Novas espécies bacterianas associadas ao intestino de *S. frugiperda* foram descritas, denominadas *Enterococcus entomosocium* n. sp., *Enterococcus spodopteracolus* n. sp., e *Pseudomonas fraudulenta* n. sp. As demais BMI foram classificadas como *Acinetobacter soli* ou como diferentes subespécies da recentemente descrita *Pseudomonas bharatica*. As BMI analisadas apresentaram um notável repertório enzimático envolvido na degradação de xenobióticos, incluindo carboxilesterases, dehalogenases e desidrogenases e, para algumas delas, enzimas de desintoxicação, como glutationa-S-transferases, superóxido dismutases e peroxirredoxinas. Constatou-se que os isolados de *Enterococcus*, *Acinetobacter* e *Pseudomonas* carregam em seus genomas o maquinário molecular para interagir com o hospedeiro, incluindo mecanismos para colonização intestinal, fornecimento de nutrientes, modulação da microbiota intestinal e interações com o sistema imunológico do hospedeiro. No entanto, experimentos para a colonização intestinal de *S. frugiperda* por isolados de *Acinetobacter soli* e *Pseudomonas bharatica* sugerem que, para essas espécies, isso ocorre somente quando o inseto hospedeiro está sob pressão de seleção pelo inseticida. A análise compreensiva da resposta de linhagens suscetíveis e resistentes de *S. frugiperda* aos inseticidas flubendiamide, spinosad e teflubenzuron destacou a complexa interação entre o genótipo do hospedeiro, as comunidades microbianas e a exposição ao inseticida no metaboloma larval e na atividade transcripcional da microbiota intestinal. A análise revelou que a exposição ao inseticida e a evolução da resistência induzem mudanças metabólicas que afetam a imunidade do hospedeiro, alteram as interações do hospedeiro com sua comunidade microbiana intestinal e, possivelmente, afetam as associações com vírus associados. Por fim, a análise da associação de *E. entomosocium* e *E. spodopteracolus* com outras espécies do gênero *Spodoptera* revelou que elas não apresentam variação genética suficiente para serem separadas como entidades taxonômicas ao nível de espécie ou subespécie, mostrando que essas bactérias não acumularam variação genética suficiente após o processo de especiação de *Spodoptera*, devido ao processo de co-especiação.

**Palavras-chave:** Simbiose, Bactérias metabolizadoras de inseticida, Taxonomia baseada em genoma, Holobionte

## ABSTRACT

### **Genomics of insecticide-metabolizing bacteria associated with *Spodoptera frugiperda* (Lepidoptera: Noctuidae) and their potential role in host detoxification**

The evolutionary success of the class Insecta can be partially attributed to its association with bacteria. Association with non-pathogenic microorganisms ensures the maintenance of complex phenotypes, with host and microbiota that respond together to adverse environmental conditions. In previous studies, we have shown that insecticide-resistant strains and natural populations of *Spodoptera frugiperda* carry insecticide-metabolizing bacteria (IMB) in their gut, some of which are fixed and have a distinct ability to metabolize different insecticides. Thus, we hypothesized that *S. frugiperda* and its microbiota behave as a single organism (holobiont), and aim to better characterize the IMB and verify their potential in the detoxification and response of this lepidopteran to insecticides. The IMB characterization was achieved through genomic, metatranscriptomic and metabolomic analyses. Our research has enabled the identification of genes and pathways associated with the potential metabolism of toxic compounds by bacteria, as well as aspects of their interaction and evolution with the host. Genome-based taxonomic studies led to a re-evaluation of the IMB taxonomic identities, highlighting the need to reconsider bacterial identification based solely on 16S rRNA sequencing. New bacterial species associated with the gut of *S. frugiperda* were described: *Enterococcus entomosocium* n. sp., *Enterococcus spodopteracolus* n. sp., and *Pseudomonas fraudulenta* n. sp. The remaining IMB under study were classified as *Acinetobacter soli* or as different subspecies of the recently described *Pseudomonas bharatica*. The analyzed IMB showed a remarkable enzymatic repertoire involved in xenobiotic degradation, including carboxylesterases, dehalogenases, and dehydrogenases and, for some of them, detoxification enzymes such as glutathione-S-transferases, superoxide dismutases and peroxiredoxins. *Enterococcus*, *Acinetobacter*, and *Pseudomonas* isolates were found to carry in their genomes the molecular machinery for interacting with the host, including mechanisms for gut colonization, nutrient supply, modulation of the gut microbiota, and interactions with host immune system. However, experiments on gut colonization of *S. frugiperda* by isolates of *Acinetobacter soli* and *Pseudomonas bharatica* suggested that these species may require the host maintenance under insecticide selection pressure to secure the colonization of the host gut. The comprehensive analysis of the response of susceptible and resistant strains of *S. frugiperda* to the insecticides flubendiamide, spinosad and teflubenzuron highlighted the complex interplay between host genotype, microbial communities and insecticide exposure on the larval metabolome and on the transcriptional activity of the gut microbiota. The analysis revealed that insecticide exposure and resistance evolution induce metabolic changes that affect host immunity, alter the host's interactions with its gut microbial community, and potentially affect associations with associated viruses. Finally, the analysis of the association of *E. entomosocium* and *E. spodopteracolus* with other species of the genus *Spodoptera* revealed that they do not carry enough genetic variation to be separated as taxonomic entities at either the species or subspecies level, showing that these bacteria did not accumulate enough genetic variation after the *Spodoptera* speciation process due to the process of co-speciation.

**Keywords:** Symbiosis, Insecticide-metabolizing bacteria, Genome-based taxonomy, Holobiont

## 1. INTRODUCTION

The evolutionary success of insects can be partially attributed to their complex associations with microorganisms (Engel & Moran, 2013; Sudakaran et al., 2017; Zhang et al., 2022). Interactions between host organisms and their associated microbiota are critical components of ecological and evolutionary processes (Engel & Moran, 2013; Salem et al., 2020; Sudakaran et al., 2017). The outcome of such interactions represents different types of associations – e.g., mutualism, commensalism, and parasitism – ultimately influencing the ecological dynamics and evolution of both host and microbiota (Drew et al., 2021). These associations involve the exchange of metabolic resources, modulation of immune responses, and acquisition of novel traits, among others (Moran, 2007; Russell et al., 2013; Xia et al., 2018). The characterization of symbiotic microorganisms and their potential impact on shaping host phenotypes have been well-documented in various insects (Hughes et al., 2011; Leclair et al., 2016; Voirol et al., 2018), revealing a spectrum of roles from nutrient provision and defense against pathogens to metabolization of toxic compounds (Chen et al., 2020; Lv et al., 2023; Oliver et al., 2003; Russell et al., 2013; Salem et al., 2020).

Recent advances in genomics, metatranscriptomics, and metabolomics have allowed a comprehensive characterization of microorganisms and their complex relationships with insect hosts, as well as the assessment of signatures of host/symbiont genome coevolution (Douglas, 2018; Mao et al., 2017; Wilson & Duncan, 2015). These approaches enabled the elucidation of the genetic, metabolic, and transcriptional mechanisms that drive host adaptation and tolerance in a range of insects (Li et al., 2022; Wang et al., 2020; Wu et al., 2020), providing a foundation for understanding the dynamics between insects and their microbial partners in face of adversity (DiGuistini et al., 2011; Wang et al., 2023). Notably, some of the host-associated microorganisms exhibit remarkable metabolic capabilities, encompassing the breakdown of diverse toxic compounds, including insecticides (Almeida et al., 2017; Gomes et al., 2020; Lv et al., 2023). This trait significantly contributes to the survival and adaptation of their host insects (Chen et al., 2020; Cheng et al., 2017; Kikuchi et al., 2012).

This research explores the intricate and multifaceted relationship between insects and their insecticide-metabolizing bacteria (IMB). The intricate host – symbiont associations has brought the concept that organisms are a representation of their own genome and of the whole associated microbiota, working together as a single evolutionary entity – the holobiont (Zilberman-Rosenberg & Rosenberg, 2008). *Spodoptera frugiperda* (Lepidoptera: Noctuidae) is a notorious agricultural pest that carries a diverse gut microbiota represented by several bacterial members

capable of degrading a range of pesticides (Almeida et al., 2017; Gomes et al., 2020). Some of the IMB obtained from the gut of *S. frugiperda* are considered core members of the gut microbial community, but the ability of these bacteria to metabolize insecticides was only detected in insect larvae that were selected for insecticide resistance under laboratory conditions and/or from field-collected larvae that have been exposed to a range of insecticides. No IMB were obtained from susceptible *S. frugiperda* larvae, indicating that the selection pressure that the host was exposed to and that resulted in the evolution of insecticide resistance also acted on the associated bacteria to select IMB (Almeida et al., 2017).

Thus, this study aims to investigate the complex interactions between *S. frugiperda* larvae and its microbial community by 1) conducting functional genomic analysis of the main IMB identified so far associated with the gut of resistant strains and field-collected larvae of *S. frugiperda*; 2) investigating the metabolomic alterations between resistant and susceptible strains of *S. frugiperda* to flubendiamide, spinosad, and teflubenzuron, when larvae are fed or not with these insecticides; 3) conducting comparative metatranscriptomic analysis of the gut microbiota of resistant and susceptible strains of *S. frugiperda* that were exposed or not to flubendiamide, spinosad, and teflubenzuron. In addition to that, we aimed to investigate the history of association of *Enterococcus* with the complex of *Spodoptera* species available in Brazil by conducting the isolation and functional genomic analysis of *Enterococcus* isolates obtained from *Spodoptera albula*, *Spodoptera cosmioides*, *Spodoptera eridania*, and *S. frugiperda*. By taking a holistic approach, we expect to better understand the complex dynamics of host-microbiota interactions and thereby shed light on the challenges of insecticide resistance and pest management. The findings promise to influence future pest management strategies by exploiting symbiotic relationships.

### **1.1. Hypothesis and predictions**

The aim of this study was to better characterize the gut symbionts of *Spodoptera frugiperda* and to evaluate their functional contribution for the metabolism of insecticides and in the detoxification of insecticide-exposed hosts. We hypothesized that *S. frugiperda* and its associated microbiota act as a holobiont. Thus, we investigated the potential role of bacteria in the response of this pest to insecticides, and tried to better understand how this symbiotic relationship is established. To accept our hypothesis and based on previous studies indicating that the gut microbiota of *S. frugiperda* is also under the effect of insecticide selective pressure (Almeida et al., 2017; Gomes et al., 2020), we predicted that the insecticide-metabolizing

bacteria under study will have genes and pathways associated with the potential metabolism of toxic compounds, as well as with aspects of their interaction with the host (Chapters 2, 3 and 5). Also, it is expected that (i) the microbiota of insecticide resistant and susceptible *S. frugiperda* larvae will respond differently when the host is exposed to such compounds due to (ii) differential gene expression of the hologenome and (iii) differential production of metabolites by the holobiont (Chapter 4). Finally, we hypothesized that *Enterococcus* symbionts, IMB in *S. frugiperda* and core member of its gut microbial community (Almeida et al., 2017; Oliveira et al., 2023), may have a close evolutionary history with their host; thus, it is expected that they carry enough genetic variation to be distinguishable from *Enterococcus* strains associated with other hosts within the genus *Spodoptera* (Chapter 5).

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## 2. COMPARATIVE GENOMICS OF PESTICIDE-DEGRADING *Enterococcus* SYMBIONTS OF *Spodoptera frugiperda* (LEPIDOPTERA: NOCTUIDAE) LEADS TO THE IDENTIFICATION OF TWO NEW SPECIES AND THE REAPPRAISAL OF INSECT-ASSOCIATED *Enterococcus* species\*

### Abstract

*Enterococcus* species have been described as core members of the microbial community of *Spodoptera frugiperda* (Lepidoptera: Noctuidae) and have been previously reported as insecticide degrading agents. This study aimed to investigate the molecular composition of these microbial symbionts of *S. frugiperda* to better understand their association with the host and their potential for insecticide metabolism. Through comparative genomic analyses of several pesticide-degrading *Enterococcus* isolated from the gut of *S. frugiperda* larvae, we identified two new species: *Enterococcus entomosocium* n. sp. and *Enterococcus spodopteracolus* n. sp. Their identities as new species were confirmed by whole genome alignment, utilizing cut-offs of 95-96% for the average nucleotide identity (ANI) and 70% for the digital DNA: DNA hybridization (dDDH) values. The systematic positioning of these new species within the genus *Enterococcus* was resolved using genome-based analysis, revealing *Enterococcus casseliflavus* as a sister group of *E. entomosocium* n. sp., and *Enterococcus mundtii* as a sister group of *E. spodopteracolus* n. sp. Comparative genomic analyses of several isolates of *E. entomosocium* n. sp. and *E. spodopteracolus* n. sp. provided a better assessment of the interactions established in the symbiotic association with *S. frugiperda* and led to the discovery of misidentified new species of *Enterococcus* associated with insects. Our analyses indicated that the potential of *E. entomosocium* n. sp. and *E. spodopteracolus* n. sp. to metabolize different pesticides arises from molecular mechanisms that result in rapid evolution of new phenotypes in response to environmental stressors, in this case, the pesticides their host insect is exposed to.

**Keywords:** Comparative genomics; Fall armyworm; Genome-based taxonomy; Symbiont-mediated resistance; Pesticide-degrading bacteria

\* **Published in:** Microbial Ecology (2023) 86:2583–2605. <https://doi.org/10.1007/s00248-023-02264-0>

### 2.1. Introduction

Research focused on the functional contribution of host-associated bacteria has revealed their involvement in defining host phenotypes, ranging from enhanced performance under stress conditions [1, 2] to changes in body coloration in aphid insects [3]. The diversity of microbial associations and contributions to insect hosts is as vast as the insect class itself. Insect-associated microbes directly influence the host's response to various biotic and abiotic stressors and contribute to host fitness [4–6]. Microbes can affect insect adaptability through nutritional regulation, nutrient provisioning, digestion of challenging substrates, hormonal signaling, immune contribution, xenobiotic metabolism, and even act as pathogens [4,7, 8].

The megadiverse order Lepidoptera has a long coevolutionary history with plants and is almost entirely associated with angiosperms [9]. The divergence of the major ditrysian lineages during the Cretaceous period and the subsequent proliferation of lepidopterans approximately 90 million years ago resulted in the second largest group of phytophagous insects [9, 10]. Several lepidopteran species have significant implications for human interests, particularly as severe pests that threaten food security by infesting staple crops and other agricultural products [11].

Lepidopterans are known to host a wide array of microbes, with bacteria primarily colonizing their gut lumen [12, 13]. Early studies on gut microbial associations in lepidopterans initially disbeliefed the existence of intimate microbial partnerships [14]. However, subsequent research on lepidopteran larvae has demonstrated that gut bacteria play crucial roles in food digestion facilitation [15], nutrient absorption enhancement [12], and direct contribution to host nutrition through production of essential nutrients (eg, L-tryptophan) [16]. Yet, gut bacteria of lepidopteran larvae can inhibit potentially harmful microorganisms by producing antimicrobial compounds [17] and reduce the toxicity of xenobiotics by the metabolization of host plant-derived defense molecules [18, 19] and pesticides [20–22].

*Proteobacteria* and *Firmicutes* are prevalent in the gut microbiota of insects, with their relative proportions reflecting host-insect groups [23, 24]. *Enterococcaceae*, a family within the *Firmicutes* phylum, is commonly found in the gut microbial communities of insects [24, 25]. The genus *Enterococcus*, within the *Enterococcaceae* family, has been reported to persist in several lepidopteran species, irrespective of their diet and metamorphic stage (reviewed by [12]). *Enterococcus* has also been associated with the metabolization of xenobiotics in lepidopterans [26, 27], including *Spodoptera* larvae [28, 29]. Its prevalence in the gut microbiota of *Spodoptera littoralis* and *Spodoptera frugiperda* larvae recognized *Enterococcus* as a core member of their microbial community [25, 30, 31]. However, there are instances where *Enterococcus* has been implicated in pathogenic interactions with lepidopteran larvae [32–34]. For example, *Enterococcus faecalis* has shown pathogenicity towards *Spodoptera exigua* [35], while *Enterococcus mundtii* [36] and *Enterococcus cloacae* [37] have been found to be pathogenic to *Spodoptera litura*.

Numerous *Enterococcus* colonies were isolated from the gut of laboratory-selected insecticide-resistant and susceptible strains of *S. frugiperda*. The isolates obtained from insecticide-resistant strains of *S. frugiperda* could grow on selective media containing the specific insecticide to which the host insect had evolved resistance, unlike the isolates obtained from susceptible larvae [28]. These isolates were putatively identified as *E. mundtii* and

*Enterococcus casseliflavus* by heuristic comparisons and phylogenetic analysis based on almost complete sequences of the 16S rRNA gene [28].

Given the diversity of interactions established by *Enterococcus*, including *E. mundtii* and *E. casseliflavus*, with their host insects [38], as well as the considerable variation in the potential for insecticide degradation exhibited by the putative *E. mundtii* and *E. casseliflavus* isolates obtained from insecticide-resistant strains of *S. frugiperda*, we applied comparative genomic analyses to explore the molecular diversity and the nature of the interaction between these *Enterococcus* species and *S. frugiperda* larvae. This investigation sheds light on their potential to metabolize insecticides and uncovers key features that they carry as symbionts.

## 2.2. Conclusions

Comparative genomics analysis allowed us to better characterize the enterococci symbionts of *S. frugiperda* with the recognition of two new species of enterococci that were named *Enterococcus entomosocium* n. sp. and *Enterococcus spodopteracolus* n. sp. The genomic features of these species indicate their potential role in host detoxification and provide support for the hypothesis that gut bacteria, like their insect hosts, are subject to selective pressure from insecticides.

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### **3. COMPARATIVE GENOMICS AND CHARACTERIZATION OF MULTIPLE INSECTICIDE-METABOLIZING *Acinetobacter* AND *Pseudomonas* ASSOCIATED WITH *Spodoptera frugiperda* (LEPIDOPTERA: NOCTUIDAE) LARVAE**

#### **Abstract**

Exploring the microbial communities within insects provides valuable insights into their functional roles and ecological significance. This study focuses on the phenotypic and genomic characterization of *Acinetobacter* and *Pseudomonas* isolates from the gut of field collected *Spodoptera frugiperda* (Lepidoptera: Noctuidae) larvae. Previous screening using insecticide-selective media revealed their distinct abilities to metabolize several insecticides used in *S. frugiperda* control. Comparative genomics revealed distinct characteristics of these isolates. Specific resistance patterns and metabolic potentials were identified by antibiotic susceptibility and carbohydrate metabolism analyses. Genome assembly identified diverse coding sequences associated with metabolism, energy production, and xenobiotic degradation, while the analysis of shared and unique sequences provided insights into common traits and individual differences among the isolates. Whole genome alignment and taxonomy confirmed their taxonomic positions. Remarkably, IILSFSC100 was proposed as *Pseudomonas fraudulenta* n. sp. while the remaining isolates of *Pseudomonas* were classified in two new subspecies clades of the recently described *Pseudomonas bharatica*. All isolates of *Acinetobacter* investigated were like *Acinetobacter soli*. *Acinetobacter* and *Pseudomonas* have been identified as core members of the gut microbial community of *S. frugiperda*, but their low abundance in the gut microbiota of field-collected larvae and their failure to colonize the gut of laboratory-reared larvae suggest that their association with *S. frugiperda* occurs only under insecticide selection pressure. This comparative genomics study uncovered intricate genetic aspects and revealed the functional diversity, ecological relevance, and host-environment interactions of these isolates.

**Keywords:** Insect gut microbiota; Phenotypic diversity; Genomic analysis; Sustainable pest management; Bacterial metabolism

#### **3.1. Introduction**

Bacterial symbionts play a crucial role in the survival and development of insects. One of the most common and well-known contribution of bacterial symbionts to host insects is the provision of essential nutrients (Engel and Moran 2013). Associations with nutrient-provisioning symbionts often result from a long coevolutionary history between hosts and bacteria, leading to a significant reduction in the genome size of symbiotic bacteria (Moran et al. 2003; McCutcheon and Moran 2012). However, even bacteria with shorter histories of association with their hosts have been shown to contribute to various insect fitness traits (Oliver et al. 2003; Engel and Moran 2013; Gurung et al. 2019). Nutrient-provisioning bacteria are more often represented by endocytobionts, while extracellular endosymbionts offer more diverse contributions to hosts (Sudakaran et al. 2017; Ganesan et al. 2022).

The involvement of free-living endosymbionts and endocytobionts in pesticide degradation has been observed in several species of chewing and sap-sucking insects (Itoh et al. 2018a; Miksanek and Tuda 2023). In many of these cases, the hosts are economically important agricultural insect pests, and symbiont-mediated metabolism of insecticides has been implicated in the insect resistance to these chemicals (Cheng et al. 2017; Chen et al. 2020; Sato et al. 2021). The active contribution of insect-associated symbionts to insecticide resistance evolution adds complexity to the implementation of insecticide resistance management strategies and sustainable agricultural practices (Itoh et al. 2018b; Bras et al. 2022). Insecticide-degrading symbionts can be acquired from the environment (e.g., soil) and/or evolve this ability under the exposure to insecticide selection pressure (Cheng et al. 2017; Itoh et al. 2018b; Gomes et al. 2020). Furthermore, some of these symbionts are vertically transmitted (Guo et al. 2017).

Several lepidopteran species are among the most destructive pests in agriculture, particularly due to the voracious feeding and polyphagous behavior of their larval stage (Cunningham et al. 1999; Mitra et al. 2021). The observed geographic range expansion of several lepidopteran pests, coupled with potential failures of chemical control tactics, increases the threat they pose to global food security (Suckling et al. 2017). The fall armyworm (FAW) *Spodoptera frugiperda* (JE Smith, 1797) (Lepidoptera: Noctuidae) is an incredible destructive lepidopteran pest that attacks numerous host plants, including major staple crops like maize, millet, rice, and sorghum (Montezano et al. 2018; Wan et al. 2021). *Spodoptera frugiperda* has recently expanded its geographical range from the Americas to Africa, Asia, and Oceania (Goergen et al. 2016; Maino et al. 2021). Reports of FAW control failures are common in the literature, as this species can evolve resistance to various organic chemical compounds (reviewed by Van Den Berg and Du Plessis 2022) and Bt-based technologies (Storer et al. 2010; Omoto et al. 2016).

Analysis of the gut microbiota of several insecticide-resistant strains of FAW selected under laboratory conditions revealed a diverse range of bacterial species capable of degrading different types of organic chemistries used in FAW control (Almeida et al. 2017; Gomes et al. 2020). A comprehensive analysis of bacterial diversity associated with field-collected larvae from different populations of major maize-producing areas in Brazil identified a much higher diversity of insecticide-degrading bacteria in the gut microbiota of the FAW larvae (Gomes et al. 2020). Field-collected FAW larvae not only harbored a greater richness of bacteria capable of degrading pesticides, but also many bacteria with the ability to metabolize a wider range of chemical structures (Gomes et al. 2020). Two bacterial species, putatively identified through

heuristic comparisons of nearly complete 16S rRNA gene sequences, *Acinetobacter soli* and *Pseudomonas japonica*, were consistently found in all studied populations and showed the ability to metabolize multiple insecticides (Gomes et al. 2020).

Both *Acinetobacter* and *Pseudomonas* belong to the phylum *Proteobacteria*. They exhibit considerable genetic diversity and are widely distributed in various environments, including soil, water, plants, and animals (Silby et al. 2011; Minard et al. 2013). Both genera are commonly found in the gut microbial communities of insects (Guégan et al. 2018; Teoh et al. 2021), where they can act as opportunistic pathogens (Tao et al. 2011; Flury et al. 2017; McKenna et al. 2022) or mutualists by providing nutrients to hosts (Guégan et al. 2018; Fabryová et al. 2018; Weglarz et al. 2018) or protection against predators (Kador et al. 2011), microbial infections (Saati-Santamaría et al. 2018), and plant-produced defense molecules (Boone et al. 2013; Xu et al. 2016; Zhang et al. 2020).

*Acinetobacter* and *Pseudomonas* species are metabolic versatile and can utilize a wide range of carbon sources to adapt to different environmental conditions (Viggør et al. 2020; Li et al. 2023). These bacteria can acquire genetic material from the environment through a process called bacterial natural transformation (Seitz and Blokesch 2013). Natural transformation involves the uptake and incorporation of extracellularly available DNA into the bacterial genome, allowing for the expression of newly acquired genes (Johnston et al. 2014; Blokesch 2016). Their ability to undergo natural transformation, along with mechanisms such as transduction and conjugation, plays a crucial role in their genetic plasticity and adaptation to changing environments (Johnston et al. 2014; Averhoff et al. 2021). It enables them to acquire new genetic traits, including antibiotic resistance genes, metabolic capabilities, and virulence factors through horizontal gene transfer (Wiedenbeck and Cohan 2011; Arnold et al. 2022).

The metabolic versatility of *Acinetobacter* and *Pseudomonas* species allows them to thrive in different environmental niches and utilize different organic compounds as sources of energy and nutrients, such as sugars, organic acids, lipids, and aromatic compounds (Frimmersdorf et al. 2010; Jung and Park 2015). They are well-known for their ability to degrade complex compounds and detoxify toxic substances such as petroleum pollutants (Liu et al. 2013; Xia et al. 2014; Sui et al. 2023), phenolic compounds (Krastanov et al. 2013; Liu et al. 2020), heavy metals (Kang et al. 2020; Vélez et al. 2021), and pesticides (Singh et al. 2004; Gong et al. 2018; Aswathi et al. 2019; Gur Ozdal and Algur 2022). Consequently, they are often the focus of studies related to bioremediation (Bhandari et al. 2021). *Acinetobacter* and *Pseudomonas* strains have been reported to metabolize organophosphates, carbamates,

triazines, organochlorines, and pyrethroids (Singh et al. 2004; Xie et al. 2009; Abraham et al. 2014; Gong et al. 2018; Gomes et al. 2020).

Thus, given the importance of gut associated microbes in the metabolism of pesticides and their contribution to host resistance to pesticides, and the consequent threat to sustainable food production and food security, we sequenced and performed comparative genomic analyses of several multiple insecticide-degrading isolates of *A. soli* and *P. japonica* that were obtained from field-collected larvae of *S. frugiperda* in five different agricultural regions in Brazil (Gomes et al. 2020). Our aims are to provide a better systematic characterization of the isolates obtained and to identify and understand the genetic and metabolic traits they carry for metabolizing a diversified set of insecticidal chemistries. The data obtained from this study are expected to provide valuable information for understanding the potential of these bacteria in xenobiotic metabolism, adaptability, and host detoxification in the context of agricultural conditions.

### **3.2. Conclusions**

Our study of insect-associated *Acinetobacter* and *Pseudomonas* isolates through comparative genomics and phenotypic assays has provided valuable insights into their ability to metabolize xenobiotics and interact with the host *Spodoptera frugiperda*. We have identified genetic adaptations and metabolic pathways involved in insecticide degradation and highlighted the need to re-evaluate taxonomy based solely on 16S sequencing. Based on our data, we hypothesized that the gut colonization of *S. frugiperda* larvae by *A. soli* and *P. bharatica* occurs only when the host insect is under insecticide selection pressure, and the association with these bacteria would benefit the host as we identified that the abundance and prevalence of both species in the gut of the host are negatively correlated with other core species of the gut microbial community of *S. frugiperda*. This integrated approach improves our understanding of bacterial metabolism, insect-bacteria interactions, and has implications for the development of sustainable pest management strategies in agricultural and environmental contexts.

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## 4. METABOLOMICS OF SUSCEPTIBLE AND INSECTICIDE-RESISTANT STRAINS OF *Spodoptera frugiperda* (LEPIDOPTERA: NOCTUIDAE) AND METATRANSCRIPTOMICS OF THEIR MIDGUT-ASSOCIATED MICROBIOTA

### Abstract

The gut microbiota of insects can influence host physiology and, like the host, can be affected by stressors. In this study, we investigated the effects of insecticide exposure on the metabolome and gut microbial metatranscriptome of insecticide resistant and susceptible *Spodoptera frugiperda* larvae. Metabolomics analysis revealed distinct metabolic profiles influenced by genotype and treatment with three insecticides: flubendiamide, spinosad and teflubenzuron. Amino acids, monosaccharides and fatty acids were prominent among the differentially abundant metabolites. Genotype emerges as an important factor influencing the metabolic profile of *S. frugiperda* in response to insecticide exposure. For certain metabolites, this influence is observed constitutively, independent of treatment. This study highlights the role of members of the gut microbiota contributing with metabolites involved in host detoxification. In addition, it demonstrates that insecticide exposure and resistance evolution may alter host immunity and its interaction with the gut microbial community, potentially affecting the host's interaction with associated viruses. Our findings help to clarify the complex interplay between insecticide exposure, gut microbiota, and host response, and provide insights into the dynamics of the gut microbiota of *S. frugiperda* in response to insecticides.

**Keywords:** Gut microbiota; Metabolomics; Metatranscriptomics; Insecticide exposure; Holobiont

### 4.1. Introduction

The gut microbiota of insects plays an increasingly recognized role in shaping host physiology, including the response to stressors like xenobiotics (Jing et al. 2020; Antonelli et al. 2022). The complex interplay between insect hosts, their gut microbiota, and toxic compounds, such as insecticides, has attracted considerable scientific interest not only for its ecological implications, but also for its potential applications in pest management strategies (Pan et al. 2020; Rupawate et al. 2023).

The Fall armyworm (FAW) *Spodoptera frugiperda* (Lepidoptera: Noctuidae) is a key pest that endangers food security. The FAW is notorious for its polyphagy, remarkable adaptability to different environments, and rapid evolution of resistance to a wide range of insecticide and biotechnology-based pest control strategies (Carvalho et al. 2013; Omoto et al. 2016; Van Den Berg and Du Plessis 2022). Insecticide-resistant strains of *Spodoptera* species often possess a range of well-established and widely recognized mechanisms underlying their resistance to chemical insecticides (Yu et al. 2003; Dawkar et al. 2013; Boaventura et al. 2020;

Nascimento et al. 2022). These mechanisms can include target site mutations (Boaventura et al. 2020), alterations in activity of the detoxification machinery, like an elevated expression of cytochrome P450s, glutathione S-transferases, and esterases genes (Yu et al. 2003; Wang et al. 2006; Nascimento et al. 2022), and behavioral changes that minimize insecticide exposure or penetration of toxins (Dawkar et al. 2013; Zalucki and Furlong 2017).

The contribution of the gut microbiota to the enzymatic repertoire and adaptation of insects to crop rotation systems (Chu et al. 2013) and similar enzymatic contribution of the gut microbiota to metabolism of natural and organic xenobiotics has been reported for several insects (Genta et al. 2006; Shukla and Beran 2020; Jaffar et al. 2022; Zhou et al. 2023). In some cases, the contribution of the gut microbiota to the degradation of organic xenobiotics has been associated with insecticide resistance (Kikuchi et al. 2012; Cheng et al. 2017; Wu et al. 2020; Sato et al. 2021). The association of insecticide-degrading bacteria with laboratory-selected strains of insecticide-resistant *S. frugiperda* suggests that gut microbes also undergo a process of selection to adapt to insecticide exposure, just as their host (Almeida et al. 2017), indicating that the host and associated microbiota respond as a unit, a holobiont (Zilber-Rosenberg and Rosenberg 2008), to such stressful conditions. The higher diversity of insecticide-degrading bacteria in the gut microbiota of field collected larvae of *S. frugiperda*, and moreover the higher capacity of isolates of these bacteria to metabolize different chemistries of insecticides add an additional layer to the intricate mechanisms that may be involved in the evolution of insecticide resistance in *S. frugiperda* (Gomes et al. 2020). This symbiotic relationship between insects and their associated bacteria highlights the need for a comprehensive understanding of both well-established and emerging mechanisms of resistance in our pursuit of effective pest management strategies.

The objective of this study was to investigate the effects of insecticide exposure on the metabolome and gut microbial metatranscriptome of insecticide-resistant and susceptible *S. frugiperda* larvae. Our research hypothesis is that the gut microbiota of insecticide resistant *S. frugiperda* strains may have metabolic pathways and transcriptional profiles that enhance the insect's ability to tolerate or detoxify these chemicals. This study aims to investigate mechanisms by which the gut microbiota may enhance insecticide resistance, potentially opening new avenues for more sustainable pest management strategies.

## 4.2. Conclusions

The study revealed that the metabolome of both FAW larvae and its gut microbiota were influenced by host genotype, exposure to insecticides and/or by the interaction of these factors. The analyses revealed that the members of the gut microbiota may contribute with metabolites relevant to host detoxification, and demonstrated that insecticide exposure and resistance evolution may affect host immunity and its interaction with its gut microbial community, with apparent effects on the host's interactions with associated viruses. Genotype emerged as the major factor contributing to the differential metabolic profile of *S. frugiperda* in response to insecticide exposure. Resistant genotypes showed differential abundance of mainly amino acids, monosaccharides, and fatty acids, potentially related to stress response, energy metabolism and xenobiotic detoxification. Overall, this highlights the intricate nature of the relationship between FAW larvae and their gut microbial community, shedding light on the biological processes and potential metabolic responses associated with insecticide resistance.

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## 5. DIVERSITY OF THE RECENTLY DESCRIBED GUT SYMBIOMTS OF *Spodoptera frugiperda* (LEPIDOPTERA: NOCTUIDAE), *Enterococcus entomosocium* AND *Enterococcus spodopteracolus* IN NEOTROPICAL SPECIES OF *Spodoptera*

### Abstract

*Enterococcus* is a core member of the larval gut microbiota of *Spodoptera* species, and it is proposed to provide the host with antibiotics to avoid the proliferation of pathogenic bacteria in the gut. Recent functional genomic analysis of *Enterococcus* isolates obtained from *S. frugiperda* led to the characterization of two new species, *E. entomosocium* and *E. spodopteracolous* that have been mistakenly identified as *E. mundtii* and *E. casseliflavus*, respectively because of the use of 16S rRNA as the only molecular marker. In *S. frugiperda*, *Enterococcus* is the most abundant member of the gut microbial community, and *E. entomosocium* and *E. spodopteracolous* were shown to evolve the ability to metabolize insecticides and possibly to contribute with the detoxification of hosts intoxicated with insecticides. *Spodoptera frugiperda* is one of the major agricultural pests in Brazil, and together with *S. albula*, *S. cosmioides*, and *S. eridania* they form what is called the *Spodoptera* complex, causing severe damage to major cash crops. The potential contribution of the *Enterococcus* species associated with *S. frugiperda* to insecticide detoxification and insecticide resistance evolution call for investigations on the occurrence, diversity, and host specificity of *Enterococcus* gut associates of *Spodoptera*. Bacterial isolates were obtained from the larval gut of *S. albula*, *S. cosmioides*, *S. eridania*, and *S. frugiperda*. The *Enterococcus* isolates obtained were subjected to genome sequencing and comparative functional genomic analysis, resulting in their classification as the recently described *E. entomosocium* or *E. spodopteracolus*. The genomic variation carried by isolates obtained from different species of *Spodoptera* is not large enough to suggest that the *Enterococcus* species identified coevolved with each of their host species. This study confirmed the need to re-evaluate taxonomic identifications based solely on 16S rRNA gene sequencing and provided insights into the functional characteristics of the *E. entomosocium* and *E. spodopteracolus* and the potential ways in which they interact and contribute to host success and performance in the field.

**Keywords:** *Spodoptera* complex ; Symbiosis; *Enterococcus*; Coevolution; Phylosymbiosis

### 5.1. Introduction

Insects play a key role in ecological systems and are of great importance in a wide variety of ecosystems (Yang and Gratton 2014). Among the diverse range of insects, larvae of the genus *Spodoptera* have received considerable attention due to their great diversity and the risk they represent to food production worldwide (Kergoat et al. 2012; Early et al. 2018). *Spodoptera frugiperda* (Smith, 1797) (Lepidoptera: Noctuidae) has spread from the Americas to Africa, Asia, and Oceania (Goergen et al. 2016; Maino et al. 2021), expanding its threat to global food safety by damaging major cash and staple crops (maize, millet, rice, and sorghum) (Montezano et al. 2018; Wan et al. 2021). In South America, several species of *Spodoptera* can

co-occur in certain crops being collectively called by *Spodoptera* complex (Saldamando and Marquez 2012). In Brazil, *Spodoptera albula* (Walker, 1857) (Lepidoptera: Noctuidae), *Spodoptera cosmioides* (Walker, 1858) (Lepidoptera: Noctuidae), *Spodoptera eridania* (Stoll, 1782) (Lepidoptera: Noctuidae), and *S. frugiperda* are the species represented in the *Spodoptera* complex, which are responsible for extensive damage in agricultural production areas (Horikoshi et al. 2021).

The pest potential of *Spodoptera* species can be related to their well-known polyphagous habits, high reproductive potential, short life cycle and high dispersal capacity (Montezano et al. 2013ab, 2014ab, 2019; Specht and Roque-Specht 2016, 2019). But their association with symbionts has only been discussed for some species of *Spodoptera*, such as *S. frugiperda*, *S. littoralis*, *S. litura*, and *S. exigua* (Tang et al. 2012; Chen et al. 2016; Gao et al. 2019; Oliveira et al. 2023; Xia et al. 2020). In most of them, *Enterococcus* is often among the most abundant and important players in the gut microbial community of *Spodoptera* (Tang et al. 2012; Chen et al. 2016; Gao et al. 2019; Oliveira et al. 2023).

The *Enterococcus* isolates obtained from *Spodoptera* species studied have been identified as *E. mundtii* and *E. casseliflavus* usually by using the 16S rRNA gene as a molecular marker (Tang et al. 2012; Chen et al. 2016; Gao et al. 2019; Oliveira et al. 2023). But recent genomic analysis of these *Enterococcus* species associated with *S. frugiperda* revealed that they represent new taxonomical entities named *Enterococcus entomosocium* and *Enterococcus spodopteracolus* (Gomes et al. 2023; Chapter 2 of this thesis). Besides several potential contributions these species can provide to their host based on the identification of genomic features, these symbionts were demonstrated to degrade insecticides and potentially contribute to host detoxification (Almeida et al. 2017; Gomes et al. 2023). At the genus level, *Enterococcus* species were also confirmed as the main representatives of the gut microbial community of laboratory strains of *S. albula*, *S. cosmioides*, and *S. eridania* (Gomes 2018).

The relationship with symbiotic partners has been suggested as a driving force behind the host' evolution and response to selection (Klepzig et al. 2009; Shapira 2016; Henry et al. 2021). In a close relationship with the host organism, the composition of the host microbiota may reflect the evolutionary history or phylogeny of the host - a pattern known as phylosymbiosis (Brucker and Bordenstein 2013; Brooks et al. 2016; Mallott and Amato 2021). In insects, examples of phylosymbiosis have been demonstrated for parasitoid wasp species (Brucker and Bordenstein 2013) and flies (Rudman et al. 2019), illustrating the complex ways in which host-microbe interactions can shape the evolutionary trajectory of these organisms.

However, little is known about the co-evolutionary aspects of lepidopteran pests and their gut microbiota.

Thus, the main objective of this chapter is to characterize the diversity of *Enterococcus* symbionts in the *Spodoptera* complex occurring in Brazil – *S. albula*, *S. cosmioides*, *S. eridania*, and *S. frugiperda* - and to verify whether they show enough differences to be distinguished according to their host. This will shed light on the extent of their specialization and coevolution with the host, providing valuable insights into the coevolutionary dynamics of these insects and their symbionts. We used a comprehensive analysis of the *Spodoptera* - *Enterococcus* association to gain a deeper understanding if the *Enterococcus* symbionts are behind the ecological and/or evolutionary forces that drive the success of this complex of agricultural pests.

## 5.2. Conclusions

The analysis of *Enterococcus* isolates from *S. albula*, *S. cosmioides*, *S. eridania*, and *S. frugiperda* larvae allowed a better understanding of the relationship between *Spodoptera* species and their *Enterococcus* symbionts, shedding light on the diversity of these associations. Their taxonomic recognition as representatives of the newly described species *E. entomosocium* and *E. spodopteracolus*, and their genomic assessment support the need to reevaluate taxonomic identifications based solely on 16S rRNA gene sequencing, providing insights into the functional attributes of these symbionts. The genomic differences among the isolates from the *Spodoptera* species complex from Brazil did not show sufficient genomic differences among them to provide any evidence of the existence of an old *Enterococcus* – *Spodoptera* association that would demonstrate their co-evolutionary history. The assessment of *Enterococcus* species associated with basal lineages of *Spodoptera* from the Old World would provide a much broader understanding on the evolutionary history of the *Enterococcus* – *Spodoptera* association.

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